Class VI UIC Financial Responsibility Demonstration

This submission is for:

Project ID: R06-LA-0005

Project Name: CCS1 - Wilcox

Current Project Phase: Pre-Injection Prior to Construction

Cost Estimates

Company providing estimates: CapturePoint Solutions LLC

Cost of each phase: Date of Third-Party Estimate:

Corrective Action on Deficient Wells: ($999.00) 1/1/2022

Plugging Injection Well: ($999.00) 1/1/2022

Post-Injection Site Care and Site Closure: ($999.00) 1/1/2022

Emergency and Remedial Response: ($999.00) 1/1/2022

Total Cost Estimate: $-3,996.00

Year of Dollars: 2022

Cost Estimate File: https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/Financial--Responsibility--Redacted.pdf

Additional Cost Information: https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/CBI--folder--page_FADP2.pdf

https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/CBI--folder--page_FADP.pdf

Trust Fund

Number of Trust Fund Instruments: 1

Trust Fund #1

Proof of Third Party Financial Strength

Using credit ratings to prove financial strength: Yes

Name of Issuing Institution: Provided in CBI folder FInancial Demonstration Assurance Plan (FDAP)

Credit Rating: Aa2

Rating Date: 1/1/2022

Company Issuing Rating: Moodys

Trust Fund Number: -999

Phases Covered by Instrument:

Plugging Injection Well

Post-Injection Site Care and Site Closure

Total Cost of Selected Phases: $-1,998.00

Using more than one instrument to cover a single phase: No

Value of Instrument: ($999.00)

Is a pay-in-period in use: Yes

Number of Payments: 1

Payment #1

Value: ($999.00)

Total of All Payments for Instrument:

Instrument Language

Instrument using recommended language: No

Instrument includes required conditions of coverage: Yes

Instrument File: https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/CBI--folder--page_Trustfundtab.pdf

Surety Bond

Number of Surety Bond Instruments: 1

Surety Bond #1

Proof of Third Party Financial Strength

Using credit ratings to prove financial strength: Yes

Name of Issuing Institution: Provided in CBI folder Financial Assurance Demonstration Plan (FDAP)

Credit Rating: AA-

Rating Date: 8/13/2021

Company Issuing Rating: Fitch

Surety Bond Number: -999

Type of Bond: Performance Bond

Phases Covered by Instrument:

Corrective Action on Deficient Wells

Plugging Injection Well

Post-Injection Site Care and Site Closure

Total Cost of Selected Phases: $-2,997.00

Using more than one instrument to cover a single phase: Yes

Value of Instrument: ($999.00)

Instrument Language

Instrument using recommended language: No

Instrument includes required conditions of coverage: Yes

Standby Trust

Has a standby trust been established: No

Instrument File: https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/CBI--folder--page_SuretyBond.pdf

Letter of Credit

Number of Letter of Credit Instruments: 0

Third Party Insurance

Number of Third Party Insurance Instruments: 1

Third Party Insurance #1

Proof of Third Party Financial Strength

Using credit ratings to prove financial strength: Yes

Name of Issuing Institution: -999

Credit Rating: AA-

Rating Date: 1/1/2022

Company Issuing Rating: Fitch

Date Policy is Active: 11/7/2021

Date Policy Expires : 11/7/2022 Describe: Renewed every year, policy active since 20217

Phases Covered by Instrument:

Emergency and Remedial Response

Total Cost of Selected Phases: $-999.00

Using more than one instrument to cover a single phase: Yes

Value of Instrument: ($999.00)

Instrument Language

Certificate of Insurance is using recommended language and is consistent with provisions and exclusions in the actual insurance policy: No

Insurance policy includes required conditions of coverage: Yes

Instrument File: https://gsdt.pnnl.gov/alfresco/service/velo/getFile/no_wiki/shared/Submissions/R06-LA-0005/Phase1-PreConstruction/FinancialResp-11-02-2022-0820/CBI--folder--page_Insurance.pdf

Escrow Account

Number of Escrow Account Instruments: 0

Self Insurance

Is Self Insurance Used as a Financial Instrument: No

Other Instrument

Number of Other Instruments: 0

Notifications

Complete Submission

Authorized submission made by: Tracy Evans

For confirmation a read-only copy of your submission will be emailed to: njones@capturepointsolutions.com