Drinking Water Treatment
Revolving Fund
State Fiscal Year 2007
Annual Report

West Virginia Department of Health and Human Resources
Office of Environmental Health Services
Bureau for Public Health
Environmental Engineering Division

September 2007

Our Vision:
Healthy People through Healthy Environments
in West Virginia

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ACRONYMS

AWOP – Area Wide Optimization Program Performance Based Training
AWWA – American Water Works Association
C&T – Certification and Training
CDA – Capacity Development Assessment
CEH – Continuing Education Hours

DWNIMS – Drinking Water National Information Management System
DWSRF – Drinking Water State Revolving Fund
DWTRF – Drinking Water Treatment Revolving Fund
USEPA – United States Environmental Protection Agency
WVETC – West Virginia Environmental Training Center

FCTR – Federal Cash Transaction Report
FONSI – Findings of No Significant Impact
FSR – Financial Statement Report
GAAP – General Acceptance Accounting Principals
GIS – Global Information System

GPS – Global Positioning System
HELP – Hours for Education and Learning Program
IUP – Intended Use Plan
MUB – Morgantown Utility Board
OEHS – Office of Environmental Health Services

PBT – Performance Based Training
PSC – Public Service Commission
PWS – Public Water System
PWSS – Public Water Supply Supervision
SDWA – Safe Drinking Water Act

SFY – State Fiscal Year
SWAP – Source Water Assessment and Protection
TMF – Technical, Managerial, Financial Capacity
UMI – Utility Management Institute
WAP – Watershed Assessment Program

WDA – Water Development Authority
WHPP – Wellhead Protection Plan
WVAW – West Virginia American Water
WVIJDC – West Virginia Infrastructure Jobs Development Council
WVRWA – West Virginia Rural Water Association

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I. INTRODUCTION

The State of West Virginia is pleased to submit the Annual Report on the Drinking Water State Revolving Fund (DWSRF) for State Fiscal Year (SFY) 2007. The Report addresses operation of the DWSRF during the period from July 1, 2006 through June 30, 2007. West Virginia received the FFY 2005 appropriated capitalization grant (FFY 2006 Awarded Grant) during this period.

This comprehensive report provided to the public and to the EPA Region III Office details the activities undertaken to reach the goals and objectives set forth in the Final Intended Use Plan (IUP) for July 1, 2007 through June 30, 2008. The report documents the program status by describing the progress made toward long- and short-term program goals, the sources (e.g., federal grants) and uses of all funds (e.g., loans), the financial status of the DWSRF, and compliance with federal DWSRF requirements.

The Annual Report presents five major sections. Section I provides the introduction. Section II provides a summary of progress made toward reaching long- and short-term goals of the program. Section III provides details on loan and set-aside activities. Section IV provides a financial overview of the program conditions. Section V provides a compliance update with the federal capitalization grant agreement and the operating agreement.

West Virginia DWTRF – OVERVIEW
SFY 2007 (July 1, 2006 – June 30, 2007)

Total Cumulative Investment: $107,819,887
SFY 2007 Investment: $13,384,384

Number of Projects (Closed Loans): 3

Project Funding (Closed Loans): $4,585,500

Total Population Served: 7,218

Interest Rates: 3 projects – 0 percent
0 projects – 2 percent

Repayment Period: 3 projects – 30 years
0 projects – 20 years

Small Systems Funded: 3 for $4,585,500

Disadvantaged Community Loan Funding: 3 for $4,585,500

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II. GOALS AND ACCOMPLISHMENTS

We developed the IUP goals listed below. Our long-term goals provide a framework to guide our DWSRF program management decisions. Our short-term goals support the program's long-term goals implementation.

A. Progress Toward Long-Term Goals

1. Provide the necessary infrastructure replacement, upgrade, and coverage as has been determined necessary in West Virginia Infrastructure and Jobs Development Council's 2005 Public Water And Systems Inventory and Assessment Report (approximately 724 million dollars needed infrastructure) and the 2003 Needs Survey to achieve the overall goal of upgrading water quality for existing public water customers and providing water to private customers whose water currently is not in compliance with the SDWA. The above goals are being achieved through the following:

Description	*# of Loans*	*$ Amount of Loans*
Closed Loans prior to SFY 2007	*31*	*$ 53,545,971*
Closed Loans during SFY 2007	*3*	*$4,585,500*
Total Closed Loans	*34*	*$ 58,131,471*

Reference Appendix A-1a

One system has been issued a commitment letter for an additional $700,000 more than the original commitment this past fiscal year. Eight Binding commitments through the end of the year totaled $15,431,838. These projects have not closed loans as of SFY 2007 **(Appendix A – 1b)**. The Office of Environmental Health Services (OEHS) has, therefore, committed to participating in 42 projects with the Drinking Water Treatment Revolving Fund (DWTRF), also known as the DWSRF Construction Loan, totaling $73,563,309 to improve the drinking water infrastructure. Most of these projects will allow residents currently connected to unreliable sources in quantity and quality the opportunity to connect to public water sources. All of these projects will allow the public water systems to comply with the Safe Drinking Water Act.

2. Capacity Development Program: Continue to conduct financial, managerial, and technical capacity assessments on public water systems and provide necessary assistance to ensure compliance with the SDWA.

The EPA approved the WV Strategy on September 27, 2000. The Capacity Development staff has been implementing a program over the past six fiscal years.

The West Virginia Capacity Development Program Annual Report was provided to EPA in November 2006. This Annual Report documented continued compliance with SDWA Section 1420(a) and provided a summary of capacity development program activities between October 1, 2005 and September 30, 2006. Reference *State Program Management: Capacity Development* section for details of this program.

3. Continue to participate in the WV Infrastructure and Jobs Development Council (hereafter referenced as WVIJDC) process on a monthly basis to oversee the technical review committee for technical review of applications and to maximize all available state and federal funding sources.

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The OEHS continues to participate in the WVIJDC process monthly by chairing the water technical review committee and as a member of the wastewater technical review committee. Each committee reviews incoming WVIJDC applications, various funded project change orders, design loan requests, uses of contingency monies, and either recommends forwarding these submittals to the Infrastructure Council Funding Committee for approval or returning them due to either technical or financial deficiencies. During the SFY 2007, the OEHS oversaw the review of 92 water project submittals and provided review of 78 wastewater project submittals.

4. **Develop a database of existing public water systems which will include system infrastructure mapping, system inventory, compliance history, sampling history, operator certification, etc., to quickly access an existing system as well as evaluate proposed new projects/systems. The database should also have the ability to interface with other existing databases.**

The OEHS continued to implement the SDWIS/STATE Version 8.0 during SFY 2007 using the contractual services of Global Environmental Consultants. The SDWIS/STATE database is used for storing and tracking public water system inventory (which included system contacts and operators, facility information, and sampling points), sampling data, and violation and enforcement information. It also is used for electronic data transfer for all bacteriological test results from State laboratories; water system compliance schedules; compliance reports involving disinfectant by-products, surface water treatment rule, public notification, lead and copper. Fed/Rep v.1.2 and the Safe Drinking Water Operator Certification System module link to SDWIS. Compliance for chemical and bacteriological data has been implemented using the SDWIS/STATE Compliance Decision Support Business System. SDWIS/State Web Release1 (SSWr1) should be implemented during SFY 2008. Implementation of Electronic Data Transfer for private labs (bacteriological and chemical) will also be implemented in the next fiscal year.

5. **Develop the DWTRF to ensure the long term fund perpetuity where after the initial capitalization years, the loan repayments in the fund will be used to finance additional projects.**

a. **Conduct financial, managerial, and technical capacity assessments under the Capacity Development Program on all potential loan recipients to assure fiscal responsibility.**

During SFY 2007, the OEHS continued to conduct capacity development assessments. The OEHS conducted 14 assessments during this reporting period. The Capacity Development Unit has completed a Capacity Development Assessment for all loan recipients, if the loan recipient has capacity, a DWTRF loan is recommended.

b. **Analyze the ability to meet long-term fund perpetuity by using the EPA financial planning model.**

During the SFY 2007 EPA Region III performed an evaluation of the DWTRF long-term perpetuity. The Financial Planning Model developed for the EPA assumed receipt of the Federal Capitalization Grant averaging approximately $8 million per year through SFY 2011. Under this approximate scenario, annually $4 million in disbursements could be sustained through 2037. An action item from this year's site visit is to further evaluate the effect of varying loan interest rates, debt forgiveness, and possibly bond leveraging scenarios with the DWTRF. The EPA financial manager has offered assistance with this analysis.

c. **Monitor repayment activity of loan recipients and take aggressive action to collect delinquent payments.**

The West Virginia Water Development Authority (WDA) has been contracted to monitor the DWTRF repayment activity. During SFY 2007, four additional DWTRF loans

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began making payments into the account for a total of 29 loans. Monthly reports from the West Virginia Municipal Bond Commission, paying agent for the DWTRF loans, are received by WDA and OEHS and are reviewed. WDA sends a letter to the loan recipient when the required debt service or reserve payments are not made. If the letters are not effective, WDA can file a complaint with the Public Service Commission of West Virginia (PSC) for their intervention. During the SFY, the WDA filed complaints with the PSC against two borrowers concerning repayments and reserve accounts. Since those complaints were filed, both water systems have resumed payments to reduce their deficiencies on repayments of reserves.

In SFY 2003, WDA and OEHS developed procedures for performing reviews of the borrower's required audits. All sub-recipients of the DWTRF with continuing compliance requirements are required to submit an annual audit report. These audit reports must be reviewed to provide reasonable assurance of the sub-recipients compliance with federal requirements, audits are performed on federal funding supplied, and corrective action is taken on all findings noted.

Based on the DWTRF audit, at the end of SFY 2007, the WDA had not received certain sub-recipient audit reports that are required to be analyzed and reviewed. The following summarizes sub-recipient audit reports not received by year: June 30, 2006 – 1, June 30, 2005 – 3 and June 30, 2004 - 1. Sub-recipient audits performed by Independent Certified Public Accountants and the Chief Inspector's Division of the West Virginia State Auditor's Office are not always being performed, or issued in a timely manner. Therefore, the DWTRF cannot effectively perform all of its monitoring responsibilities, including desk reviews, as a result of the sub-recipients' failure to comply by providing timely audit reports. The WDA, OEHS, and the West Virginia State Auditor's Office met after June 30, 2006 to address these issues.

One significant outcome of the meeting was WDA and OEHS realized that state auditors had provided extensions on audits previously reported in prior years as being delinquent. The WDA, OEHS, and the Auditor have made a verbal commitment to work on procedures during the SFY 2007 to set the priority of the audits for municipalities that meet the audit threshold. The goal is to move the audits higher on the priority list once WDA and OEHS have notified the Auditor that we are in need of the audit. OEHS and WDA will also be informed if extensions are received on audits, so that they are not reported as delinquent.

OEHS and WDA continue to review EPA's policies regarding when A-133 single audits are required. We have received further guidance from EPA on clarification of when the single audits are required that may impact findings in the future. WDA continues to monitor the status of sub-recipient audit reports and provide technical assistance to ensure that management and the governing body of the sub-recipients understand the importance of filing timely audit reports.

d. Market the DWTRF through various conferences (WV Rural Water Association Conference, American Water Works Association, WVIJDC Meetings, etc.), pamphlets/brochures and newsletters on SRF program activities.

The OEHS participated in compiling materials and performing activities aimed toward marketing the DWTRF program. These activities included presentations at the West Virginia Rural Water Association Conference and the WVIJDC meetings; a display at the West Virginia EXPO in Charleston; and articles in the West Virginia Rural Water Association Magazines, and the West Virginia Bureau for Public Health and Public Service Commission Newsletters distributed to the water treatment operators throughout the state. The OEHS also produces a 12-month calendar for water treatment operator continuing education hour training in conjunction with the West Virginia Drinking Water Education and Training Coalition. OEHS staff

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contacted water systems and conducted a one-on-one meeting to discuss available DWTRF funding. Many of the systems were not aware that the funding source existed. Subsequent to SFY 2006 OEHS staff met with the Arnold Agency to discuss brochure preparation, calendar design, and other avenues to market the DWTRF funding. An official logo has also been approved for OEHS, and staff is considering incorporating this logo into OEHS DWTRF advertising.

6. **Improve the DWTRF utilization rate to the national average or EPA Region III average whichever is higher by sending Letters of Commitment of DWTRF funds prior to actual receipt of the EPA Capitalization Grant to utilize capital funds during the year they are awarded, giving design loans to water systems to facilitate a more fundable project, and exploring other states' DWTRF programs to acquire ideas to increase the utilization rate (e.g., debt forgiveness, bond leveraging, etc.).**

The past years utilization rate has dropped slightly to 73% versus the previous year's rate of 76%. The rate was negatively affected because four projects had delayed loan closings that were anticipated for this fiscal year. We are steadily overcoming the following factors that affect the utilization rate:

- The 270-day project review period the WV Public Service Commission is allowed for the "Certificate of Convenience and Necessity".
- The time to obtain right-of-way for water lines.
- Possible design studies required to resolve technical issues.
- Recent construction bid overruns requiring additional funding.
- Project stoppage due to compliance issues with the federal requirements (e.g., FONSI or MBE/WBE).
- Prior to SFY 2006 letters of binding commitment were sent after federal grant money had been obtained.
- OEHS will complete a review of the Utilization Report and recommend and implement prioritized actions to continue improvement of the utilization report.

B. Progress Toward Short-Term Goals

1. **Provide financial assistance to eligible drinking water systems to eliminate drinking water problems and improve drinking water quality in the state, closing 75% of the construction loans within 18 months.**

At the end of the SFY 2007, over $58,131,471 of the construction funds from the six capitalization grant awards had been committed in loan closings. Cumulatively, 45% of the DWTRF loans that issued letters of commitment closed within 18 months.

During this SFY (a 12-month period), $4,585,500 (three loans) were committed in loan closures. Two of the three loans (Ceredo-$2,700,000 and Masontown-$635,500) closed within 18 months from the letter of commitment. The OEHS will continue to work on closing loans within 18 months to achieve the 75% goal.

2. **Continue implementation of the Source Water Assessment Protection (SWAP) Program through community ground and surface water assessments with the development of a SWAP Grants program that will provide funding to establish and develop local source water protection programs**

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for watershed protection for surface water sources or wellhead protection (WHP) for ground water sources.

Progress to date: A total of nine projects were reviewed during this reporting cycle with four WHP grants awarded totaling $54,000. A grant program to include surface water systems is currently being developed. The SWAP and the WHP programs are discussed in the Set-Aside: State Program Management: Source Water Protection section.

3. **Continue implementation of the Capacity Development Strategy by assisting existing public water systems in acquiring and maintaining capacity (technical, managerial, and financial) to comply with the federal SDWA. Also assist new public water systems to ensure that all new community water systems and new non-transient non-community systems have technical, managerial, and financial capacity to comply with regulations in effect, or likely to be in effect, when the system initiates operations.**

During SFY 2007, the Capacity Development unit focused on performing capacity development assessments for water systems likely to obtain a DWTRF loan, on the Significant Non-Compliance List, and systems who requested assistance to improve their capacity. The Capacity Development Initiative (CAPDEV) was established to provide a method for water systems to network for mutual assistance in emergency and non-emergency situations. Several meetings were well attended and water system personnel freely discussed issues and needs. All new public water system are reviewed for technical, managerial, and financial (TMF) capacity and approved before a construction permit is issued.

4. **Continue development, enhancement, and improvement of the Public Water Supply Supervision Program through improved methodology and consistency of the sanitary surveys, maintenance of a full complement of staffing in the district offices, and final development of an automated data collection system for private laboratories to forward results of total organic compounds.**

During SFY 2007, various improvements and activities took place within the PWSS program, specifically within the Regulatory Development, Operations-District Office, and Infrastructure/Capacity Development Units. OEHS increased the use of Safe Water Information Field Tool (SWIFT) software in conducting their sanitary surveys. OEHS is still implementing the conversion of SDWIS 8 to the Web Release Version 1. This is now scheduled to take place in SFY 2008.

5. **Continue to implement operator training continuing education program focusing on training course/instructor criteria and operator training requirements.**

During SFY 2007, the OEHS Staff continued to implement the operator certification program by providing operator certification and certification training. The mandated public water system operator continuing education hour certification continued through SFY 2007. The operator certification program is discussed in Set-Aside: State Program Management - Operator Certification section.

The West Virginia Rural Water Association (WVRWA), through a contract with OEHS, has continued a program to provide training opportunities to small community and non-community water system personnel statewide. The West Virginia Bureau for Public Health sets the training curriculum and strategy along with West Virginia Rural Water Association with input from the systems throughout the state. The development of the lending library of audio/visual instructive and informational material continues along with an Internet Home Page Information System. More information is included in the Set Aside: Small Systems Technical Assistance Program section.

6. **Continue to participate in the WVIJDC's monthly meetings through performance of technical reviews on all proposed water projects; coordination and recommendation of the most feasible funding sources in accordance with established state rules and procedures.**

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As Chair of the Water Technical Review Committee, voting member of the Funding Committee, and voting member of the full WVIJDC, OEHS continues to work with other funding agencies. Of the three project commitments for loan closings made during SFY 2007 totaling $4.6 million in DWTRF funds; over $2.0 million was committed by other funding agencies to fund over $6.6 million for construction.

7. Respond to "Summary of OEHS Action Items" based on comments by the US EPA during the 2007 Site Visit.

During SFY 2007, the OEHS responded to comments from EPA's "Summary of OEHS Action Items" from their 2006 site visit. All items were resolved from the prior year's audit. EPA comments identified in the last site visit were:

- OEHS to submit a program pace implementation plan with priorities.
- Review how existing unused set-aside funds can be used with programs and construction.
- Review policies and procedures regarding interest rates on loans and program fees.
- Expedite expenditure and cash draws.
- Reconcile FSR's and FCTR's.
- Reconcile administrative costs between the annual report and DWNIMS.

The OEHS will address comments by the EPA concerning the "Summary of OEHS Action Items" from the 2007 site visit.

8. Prepare 2007 Needs Survey for Determination of West Virginia Water System Infrastructure Requirements.

During SFY 2007, the OEHS coordinated the survey response for three large water systems. As of the end of SFY 2007, West Virginia was in the top group of states providing a survey response.

III. DWTRF LOAN AND SET-ASIDE ACTIVITIES

In this section we provide a detailed discussion of the DWSRF assistance activities during SFY 2007. We provide details on the sources of DWTRF program funding, the loan activities status, and the set-aside activities status.

(Table 1) and **(Table 2)** provide information about the sources and uses of DWSRF funding: (**Table 1)** shows all sources of DWSRF funds available in SFY 2007. It also shows the binding commitments (loan closings), work plan commitments, and administrative funding commitments made for the fiscal year. **(Table 2)** shows West Virginia's actual disbursements in SFY 2007. (Note: Disbursed funds reflect cash outlays from the current year's committed funds as well as from the funds committed in previous years.)

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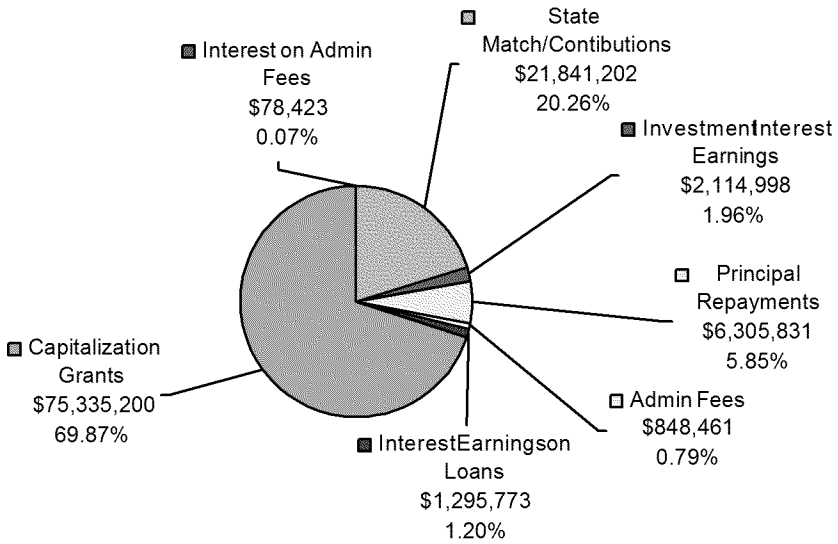
A. Sources of DWSRF Funding

The funding available for the DWSRF program in this reporting period totaled $107,819,887 **(See Figure 1)**. Because the OEHS has only operated the program for six years, federal capitalization grants and state matches have been the major sources of funding.

We describe major aspects of the sources below:

Figure 1: Available Funds ($107.8 Million)



1. Capitalization Grants

Awards SFY 1999	$ 27,143,900
Awards SFY 2000	$ 0
Awards SFY 2001	$ 0
Awards SFY 2002	$ 7,757,000
Awards SFY 2003	$ 7,789,100
Awards SFY 2004	$ 8,052,500
Awards SFY 2005	$ 8,004,100
Awards SFY 2006	$ 8,303,100
Awards SFY 2007	$ 8,285,500
Total Awards	**$ 75,335,200**

An application for the capitalization grant to be awarded with a begin date of October 1, 2007 was submitted to the EPA and was being reviewed as of the end of the SFY 2007 (June 30, 2007). The award is pending.

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2. State Match

SFY	20% Infrastructure Match	10% State Match	Total State Match Pledged
SFY 1999	$ 5,428,780	$ 1,955,032	$ 7,383,812
SFY 2000	$ 0	$ 0	$ 0
SFY 2001	$ 0	$ 0	$ 0
SFY 2002	$ 1,551,400	$ 775,700	$ 2,327,100
SFY 2003	$ 1,557,820	$ 778,910	$ 2,336,730
SFY 2004	$ 1,610,500	$ 805,250	$ 2,415,750
SFY 2005	$ 1,600,820	$ 800,410	$ 2,401,230
SFY 2006	$ 1,660,620	$ 830,310	$ 2,490,930
SFY 2007	$ 1,657,100	$ 828,550	$ 2,485,650
Total	**$ 15,067,040**	**$ 6,774,162**	**$ 21,841,202**

As of June 30, 2007, WV had an additional appropriated funds accumulated balance of $80,870. These funds will be pledged to future awarded grants as part of the 10% match due from the state.

3. Interest Earnings on Investments

Date	Amount from State Matches	Interest From Repayments
SFY 1999	$ 108,512	$ 0
SFY 2000	$ 302,395	$ 0
SFY 2001	$ 265,206	$ 4,800
SFY 2002	$ 92,457	$ 72,970
SFY 2003	$ 76,941	$ 233,638
SFY 2004	$ 74,041	$ 234,025
SFY 2005	$ 167,967	$ 249,696
SFY 2006	$ 431,314	$ 248,906
SFY 2007	$ 596,165	$ 251,738
Total	**$ 2,114,998**	**$ 1,295,773**

No interest earnings were disbursed to fund projects.

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4. Repayments of Original Loans

Date	Principle Received
SFY 1999	$ 0
SFY 2000	$ 8,421
SFY 2001	$ 53,815
SFY 2002	$ 451,762
SFY 2003	$ 890,378
SFY 2004	$ 947,644
SFY 2005	$ 1,140,160
SFY 2006	$ 1,294,447
SFY 2007	$ 1,519,204
Total	**$ 6,305,831**

These repayments are placed in a separate account with the WVWDA. Repayments disbursed during the SFY 2007 were $398,652.

5. Administration Fees

Date	Admin Fees Collected	Interest on Admin Fees
SFY 1999	$ 0	$ 0
SFY 2000	$ 1,273	$ 5
SFY 2001	$ 7,953	$ 191
SFY 2002	$ 61,945	$ 753
SFY 2003	$ 119,288	$ 1,857
SFY 2004	$ 126,358	$ 2,570
SFY 2005	$ 152,666	$ 8,541
SFY 2006	$ 173,786	$ 23,571
SFY 2007	$ 205,192	$ 40,934
Total	**$ 848,461**	**$ 78,422**

The Administration fees are placed in a separate account with the WVWDA.

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Table 1: Sources and Uses of Committed DWTRF Funding (as of end of SFY 2007)

Sources of Funds	Prior SFY2007	SFY 2007	Cumulative
Capitalization Grant	$67,049,700	$8,285,500	$75,335,200
State Match (IJDC MATCH)	$13,409,940	$1,657,100	$15,067,040
Additional State Contributions (1 TO 1 Match)	$5,390,580	$828,550	$6,219,130
State Match 1993 PWSS Overmatch Used	$555,032	$0	$555,032
Investment Interest Earnings (IJDC Match)	$1,518,833	$596,165	$2,114,998
Principal Repayments	$4,786,627	$1,519,204	$6,305,831
Interest Earnings on Loans	$1,044,034	$251,738	$1,295,772
Administration Fees	$643,269	$205,192	$848,461
Interest Earning on Admin Fees	$37,488	$40,935	$78,423
Bond Proceeds	$0	$0	$0
Transfers	$0	$0	$0
Total	**$94,435,503**	**$13,384,384**	**$107,819,887**
Uses of Committed Funds	**Prior to SFY2007**	**SFY 2007**	**Cumulative**
DWTRF LOAN ACCOUNT BINDING COMMITMENTS			
Standard Loans 1452(a) >10,000 pop. *	$12,502,850	$0	$12,502,850
Small Systems 1452(a)(2)			
Standard	$5,381,041	$0	$5,381,041
Disadvantaged Communities 1452(d)	$35,662,080	$4,585,500	$40,247,580
Small System Subtotal	$41,043,121	$4,585,500	$45,628,621
Committed Loan Subtotal	$53,545,971	$4,585,500	$58,131,471
Unclosed Loans	$16,790,056	$5,155,703	$21,945,759
Subtotal**	**$70,336,027**	**$9,741,203**	**$80,077,230**
SET-ASIDE ACCOUNT WORKPLAN COMMITMENTS			
Technical Assistance (max 2%) 1452 (g)(2)	**$1,328,715**	**$165,710**	**$1,494,425**
State Program Management (max 10%) 1452(g)(2)	$5,945,612	$828,550	$6,774,162
PWSS Program	----------	----------	----------
Capacity Development	----------	----------	----------
Operator Certification	----------	----------	----------
State Match	$5,390,580	$828,550	$6,219,130
Non-Cash State Match--1993 PWSS Overmatch	$555,032	$0	$555,032
State Program Management Subtotal	**$11,891,224**	**$1,657,100**	**$13,548,324**
Local Assistance/Other State Programs (max. 15%) 1452(k)(2)	----------	----------	----------
Source Water Assessment/Protection	----------	----------	----------
Wellhead Protection	----------	----------	----------
Local Assistance/Other State Programs Subtotal	**$7,815,756**	**$1,242,825**	**$9,058,581**
Total Non-Administrative Set-Aside	**$21,035,695**	**$3,065,635**	**$24,101,330**
DWTRF ADMINISTRATION ACCOUNT COMMITMENTS			
4% Set-Aside 1452(g)(2)	$2,383,024	$331,420	$2,714,444
Fees/4% Investment Interest	$680,757	$246,126	$926,883
Total Administrative Set-Asides	**$3,063,781**	**$577,546**	**$3,641,327**
TOTAL	**$94,435,503**	**$13,384,384**	**$107,819,887**

* Population served by existing system >10,000; does not include systems with extensions to consolidate residents with individual wells and/or small public systems (total population of greater than 10,000).

** Funds for committal include DWSRF-Construction Funds, 20% State Matching Funds, Investment Interest Earnings, Principal Repayments and Interest Earnings on loans.

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Table 1a: West Virginia American Water Settlement Fee

	Prior SFY 2007	SFY 2007	Cumulative
User Fees	$ 114,236	$ 32,571	$ 146,807
Intereston User Fees	$ 4,793	$ 6,534	$ 11,327
Total	$ 119,029	$ 39,105	$ 158,134
Withdrawals	$ (15,727)	$ -	$ (15,727)
ACCOUNT BALANCE	**$ 103,302**	**$ 39,105**	**$ 142,407**

Table 2: Uses of Disbursed DWTRF Funding

Uses of Disbursed Funds	Prior to SFY 2007	During SFY 2007	Cumulative
DWTRF LOAN ACCOUNT			
Standard Loans 1452(a)	$10,011,725	$1,828,175	$11,839,900
Small Systems 1452(a)(2)			
Standard	$6,167,414	$83,700	$6,251,114
Disadvantaged Communities 1452(d)	$27,793,562	$8,069,283	$35,862,845
Small System Subtotal	$33,960,976	$8,152,983	$42,113,959
Subtotal	**$43,972,701**	**$9,981,158**	**$53,953,859**
SET-ASIDE ACCOUNT			
Technical Assistance (max 2%) 1452(g)(2)	$1,253,240	$155,760	$1,409,000
State Program Management (max 10%) 1452(g)(2)	$8,138,939	$913,045	$9,051,984
Capacity Development			
Operator Certification			
PWSS			
State Program Management Subtotal (See Note 1)	$8,138,939	$913,045	$9,051,984
Local Assistance/Other State Programs (max 15%) 1452(k)(2)			
Source Water Assessment/Protection			
Wellhead Protection			
Local Assistance/Other State Programs Subtotal	$4,729,185	$986,556	$5,715,741
Total Non-Administrative Set-Aside Subtotal	**$14,121,364**	**$2,055,361**	**$16,176,725**
DWTRF ADMINISTRATION ACCOUNT COMMITMENTS			
4% Set-Aside 1452(g)(2)	$1,819,571	$253,390	$2,072,961
Fees			
Subtotal	**$1,819,571**	**$253,390**	**$2,072,961**
TOTAL	**$59,913,636**	**$12,289,909**	**$72,203,545**

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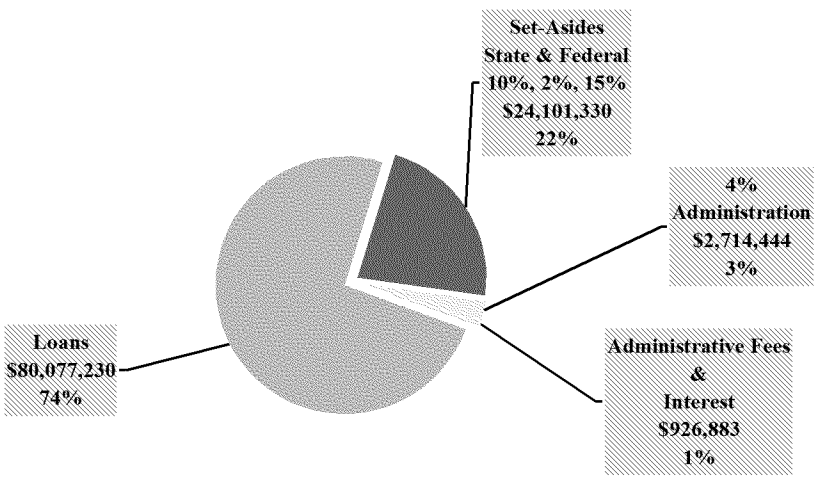
Table 2a
Set-Aside Utilization for All Grants (Cumulative as of June 30, 2007)

	Technical Assistance	DWSRF Administration	Program Management	Local Assistance	Total
CumulativeAwarded	$1,494,425	$2,714,444	$6,774,162	$9,058,581	$20,041,612
CumulativeObligated	$1,479,854	$2,116,688	$4,649,301	$5,757,160	$14,003,003
% Obligated	99.0%	78.0%	68.6%	63.6%	69.9%
Cumulative Expended	$1,409,000	$2,072,961	$4,525,992	$5,715,741	$13,723,694
% Expended	94.3%	76.4%	66.8%	63.1%	68.5%

B. Uses of DWSRF Funds

The DWSRF has committed or made available $73,563,309 for loans to public water systems **(See Figure 2).** Thru SFY 2007, the program has committed $24,101,330 for assistance to systems, assistance providers, and the State of West Virginia for set-aside activities. The DWSRF allocated an additional $2,714,444 from set-aside funding for program administration. The DWTRF has received $926,883 in Administration Fees/Investment Interest from outstanding loans for future program administration.

Figure 2: Allocation of Available Funds



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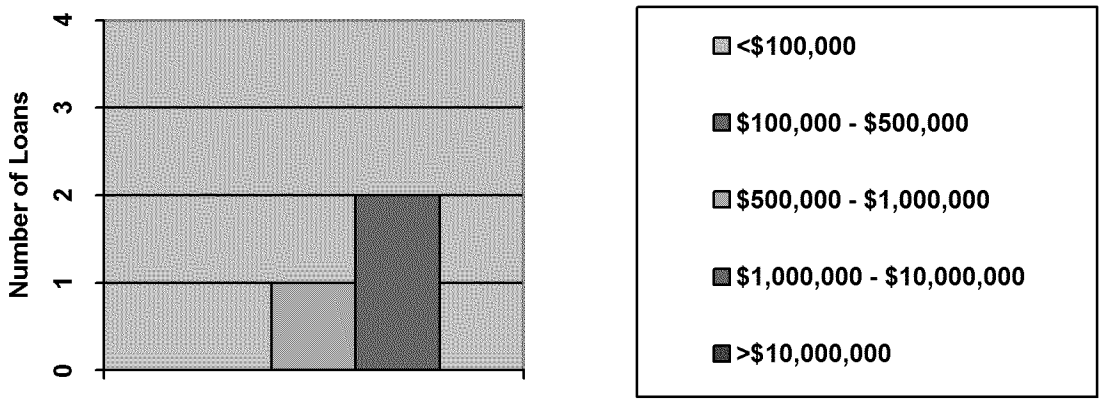
1. Loan Assistance Status

The DWTRF entered into three loan agreements with three public water systems totaling $4,585,500 in this reporting period. The loans ranged in size from $635,500 to $2,700,000 **(See Figure 3)**. The loan term for the three loans averaged 30 years, with an average interest rate of 0 percent. All loan agreements had a 1% administrative fee. The water systems receiving these loans serve 7,218 people; systems receiving the DWTRF loans range in population from 1,826 to 3,074 people. Through this reporting period, disadvantaged communities have not received any principal forgiveness. We have made binding commitments in the amount of $58,131,471 in DWTRF funds through SFY 2007; $4,585,500 million during SFY 2007 **(See Appendix A-1a).**

Figure 3: Distribution of Loans by Loan Size



a. Binding Commitments

On a cumulative basis, we have obligated 73 percent of all available loan funds (including interest and repayments) to projects through loan closings. We have issued letters of commitment for an additional $15,431,838 for projects which have not closed loans yet. These additional commitments would increase our projected obligations to nearly 92% of all available funds.

b. Project By-pass

During SFY 2007, Seven projects on the 2005 Project Priority List Summary were bypassed in order to issue letters of binding commitment during the SFY. Four projects were bypassed because they desired funds from sources other than DWTRF. Three projects were withdrawn due to technical or financial difficulties. **(Appendix A-2b)** includes a description of these projects and an explanation for each bypass.

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TABLE 3
SFY 2007 Funded Projects (Closed Loans)

Name	PWSID #	Amounts	Project Description	Population Served*	Small System	Disadvantaged Community
Town of Bath	WV 3303301	$1,250,000	The Town of Bath (AKA Berkeley Springs) owns and operates the Berkeley Springs Water Works (BSWW) serving approximately 1,300 customers. The existing downtown distribution system lost 100,000 gallons of water per day (>47% unaccounted water loss). The treatment plant was operated nearly all day but operator coverage was not adequate. The storage tanks could not be refilled in one day due to line restrictions. This project replaced the distribution system and significantly reduced the water loss. It enabled the plant to operate near the 18 hour level and allowed the storage tanks to be filled in a day. See Success story section of this report for more information.	3075	Y	Y
Town of Masontown	WV 3303909	$635,500	This water system did not have adequate storage capacity or continuous filter effluent turbidity monitoring, exceeded disinfection byproduct MCLs, and had ineffective filters. This project installed 2.3 miles of an 8" water line along Snake Hill Road, tying into Morgantown Utility Board water system and eliminating the Masontown water system. This project also included a 200 GPM booster pump station to pump water to Masontown. See Success story section of this report for more information.	1,826	Y	Y

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Name	PWSID #	Amounts	Project Description	Population Served*	Small System	Disadvantaged Community
Town of Ceredo	WV 3305001	$2,700,000	This project corrected low pressure problems in many areas of the distribution system. The deteriorated concrete and brick storage tank was replaced. Inadequate lines were replaced with properly sized lines in the downtown and surrounding areas. This project also eliminated the need for the supplemental groundwater source that did not have disinfection capabilities. See Success story section of this report for more information.	2,317	Y	Y
	TOTAL	**$4,585,500**		**7,218**		

*** System customers after the project**

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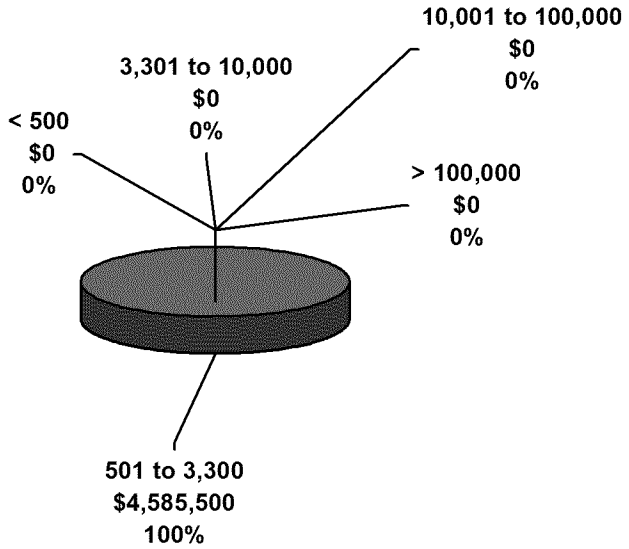
c. Small Systems

The Intended Use Plan (IUP) indicated at least 15% of the funds in the construction DWTRF account must be committed to systems serving less than 10,000 total persons (small systems) on an annual basis. West Virginia committed a total of $4,585,500 in loans to small systems for the one year period. 100% of the funding committed during the period went to small systems. To date, West Virginia has committed $45,628,621 to small systems (57.0% of the construction funds available and 78.5% of funds committed). **(Figure 4)** illustrates the distribution of commitments by system size for SFY 2007.

Figure 4: Distribution of Loan Dollars by Population Served



d. Disadvantaged Community Systems

The IUP states the DWTRF has a requirement for committal of 30% of funds to disadvantaged communities. West Virginia's DWTRF committed $4,585,500 (100% of committed funds) to disadvantaged systems during SFY 2007. To date, the DWTRF has committed $40,247,580 (69.2% of cumulative committed funds) to disadvantaged communities. For SFY 2007 this assistance was in the form of 0% loans for extended loan terms of 30 years. All loans have a maximum 1% administrative fee. **(Figure 5)** illustrates the distribution of commitments to disadvantaged communities for SFY 2007.

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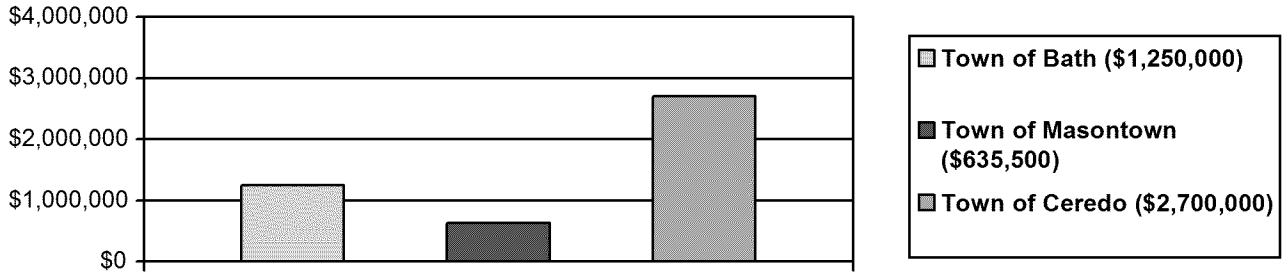
Figure 5: Distribution of Disadvantaged Community Binding Commitments



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Town of Bath Success Story

Project Purpose and Public Health Need:

The Town of Bath (AKA Berkeley Springs) owns and operates the Berkeley Springs Water Works (BSWW) serving approximately 1,300 customers. The existing downtown distribution system desperately needed replacement. Distribution system related problems are summarized below:

- $ Old four inch galvanized steel and cast iron water lines were leaking over 100,000 gallons of water per day (>47% unaccounted water loss).
- $ Line size was restricting flow and now allowing water tanks to be filled in one day of pumping.
- $ The water treatment plant was operating 20-24 hours per day. However, the plant was staffed only 12 hours per day, five days per week, violating State drinking water operator regulations.

Project Benefits Included the Following:

- $ Old four inch galvanized steel and cast iron downtown water lines were leaking and unaccounted water loss exceeded 47%. These leaking lines were replaced using 4,100 LF of eight inch PVC and 12,800 LF of six inch PVC water line. This project's line replacement has reduced daily water production approximately 200,000 GPD, from 800,000 GPD to 600,000 GPD.
- $ Line size was restricting flow and would not allow water tanks to be filled in one day of pumping. This project increased water line sizes to six and eight inch PVC, eliminating this problem.
- $ The water treatment plant was operating 24 hours per day. This project's water line replacement has reduced unaccounted water loss, total water production needs, and associated excessive operating hours. The plant now runs approximately 17-18 hour per day.

Project Scope:

The BSWW project involved:

- $ Installing approximately 4,100 LF of eight inch PVC water line in downtown Bath.
- $ Installing approximately 12,800 LF of six inch PVC water line in downtown Bath.

Project Financing:

The total project cost was $2,487,000 for the distribution system replacement. The DWTRF provided $1,250,000 (0%+1% administration fee for 30 years) to the project. The WVIJDC provided a $1,237,000 loan for the balance of the funding required.

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Masontown Water Works Success Story

Project Purpose and Public Health Need:

Masontown owned and operated a small surface water treatment plant. The Masontown system had several major technical problems. These technical problems were resulting in violations of both State and Federal safe drinking water requirements. Violations and public health threats included:

- The small raw water supply reservoir provided less than 40% of the minimum storage capacity required by the current OEHS Design Standards.
- The system did not have continuous combined filter effluent turbidity monitoring required by State and Federal regulations.
- The system's design and operation caused maximum contaminant level (MCL) violations for Total Trihalomethanes (TTHM) and posed a continuing Disinfection By-Products (DBP) threat.
- Filter media effectiveness was questionable and high filtered water turbidity remained two hours after filter backwash. These elevated turbidities were an ongoing public health threat. Filter media inspection revealed significant mineral deposit build-up. Either cleaning the media or complete filter media replacement was needed.

The treatment facilities were also at or near the end of their useful life. Correcting Masontown's problems (e.g., reservoir size, treatment plant replacement, etc.) was more capital extensive and more expensive than the line extension project (connecting to and purchasing from Morgantown Utility Board (MUB). Without this project, these problems would have continued uncorrected and the treatment facility would have continued deteriorating. These uncorrected, worsening problems would have continued causing violations and exposing the system's customers to ongoing and potential health threats.

Project Benefits included the following:

- The Masontown reservoir (raw water supply) capacity did not meet current design standards. The project eliminated the Masontown treatment facility and MUB now provides adequate quantity finished water.
- The Masontown treatment facility filters did not have required continuous combined filter effluent turbidity monitoring. MUB has proper filter turbidity monitoring and purchasing water has brought Masontown into compliance with this regulation.
- Masontown's design and operation was causing maximum contaminant level (MCL) violations for Total Trihalomethanes (TTHM) and posed a continuing Disinfection By-Products (DBP) threat. The project has eliminated DBP violations.

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- Masontown's chemical feeders were old and needed replaced. The project eliminated the Masontown treatment facility and corrected this problem.
- Masontown had high filtered water turbidity hours after filter backwash. The filter media had significant mineral deposit build-up requiring either cleaning or media replacement. Connecting to MUB eliminated the high filtered water turbidity problem.
- The Masontown water treatment facility was built in the late 1970s and the entire facility had reached or exceeded its useful life. The treatment facility was replaced using this MUB connection.

Masontown's technical problems were causing State and Federal drinking water regulation violations. These technical problems also posed a threat to their customers' health and welfare. Substantial capital replacement/improvements were needed to bring Masontown into compliance with State and Federal requirements. This project:

- Eliminated the Masontown Water Works treatment system
- Enabled water purchase from MUB
- Eliminated the violations and health threats detailed previously.

Project Scope:

The Masontown Water Works (Masontown) project involved:

- Installing approximately 12,000 LF of 8" water line and a 200 GPM water booster station, connecting Masontown to the Morgantown Utility Board (MUB) water system.
- Removing the Masontown water treatment plant from service and Masontown purchasing all water from MUB.
- Serving 749 existing and 11 new customers.

Project Financing:

The total project cost was $1,451,000 for the distribution system replacement. The DWTRF provided $635,500 (0%+1% administration fee for 30 years) to the project. The WVIJDC provided an $815,000 loan for the balance of the funding required.

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Ceredo Water Department Success Story

Project Purpose and Public Health Need:

The Ceredo Water Department (Ceredo) system had primarily small diameter (less than 6 inches) water distribution lines within the project area and most customers were supplied directly from pumps. Project area booster stations did not meet current design standards. Many areas within the proposed project suffered from inadequate pressure and associated public health threats due to the reservoir elevation and inadequate booster pump stations. The WV PSC had ordered Ceredo to implement both short and long term actions addressing these low pressure problems. Specific distribution system problems included:

- Ceredo served approximately 214 customers in areas east of Twelvepole Creek. Much of this area is outside corporate limits and extensions had been installed piecemeal by others and then operation assumed by Ceredo. Small diameter lines (less than 6 inches) were pre-dominate in this area and most customers were supplied directly from pumps. There were four Ceredo booster stations on the east side of Twelvepole Creek. None of these booster stations were duplex booster stations. The highest customer elevations in this area are approximately 838 feet, while the Ceredo reservoir had an overflow elevation of approximately 749 feet. Complaints were occurring regarding low pressure. The most problematic area was the Segar Hill area. The highest elevation customers in this area are at approximately 760 feet. The Segar Hill area was supplied directly from a booster station and older, small diameter line located along James River Road and Hubbards Branch Road. The PSC, responding to customer low pressure complaints, investigated the east Twelvepole Creek area distribution system. The PSC had ordered Ceredo to implement both short and long term actions addressing the low pressure problems in this area.
- Ceredo serves approximately 30 customers in the Smiths Branch Road area, within the corporation limits. These customers were served by one and two inch diameter water lines. Limited fire protection existed in this area. Water supply and pressure were problematic due to the small line size and the number of customers. Ceredo had received customer complaints in this area.
- Approximately 45 customers were served in the Walker Branch Road area. Service was provided via an approximately 70 year old, two inch diameter, cast iron water line. This line was parallel to, and on the opposite side of the road, from an existing six inch diameter transite water line. Water supply and pressure had been problematic due to small water line size and the number of customers. Ceredo had received customer complaints in this area.
- The Hartz/Big Bear area was served via an approximately 70 year old, galvanized steel, two inch water line, crossing under US Route 60. The age and water line size had made water supply in this commercial area problematic. Ceredo had received customer complaints in this area regarding pressure fluctuations. Line leaks had also been a problem in this area. Water line failure under US Route 60 could have caused a significant service disruption in this area.

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- Approximately 35 customers south of US Route 60 and east of town were served via two (2) one inch, and one three quarter (3/4) inch diameter water lines crossing under US Route 60. No fire hydrants existed in this area. Fire hydrants on the north side of US Route 60 were on a four inch diameter water line, not meeting current OEHS design standards.

The Ceredo reservoir was a concrete and brick, below grade, approximately 100 years old structure. The interior concrete lining was failing and tree roots were protruding through the walls. There were no automatic level controls or monitoring capabilities. The OEHS, had recommended Ceredo consider reservoir replacement. Ceredo also desired a backup storage system where one tank remained in operation while the other was being maintained.

Ceredo's primary water source is the City of Kenova Public Water System (Kenova PWS). Historically, Kenova PWS supplied water by gravity at adequate pressure. Kenova PWS had installed a pressure reducing valve (PRV) and since then the water supply/pressure had been inadequate to completely fill the Ceredo reservoir. Therefore, Ceredo could not receive adequate water supply from Kenova PWS and had increasingly utilized a backup groundwater source. This backup groundwater source did not have a chlorine contact system. Therefore, disinfection was inadequate and violated State and Federal requirements. BPH had placed Ceredo under a permanent boil water notice when the system used this backup water source until they correct this disinfection problem.

Without this project, these problems would continue uncorrected and the distribution lines and reservoir would continue deteriorating. These uncorrected, worsening problems would continue exposing the system's customers to ongoing and potential health threats.

Project Benefits Included the Following:

- Ceredo customers east of Twelvepole Creek were mostly supplied directly from pumps through small diameter lines. Inadequate tank elevations and inadequate line size caused low pressure problems in this area. The WV PSC had ordered Ceredo to implement both short and long term actions addressing the low pressure problems in this area. This project turned service for areas east of Twelvepole Creek over to West Virginia American Water (WVAW). WVAW constructed an 8-inch diameter water line supplying the Segar Hill area, Briarcliff area, and other areas east of Twelvepole Creek eliminating pressure problems and associated public health threats. This interconnection also provides a backup water supply by inter-connecting the Ceredo Water/WVAWC interface near Twelvepole Creek.
- Ceredo serves the Smiths Branch Road area. These customers were served by one and two inch diameter water lines. Water supply and pressure were problematic due to the small line size and the number of customers. Ceredo had received customer complaints in this area. This project replaced much of this existing smaller diameter water line with larger diameter water line, eliminating the supply and pressure problems and associated public health threats.

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- Approximately 45 customers were served in the Walker Branch Road area. Service was provided via an approximately 70 year old, two inch diameter, cast iron water line. This line was parallel to, and on the opposite side of the road, from an existing six inch diameter transite water line. Water supply and pressure had been problematic due to small water line size and the number of customers. Ceredo had received customer complaints in this area. The project replaced the Walker Branch Road area existing, old, problematic two inch diameter water line with a new six inch water line, eliminating the supply and pressure problems and associated public health threats.

- The Hartz/Big Bear area was served by approximately 70 year old, galvanized steel, two inch water line, crossing under US Route 60. The age and water line size had made water supply in this commercial area problematic.

  Ceredo had received customer complaints regarding pressure fluctuations. Line leaks were also a problem. Water line failure under US Route 60 could have caused a significant service disruption in this area. The project replaced one smaller diameter water line (including a roadway crossing of US Route 60) in the commercial Hartz/Big Bear area with larger diameter waterline and installed a redundant roadway crossing, eliminating the supply and pressure problems and associated public health threats.

- Approximately 35 customers south of US Route 60 and east of town were served via two (2) one inch and one three quarter (3/4) inch diameter water lines crossing under US Route 60. Fire hydrants on the north side of US Route 60 were on a four inch diameter water line, not meeting current OEHS design standards. The project replaced several southeast Route 60 area smaller diameter water line roadway crossings with one large diameter water line crossing, eliminating these problems and associated public health threats.

- The Ceredo reservoir was a concrete and brick, below grade, approximately 100 years old structure. The interior concrete lining was failing and tree roots were protruding through the walls. There were no automatic level controls or monitoring capabilities. The OEHS had recommended Ceredo consider reservoir replacement. Ceredo also desired a backup storage system where one tank remained in operation while the other was being maintained. This project replaced the old reservoir with two water storage tanks, eliminating these problems and associated public health threats.

- Ceredo's primary water source is the Kenova PWS. Since the Kenova PWS installed a PRV, the water supply/pressure had been inadequate and Ceredo could not completely fill its reservoir. Therefore, Ceredo utilized a backup groundwater source without adequate disinfection, violating State and Federal requirements. OEHS had placed Ceredo under a permanent boil water notice when the system used this backup water source until they corrected this disinfection problem. This project upgraded the Ceredo/Kenova PWS interface supplemental booster station. A control valve communicating with the water storage tanks via a telemetry system was also installed.

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The control valve vault allows water tank turnover by closing a control valve on the water supply from Kenova PWS, preventing tank overflow, and forcing turnover in the water storage tanks. Two pressure reducing valves were also installed at the control valve vault, allowing water supply during larger demands when the control valve is shut. These Ceredo/Kenova PWS interface modifications have corrected the supply problem, eliminating use of the backup water source and the associated disinfection violations and public health threats.

This project brought the Ceredo water system into compliance with State and Federal requirements and eliminated the violations and health threats detailed previously.

Project Scope:

The Ceredo Water Department (Ceredo) project involved:

- Replacing the existing reservoir with two water storage tanks.
- Replacing much of the existing smaller diameter water line in the Smiths Branch Road area with larger diameter water line.
- Replacing the Walker Branch Road area existing, old, problematic two inch diameter water line with a new six inch water line.
- Replacing one smaller diameter water line (including a roadway crossing of US Route 60) in the commercial Hartz/Big Bear area with larger diameter waterline and installing a redundant roadway crossing.
- Replacing several southeast Route 60 area smaller diameter water line roadway crossings with one large diameter water line crossing, and installing fire hydrants on the south side of US Route 60.
- Turning service for areas east of Twelvepole Creek over to West Virginia American Water (WVAW). WVAW constructed an 8-inch diameter water line supplying the Segar Hill area, Briarcliff area, and other areas east of Twelvepole Creek. Also, provided a backup water supply by inter-connecting the Ceredo Water/WVAWC interface near Twelvepole Creek.
- Replacing some existing small diameter water line in the (upper) Broad Hollow area with larger diameter water line and installing a hydropneumatic booster station improving Broad Hollow area pressure.
- Other miscellaneous distribution system improvements, including a new line from the new water storage tanks to downtown Ceredo.
- Upgrading the existing Town of Ceredo/Kenova PWS interface supplemental booster station.
- Installing a control valve communicating with the water storage tanks via a telemetry system. The control valve vault, constructed at the Town of Ceredo/Kenova interface, allows water tank turnover by closing a control valve

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on the water supply from Kenova PWS, preventing tank overflow, and forcing turnover in the water storage tanks. Two pressure reducing valves were also installed at the control valve vault, allowing water supply during larger demands when the control valve is shut.

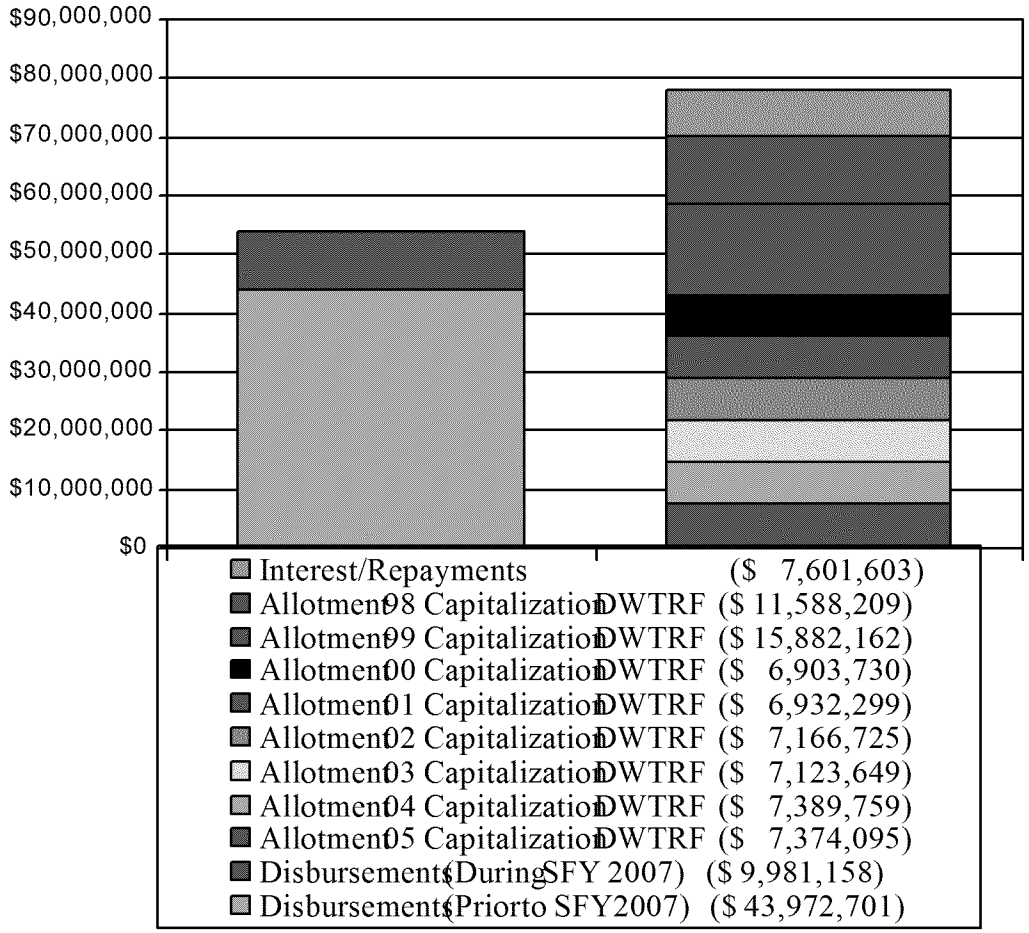
Project Financing:

The total project cost was $2,700,000 for the distribution system replacement. The DWTRF provided all of the $2,700,000 (0%+1% administration fee for 30 years) to the project.

e. Disbursements

West Virginia has disbursed $53,953,859, or 67%, of all available loan funds and 73% of all committed funds. We have disbursed 100% of the Federal FY 1999 through 2003 capitalization grant loan funds. We have also disbursed $1,560,625 (25%) of Loan Repayment Monies. **(Appendix C-1)** lists all loan-related disbursements. (**Figure 6)** illustrates the disbursed funds compared to available funds.

Figure 6: Disbursement of Allotted Loan Funds



* DWTRF includes State Match.
** Disbursements include Loan Repayment Monies.

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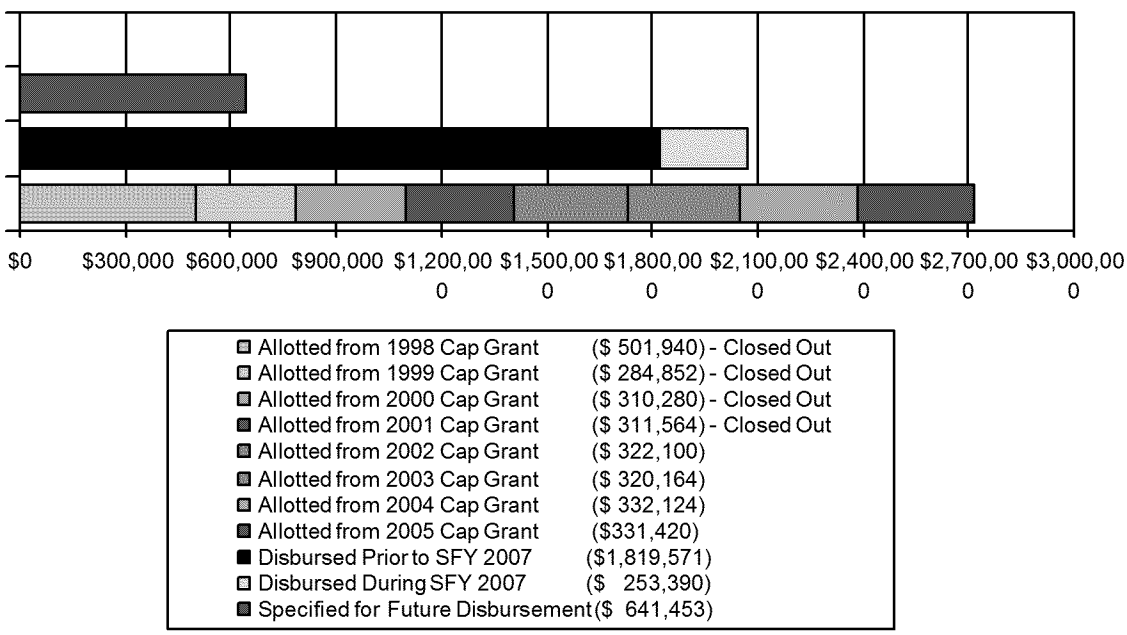
2. Set-Aside Activity Status

The following pages provide an overview of our set-aside activities in the last year. A bar chart showing set-aside funding status accompanies the description of each set-aside activity located in **(Tables 1 and 2).** Funds initially specified for use in the Grants but non-disbursed at the end of SFY 2007 are now specified for future disbursement.

A. Set-Aside: Administration

The majority of funds from this set-aside pays salaries and fringe benefits of personnel administering the DWTRF program and current expenses. Although the state charges a maximum one percent administration fee on loans, the accumulated amount is not adequate at this time to fund the existing administration staff. This set-aside is devoted to associated operating costs of the DWTRF program, and expenses incurred by the WDA. We engaged the WDA to manage the DWTRF construction funds, including the accounting and audits of DWTRF construction fund. **(Figure 7)** shows the budgeted dollars for the SRF Capitalization grants 1998 through 2005 as well as the amount disbursed through SFY 2007.

Figure 7: Administration Disbursements



Key administration activities are:

- Development of program procedures;
- Development of a comprehensive list of projects;
- Project selection and development of Intended Use Plans;

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- Development of set-aside work plans;
- Preparation of Annual Reports;
- Preparation of DWNIMS;
- Conduct of public hearings for project priority lists and IUPs;
- Preparation of capitalization grant applications;
- Development of Set-Aside Performance Status Reports;
- Completion of DWTRF program audits;
- Memorandum of Understanding with the Water Development Authority for financial management of the construction fund;
- Coordination of DWTRF requirements for the Closing of Loans and review of disbursements and change orders during project construction;
- Coordination of Project Minority Business Enterprise Requirements/ Reporting;
- Meetings with EPA Region III Program Staff;
- Utilize the Financial Planning Model to forecast DWTRF Fund soundness;
- Determination of post construction loan recipient requirements;
- WV Infrastructure and Development Council Participant.

B. Set-Aside: Small Systems Technical Assistance

Funds from this set-aside supported a contract with the WVRWA in SFY 2007. The WVRWA contract including the Hours for Education and Learning Program (H.E.L.P.) provided the following:

- Nine 2-day sessions at regional locations for Class I or higher training.
- Four 1-day sessions at regional locations for Class 1D Training.
- 62 days of continuing education hours.
- Submission of magazine article for publication in *Mountain State Water Line*, a publication of the WVRWA.
- Development of a video (Basic Safety Procedures).
- Continuation of a statewide network of experienced operators and managers to provide assistance to systems, including emergency response.
- Maintenance of an audio/video library and Internet Web Home Page Information System.

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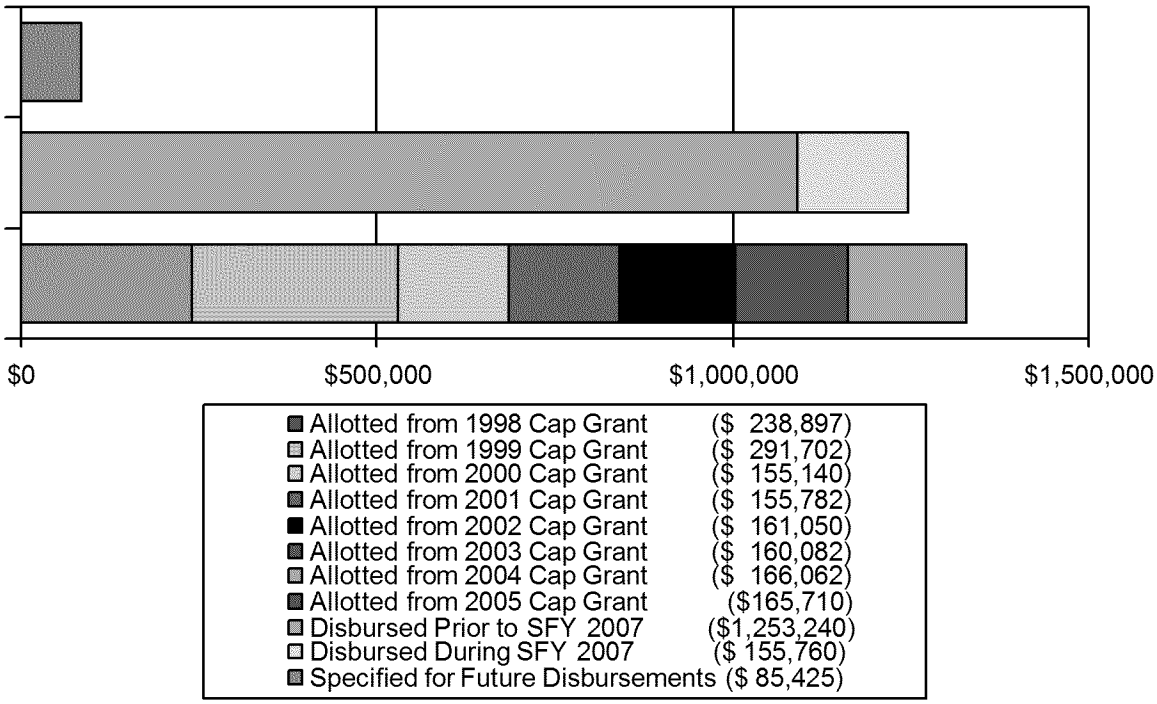
(Figure 8) shows the budgeted dollars for the SRF Capitalization Grants 1998 through 2005 (appropriation years), as well as the amount disbursed through SFY 2007 for Small Systems Technical Assistance. The above items were completed during the SFY 2007, utilizing the 2004 and 2005 Appropriated Capitalization Grant Technical Assistance Set-Asides, through Grant agreements with WVRWA.

Figure 8: Small Systems Technical Assistance Disbursements



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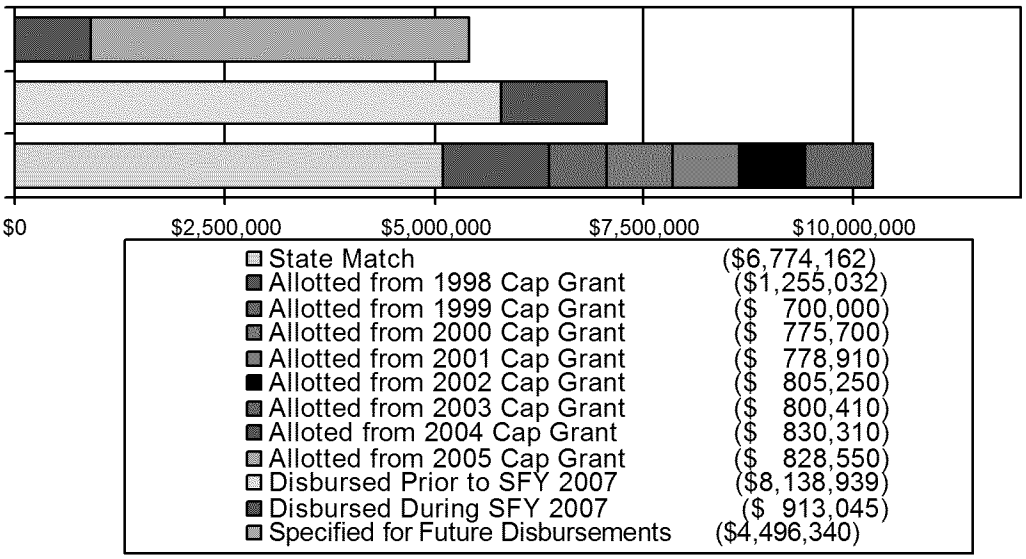
C. *Set-Aside: State Program Management*

West Virginia DWTRF used funds from its state program management set-aside for the Public Water Supply Supervision (PWSS) program, capacity development, and operator certification **(See Figure 9)**.

Figure 9: State Program Management Disbursements



1. State Program Management: Public Water Supply Supervision (PWSS) Program

Program funding assisted the Data Management Unit in providing staffing positions to further data management issues. Monies were also used to continue the contract with Global Environmental Consultants to assist with the upgrading and implementation of SDWIS.

Funding was also utilized in the OEHS District Offices to continue to conduct sanitary surveys, construction inspections, and to provide technical assistance.

2. State Program Management: Capacity Development

During SFY 2007, the OEHS conducted 14 assessments during the SFY. The Capacity Developmentunit conductsassessments, when assistance is needed for the following reasons:

- For those systems requesting an assessment to improve their capacity.
- For systems likely to receive a DWTRF loan.

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- Systems identified by staff as needing capacity improvement.
- Systems identified by other governmental agencies as needing assistance.
- Systems rated marginal or failing on the state baseline report.

The Capacity Development Annual report for FFY 2006 was submitted to the EPA in November 2006 and is available for review. Capacity Development staff also participated in numerous training seminars and workshops. In addition, our staff uses water system assistance tools to provide direct assistance and advice.

3. State Program Management: Operator Certification

For SFY 2007 the Certification and Training Unit:

- Annual operator and training program report, as per EPA Guidance, was submitted to EPA by the June 30, 2007 deadline and is available for review.
- An external review of the C&T Section is currently in progress. OEHS contracted with the WV ETC to serve as the external review coordinator for the review team, which consists of three (3) other people with significant knowledge and experience in water utility management and operation. The review committee and C&T staff met initially 4/12/07 and had a follow-up meeting 5/16/07. A draft report was developed by the committee and submitted to OEHS for review on 6/29/07. The final report will be submitted to EPA by 8/31/07.
- Revisions to the West Virginia Public Water System Operator Regulations, §64 CSR 4, were submitted to the WV State Legislature and became effective April 18, 2007.
- The Operator Certification Expense Reimbursement Grant is ongoing and progressing.
    a. Online Training – A contract has been extended with 360 Water, Inc. to provide continued online training for small system water operators to receive approved Continuing Education Hours (CEHs). As of 6/22/07, forty-one (41) operators received free training through this contract.
    b. A proposal has been developed for an outside vendor to develop a collection of electronic learning activities and resources designed for web-based and CD-ROM delivery, which would provide a more flexible set of materials as a basis for operator learning programs. The project goal is to increase water operator knowledge which enables better water system operation and improves the overall protection

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of public health. Providing this electronic alternative to remotely train operators for certification and CEH requirements will also reduce the financial burdens for owners and operators of small PWSs, which should increase the number of certified operators at these systems. The proposal is currently being reviewed within the purchasing section of WV DHHR.

c. In-house Internet Training and WebCT review met with DHHR Distance Learning Managers for additional information on WebCT platform and possible application to current operator courses. Currently developing 1D Certification course in WebCT platform.

d. Backflow Prevention Assembly Training and Testing Course (40 hours) – Contract with WVETC to allow for scheduled training, which is currently on going. Seventy-three (73) individuals have been trained during this reporting period.

- OEHS staff participates monthly in a Drinking Water Exam Review Committee (DWERC), comprised of state regulators, educators (WVETC and WVRWA) and higher classification water operators to review and revise exams to increase training and testing relevance and comply with US EPA requirements. This committee has validated the Class I-IV operator exams, assembled reference lists for Class III and IV operators, and is currently working on a 2nd version of the Class II exam. The committee will develop a Water Distribution exam next. All exam questions are based on what operators indicate they "Need to know" for the job. All information developed by the committee to aid operator training will be posted to the website upon completion.
- C&T Section Staff along with additional OEHS staff continues to meet monthly to review applications as part of the continuing education course review committee. As of March 2007, over 800 CEH courses have been approved for water operators.
- The C&T Section website is regularly reviewed and updated, provided operators additional access to forms, certification requirements, study materials, testing dates, and approved CEH courses. A counter was added on 6/21/07 to provide more quantitative information on its use.
- OEHS continues bi-annual publishing and distributing of the Drips and Drops Newsletter to all water and wastewater operators. This newsletter keeps operators informed of new regulations and events that might impact them. An additional newsletter on the new operator regulations is currently being printed.
- OEHS continues to send out notification letters concerning upcoming operator renewals quarterly and expiration information every month to individual operators.

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- Revision of Operator Certification and Exam databases to incorporate the new PWS operator regulation and its requirements is in progress.
- During this reporting period, 1,286 operators (1D, Operators-in-Training and Class I-IV) were certified or recertified and certificates were issued.
- During this reporting period, the numbers of water operators trained is summarized below:

OEHS training classes offered during this report period:	**FY 2007 Actual**
1-D Water Operator Training Classes	43
Class 1 Water Operator Training Classes	2
Class 2 Water Operator Training Classes	2
Class 3 Water Operator Training Classes	1
Fluoride Water Operator Training Classes	3
Total	51

PWS Operators trained during this report period:	**FY 2007 Actual**
1-D Water Operator Training Students	238 (estimated)
Class 1 Water Operator Training Students	42
Class 2 Water Operator Training Students	23
Class 3 Water Operator Training Students	29
Fluoride Water Operator Training Students	41
Total	373

- During this reporting period, the number of courses submitted for CEH application approval are as follows:
  - a.. CEH courses reviewed 97 (342.5 total hours)
  - b. CEH courses approved 91 (320.5 total hours) ~ 94% were approved
  - c. CEH courses declined or withdrawn 6 (22 total hours)
- In addition during this time period, the following "900" courses, are nationally recognized courses or college courses were accepted w/o review, were added: 33 CEH courses (480.9 total hours), would bring the total number of approved courses during this period to 124.
- OEHS uses the 2% set-aside to provide training to water system operators through a sub-recipient agreement with West Virginia Rural Water Association (WVRWA). Please refer to the 2% set-aside for more details.
- Participated with American Water Works Association (AWWA) and US EPA in recognizing and promoting the achievements of water operators. Each year, the WV section of AWWA presents the Perkins Boynton Operator Award to two water system operators at their annual conference in May. OEHS staff has been instrumental in nominating candidates, selecting awardees, and presenting the awards. OEHS staff also nominated a candidate for the second annual operator award for small and

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large water systems sponsored by USEPA Region III in June 2007. OEHS believes these types of awards (AWWA and EPA) and show of support is important for promoting operator professionalism.

- Continued maintenance and development of Safe Water Operators Certification System (SWOCS) database, which stores and searches operator data, prints required letters for mailing, stores operator experience and education and tracks continuing education taken by the operator over their water treatment career.
- OEHS became Association of Boards of Certification (ABC) members July 1, 2007.

4. State Program Management Redirection: Grant Supplemental Work Plans

OEHS submitted a supplemental work plan to effectively use unspent set-aside funds by obtaining contractors to further implement our agency programs or to offer water systems grants to improve their capacity or compliance with the SDWA. These proposed activities were approved by EPA in May 2007 and are now just starting development. The activities listed below will be funded by program management set aside.

A. Activity A: Project and Planning Design

This activity will provide grant funds to small water systems to determine their needs and expedite projects to the construction phase. It will offer technical assistance grants to private and public community water systems for system assessment. It will offer planning and design grants to private and public community water systems.

The outcomes will be:

- Projects funded and constructed more rapidly.
- Water systems returned to compliance.
- Water systems avoid future non-compliance.
- Water systems' source and infrastructure needs better understood.

B. Capacity Development and SWAP Assistance

This activity will help water systems achieve TMF capacity. A contractor will identify PWS lacking TMF capacity and coordinate assistance.

The expected outcomes from this activity are:

- OEHS has comprehensive knowledge of PWSs' TMF capacity.
- Water systems have necessary written plans and procedures.
- Improved TMF capacity results in viable systems.
- Viable systems improved public health protection.

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This activity will also provide a contractor to assist in implementing wellhead and source water protection activities associated with the SWAP program. The contractor will provide management and technical assistance to communities, helping develop, update and implement source water protection plans. The contractor will develop and print a local PWS management guidance document. The contractor will develop and print a local PWS contingency plan guidance document.

The expected outcomes from this activity are:

- Local educational efforts increased source water protection.
- Local communities increased involvement in source water protection efforts and measures.
- Community source water protection efforts and measures improved.
- Guidance documents support additional local efforts.

C. Geographic Information System Mapping

This activity will create a pilot GPS field measurement water system infrastructure inventory within the southern WV Region I Planning & Development Council (Region I) area. The activity will acquire asset inventory for municipalities and PSD's within Region I. It will build a pilot Region I Geographic Information System (GIS) mapping for participating public water systems. It will establish a GIS database for system analysis, maintenance planning, and repair facilitation. It will enable water system GIS data analysis. The expected outcomes from this activity are:

- Municipalities/PSDs have accurate, geo-referenced inventory used for asset inventory and maintenance.
- State and Federal agencies (if applicable) have inventory for use analyzing system assets and infrastructure efficiency.
- Personnel provided information locating assets for emergency repairs and routine maintenance.
- System administrators provided quality mapping suitable for system planning and other tasks.
- Governmental agencies and others have access to water system mapping information.
- Water systems have a more accurate asset inventory.
- Water system GIS data protected.

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D. Area Wide Optimization Program Performance Based Training

This activity will initiate and implement Performance Based Training (PBT) within the EPA's Region III Area Wide Optimization Program (AWOP) guidelines. It will provide training for public water system operators to optimize their treatment plant and/or distribution system performance.

The expected outcomes from this activity are:

- PBT-trained water system operators will optimize water treatment plant and/or distribution operations.
- Optimized water treatment plant performance reduced particle numbers, associated potential contaminants, and disinfection by-product formation.
- Optimized distribution operations reduced disinfection by-product formation.
- Public health protected with improved operator and water system performance.

E. Source Water Security Enhancements Grants

This activity will improve PWSs source water security using grant funding. It will offer grants for enhanced PWS source water security protection such as fencing, cameras, lights, and alarm systems.

The expected outcome from this activity is:

- Public health protected with improved source water security.

F. Source Water Protection Grants

This activity will implement source water protection activities associated with the SWAP program. It will provide grants to community PWS groundwater systems to establish and implement wellhead protection programs. It will provide grants to surface water community PWS systems, assisting them to establish and implement source water protection programs. Activities will assess and characterize the hydrogeologic setting of water in flooded, abandoned underground coal mines, primarily in southern WV to help determine wellhead protection delineations. It will determine water flow paths and recharge rates into abandoned coal mines from overlying strata. It will develop a flow model, accounting for fractured bedrock and mine voids; applicable to other flooded, abandoned, underground mines to help determine wellhead protection delineations.

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The expected outcomes from this activity are:

- Communities' source water protection efforts and measures improved.
- The fractured bedrock aquifer conceptual ground water flow model created.
- A water accumulation and movement model developed for flooded abandoned coal mines.
- Aquifer properties and characteristics database significantly revised to include data representing the southern West Virginia mining region.
- A comprehensive report applicable to comparable geologic settings is available.
- Knowledge obtained increased source water protection activities for PWSs utilizing abandoned mine water.

G. Water Distribution and Chief Operator Training

This activity will establish and conduct water distribution and chief operator training. It will create water distribution and chief operator training curriculum and testing.

The expected outcomes from this activity are:

- Water distribution and chief operator training program developed.
- Distribution and chief operator classes taught.
- Distribution and chief operators tested and certified (or licensed).
- Public health protected through improved water system operation.

H. Annualized Salaries

Annualized salaries for Fiscal year 2008 have been earmarked as part of the redirection plan. Any salaries that are awarded in this grant application will be for the State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

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I. Fringe Benefits

Fringe benefits for annualized salaries for State Fiscal year 2008 have been earmarked as part of the redirection plan. Any fringe benefits that are awarded in this grant application will be utilized in the State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

J. Current Expense

Current Expense for the State Fiscal Year 2008 has been earmarked as part of the redirection plan. This includes, but not limited to, rent, office supplies, vehicle expense, copiers, phone, fax, postage, etc. Any current expense awarded in this grant application will be utilized in State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

K. Indirect Costs

Indirect costs associated with annualized salaries have been earmarked as part of the redirection plan. Any indirect cost awarded in this grant application will be utilized in State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

D. Local Assistance and Other State Programs

West Virginia utilized local assistance set-aside funds for source water delineation, assessment, and wellhead protection activities. **(Figure 10)** shows the budgeted dollars for the appropriated SRF Capitalization Grants in 1998 through 2005 as well as the amount disbursed through SFY 2007.

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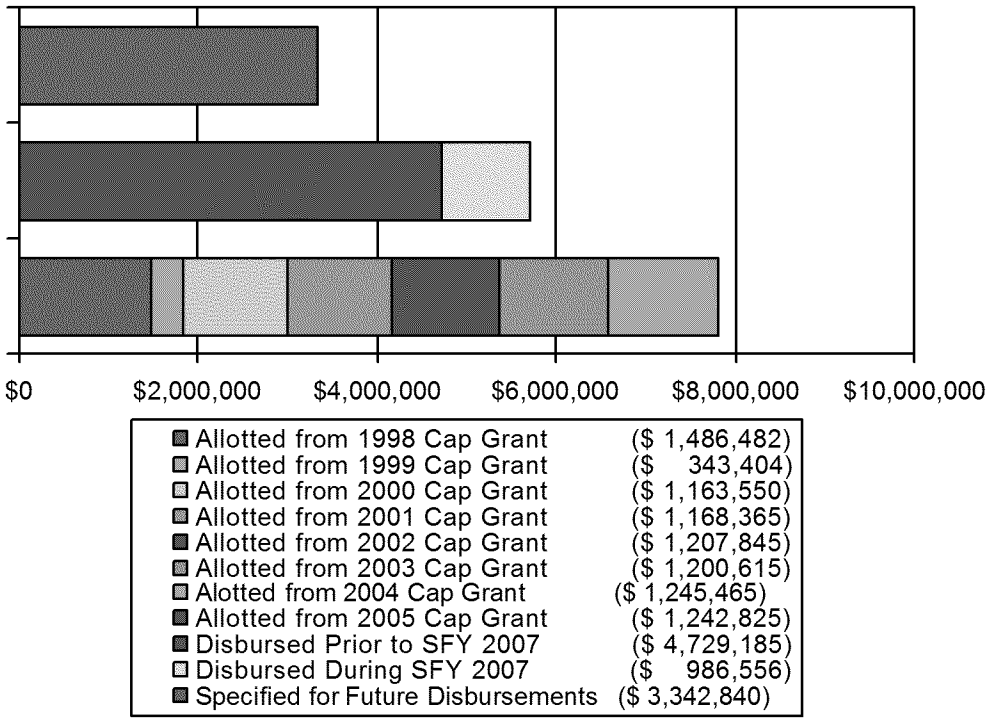
Figure 10: Local Assistance Disbursements



1. Local Assistance and Other State Programs – Source Water Assessment and Protection (SWAP) Program

West Virginia used set-aside funds for the SWAP Program. EPA granted final approval of the state program on November 6, 1999. The SWAP program has completed assessments for 100% (delineation through public availability) of the community and non-community public water supply systems.

OEHS continues to complete SWAP studies for new PWS systems within the state and continues to participate and build SWAP protection efforts by prioritizing efforts, program resources, education and outreach efforts in developing and implementing protection measures. Implementation of the SWAP builds on other environmental assessment and protection programs, and requires integrated linkage and cooperation of the WV Wellhead Protection Program (WHPP), the WV Department of Environmental Protection (WVDEP) Watershed Management Framework (WMF) program, and other associated entities.

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- ***Ground Water Systems***

  EPA approved the West Virginia Well Head Protection Plan (WHPP) in 1992 and OEHS staff has been working with ground water systems since that time. The WHPP includes public participation, source delineations, the potential contaminant survey, and management directives complementing SWAP. New ground water systems must have a completed WHPP and SWAP program.

- ***Surface Water Systems***

  All new systems are subject to the complete SWAP process. The WVDEP's WMF and Watershed Assessment Program (WAP) have been implemented to systematically evaluate every watershed within the state. SWAP builds upon this watershed approach.

During SFY 2007, West Virginia SWAP participated in the following:

- The Wellhead Protection/ Source Water Protection Program Annual Report was completed and submitted as required in September 2006 and is available for review.
- Staff continues to receive Global Positioning System (GPS) locational data associated with the public wells and correct when necessary.
- GIS continues to be used to prepare maps; displaying geographic, geologic and monitoring data in support of source water/wellhead protection. GIS is a fundamental tool used to support the delineations, inventories and susceptibility analyses required by the Source Water Assessment Program.
- Coordination of SWAP activities with the WV Rural Water Association (WVRWA). Please note during this report period, RWA lost federal funding for both the SWAP and WHP circuit riders positions.
- Public Outreach/Educational Activities:

  1. Continued participation with the WV DEP Project WET (Water Education for Teachers), a nonprofit water education program for educators and young people ages 5-18.

  2. Continued participation with the annual Children's Water Festival, organized by the WVDEP, Staff conducts a hands-

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on drinking water activity with approximately 250 4th and 5th grade students.

3. The SWAP program has developed a program to loan groundwater models to schools that complete the Project Wet training.

   - In our initial mailing, we invited 38 science and social studies teachers from Kanawha County to participate in the Groundwater Flow Model Loan Program. In addition, we notified 19 Kanawha County principals and administrators asking for their support and encouragement to their teachers.
   - Subsequently, we distributed applications to interested parties at these locations:

     a. The 1st Annual West Virginia Environmental Educators Association Conference was attended by approximately 20 primary and secondary science teachers.

     b. Local source water program meetings.

     c. A "Family Science Night" at an area elementary school.

   - Three (3) groundwater flow models have been loaned out to date, two (2) to Kanawha County teachers and one (1) to a watershed association from Monroe County active in public education, including a summer water workshop for school aged children. Recipients agreed to report their use of the groundwater flow model in the first semester. These reports are due in January 2008.

4. Continue to interact with other State programs, local governments, and other stakeholder groups that affect or are affected by the drinking water program (e.g., wellhead protection programs, watershed protection programs and the Potomac River Basin Drinking Water Source Protection Partnership) by continuing to develop partnerships and alliances.

5. The OEHS website continues to provide information on the SWAP/WHP programs and guide municipalities, water suppliers, or other groups through developing a local SWAP program.

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6. Cosponsored (with the USGS and WVU) the West Virginia Water Conference held at Stonewall Jackson State Park in West Virginia during October 11-13, 2006.

7. In April 12, 2007, a SWAP/WHP stakeholder meeting was held to review the status of the SWAP/WHP programs. Representatives from various state, federal, and local agencies/groups attended these meetings to provide insight concerning the programs.

8. Continue to update the SWAP website. Website contains fact sheets, new SWAP posters, general information and an online SWAP educational course, "A Guide to Developing a Source Water Protection Plan". Updated a hard copy of this course to hand out to water systems requesting information on SWAP programs.

9. Provide educational materials, posters and brochures concerning the SWAP/WHPP program.

   - Development of a source water protection-tracking database, revisions, protection activities and enhancements to the SWAP/WHP assessments (implemented and/or have substantial implementation status determination) are entered into this database.

   - Participated in, and provide funding for, the Potomac Drinking Water Source Protection Partnership. This partnership is composed of water utilities and the various governmental agencies responsible for drinking water protection in the Potomac River basin.

   - Participated in the Alternative Monitoring Strategy Program (AMSP), which determines future monitoring frequency reductions, is dependent on having a SWAP/WHP program in place, which requires consistent revisions and updates.

   - Participated in the development of regulations and design standards for water supply wells, private water wells, and monitoring wells for the prevention of groundwater contamination.

   - Implemented the Source Water Protection Grants Program. A total of nine projects were reviewed during this reporting cycle with four WHP grants awarded totaling $54,000. A grant program to include surface water systems is currently being developed.

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- OEHS continues to support the DEP class V UIC program with funding. The WVDEP UIC portion of the contract provided GPS data transference to a GIS database for mapping and strategic planning of watershed investigations involving source waters and sensitive hydrological areas. A total of 214 site inspections were conducted during SFY 2007. Quarterly reports are received from DEP.
- Evaluation of new public water supply water wells or intakes to assure they are located in areas where contamination threats are minimal. Permits for new public water wells now require an initial survey for potential sources of contamination within 2000 feet of proposed well location with site-specific information used when available.

2. **Wellhead Protection Activities**

Funding from this set-aside supported WHPP activity includes the delineation of recharge areas around public ground water wells, springs, and in some instances mines, as a source of drinking water. Local volunteers survey for potential sources of contamination and land use activities which may have generated past contamination or may generate future contamination. Results of the potential sources of contamination survey are utilized to develop contingency/emergency and land management plans.

3. **Local Assistance Redirection: Grant Supplemental Work Plans**

OEHS submitted a supplemental work plan to effectively use unspent set-aside funds by obtaining contractors to further implement our agency programs or to offer water systems grants to improve their capacity or compliance with the SDWA. These proposed activities were approved by EPA in May 2007 and are now just starting development. The activities listed below will be funded by program management set aside.

A. Capacity Development and SWAP Assistance

This activity will help water systems achieve technical, managerial, and financial (TMF) capacity. A contractor will identify public water systems (PWS) lacking TMF capacity and coordinate assistance.

The expected outcomes from this activity are:

- BPH has comprehensive knowledge of PWSs' TMF capacity.
- Water systems have necessary written plans and procedures.
- Improved TMF capacity results in viable systems.

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- Viable systems improved public health protection.

This activity will also provide a contractor to assist in implementing wellhead and source water protection activities associated with the SWAP program. The contractor will provide management and technical assistance to communities, helping develop, update and implement source water protection plans. The contractor will develop and print a local PWS management guidance document. The contractor will develop and print a local PWS contingency plan guidance document.

The expected outcomes from this activity are:

- Local educational efforts increased source water protection.
- Local communities increased involvement in source water protection efforts and measures.
- Community source water protection efforts and measures improved.
- Guidance documents support additional local efforts.

B. Geographic Information System Mapping

This activity will create a pilot GPS/field measurement water system infrastructure inventory within the southern Region I areas. The activity will acquire asset inventory for municipalities and PSD's within Region I. It will build a pilot Region I GIS mapping for participating PWSS. It will establish a GIS database for system analysis, maintenance planning, and repair facilitation. It will enable water system GIS data analysis.

The expected outcomes from this activity are:

- Municipalities/PSD's have accurate, geo-referenced inventory used for asset inventory and maintenance.
- State and Federal agencies (if applicable) have inventory for use analyzing system assets and infrastructure efficiency.
- Personnel provided information locating assets for emergency repairs and routine maintenance.
- System administrators provided quality mapping suitable for system planning and other tasks.
- Governmental agencies and others have access to water system mapping information.
- Water systems have a more accurate asset inventory.
- Water system GIS data protected.

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C. Area Wide Optimization Program Performance Based Training

This activity will initiate and implement PBT within the EPA's Region III AWOP guidelines. It will provide training for public water system operators to optimize their treatment plant and/or distribution system performance.

The expected outcomes from this activity are:

- PBT-trained water system operators will optimize water treatment plant and/or distribution operations.
- Optimized water treatment plant performance reduced particle numbers, associated potential contaminants, and disinfection by-product formation.
- Optimized distribution operations reduced disinfection by-product formation.
- Public health protected with improved operator and water system performance.

D. WV Utility Management Institute

This activity will develop a WV Utility Management Institute (UMI) providing professional training, leading to a Utility Manager Certification. It will create a training curriculum leading to a Utility Manager Certification awarded to utility managers successfully completing all UMI.

- A contractor will create the following courses:
- Utility Management.
- Utility Organization, Regulation and Law.
- Modern Technology and Utility Management.
- Human Resource Management for Utilities.
- Utility Finance and Administration.
- Public Relations in Utility Management.

The expected outcomes from this activity are:

- A WV UMI program is developed.
- Participating water system staff developed management expertise.
- Better water system management improved water system TMF capacity and long-term viability.
- Public health protected through improved water system management.

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E. Source Water Protection Grants

This activity will implement source water protection activities associated with the SWAP program. It will provide grants to community PWS groundwater systems to establish and implement wellhead protection programs. It will provide grants to surface water community PWS systems, assisting them to establish and implement source water protection programs. Activities will assess and characterize the hydrogeologic setting of water in flooded, abandoned underground coal mines, primarily in southern WV to help determine wellhead protection delineations. It will determine water flow paths and recharge rates into abandoned coal mines from overlying strata. It will develop a flow model, accounting for fractured bedrock and mine voids; applicable to other flooded, abandoned, underground mines to help determine wellhead protection delineations.

The expected outcomes from this activity are:

- Communities' source water protection efforts and measures improved.
- The fractured bedrock aquifer conceptual ground water flow model created.
- A water accumulation and movement model developed for flooded abandoned coal mines.
- Aquifer properties and characteristics database significantly revised to include data representing the southern West Virginia mining region.
- A comprehensive report applicable to comparable geologic settings is available.
- Knowledge obtained increased source water protection activities for PWSs utilizing abandoned mine water.

F. Project and Planning Design

This activity will provide grant funds to small water systems to determine their needs and expedite projects to the construction phase. It will offer technical assistance grants to private and public community water systems for system assessment. It will offer planning and design grants to private and public community water systems.

The outcomes will be:

- Projects funded and constructed more rapidly.

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- Water systems returned to compliance.
- Water systems avoid future non-compliance.
- Water systems' source and infrastructure needs better understood.

G. Annualized Salaries

Annualized salaries for Fiscal year 2008 have been earmarked as part of the redirection plan. Any salaries that are awarded in this grant application will be for the State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

H. Fringe Benefits

Fringe benefits for annualized salaries for State Fiscal year 2008 have been earmarked as part of the redirection plan. Any fringe benefits that are awarded in this grant application will be utilized in the State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

I. Current Expense

Current Expense for the State Fiscal Year 2008 has been earmarked as part of the redirection plan. This includes, but not limited to, rent, office supplies, vehicle expense, copiers, phone, fax, postage, etc. Any current expense awarded in this grant application will be utilized in State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

J. Indirect Costs

Indirect costs associated with annualized salaries have been earmarked as part of the redirection plan. Any indirect cost awarded in this grant application will be utilized in State Fiscal Year 2009. Any of this money that remains unspent as of 6/30/2008 will be redirected into different areas with the next grant application.

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IV. FINANCIAL SUMMARY

The following discussion provides additional details on the DWTRF financial management activities.

A. Status of Loans

The DWTRF has closed 34 loans (a total of $58,131,471) through SFY 2007. **Appendix A-1a** shows the status of all DWTRF loans to the end of SFY 2007. Information includes the following: loan amount, interest rate, term, cumulative disbursements, and schedule of principal and interest payments.

B. Loan Disbursements

We have disbursed $53,953,859 since the program's inception. (**Appendix C-1)** lists each loan-related federal Automated Clearing House (ACH) cash draw, state draws (payments), and disbursements from cash draw activities during SFY 2007. We are using the grant-specific method to determine federal/state proportionality as defined in the *Guide to Using EPA's Automated Clearing House for the Drinking Water State Revolving Fund Program* (EPA-832-B98-003).

C. Set-Aside Disbursements

We have disbursed $18,249,686 set aside funds since the program's inception. **(Appendix D)** lists a yearly summary of set-aside-related disbursements by type of set-aside and by SFY.

D. Annual Repayment/Aging of Accounts

The DWTRF program has received a total of $6,305,831 in scheduled loan re-payments through the end of SFY 2007.

E. Loan Portfolio Analysis

The WDA conducts a monthly review of the DWTRF loan portfolio of repayments from the Municipal Bond Commission and identifies any potential problems with repayment or reserve accounts. WDA contacts OEHS and we jointly decide what action to take to encourage the water system to reduce any deficiencies. Letters may be written to the water system owners or a complaint may be made with the PSC. This year's review is stated in the Long Term Goals item 5.c. at the front of this report. **(Appendix D)** has a more detailed description of the loan program review process.

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F. Investments

The DWTRF has earned a total of $2,114,998 in investment interest (not including Administrative Fee investment interest) by the end of SFY 2007. The WDA manages these funds in compliance with state investment practices. The OEHS monitors DWTRF investments quarterly to confirm the interest is credited to the DWTRF account in a timely fashion. Management reports from the statewide Financial Management System (FMS) documenting the DWTRF investment earnings are on file at the WDA's office.

G. Financial Statements

A DWTRF audit report for SFY 2007, including financial statements, has not been finalized and will be sent as an addendum to this annual report. The audited financial statements will be current through the DWTRF SFY 2007 operation.

V. COMPLIANCE WITH OPERATING AGREEMENT AND GRANT CONDITIONS

West Virginia has complied with the conditions of the DWSRF operating agreement. We have met and continue to be in compliance with the following conditions described in the Operating Agreement. Note that at the end of SFY 2007, the EPA was reviewing the Operating Agreement to comment or to approve as necessary.

A. Operating Agreement Assurances and Certifications

1. West Virginia has the Authority to Establish and Operate a Fund in Accordance with SDWA.

The State of West Virginia established a Drinking water Treatment Revolving Fund under new legislation during the 1997 legislative session entitled "Drinking Water Treatment Revolving Fund" Article 13C, §16-13C-1.

The West Virginia Attorney General's Office concurs with the authority establishing the DWSRF program and the powers it confers are consistent with state law and that the OEHS may legally bind itself to the terms of the capitalization agreement. The Attorney General also assures that the program will be administered by the OEHS which is authorized to (1) enter into capitalization grant agreements with the EPA, (2) accept capitalization grant awards made under section 1452(a)(1)(A) of the SDWA, and (3) manage the fund in accordance with the requirements and objectives of the SDWA.

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2. West Virginia Complies with State Statutes and Regulations

The DWSRF has complied with all applicable state laws, regulations, procedures, and policies.

3. West Virginia has Technical Capability to Operate Program

The OEHS has primacy for the SDWA for the State of West Virginia. The OEHS administers the DWSRF program including both construction and set-aside activities.

4. West Virginia Will Accept Capitalization Grant Funds in Accordance with a Payment Schedule

The OEHS included in its annual DWSRF grant application a proposed payment schedule which includes both set-aside and construction portions of the DWSRF.

These payment schedules will be supported by the annual IUP. The state accepts grant payments in accordance with the negotiated payment schedule consistent with the IUP.

5. West Virginia Deposits All Capitalization Grant Funds in the DWSRF

The OEHS deposits all capitalization grant funds to the appropriate DWSRF accounts which include the set-aside and construction accounts. The OEHS maintains identifiable and separate accounts for the set-aside portions of the federal capitalization grant.

6. West Virginia Will Deposit at Least 20% of Capitalization Grant in the Fund

The WVIJDC provided $15,067,040 as the required 20% state match. The 1998 state match of $2,511,760 was deposited into the DWTRF in July 1998. The 1999 state match of $2,917,020 was deposited into the DWTRF in May 1999. The state match of $1,551,400 for the Capitalization Grant appropriated in 2000 was deposited in the DWTRF in 2001. The state match of $1,557,820 for the Capitalization Grant appropriated in 2001 was deposited in to the DWTRF in 2002, and the state match of $1,610,500 for the Capitalization Grant appropriated in 2002 was deposited into the DWTRF in 2003. The state match of $1,600,820 for the Capitalization Grant appropriated in 2003 was deposited into the DWTRF in the spring of 2005. The state match of $1,660,620 for the 2004 Capitalization Grant was deposited into the DWTRF in the fall of 2005. The state match $1,657,100 for the 2005 Capitalization Grant was deposited into the DWTRF in the fall of 2006.

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7. **West Virginia Deposits a Dollar-for-Dollar Match, up to Ten Percent of Funds the State Uses for Set-Asides**

The OEHS has provided $4,560,270 in cash match and $555,032 in the 1993 PWSS overmatch prior to SFY 2007 and $828,550 for funding during SFY 2007 for a total of $6,774,162 ($6,219,130 cash plus $555,032 non-cash match). The West Virginia legislature appropriates an annual amount of $700,000 as match against future grant awards.

8. **West Virginia Deposits All Proceeds into the Fund**

The OEHS deposits all principal and interest payments, net bond proceeds, and returns on investment into the DWTRF per the Code of West Virginia (§16-13C-3).

9. **West Virginia Uses Generally Accepted Accounting Principles (GAAP)**

West Virginia uses generally accepted accounting principles (GAAP). The annual report is prepared using cash basis in accordance with our state accounting system.

10. **West Virginia had the Annual DWTRF Funds and DWSRF Set-Aside Funds Audited Annually in Accordance with GAGAS**

The accounting firm of Gibbons & Kawash, CPA conducted an annual audit of the DWTRF but the report has not been finalized at the time of this annual report. The DWTRF audit will address all funding activity from the construction loan account and the administration fee account. The audit of DWTRF construction accounts will be issued as an addendum to this annual report. No program specific set-aside audit was conducted for SFY 2004 through 2007.

11. **West Virginia Adopted Policies and Procedures to Assure that Borrowers have a Dedicated Source of Repayment**

Applicants/recipients must furnish financial summaries, including current debts, method of re-payment for debt incurred through participation in the DWTRF program. All financial summaries are reviewed by the PSC as a requirement for construction projects involving public utilities in WV. The OEHS also conducts CDA's to assist communities that have or will receive a DWTRF loan in their financial, managerial, and technical capabilities.

12. **West Virginia Commits and Expends Funds as Efficiently as Possible and in an Expeditious and Timely Manner**

West Virginia has committed 100 percent of all available loan funds from the 1998 through 2003 Appropriated Capitalization Grants and began using 2004

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Capitalization Grant construction funds (including 20% State Match and loan repayments). Letters of commitment for an additional $15,431,838 in unclosed loans have been issued. PWS' with binding commitments have moved in an expeditious and timely manner to start construction. The OEHS will be monitoring construction progress to ensure that operations are initiated according to schedule.

13. Funds are used in Accordance with the Intended Use Plan

The OEHS prepared an annual IUP, which was included with the annual Capitalization Grant Applications. The projects are funded or bypassed in the order as stated in the IUP.

14. WV will Provide US EPA with Biennial Report and Annual Audit

The submission of this document, although not required by the EPA/State Operating Agreement, is intended to provide a current evaluation of the DWSRF.

15. West Virginia Complies with all Federal Cross Cutting Authorities

The OEHS and all of its assistance recipients have complied with all applicable federal cross-cutting authorities.

B. Construction:

(Appendix C-3a) is set up to report the 2007 SFY construction funds based on loans closed during this SFY and their respective Disadvantaged Business Enterprises (DBE) - MBE and WBE contracts awarded. The OEHS also assists the Loan Recipient by going to pre-bid meetings to discuss the six-affirmative steps as well as assisting in providing DBE data base information.

As part of the Quarterly Reporting Requirements, OEHS identifies the closed construction loans and obtains quarterly reports from the loan recipients identifying (sub) contracts awarded.

C. Set Asides:

During SFY 2007, West Virginia has tracked the Set-Aside expenditures and DBE expenditures for the 1998 through 2005 Appropriated Capitalization Set-Aside Funds utilizing spreadsheets.

As part of the Quarterly Reporting Requirements, OEHS identifies the set-aside expenditures and identifies the DBE's for all set-asides except the 2% Technical Assistance. Based on the Sub-recipient Agreement with WVRWA, the OEHS is to receive quarterly reports during SFY 2007.

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APPENDIX A

PROJECT INFORMATION/LOAN STATUS

APPENDIX A-1a: SRF Construction Loan Closings

APPENDIX A-1b: SRF Construction Loan Letters of Commitment

APPENDIX A-2a: Comprehensive Funding List Summary

APPENDIX A-2b: Bypassed Project List

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APPENDIX A-1a

SRF CONSTRUCTION LOAN CLOSINGS (BINDING COMMITMENTS)

Loan Receipent	Project No.	Amount	Closing Date	Loan Rate	Admin. Fee	Years	Quarterly Payments*	Admin. Payment	Payment Begins	Payment Ends	Comment
Town of Marlinton	98DWTRF003	$705,400	11/20/1998	0.0%	1.0%	30	$5,879	$889	6/1/2000	3/1/2030	
Town of Fort Gay	98DWTRF008	$305,000	7/19/1999	0.0%	1.0%	30	$2,542	$384	6/1/2000	3/1/2030	
New Haven PSD	98DWTRF010	$1,241,000	3/2/2000	0.0%	1.0%	30	$10,342	$1,564	6/1/2001	3/1/2031	
Mason County PSD (Lakin)*	98DWTRF007	$960,000	6/28/2000	2.0%	1.0%	20	$14,552	$1,295	6/1/2001	3/1/2021	
New Haven PSD II	98DWTRF010-A	$767,354	9/21/2000	0.0%	1.0%	30	$6,395	$967	9/1/2001	6/1/2031	
City of Martinsburg*	98DWTRF009	$7,564,000	9/26/2000	2.0%	1.0%	20	$114,950	$10,200	6/1/2002	3/2/2022	
New Haven PSD III	98DWTRF010-B	$732,646	3/29/2001	0.0%	1.0%	30	$6,106	$923	6/1/2002	3/1/2032	
Town of Mason*	98DWTRF006	$650,000	5/10/2001	2.0%	1.0%	20	$8,868	$877	3/1/2002	12/1/2021	
Danville PSD (Boone County)	99DWTRF006	$2,130,000	4/26/2000	0.0%	1.0%	30	$17,750	$2,685	9/1/2001	6/1/2031	
Grandview-Doolin PSD	99DWTRF007	$1,483,979	5/2/2000	0.0%	1.0%	30	$12,367	$1,870	12/1/2001	9/1/2031	
City of Gary	99DWTRF010	$1,786,911	8/1/2000	0.0%	0.5%	30	$14,891	$1,126	12/1/2001	9/1/2031	Changed Adm.
Bluewell PSD	99DWTRF003	$1,275,000	8/29/2000	0.0%	1.0%	30	$10,625	$1,607	12/1/2001	9/1/2031	
Clarksburg Water Board*	99DWTRF025	$2,383,850	4/23/2001	2.0%	1.0%	20	$33,127	$3,215	6/1/2002	3/1/2022	
Town of Delbarton	99DWTRF005	$258,000	7/18/2001	0.0%	1.0%	30	$2,150	$325	9/1/2002	6/1/2032	
River Road PSD*	99DWTRF020	$1,068,500	10/25/2001	2.0%	1.0%	20	$13,750	$1,441	9/1/2002	6/1/2022	
Eastern Wyoming PSD	99DWTRF001	$360,000	10/16/2001	0.0%	1.0%	30	$3,000	$454	3/1/2002	12/1/2001	
City of Summersville	99DWTRF008	$2,388,495	12/18/2002	0.0%	1.0%	30	$19,904	$3,011	3/1/2005	12/1/2034	
Hamrick PSD	99DWTRF009	$760,000	3/31/2003	0.0%	1.0%	30	$6,334	$958	6/1/2004	3/1/2034	
Mason County PSD (Camp Conley)*	99DWTRF018	$602,000	6/8/2004	2.0%	1.0%	20	$6,139	$812	6/1/2005	3/1/2025	
Town of Bath	00DWTRF004	$1,150,000	12/3/2002	0.0%	1.0%	30	$9,584	$1,449	12/1/2003	9/1/1933	
Corp. of Shepherdstown*	00DWTRF012	$1,300,541	6/13/2003	2.0%	1.0%	20	$19,765	$1,754	9/1/2004	6/1/2024	
Corp. of Shepherdstown	00DWTRF012	$699,459	6/13/2003	0.0%	1.0%	30	$5,829	$882	3/1/2004	12/1/1933	
Town of Franklin	00DWTRF030	$2,528,623	12/17/2003	0.0%	1.0%	30	$21,072	$3,187	3/1/2005	12/1/2034	
Eastern Wyoming PSD	99DWTRF001	$570,000	8/24/04	0.0%	1.0%	30	$4,750	$718	3/1/2006	12/1/2035	
Town of Wayne	00DWTRF010	$1,925,000	10/21/04	0.0%	1.0%	30	$16,042	$2,426	3/1/2006	12/1/2035	
Cowen PSD*	00DWTRF022	$800,000	12/29/04	2.0%	1.0%	20	$12,158	$1,079	9/1/2006	6/1/2026	
Cowen PSD	00DWTRF022	$400,000	12/29/04	0.0%	1.0%	30	$3,333	$504	9/1/2006	6/1/2036	
Gilmer County PSD	01DWTRF012	$1,639,000	4/19/05	0.0%	1.0%	30	$13,659	$2,066	12/1/2006	9/1/2036	
City of Kenova	03DWTRF028	$9,986,213	9/27/2005	0.0%	1.0%	30	$83,219	$12,587	6/1/2007	3/1/2037	
Eastern Wyoming PSD-Gulf Project	01DWTRF002	$2,570,000	1/12/2006	0.0%	1.0%	30	$21,417	$3,239	12/1/2007	9/1/2037	
City of Wierton (Belleview tank)	04DWTRF038	$2,555,000	4/25/2006	2.0%	1.0%	20	$38,829	$3,445	9/1/2008	6/1/2028	
Town of Bath II (Dist waterline)	01DWTRF018	$1,250,000	8/29/06	0.0%	1.0%	30	$10,416	$1,575	6/1/2008	3/1/2038	
Town of Masontown	05DWTRFA003	$635,500	3/12/07	0.0%	1.0%	30	$5,790	$684	9/1/2008	6/1/2038	
Town of Ceredo	04DWTRF006	$2,700,000	6/14/07	0.0%	1.0%	30	$22,500	$3,403	6/1/2009	3/1/2039	
Total		**$58,131,471**									

*** Includes Principal and Interest**

Total known drinking water infrastructure needs in West Virginia exceed $724 million based on a West Virginia IJDC 2005 Invento ry Repor

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APPENDIX A-1b					
SRF CONSTRUCTION LETTERS OF COMMITMENT					
Loan Receipent	Project No.	BCL Date	Amount	Loan Rate	Years
Preston County #1	05DWTRFA013	6/21/2006	$806,838	1.0%	30
Marshall County PSD #4	05DWTRFA014	10/18/2006	$1,225,000	1.0%	30
City of Ronceverte	03DWTRF027	2/2/2005	$2,000,000	1.0%	30
City of Fairmont	05DWTRFA004	3/24/2006	$2,750,000	1.0%	30
Sun Valley PSD	05DWTRFA001	4/12/2006	$2,400,000	1.0%	30
Town of Wardensville	05DWTRFA002	6/14/2006	$1,550,000	1.0%	30
City of Petersburg	05DWTRFA009	12/22/2006	$1,700,000	3.0%	20
City of Petersburg	05DWTRFA009	12/22/2006	$3,000,000	1.0%	30
TOTAL			**$15,431,838**		

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APPENDIX A-2a

Comprehensive Funding List Summary

Ranking	System	Population	Disad.	DWTRF	Total
1	**Sun Valley PSD**	**3,098**	**Yes**	**$2,400,000**	**$7,950,000**
2	**Wardensville, Town of**	**1,116**	**Yes**	**$1,550,000**	**$1,550,000**
3	**Masontown Water Works**	**1,826**	**Yes**	**$635,500**	**$1,451,000**
4	**Fairmont, City of**	**34,128**	**Yes**	**$2,750,000**	**$4,970,000**
5	Sugar Creek PSD	1,548	Yes	By-passed	$6,537,000
6	Town of Marlinton	2,196	Yes	Project withdrawn	$4,037,000
7	Gilmer County PSD	1,342	Yes	By-passed	$3,175,000
8	Keyser, City of	6,912	No	By-passed	$9,092,000
9	**Petersburg, City of**	**6,000**	**No**	**$3,877,500**	**$6,808,900**
10	Logan County PSD	22,320	Yes	By-passed	$7,600,000
11	Berkeley County PSWD	39,005	No	By-passed	$7,228,038
12	Crum PSD	2,278	Yes	By-passed	$8,193,000
13	**Preston County PSD #1**	**3,036**	**Yes**	**$806,838**	**$3,600,838**
14	**Marshall County PSD #4**	**4,378**	**No**	**$1,225,000**	**$6,100,000**

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APPENDIX A-2b: BYPASSED PROJECT LIST
SFY 2007 (05DWTRFA List)
(Based on July 1, 2006 – June 30, 2007 Intended Use Plan)

PRIORITY RANKING	NAME	PROJECT COST	PROJECT DESCRIPTION	REASON FOR BYPASS
5	Sugar Creek PSD	$6,537,000	This project will include upgrades to the WTP to maintain compliance with SDWA. The upgrade includes replacement of the intake screen with air scrubbing capability, the entire disinfection system, and several pumps. The project will include extensions to 175 customers who have substandard wells, springs, or have to haul water.	This project has been bypassed due to waiting on other funding commitment before project is ready to proceed.
6	Town Marlinton	$4,037,000	This project will provide residents in the proposed extension areas with public water. The residents currently have private wells that produce poor quality and/or a limited quantity of water. The project potentially could take a private hospital water system off-line. This private system also provides water to a school and State Police Barracks.	This project has been bypassed due to rejection of DWTRF funding in lieu of other lower interest rate funds.
7	Gilmer County PSD	$3,175,000	This project will provide residents in the proposed extension areas with public water. The residents currently have private wells that produce poor quality and a limited quantity of water. It will also provide an alternate means to provide water to the prison should the main feed line fail.	This project has been bypassed due to receiving other funding.
8	City of Keyser	$9,092,000	This project will replace a problematic 90+ year old 8" main line that is prone to breakage and freezing. It also has significant internal deposits that consume chlorine residual levels and cause significant turbidity problems when flushed. The extension area is considered a health concern by the county health department due to high bacteriological colony counts most likely caused by failing septic systems.	This project has been bypassed due to the request for alternate funding from SCBG and RUS in lieu of DWTRF.

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APPENDIX A-2b: BYPASSED PROJECT LIST
SFY 2007 (05DWTRFA List)
(Based on July 1, 2006 – June 30, 2007 Intended Use Plan)

PRIORITY RANKING	NAME	PROJECT COST	PROJECT DESCRIPTION	REASON FOR BYPASS
10	Logan County PSD	$7,600,000	This project will take a failing private public water system (Holden) servicing 690 customers off-line and provide water from the PSD regional water plant. The existing system is in poor condition with internal buildup deposits and undersized mains resulting in marginal line pressures. The existing water quality is poor due to high levels of iron and manganese. This project will ensure that Holden customers continue to receive water meeting the SDWA.	This project has been bypassed due to receiving other funding - AML
11	Berkeley County PWSD	$7,228,038	This project will provide an additional source of surface water to insure an adequate supply for its customers. The plant upgrade will allow the system to comply with more stringent Safe Drinking Water Act requirements. The project will include an expansion for customer demand.	This project has been bypassed due to it not being ready to proceed.
12	Crum PSD	$8,193,000	This project will provide public water to 295 new customers who currently rely on private wells that do not provide adequate quantities during dry periods. These residents also have poorly constructed or failing septic systems that impact their drinking water.	This project has been bypassed due to it not being ready to proceed.

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APPENDIX B

ACCOUNTING AND MANAGEMENT REPORTS

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FINANCIAL STATUS REPORT

(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page of
US EPA REGION 3	FS-99390006	0348-0039	1 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
55-6000-810	WVFIMS # 14364 & 14366 7G-WD	[ ] Yes [X] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
10/01/06	09/30/2010	10/1/2006	06/30/2007

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	0.00	110,473.36	110,473.36
b. Recipient share of outlays	0.00	0.00	0.00
c. Federal share of outlays	0.00	110,473.36	110,473.36
d. Total unliquidated obligations			0.00
e. Recipient share of unliquidated obligations			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			110,473.36
h. Total Federal funds authorized for this funding period			8,285,500.00
i. Unobligated balance of federal funds (line h minus line g)			8,175,026.64

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box)			
	[ ] Provisional	[X] Predetermined	[ ] Final	[ ] Fixed
	b. Rate	c. Base	d. Total Amount	e. Federal Share
	33.00%	0.00	0.00	0.00

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

13. Certification **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Larry Easter **Warren D. Keefer, Deputy Secretary for Administration** **WV Department of Health and Human Resources**	(304) 558-3987
Signature of Authorized Certifying Official	Date Report Submitted
	September 10, 2007

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

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SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 14364 & 14366
10/01/2006 THROUGH 06/30/2007

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures	0.00	0.00	0.00	110,473.36	0.00	110,473.36
Indirect	0.00	0.00	0.00	0.00	0.00	0.00
Total Expenditures	0.00	0.00	0.00	110,473.36	0.00	110,473.36
Federal	0.00	0.00	0.00	110,473.36	0.00	110,473.36
State		0.00			0.00	0.00

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FINANCIAL STATUS REPORT

(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page of
US EPA REGION 3	FS-99390005	0348-0039	1 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
55-6000-810	WVFIMS # 12813 & 12814 5G-WD	[ ] Yes [X] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
09/01/05	08/31/2009	07/1/2006	06/30/2007

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	93,183.77	106,072.29	199,256.06
b. Recipient share of outlays	0.00	1,507.50	1,507.50
c. Federal share of outlays	93,183.77	104,564.79	197,748.56
d. Total unliquidated obligations			0.00
e. Recipient share of unliquidated obligations			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			197,748.56
h. Total Federal funds authorized for this funding period			8,303,100.00
i. Unobligated balance of federal funds (line h minus line g)			8,105,351.44

11. Indirect Expense

a. Type of Rate *(Place "X" in appropriate box)* [ ] Provisional [X] Predetemined [ ] Final [ ] Fixed

b. Rate	c. Base	d. Total Amount	e. Federal Share
33.00%	13,408.18	4,424.70	4,424.70

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

13. Certification **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Larry Easter **Warren D. Keefer, Deputy Secretary for Administration** **WV Department of Health and Human Resources**	(304) 558-3987
Signature of Authorized Certifying Official	Date Report Submitted September 10, 2007

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

Freedom_0005745_0068

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 12813 & 12814
09/01/2005 THROUGH 06/30/2007

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures	366.00	3,015.00	52,991.41	138,458.95	0.00	194,831.36
Indirect	0.00	0.00	4,424.70	0.00	0.00	4,424.70
Total Expenditures	366.00	3,015.00	57,416.11	138,458.95	0.00	199,256.06
Federal	366.00	1,507.50	57,416.11	138,458.95	0.00	197,748.56
State		1,507.50			0.00	1,507.50

Freedom_0005745_0069

FINANCIAL STATUS REPORT
(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page of
US EPA REGION 3	FS-99390004	0348-0039	1 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)
WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
55-6000-810	WVFIMS # 11516 & 11517 5G-WD	[ ] Yes [X] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
10/1/2004	06/30/2008	07/1/2006	06/30/2007

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	604,014.55	5,099,627.56	5,703,642.11
b. Recipient share of outlays	104,184.28	1,263,753.15	1,367,937.43
c. Federal share of outlays	499,830.27	3,835,874.41	4,335,704.68
d. Total unliquidated obligations			
e. Recipient share of unliquidated obligations			
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			4,335,704.68
h. Total Federal funds authorized for this funding period			8,004,100.00
i. Unobligated balance of federal funds (line h minus line g)			3,668,395.32

11. Indirect Expense

a. Type of Rate *(Place "X" in appropriate box)* [ ] Provisional [X] Predetermined [ ] Final [ ] Fixed

b. Rate	c. Base	d. Total Amount	e. Federal Share
33.00%	315,232.91	104,026.86	104,026.86

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

13. Certification **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Larry Easter **Warren D. Keefer, Deputy Secretary for Administration** **WV Department of Health and Human Resources**	(304) 558-3987
Signature of Authorized Certifying Official	Date Report Submitted
	September 11, 2007

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 11516 & 11517
07/01/2005 THROUGH 06/30/2007

PROJECT	PROJECT #	TOTAL	FEDERAL	STATE	DHHR FIMS #	DATE
City of Kenova	03DWTRF028	1,438,422.76	1,115,182.00	323,240.76		04/20/07
Town of Wayne	00DWTRF010	362,279.00	280,868.00	81,411.00		02/27/07
Town of Bath II	01DWTRF018	785,321.37	608,844.00	176,477.37		06/06/07
Weirton	04DWTRF038	768,661.05	595,927.00	172,734.05		05/11/07
Town of Masontown	05DWTRFA003	491,000.00	380,663.00	110,337.00		03/08/07
Gilmer County PSD	01DWTRF012	6,493.65	5,034.00	1,459.65		03/15/07
Town of Ceredo	04DWTRF006	67,500.00	52,331.00	15,169.00		06/14/07
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
		0.00				
TOTALS		3,919,677.83	3,038,849.00	880,828.83		

Freedom_0005745_0071

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 11516 & 11517
Cumulative 06/30/2007

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures	331,740.35	935,283.20	255,417.54	157,496.33	3,919,677.83	5,599,615.25
Indirect	25,701.26	38,934.00	39,391.60	0.00	0.00	104,026.86
Total Expenditures	357,441.61	974,217.20	294,809.14	157,496.33	3,919,677.83	5,703,642.11
Federal	357,441.61	487,108.60	294,809.14	157,496.33	3,038,849.00	4,335,704.68
State		487,108.60			880,828.83	1,367,937.43

Freedom_0005745_0072

FINANCIAL STATUS REPORT

(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page
US EPA REGION 3	FS-99390003	0348-0039	1 of 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

Revised

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report	7. Basis
55-6000-810	WVFIMS # 09677 & 09678 4G-WD	[ ] Yes [X] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
10/1/2003	06/30/2007	07/1/2006	06/30/2007

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	4,025,095.83	6,431,408.35	10,456,504.18
b. Recipient share of outlays	1,091,984.66	1,323,762.13	2,415,746.79
c. Federal share of outlays	2,933,111.17	5,107,646.22	8,040,757.39
d. Total unliquidated obligations			0.00
e. Recipient share of unliquidated obligations			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			8,040,757.39
h. Total Federal funds authorized for this funding period			8,052,500.00
i. Unobligated balance of federal funds (line h minus line g)			11,742.61

11. Indirect Expense

a. Type of Rate *(Place "X" in appropriate box)* [ ] Provisional [X] Predetermined [ ] Final [ ] Fixed

b. Rate	c. Base	d. Total Amount	e. Federal Share
Various	724,820.30	218,588.61	218,588.61

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

31%	$	329,037.23	$	102,001.54
33%	$	395,783.07	$	116,587.07

13. Certification **I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.**

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Larry Easter **Warren D. Keefer, Deputy Secretary for Administration** **WV Department of Health and Human Resources**	(304) 558-3987
Signature of Authorized Certifying Official	Date Report Submitted
	September 10, 2007

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

Freedom_0005745_0073

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 9677 & 9678
07/01/2004 THROUGH 06/30/2007

PROJECT	PROJECT #	TOTAL	FEDERAL	STATE	DHHR FIMS #	DATE
Eastern Wyoming PSD	01DWTRF002	1,232,671.20	955,669.00	277,002.20		12/18/06
City of Kenova	03DWTRF028	4,360,954.73	3,380,964.00	979,990.73		12/22/06
Corporation of Shepardstown	00DWTRF012	11,306.00	8,765.00	2,541.00		06/23/06
Gilmer County PSD	01DWTRF012	120,304.26	93,270.00	27,034.26		12/07/06
Cowen PSD	00DWTRF022	83,700.63	64,891.00	18,809.63		01/03/07
Weirton	04DWTRF038	1,059,513.76	821,421.00	238,092.76		12/21/06
Town of Bath	01DWTRF018	298,271.21	231,245.00	67,026.21		12/15/06
TOTALS		7,166,721.79	5,556,225.00	1,610,496.79		

Freedom_0005745_0074

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 9677 & 9678
Cumulative through 6/30/2007

		3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
	SFY 2004	33,000.00	77,346.69	68,306.27	76,399.35	0.00	255,052.31
	SFY 2005	350,353.16	1,027,223.83	201,580.17	73,390.35	0.00	1,652,547.51
	SFY 2006	81,084.61	403,494.81	12,446.88	11,260.30	1,503,894.00	2,012,180.60
Expenditures	SFY 2007	559,042.57	102,434.67	-6,169.88	0.00	5,662,827.79	6,318,135.15
Indirect		172,652.05	0.00	45,936.56	0.00	0.00	218,588.61
Total Expenditures		1,196,132.39	1,610,500.00	322,100.00	161,050.00	7,166,721.79	10,456,504.18
	Federal	1,196,132.39	805,250.00	322,100.00	161,050.00	5,556,225.00	8,040,757.39
	State		805,250.00			1,610,496.79	2,415,746.79

Freedom_0005745_0075

FINANCIAL STATUS REPORT

(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval No.	Page
US EPA REGION 3	FS-99390002	0348-0039	1 of 1 pages

3. Recipient Organization (Name and complete address, including ZIP code)

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

Revised

4. Employer Identification Number	5. Recipient Account Number or Identifying Number	6. Final Report - Revised	7. Basis
55-6000-810	WVFIMS # 08861 & 08862 3G-WD	[x] Yes [ ] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
09/25/2002	12/31/2006	07/1/2006	12/31/2006

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	9,977,402.55	138,427.45	10,115,830.00
b. Recipient share of outlays	2,317,059.61	19,670.39	2,336,730.00
c. Federal share of outlays	7,660,342.94	118,757.06	7,779,100.00
d. Total unliquidated obligations			0.00
e. Recipient share of unliquidated obligations			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			7,779,100.00
h. Total Federal funds authorized for this funding period			7,779,100.00
i. Unobligated balance of federal funds (line h minus line g)			0.00

11. Indirect Expense

a. Type of Rate *(Place "X" in appropriate box)* [ ] Provisional [X] Predetermined [ ] Final [ ] Fixed

b. Rate	c. Base	d. Total Amount	e. Federal Share
Various	777,033.85	248,216.93	248,216.93

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

31%	416,191.31	129138.89
33%	360,842.54	119078.04

Revised Final FSR to reflect proper previsously reported amounts under column I of row 10a, 10b, and 10c.

13. Certification I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Merilynn Preston **Warren Keefer, Deputy Secretary for Administration** **WV Department of Health and Human Resources**	(304) 558-3987
Signature of Authorized Certifying Official	Date Report Submitted
	09/26/07

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

Freedom_0005745_0076

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 8861 & 8862
07/01/2002 THROUGH 12/31/2006

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures	1,168,365.00	1,557,820.00	301,564.00	155,782.00	6,932,299.00	10,115,830.00
O/S Indirect	0.00					0.00
Total Expenditures	1,168,365.00	1,557,820.00	301,564.00	155,782.00	6,932,299.00	10,115,830.00
Federal	1,168,365.00	778,910.00	301,564.00	155,782.00	5,374,479.00	7,779,100.00
State		778,910.00			1,557,820.00	2,336,730.00

Freedom_0005745_0077

FINANCIAL STATUS REPORT

(SHORT FORM)
(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted	2. Federal Grant or Other Identifying Number Assigned By Federal Agency	OMB Approval	Page	of
US EPA REGION 3	FS-99390001	0348-0039	1	1 pages

3. Recipient Organization (Name and complete address, including ZIP code)
WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES
CAPITOL COMPLEX, BUILDING 3, ROOM 402
CHARLESTON, WV. 25305

Revised Final

4. Employer Identification Number	5. Recipient Account Number or Identifying Numbe	6. Final Report	7. Basis
55-6000-810	WVFIMS # 07514 & 07515 2G-WD	[X] Yes [ ] No	[X] Cash [ ] Accrual

8. Funding/Grant Period (See Instructions) From: (Month, Day, Year)	To: (Month, Day, Year)	9. Period Covered by this Report From: (Month, Day, Year)	To: (Month, Day, Year)
7-1-01 10/01/2001	03/31/2006	07/1/2005	03/31/06

10. Transactions	I Previously Reported	II This Period	III Cumulative
a. Total Outlays	10,078,853.92	5,246.08	10,084,100.00
b. Recipient share of outlays	2,325,897.20	1,202.80	2,327,100.00
c. Federal share of outlays	7,752,956.72	4,043.28	7,757,000.00
d. Total unliquidated obligations			0.00
e. Recipient share of unliquidated obligations			0.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (Sum of lines c and f)			7,757,000.00
h. Total Federal funds authorized for this funding period			7,757,000.00
i. Unobligated balance of federal funds (line h minus line g)			0.00

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box)			
	[ ] Provisional	[X] Predetermined	[ ] Final	[ ] Fixed
	b. Rate	c. Base	d. Total Amount	e. Federal Share
	Various			92,439.48

12. Remarks: Attach any explanations deemed necessary or information required by Federal Sponsoring agency in compliance with legislation.

13. Certification I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title	Telephone (Area code, number and extension)
Report prepared by: Merilynn Preston Danny Franco, Deputy Secretary for Administration WV Department of Health and Human Resources	(304) 558-2296
Signature of Authorized Certifying Official	**Date Report Submitted**
	11/01/06

Previous Editions not Usable

Standard Form 269A (REV 2-92)
Prescribed by OMB Circulars A-102 and A-110

Freedom_0005745_0078

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 7514 & 7515
07/01/2003 THROUGH 06/30/06

	3044 Local Assistance Set Aside	3045 State Program Management Set Aside	3046 Administration Set Aside	3047 Technical Assistance Set Aside	3048 WDA SRF Project Fund	*TOTALS*	
004	1,850.00	10,900.00	950.00			13,700.00	
505	173,465.40	725,093.25	119,518.57			1,018,077.22	
506	0.00	0.00	2,595.35			2,595.35	
507	0.00	0.00	0.00			0.00	
TOTAL	175,315.40	735,993.25	123,063.92	0.00	0.00	[redacted]	
FEDERAL	175,315.40		123,063.92			[redacted]	
STATE	0.00	735,993.25	0.00			[redacted]	
INDIRECT	54,754.67	0.00	37,684.81			[redacted]	
FIMS	53,758.70	34,973.35	35,962.41				
O/S Indirect	[redacted]	[redacted]	[redacted]			-32,254.98 FFP Only	
		Federal @ 0					
Recap Personal Services							
SFY 2003	172,189.50	504,768.21	114,990.00	0.00	0.00	791,947.71	
SFY 2004	0.00	166,374.00	0.00	0.00	0.00	166,374.00	
SFY 2005	0.00	0.00	0.00	0.00	0.00	0.00	
SFY 2006	3,125.90	45,865.28	5,478.57	0.00	0.00	54,569.75	
SFY 2007	0.00	18,885.76	2,595.35				
Total	175,315.40	735,993.25	123,063.92	0.00	0.00	[redacted]	
FEDERAL	175,315.40	0.00	123,063.92			[redacted]	
STATE	0.00	735,993.25	0.00	0.00	0.00	[redacted]	
Indirect Expenditures Due		0.00					
SFY 2003	53,758.70	0.00	35,962.41	0.00	0.00	89,721.11	31.2%
SFY 2004	0.00	0.00	0.00	0.00	0.00	0.00	31.0%
SFY 2005	0.00	0.00	0.00	0.00	0.00	0.00	31.0%
SFY 2006	[redacted]	0.00	[redacted]			2,718.37	31.0%
Total	54,754.67	0.00	37,684.81	0.00	0.00	[redacted]	

This calculation takes into account the adjustments that were made after 6/30/2006 and reconciles to FIMS in total payroll for the grant, total indirect for the grant.

NO INDIRECT POSTING TO 3045 PER TARA BUCKNER/MIKE ASHCRAFT 8-14-06 MP

Freedom_0005745_0079

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 7514 & 7515
July 1, 2006 through October 31, 2006

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures						
2007	35.58	37,379.55	86.53	0.00	0.00	37,501.66
Indirect Cost	995.97	-34,973.95	1,722.40	0.00	0.00	-32,255.58
Total Expenditures	1,031.55	2,405.60	1,808.93	0.00	0.00	5,246.08
Federal*	1,031.55	1,202.80	1,808.93	0.00	0.00	4,043.28
State		1,202.80			0.00	1,202.80

* 3045 - No indirect cost posted to Org 3045 per Tara Buckner & Michael Ashcraft 8/06

Freedom_0005745_0080

SAFE DRINKING WATER
DRINKING WATER REVOLVING GRANT # 7514 & 7515
Cumulative through 10/31/2006
Final FSR backup

	3044 Local Assistance Set Aside 15 %	3045 State Program Management Set Aside 10 %	3046 Administration Set Aside 4 %	3047 Technical Assistance Set Aside 2 %	3048 WDA SRF Project Fund	TOTALS
Expenditures						
2002	466,150.00	74,198.76	7,000.38	153,901.30		701,250.44
2003	677,647.07	1,023,166.99	249,916.19	-291.83		1,950,438.42
2004	11,758.91	435,367.58	45,631.48		1,575,644.00	2,068,401.97
2005	1,682.06	18,379.38	984.60		3,239,815.00	3,260,861.04
2006	5,280.41	-2,118.31	4,938.42	1,530.53	2,088,271.00	2,097,902.05
Final 10/31/06	1,031.55	2,405.60	1,808.93	0.00	0.00	5,246.08
	1,163,550.00	1,551,400.00	310,280.00	155,140.00	6,903,730.00	10,084,100.00
						0.00
Total Expenditures	1,163,550.00	1,551,400.00	310,280.00	155,140.00	6,903,730.00	10,084,100.00
Federal*	1,163,550.00	775,700.00	310,280.00	155,140.00	5,352,330.00	7,757,000.00
State		775,700.00			1,551,400.00	2,327,100.00

* 3045 - No indirect cost posted to Org 3045 per Tara Buckner & Michael Ashcraft 8/06

Freedom_0005745_0081

FEDERAL CASH TRANSACTIONS REPORT

(See instructions on the back. If report is for more than one grant or assistance agreement, attach completed Standard Form 272-A.)

Approved by Office of Management and Budget No. 80-RO182

1. Federal sponsoring agency and organizational element to which this report is submitted: Environmental Protection Agency

2. RECEIPENT ORGANIZATION

Name: West Virginia Department of Health and Human Resources

Number and Street: 1900 Kanawha Blvd., East Building 3, Room 417

City, State and ZIP Code: Charleston, WV 25305

3. FEDERAL EMPLOYER IDENTIFICATION NO. ▶ 1-556-000810-A1

4. Federal grant or other identification number:

5. Recipient's account number or identifying number:

6. Letter of credit number: 3364

7. Last payment voucher number: 1244

Give total number for this period

8. Payment Vouchers credited to your account: 22

9. Treasury checks received (whether or not deposited):

10. PERIOD COVERED BY THIS REPORT

FROM (month, day, year) TO (month, day, year): 07/01/2006 12/31/2006

11. STATUS OF FEDERAL CASH *(See specific instructions on the back)*		
	a. Cash on hand beginning of reporting period	0.00
	b. Letter of credit withdrawals	4,600,059.21
	c. Treasury check payments	0.00
	d. Total receipts (Sum of lines b and c)	4,600,059.21
	e. Total cash available (Sum of lines a and d)	4,600,059.21
	f. Gross disbursements	4,599,598.11
	g. Federal share of program income	0.00
	h. Net disbursements (Line f minus line g)	4,599,598.11
	i. Adjustments of prior periods	0.00
	j. Cash on hand end of period	461.10

12. THE AMOUNT SHOWN ON LINE 11J, ABOVE REPRESENTS CASH REQUIREMENTS FOR THE ENSUING *Days*	13. OTHER INFORMATION	
	a. Interest income	0.00
	b. Advances to subgrantees or subcontractors	0.00

14. REMARKS (Attach additional sheets of plain paper, if more space is required)

15. CERTIFICATION

knowledge and belief that this report is true in all respects and that all disbursements have been made for the purpose and conditions of the grant or agreement

AUTHORIZED CERTIFYING OFFICIAL

SIGNATURE:

DATE REPORT SUBMITTED: 01/12/2007

TYPED OR PRINTED NAME AND TITLE: Danny Franco, Deputy Secretary for Administration

TELEPHONE (AREA CODE): 304 (NUMBER): 558-1565 (Extension):

Contact Person: Jerry Williamson

THIS SPACE FOR AGENCY USE

272-101

STANDARD FORM 272 (7-76)
Prescribed by Office of Management and Budget
Cir. No. A-110

Freedom_0005745_0082

FEDERAL CASH TRANSACTIONS REPORT

CONTINUATION

(This form is completed and attached to Standard Form 272 only when reporting more than one grant or assistance agreement.)

Approved by Office of Management and Budget No. 80-RO182

1. FEDERAL SPONSORING AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH THIS REPORT IS SUBMITTED

Environmental Protection Agency

2. RECEIPENT ORGANIZATION (Give name only as shown in item 2, SF 272)

West Virginia Department of
Health and Human Resources
1900 Kanawha Blvd., East
Building 3, Room 417
Charleston, WV 25305

3. PERIOD COVERED BY THIS REPORT (As shown on SF 272)

FROM (month, day, year)	TO (month, day, year)
07/01/2006	12/31/2006

4. List information below for each grant or other agreement covered by this report. Use additional forms if more space is required.

FEDERAL GRANT OR OTHER IDENTIFICATION NUMBER *(Show a subdivision by other identifying numbers if required by the Federal Sponsoring Agency)* (a)	RECEIPENT ACCOUNT NUMBER OR OTHER IDENTIFYING NUMBER (b)	FEDERAL SHARE OF NET DISBURSEMENTS: NET DISBURSEMENTS (Gross disbursements less program income received) FOR REPORTING PERIOD (c)	FEDERAL SHARE OF NET DISBURSEMENTS: CUMULATIVE NET DISBURSEMENTS (d)
FS993900-01	07515	4,043.27	7,757,000.00
FS993900-02	08861	166,849.66	7,778,628.58
FS993900-03	09677	4,003,776.93	6,912,015.63
FS993900-04	11516	315,796.41	919,043.16
FS993900-05	12813	109,131.84	109,131.84
FS993900-99	04501	0.00	14,585,100.00
5. TOTALS (Should correspond with amounts shown on SF 272 as follows: column (c) the same as line 11h; column (d) the sum of lines 11h and 11i of this SF 272 and cumulative disbursements shown on last report. Attach explanation of any differences.)		4,599,598.11	38,060,919.21

272-201

STANDARD FORM 272 -A (9-76)
Prescribed by Office of Management and Budget

Freedom_0005745_0083

FEDERAL CASH TRANSACTIONS REPORT

(See instructions on the back. If report is for more than one grant or assistance agreement, attach completed Standard Form 272-A.)

Approved by Office of Management and Budget No. 80-RO182

1. Federal sponsoring agency and organizational element to which this report is submitted: Environmental Protection Agency

2. RECEIPENT ORGANIZATION

Name: West Virginia Department of Health and Human Resources

Number and Street: 1900 Kanawha Blvd., East Building 3, Room 417

City, State and ZIP Code: Charleston, WV 25305

3. FEDERAL EMPLOYER IDENTIFICATION NO. 1-556-000810-A1

4. Federal grant or other identification number:

5. Recipient's account number or identifying number:

6. Letter of credit number: 3364

7. Last payment voucher number: 1244

Give total number for this period

8. Payment Vouchers credited to your account: 22

9. Treasury checks received (whether or not deposited):

10. PERIOD COVERED BY THIS REPORT

FROM (month, day, year): 01/01/2007 TO (month, day, year): 06/30/2007

11. STATUS OF FEDERAL CASH *(See specific instructions on the back)*	
a. Cash on hand beginning of reporting period	461.10
b. Letter of credit withdrawals	4,744,504.17
c. Treasury check payments	0.00
d. Total receipts (Sum of lines b and c)	4,744,504.17
e. Total cash available (Sum of lines a and d)	4,744,965.27
f. Gross disbursements	4,744,965.27
g. Federal share of program income	0.00
h. Net disbursements (Line f minus line g)	4,744,965.27
i. Adjustments of prior periods	0.00
j. Cash on hand end of period	0.00

12. THE AMOUNT SHOWN ON LINE 11J, ABOVE REPRESENTS CASH REQUIREMENTS FOR THE ENSUING *Days*	13. OTHER INFORMATION	
	a. Interest income	0.00
	b. Advances to subgrantees or subcontractors	0.00

14. REMARKS (Attach additional sheets of plain paper, if more space is required)

15. CERTIFICATION

knowledge and belief that this report is true in all respects and that all disbursements have been made for the purpose and conditions of the grant or agreement

AUTHORIZED CERTIFYING OFFICIAL

SIGNATURE:

DATE REPORT SUBMITTED: 00/00/00

TYPED OR PRINTED NAME AND TITLE: Danny Franco, Deputy Secretary for Administration

TELEPHONE (AREA CODE): 304 (NUMBER): 558-1565 (Extension): Contact Person

THIS SPACE FOR AGENCY USE

Jerry Williamson

272-101

STANDARD FORM 272 (7-76)
Prescribed by Office of Management and Budget
Cir. No. A-110

Freedom_0005745_0084

FEDERAL CASH TRANSACTIONS REPORT

CONTINUATION

(This form is completed and attached to Standard Form 272 only when reporting more than one grant or assistance agreement.)

Approved by Office of Management and Budget No. 80-RO182

1. FEDERAL SPONSORING AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH THIS REPORT IS SUBMITTED

Environmental Protection Agency

2. RECEIPENT ORGANIZATION (Give name only as shown in item 2, SF 272)

West Virginia Department of
Health and Human Resources
1900 Kanawha Blvd., East
Building 3, Room 417
Charleston, WV 25305

3. PERIOD COVERED BY THIS REPORT (As shown on SF 272)

FROM (month, day, year): 01/01/2007
TO (month, day, year): 06/30/2007

4. List information below for each grant or other agreement covered by this report. Use additional forms if more space is required.

FEDERAL GRANT OR OTHER IDENTIFICATION NUMBER *(Show a subdivision by other identifying numbers if required by the Federal Sponsoring Agency)* (a)	RECEIPENT ACCOUNT NUMBER OR OTHER IDENTIFYING NUMBER (b)	FEDERAL SHARE OF NET DISBURSEMENTS: NET DISBURSEMENTS (Gross disbursements less program income received) FOR REPORTING PERIOD (c)	FEDERAL SHARE OF NET DISBURSEMENTS: CUMULATIVE NET DISBURSEMENTS (d)
FS993900-01	7514	0.00	7,757,000.00
FS993900-02	8862	471.42	7,779,100.00
FS993900-03	9678	1,128,741.76	8,040,757.39
FS993900-04	11517	3,416,661.51	4,335,704.67
FS993900-05	12814	88,616.72	197,748.56
	14366	110,473.86	110,473.86
FS993900-99	4502	0.00	14,585,100.00
5. *TOTALS (Should correspond with amounts shown on SF 272 as follows: column (c) the same as line 11h; column (d) the sum of lines 11h and 11i of this SF 272 and cumulative disbursements shown on last report. Attach explanation of any differences.)*		4,744,965.27	42,805,884.48

272-201

STANDARD FORM 272-A (9-76)
Prescribed by Office of Management and Budget
Cir. No. A-110

Freedom_0005745_0085

APPENDIX C

REPORT ON PAYMENTS, BINDING COMMITMENTS, CASH DRAWS, AND MBE-WBE

APPENDIX C-1: DWTRF Grant Invoices for SFY2007

APPENDIX C-2: Set Aside Disbursements

APPENDIX C-3a: DWTRF Construction MBE/WBE Summary Table

APPENDIX C-3b: SRF Set Aside MBE/WBE Summary Table

APPENDIX C-4: Sub-Recipient Obligations

Freedom_0005745_0086

Appendix C-1: DWTRF Grant Invoices for SFY 2007

Project	Project #	Invoice #	Date Received	Date Processed	Invoice Amount	Federal Share	State Share	Repayment	Transfer Date	Amount Paid
03 Grant										
Gilmer County PSD	01DWTRF012									
	$1,639,000	10	7/12/2006	7/17/2006	$98,446.26	$76,324	$22,123	$0	7/28/2006	$98,447
Undisbursed Funds	$6,493	11	10/4/2006	10/6/2006	$13,298.00	$10,310	$2,988	$0	10/20/2006	$13,298
		12	12/7/2006	12/8/2006	$8,560.00	$6,636	$1,924	$0	12/19/2006	$8,560
	Total				$120,304.26	$93,270	$27,035	$0		$120,305
City of Kenova	03DWTRF028									
	$9,986,213									
	$2,443,002	10	7/21/2006	7/24/2006	$558,711.47	$433,158	$125,553	$0	8/7/2006	$558,711
		11	8/18/2006	8/21/2006	$451,797.99	$350,270	$101,528	$0	9/7/2006	$451,798
		12	9/25/2006	9/28/2006	$719,124.60	$557,524	$161,601	$0	10/16/2006	$719,125
		13	12/1/2006	12/4/2006	$750,648.72	$581,964	$168,685	$0	12/15/2006	$750,649
		14	12/1/2006	12/4/2006	$847,407.76	$656,979	$190,429	$0	12/15/2006	$847,408
		15 (part)	12/22/2006	1/4/2007	$355,236.00	$275,407	$79,829	$0	1/18/2007	$355,236
	Total				$3,682,926.54	$2,855,302.00	$827,625	$0		$3,682,927
Eastern WyomingPSD	01DWTRF002									
Gulf Water Project	$2,570,000									
Undisbursed Funds	$1,060,342	4	7/10/2006	7/25/2006	$418,111.54	$324,154	$93,958	$0	8/17/2006	$418,112
		5	12/11/2006	12/18/2006	$142,202.66	$0	$0	$142,203	1/5/2007	$142,203
		6	1/4/2007	1/10/2007	$114,393.88	$0	$0	$114,394	1/23/2007	$114,394
	Total				$674,708.08	$324,154	$93,958	$256,597		$674,709
Cowen PSD	00DWTRF022									
Series B	$800,000	8	7/17/2006	7/19/2006	$36,602.01	$28,377	$8,225	$0	7/28/2006	$36,602
Undisbursed Funds	$0	9	8/7/2006	8/14/2006	$862.05	$668	$194	$0	8/25/2006	$862
		10	10/6/2006	11/6/2006	$10,025.00	$7,772	$2,253	$0	12/6/2006	$10,025
		11 (Final)	12/8/2006	1/3/2007	$36,211.57	$28,074	$8,137	$0	1/18/2007	$36,211
	Total				$83,700.63	$64,891	$18,809	$0		$83,700
Weirton	04DWTRF038									
	$2,555,000	2	8/22/2006	8/29/2006	$21,958.60	$17,024	$4,935	$0	10/16/2006	$21,959
Undisbursed Funds	$1,431,610	3	10/5/2006	10/18/2006	$10,007.76	$7,759	$2,249	$0	11/3/2006	$10,008
		4	10/17/2006	10/18/2006	$353,284.90	$273,895	$79,390	$0	11/3/2006	$353,285
		5	12/19/2006	12/21/2006	$308,721.50	$239,346	$69,376	$0	1/5/2007	$308,722
		6	12/19/2006	12/21/2006	$365,541.00	$283,397	$82,144	$0	1/18/2007	$365,541
	Total				$1,059,513.76	$821,421	$238,094	$0		$1,059,515
Bath	01DWTRF018									
	$1,250,000	1	8/24/2006	8/24/2006	$31,250.00	$24,228	$7,022	$0	8/29/2006	$31,250
Undisbursed Funds	$951,728	2	11/21/2006	11/22/2006	$79,742.61	$61,823	$17,920	$0	12/6/2006	$79,743
		3	11/22/2006	11/29/2006	$100,008.72	$77,535	$22,474	$0	12/15/2006	$100,009
		4	12/14/2006	12/15/2006	$87,269.88	$67,659	$19,611	$0	12/29/2006	$87,270
	Total				$298,271.21	$231,245.00	$67,027	$0		$298,272
03 GRANT GRAND TOTALS					$5,919,424.48	$4,390,283.00	$1,272,548.00	$256,597.00	$0.00	$5,919,428.00

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Appendix C-1: DWTRF Grant Invoices for SFY 2007

Project	Project #	Invoice #	Date Received	Date Processed	Invoice Amount	Federal Share	State Share	Repayment	Transfer Date	Amount Paid
04 Grant										
City of Kenova	03DWTRF028									
	$9,986,213	15 (part)	12/22/2006	12/31/2006	$320,044.11	$248,125	$71,919	$0	1/18/2007	$320,044
	$326,552	16	1/19/2007	1/26/2007	$535,614.00	$415,251	$120,363	$0	2/12/2007	$535,614
		17	2/20/2007	2/22/2007	$308,296.53	$239,016	$69,280	$0	3/13/2007	$308,296
		18	3/16/2007	3/16/2007	$153,754.03	$119,203	$34,551	$0	4/12/2007	$153,754
		19	4/20/2007	4/20/2007	$120,714.09	$93,587	$27,127	$0	5/8/2007	$120,714
	Total				$1,438,422.76	$1,115,182	$323,240	$0		$1,438,422
Town of Wayne	00DWTRF010									
	$1,925,000	10	2/27/2007	3/7/2007	$362,279	$280,868	$81,411	$0	3/19/2007	$362,279
Undisbursed Funds	$114,669									
	Total				$362,279.21	$280,868.00	$81,411.00	$0.00		$362,279
Bath II	01DWTRF018									
	$1,250,000	5	1/12/2007	1/12/2007	$142,299.00	$110,322	$31,978	$0	2/7/2007	$142,300
Undisbursed Funds	$166,407	6	2/7/2007	2/9/2007	$187,586.34	$145,432	$42,154	$0	2/21/2007	$187,586
		7	3/7/2007	3/7/2007	$130,488.56	$101,165	$29,323	$0	3/19/2007	$130,488
		8	4/10/2007	4/19/2007	$128,341.31	$99,500	$28,841	$0	5/8/2007	$128,341
		9	5/15/2007	5/15/2007	$128,688.69	$99,770	$28,919	$0	5/30/2007	$128,689
		10	6/6/2007	6/6/2007	$67,917.47	$52,655	$15,262	$0	6/27/2007	$67,917
	Total				$785,321.37	$608,844	$176,477	$0		$785,321
Weirton	04DWTRF038									
Belleview Tank	$2,555,000	7	1/16/2007	1/25/2007	$276,260.00	$214,179	$62,081	$0	2/12/2007	$276,260
Undisbursed Funds	$662,950	8	2/20/2007	2/23/2007	$87,281.25	$67,667	$19,614	$0	3/9/2007	$87,281
		9	3/19/2007	3/19/2007	$128,313.55	$99,479	$28,834	$0	4/12/2007	$128,313
		10	4/16/2007	4/16/2007	$13,656.25	$10,587	$3,069	$0	4/27/2007	$13,656
		11	5/11/2007	5/11/2007	$263,150.00	$204,015	$59,135	$0	5/30/2007	$263,150
	Total				$768,661.05	$595,927	$172,733	$0		$768,660
Eastern WyomingPSD	01DWTRF002									
Gulf Water Project	$2,570,000	7	2/8/2007	2/9/2007	$35,660.20	$0	$0	$35,660	2/21/2007	$35,660
Undisbursed Funds	$103,727	8	4/6/2007	4/6/2007	$65,024.00	$0	$0	$65,024	4/18/2007	$65,024
		9	5/3/2007	5/4/2007	$41,371.00	$0	$0	$41,371	5/14/2007	$41,371
	Total				$142,055.20	$0	$0	$142,055		$142,055
Town of Masontown	05DWTRFA003									
	$635,500	1	3/8/2007	3/8/2007	$491,000.00	$380,663	$110,337	$0	3/12/2007	$491,000
Undisbursed Funds	$144,500									
	Total				$491,000.00	$380,663	$110,337	$0		$491,000
Gilmer County PSD	01DWTRF012									
	$1,639,000	13	3/14/2007	3/15/2007	$6,493.65	$5,034	$1,459	$0	4/12/2007	$6,493
Undisbursed Funds	$0									
	Total				$6,493.65	$5,034	$1,459	$0		$6,493
Town of Ceredo	04DWTRF006									
	$2,700,000	1	6/14/2007	6/14/2007	$67,500.00	$52,331	$15,169	$0	6/14/2007	$67,500
Undisbursed Funds	$2,632,500									
	Total				$67,500.00	$52,331	$15,169	$0		$67,500
04 GRANT GRAND TOTALS					$4,061,733	$3,038,849	$880,826	$142,055	$0	$4,061,730
SFY 2007 GRAND TOTAL					$9,981,158	$7,429,132	$2,153,374	$398,652	$0	$9,981,158

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APPENDIX C-2 - SET-ASIDE DISBURSEMENTS

4058	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY99	$188,416.86	$144,722.56	$17,556.28	$112,289.76	$462,985.46
FY00	$241,674.67	$799,553.89	$110,971.88	$124,263.26	$1,276,463.70
FY01	$216,638.25	$920,457.94	$298,498.49	$0.00	$1,435,594.68
FY02	$423,518.81	$83,456.77	$18,033.01	$0.00	$525,008.59
FY03	$349,585.08	-$1,165.01	-$80,810.04	$0.00	$267,610.03
FY04	$66,648.33	$8,005.85	$137,690.38	$2,343.98	$214,688.54
TOTAL	**$1,486,482.00**	**$1,955,032.00**	**$501,940.00**	**$238,897.00**	**$4,182,351.00**

4501	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY00	$56,319.26	$61,478.02	$0.00	$122,942.00	$240,739.28
FY01	$196,376.64	$52,619.26	$20,089.77	$168,760.00	$437,845.67
FY02	$67,488.16	$810,568.57	$212,606.61	$0.00	$1,090,663.34
FY03	-$12,080.52	$185,496.40	$44,293.89	$0.00	$217,709.77
FY04	-$70,613.51	$22,153.85	-$114,253.57	-$2,343.98	-$165,057.21
FY05	$16,996.52	$80,873.79	$31,583.99	$0.00	$129,454.30
FY06	$88,917.45	$186,810.11	$90,531.31	$2,343.98	$368,602.85
TOTAL	**$343,404.00**	**$1,400,000.00**	**$284,852.00**	**$291,702.00**	**$2,319,958.00**

7515	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY02	$466,150.00	$74,198.76	$7,000.38	$153,901.30	$701,250.44
FY03	$677,647.07	$1,023,166.99	$249,916.19	-$291.83	$1,950,438.42
FY04	$11,758.91	$435,367.58	$45,631.48	$0.00	$492,757.97
FY05	$1,682.06	$18,379.38	$984.60	$0.00	$21,046.04
FY06	$5,280.41	-$2,118.31	$4,938.42	$1,530.53	$9,631.05
FY07	$1,031.55	$2,405.60	$1,808.93	$0.00	$5,246.08
TOTAL	**$1,163,550.00**	**$1,551,400.00**	**$310,280.00**	**$155,140.00**	**$3,180,370.00**

8861	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY03	$92,827.83	$73,849.81	$82,365.75	$53,979.67	$303,023.06
FY04	$440,301.18	$1,017,480.43	$222,130.29	$99,402.09	$1,779,313.99
FY05	$32,632.11	$147,284.12	-$1,068.78	$0.00	$178,847.45
FY06	$503,517.16	$279,864.91	-$1,863.26	$2,400.24	$783,919.05
FY07	$99,086.72	$39,340.73	$0.00	$0.00	$138,427.45
TOTAL	**$1,168,365.00**	**$1,557,820.00**	**$301,564.00**	**$155,782.00**	**$3,183,531.00**

9677	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY04	$33,000.00	$77,346.69	$68,306.27	$76,399.35	$255,052.31
FY05	$384,471.76	$1,027,223.83	$214,397.69	$73,390.35	$1,699,483.63
FY06	$112,900.65	$403,494.81	$39,010.13	$11,260.30	$566,665.89
FY07	$665,759.98	$102,434.67	$385.91	$0.00	$768,580.56
TOTAL	**$1,196,132.39**	**$1,610,500.00**	**$322,100.00**	**$161,050.00**	**$3,289,782.39**

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11516	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY05	$522.50	$1,995.00	$237.50	$106,721.32	$109,476.32
FY06	$136,607.57	$206,373.57	$100,782.08	$50,775.01	$494,538.23
FY07	$220,311.54	$765,848.63	$193,789.56	$0.00	$1,179,949.73
TOTAL	**$357,441.61**	**$974,217.20**	**$294,809.14**	**$157,496.33**	**$1,783,964.28**

12813	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY05	$0.00	$0.00	$0.00	$0.00	$0.00
FY06	$0.00	$0.00	$10.82	$93,172.95	$93,183.77
FY07	$366.00	$3,015.00	$57,405.29	$45,286.00	$106,072.29
TOTAL	**$366.00**	**$3,015.00**	**$57,416.11**	**$138,458.95**	**$199,256.06**

14364	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY05	$0.00	$0.00	$0.00	$0.00	$0.00
FY06	$0.00	$0.00			$0.00
FY07				$110,473.36	$110,473.36
TOTAL	**$0.00**	**$0.00**	**$0.00**	**$110,473.36**	**$110,473.36**

TOTAL	15% Local Assistance	10% State Program Management	4% Administration	2% Technical Assistance	TOTAL
FY99	$188,416.86	$144,722.56	$17,556.28	$112,289.76	$462,985.46
FY00	$297,993.93	$861,031.91	$110,971.88	$247,205.26	$1,517,202.98
FY01	$413,014.89	$973,077.20	$318,588.26	$168,760.00	$1,873,440.35
FY02	$957,156.97	$968,224.10	$237,640.00	$153,901.30	$2,316,922.37
FY03	$1,107,979.46	$1,281,348.19	$295,765.79	$53,687.84	$2,738,781.28
FY04	$481,094.91	$1,560,354.40	$359,504.85	$175,801.44	$2,576,755.60
FY05	$436,304.95	$1,275,756.12	$246,135.00	$180,111.67	$2,138,307.74
FY06	$847,223.24	$1,074,425.09	$233,409.50	$161,483.01	$2,316,540.84
FY07	$986,555.79	$913,044.63	$253,389.69	$155,759.36	$2,308,749.47
TOTAL	**$5,715,741.00**	**$9,051,984.20**	**$2,072,961.25**	**$1,408,999.64**	**$18,249,686.09**

Freedom_0005745_0090

APPENDIX C-3a - MBE-WBE INFORMATION
CONSTRUCTION FUNDS

Construction Fund		
	Project Closed During SFY 2006	**Closed Loans**
Jul-Sep 2006	Town of Bath II (Dist waterline)	$1,250,000
Oct-Dec 2006		
Jan-Mar 2007	Town of Masontown	$635,500
Apr-Jun 2007	Town of Ceredo	$2,700,000
Total		**$4,585,500**

		MBE				WBE			
	DBE Contract Awards	**Construction**	**Equipment**	**Services**	**Supplies**	**Construction**	**Equipment**	**Services**	**Supplies**
Jul-Sep 2006									
	1st Quarter Total	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**
Oct-Dec 2006	City of Kenova					$2,884.00			
	2nd Quarter Total	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$2,884.00**	**$0.00**	**$0.00**	**$0.00**
Jan-Mar 2007									
	3rd Quarter Total	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**
Apr-Jun 2007	Town of Masontown							$1,050.00	
	Town of Ceredo							$3,943.20	
	4th Quarter Total	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$4,993.20**	**$0.00**
SFY Total		**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$2,884.00**	**$0.00**	**$4,993.20**	**$0.00**

Freedom_0005745_0091

APPENDIX C-3b - MBE-WBE INFORMATION

SET-ASIDE FUNDS

			MBE				WBE			
	Grant Number	Procurement	Construction	Supplies	Services	Equipment	Construction	Supplies	Services	Equipment
Jul-Sep 2006	4501(99390099)	$0.00								
	7515(99390001)	$0.00								
	8861(99390002)	$20.64								
	9677(99390003)	$57,628.40							$3,108.03	
	11516(99390004)	$48,863.92							$12,832.55	
	12813(99390005)	$77.54								
	14364(99390006)									
	1st Quarter Total	**$106,590.50**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$15,940.58**	**$0.00**
Oct-Dec 2006	4501(99390099)	$0.00								
	7515(99390001)	$0.00								
	8861(99390002)	$0.00								
	9677(99390003)	$46,421.74						$142.19	$12,999.00	
	11516(99390004)	$77,155.44								
	12813(99390005)	$13,868.64								
	14364(99390006)	$27,618.34								
	2nd Quarter Total	**$165,064.16**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$142.19**	**$12,999.00**	**$0.00**
Jan-Mar 2007	4501(99390099)	$0.00								
	7515(99390001)	$0.00								
	8861(99390002)	$0.00								
	9677(99390003)	$17,576.00								
	11516(99390004)	$147,923.07		$102.52					$41,876.41	
	12813(99390005)	$30,519.94								
	14364(99390006)	$27,618.34								
	3rd Quarter Total	**$223,637.35**	**$0.00**	**$102.52**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$41,876.41**	**$0.00**
Apr-Jun 2007	4501(99390099)	$0.00								
	7515(99390001)	$0.00								
	8861(99390002)	$0.00								
	9677(99390003)	$25,382.85								
	11516(99390004)	$189,360.57		$31.78				$235.89	$13,131.00	
	12813(99390005)	$8,329.35								
	14364(99390006)	$110,473.36								
	4th Quarter Total	**$333,546.13**	**$0.00**	**$31.78**	**$0.00**	**$0.00**	**$0.00**	**$235.89**	**$13,131.00**	**$0.00**
SFY Total		**$828,838.14**	**$0.00**	**$134.30**	**$0.00**	**$0.00**	**$0.00**	**$378.08**	**$83,946.99**	**$0.00**

Freedom_0005745_0092

APPENDIX C-4

WV - DHHR Commitment Report

SFY 2007 - Subrecipient Obligations (June 30, 2007)

Subrecipient	2 % Technical Assistance	4% Administrative	Program Management	Local Assistance
	(3047)	(3046)	(3045)	(3044)
FS993900-04-0 (#11516)				
Oracle Corp			$1,750.49	
Capitol Business Interiors				
Interstate Comm on Potomac River Basin			$5,864.20	
Manpower Temporary Service			$412.23	
Lenovo US Inc			$3,976.00	
WV Dept of Environmental Protection				$10,000.00
Environmental Systems Research Inc.				
Town of Bath				$5,000.00
Town of Franklin				$8,863.00
Town of Union				$6,630.00
Oakland PSD				$9,395.00
ESRI				$1,530.68
WV Assoc of Rehab Facilities				
Global Environmental Consulting, Inc.			$31,333.00	
TOTALS	$0.00	$0.00	$43,335.92	$41,418.68

Subrecipient	2 % Technical Assistance	4% Administrative	Program Management	Local Assistance
	(3047)	(3046)	(3045)	(3044)
FS993900-05-0 (#12813)				
WV Rural Water Association	$10,068.00			
Oracle Corp			$427.90	
Oracle Corp				
BB&T		$40,289.61		
BB&T				
NCIPH Center of Excellence Train Res Tran				
University of Nebraska				
University of Nebraska				
Dept of Administration Bldg Rent				
Zeta Meter				
Interstate Comm on Potomac River Basin				
WVU Research Corp				
Seneca Designs Div Randolph Co Shel Wksp				
Global Environmental Consulting, Inc.			$30,001.00	
TOTALS	$10,068.00	$40,289.61	$30,428.90	$0.00

Subrecipient	2 % Technical Assistance	4% Administrative	Program Management	Local Assistance
	(3047)	(3046)	(3045)	(3044)
TOTALS	$0.00	$0.00	$0.00	$0.00
Set-Aside Totals	$10,068.00	$40,289.61	$73,764.82	$41,418.68
SFY Total of all Set-Asides	$165,541.11			

Freedom_0005745_0093

APPENDIX D

FINAL WATER DEVELOPMENT AUTHORITY (WDA) AUDIT
AUDIT REPORTS (INCLUDING FINANCIAL STATEMENTS)

(To Be Issued At a Later Date)

Freedom_0005745_0094

APPENDIX E

ANNUAL REPORTS ON CREDIT CONDITIONS OF BORROWERS

Freedom_0005745_0095

Appendix E

The OEHS and the Water Development Authority (WDA) ensure that borrowers have good credit conditions through the use of the following:

A. Prior to issuance of a DWTRF loan, OEHS performs a capacity development assessment on the potential loan recipient's water system to ensure that it will have adequate TMF capacity. If the assessment shows inadequate capacity, the BPH or other appropriate capacity development stakeholder agency (e.g. WVRWA, WVPSC) either assists the system to achieve adequate capacity as a loan condition or a decision is made to not provide a DWTRF loan unless appropriate capacity is achieved. The WDA also reviews the proposed rates to determine the loan recipient's ability to provide debt service, loan coverage and a 2.5% renewal and replacement payment.

B. Each project must receive a Certificate of Convenience and Necessity from the PSC before the loan is closed. The PSC reviews the financial conditions of the loan recipient and determines if they can repay the loan under the conditions of the loan agreement.

C. In accordance with the loan conditions, the WDA/BPH receives monthly financial statements from the borrowers, as well as, annual operating budgets that are reviewed and an assessment made as to whether the borrower is financially sound and in compliance with the loan agreement.

D. The borrower must provide an audit annually. If the borrower is a municipality, the maximum that can be paid for private auditing services is $2500. A municipality can use the West Virginia State Auditor's Office to audit their system; however, their use has meant past time delays in audit report submittal due to the number of audits the State performs. The Public Service District borrowers do not have the above requirements; however, they seem to also have delays in submitting their audits. Water Development Authority's CPA performs a desk review audit (list attached) of the audit to determine if there are any discrepancies.

   Currently, the WDA is taking the lead in monitoring the repayment activity of the DWTRF. During SFY 2007, four additional DWTRF loans began making payments into the account for a total of 28 loans. The monthly reports are received by WDA and BPH and are reviewed. When the required debt service or reserve payments are not made, WDA will send letters to the loan recipients. In SFY 2003, WDA and BPH developed procedures performing review of the required audits from the borrowers and have since then implemented the reviews.

   Based on the draft DWTRF audit, at the end of SFY 2007, the WDA had received all required audits from SFY 2000 through 2003. Sub-recipient audit reports not received by year included: June 30, 2006 – 1, June 30, 2005 – 3 and June 30, 2004 - 1. The mandated maximum limit which can be paid by the loan borrowers to certified public accountants for an audit and the time delays for state auditor to perform an audit are the primary reasons for the audit delays. OEHS, the WDA and the state auditors are working on a solution to the lack of audits through notification of project substantial completion of construction to all interested parties so that an audit can be done, most likely by the State, in a timely manner.

E. If there are discrepancies or omissions with any of the borrowers funding information, then the WDA sends a letter reminding the borrower of their commitment.

Freedom_0005745_0096

DWTRF - AUDIT DESK REVIEW - FY 2000								
July 12, 2007								
			Single	Date		Date		
	Loan	Date	Audit	Audit	Review	of WDA	Response	
LGA	Amount	Closed	Required?	Received	Completed	Letter(s)	Received	Comments
Boone County PSD	2,130,000	04/26/00	no	02/24/05	03/18/05	03/30/05	04/13/05	
Fort Gay, Town of	305,000	07/19/99	no	10/12/01	02/06/02	02/08/02		3/15/02 Walt Ivey, BPH, will schedule
						03/01/02		meeting with Town. Town will not meet.
Grandview-Doolin PSD	1,483,979	05/02/00	no	10/18/01	03/14/02	03/15/02	03/20/02	Met with PSD on 4/02/02.
Marlinton, Town of	705,400	11/20/98	no	02/20/02	03/08/02	03/15/02	04/11/02	
Mason County PSD	960,000	06/28/00	no	03/20/01	03/21/02	03/21/02	04/10/02	
New Haven PSD	1,241,000	03/02/00	yes	04/26/01	02/22/02	02/22/02	03/07/02	

Freedom_0005745_0097

DWTRF - AUDIT DESK REVIEW - FY 2001								
July 12, 2007								
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Comments
Bluewell PSD	1,275,000	08/29/00	yes	01/18/02	06/12/02	06/24/02	07/22/02	
Boone County PSD	2,130,000	04/26/00	yes	02/24/05	03/18/05	03/30/05	04/13/05	
Clarksburg, City of	2,383,850	04/23/01	no	06/26/03	07/11/03	08/29/03	09/11/03	
Fort Gay, Town of	305,000	07/19/99	no	01/08/04	09/13/04	09/30/04		
Gary, City of	1,786,911	08/01/00	yes	06/05/02	07/17/02	07/18/02		
Grandview-Doolin PSD	1,483,979	05/02/00	yes	07/15/02	08/20/03	08/26/03		Audit received but single audit not complete.
								Discuss w/EPA during their review mid-April 2004.
Marlinton, Town of	705,400	11/20/98	no	05/15/03	09/23/03	10/02/03		
Martinsburg, City of	7,564,000	09/27/00	yes	03/06/02	07/15/02	07/17/02	07/29/02	
Mason County PSD	960,000	06/28/00	yes	11/15/01	06/12/02	06/24/02	07/02/02	
Mason, Town of	650,000	05/10/01	no	03/05/02	07/18/02	07/19/02	08/23/02	
New Haven PSD Phase I	1,241,000	03/02/00	yes	01/08/02	02/22/02	02/22/02	03/07/02	
New Haven PSD Phase II	767,354	09/21/00	yes	01/08/02	02/22/02	02/22/02	03/07/02	

Freedom_0005745_0098

DWTRF - AUDIT DESK REVIEW - FY 2002								
July 12, 2007								
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Comments
Bluewell PSD	1,275,000	08/29/00	yes	10/03/02	09/23/03	09/26/03	11/14/03	Ray Tilley 589-3470
Boone County PSD	2,130,000	04/26/00	no	04/26/05				Michael Griffith 756-3600. 3/9/04 should complete by 5/1/04. 1/18/05 email to MG
Clarksburg, City of	2,383,850	04/23/01	yes	02/28/03	08/20/03	08/29/03	09/11/03	Darrell McCune 624-1638 Dan 623-3711
Delbarton, Town of	258,000	07/18/01	no	03/11/04	09/13/04	09/30/04		John Preece 475-3359 Pam 475-2749
Eastern Wyoming PSD	360,000	10/16/01	no	12/04/02	09/26/03	10/09/03		Rick Roberts 946-2641
Fort Gay, Town of	305,000	07/19/99	no	05/09/03	11/07/03	11/07/03		Carolyn Rowe 648-5246
Gary, City of	1,786,911	08/01/00	no	05/09/03	11/07/03	11/07/03		Marianna Kapish 448-2209
Grandview-Doolin PSD	1,483,979	05/02/00	no	01/30/03	08/20/03	08/26/03		Ron Brill 455-1921
Marlinton, Town of	705,400	11/20/98	no	09/23/05				Linda McLaughlin 799-6159. Audit is in progress as of 3/10/04. Per Charlie McKinney, CID, ongoing embezzlement investigation as of 1/13/05.
Martinsburg, City of	7,564,000	09/27/00	yes	12/30/02	10/01/03	10/02/03	12/17/03	Mark Spickler 264-2131
Mason County PSD	960,000	06/28/00	no	11/14/02	10/06/03	10/09/03		Randy Grinsted 675-6399
Mason, Town of	650,000	05/10/01	yes	03/11/04	09/14/04	09/30/04	11/18/04	773-5200.

Freedom_0005745_0099

DWTRF - AUDIT DESK REVIEW - FY 2002								
July 12, 2007								
			Single	Date		Date		
	Loan	Date	Audit	Audit	Review	of WDA	Response	
LGA	Amount	Closed	Required?	Received	Completed	Letter(s)	Received	Comments
New Haven PSD I	1,241,000	03/02/00	yes	01/21/03	10/07/03	10/09/03		David Pollard 574-4258
New Haven PSD II	767,354	09/21/00	yes	01/21/03	10/07/03	10/09/03		
New Haven PSD III	732,646	03/29/01	yes	01/21/03	10/07/03	10/09/03		
River Road PSD	1,068,500	10/25/01	yes	10/10/02	10/08/03	10/10/03		Gary Nestor 983-2625

Freedom_0005745_0100

DWTRF - AUDIT DESK REVIEW - FY 2003									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Construction Completed?	Comments
Bath, Town of	1,150,000	12/09/02	yes	05/16/06**				no	10/05/03 needs A-133 audit by 12/31/06
Bluewell PSD	1,275,000	08/29/00	no	11/14/03	11/17/04	01/06/05	02/28/05	yes	Ray Tilley 589-3470. CPA*
Boone County PSD	2,130,000	04/26/00	no	05/09/05				yes	Michael Griffith 756-3600. 3/9/04 should complete by 5/1/04. 1/18/05 email to MG
Clarksburg, City of	2,383,850	04/23/01	no	03/26/04	09/14/04	09/30/04	10/18/04	no	Darrell McCune 624-1638. Dan 623-3711
Delbarton, Town of	258,000	07/18/01	no	04/12/04	10/05/04	10/12/04		no	John Preece 475-3359 Pam 475-2749. CID*
Eastern Wyoming PSD	360,000	10/16/01	no	01/24/05	04/07/05	04/08/05		N/A-yes	Rick Roberts 946-2641 CPA*
Fort Gay, Town of	305,000	07/19/99	no	05/14/04	11/17/04	01/06/05		yes	Carolyn Rowe 648-5246 Balestra & Comp. CPA*
Gary, City of	1,786,911	08/01/00	no	07/09/04	11/18/04	01/06/05	02/08/05	yes	Marianna Kapish 448-2209. CPA*
Grandview-Doolin PSD	1,483,979	05/02/00	no	12/22/03	11/23/04	01/06/05	07/11/05	yes	Ron Brill 455-1921. CPA*
Hamrick PSD	760,000	03/31/03	no	11/06/03	10/06/04	10/12/04	10/20/04	no	CPA*
Marlinton, Town of	705,400	11/20/98	no	09/23/05				yes	Linda McLaughlin 799-6159. CID* - not started as of 3/10/04. Per Charlie McKinney, CID, ongoing embezzlement investigation as of 1/13/05.
Martinsburg, City of	7,564,000	09/27/00	no	01/20/04	11/22/04	01/06/05	01/20/05	yes	Mark Spickler 264-2131. CPA*
Mason County PSD	960,000	06/28/00	no	01/02/04	11/18/04	01/06/05		yes	Randy Grinsted 675-6399. CPA*
Mason, Town of	650,000	05/10/01	no	05/12/06	10/03/06	10/04/06	11/06/06	no	773-5200 - Due 5/31/05 per CID

Freedom_0005745_0101

DWTRF - AUDIT DESK REVIEW - FY 2003									
July 12, 2007									
			Single	Date		Date			
	Loan	Date	Audit	Audit	Review	of WDA	Response	Construction	
LGA	Amount	Closed	Required?	Received	Completed	Letter(s)	Received	Completed?	Comments
New Haven PSD I	1,241,000	03/02/00	no	01/19/05	03/08/05	03/30/05		yes	David Pollard 574-4258. CPA*
New Haven PSD II	767,354	09/21/00	no	01/19/05	03/08/05	03/30/05		yes	1/14/05 will mail.
New Haven PSD III	732,646	03/29/01	no	01/19/05	03/08/05	03/30/05		yes	
River Road PSD	1,068,500	10/25/01	no	10/07/03	10/06/04	10/12/04	12/15/04	no	Gary Nestor 983-2625. CPA*
Shepherdstown, Corp. of	699,459	06/13/03	yes	01/30/04	10/07/04	10/12/04	10/19/04	no	CPA*
Shepherdstown, Corp. of	1,300,541	06/13/03	no	01/30/04	10/07/04	10/12/04	10/19/04	no	
Summersville, City of	2,388,495	12/18/02	yes	01/29/04	10/14/04	10/14/04		no	CPA*
* Per Status of Audits from the State Auditor's Office, Chief Inspector's Division.									
**Corrected 2003 Audit Received 01/14/07.									

Freedom_0005745_0102

DWTRF - AUDIT DESK REVIEW - FY 2004									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Construction Complete?	Comments
Bath, Town of	1,150,000	12/09/02		05/16/06	10/05/06	10/05/06			
Bluewell PSD	1,275,000	08/29/00		11/15/04				Yes	
Boone County PSD	2,130,000	04/26/00		06/21/05	04/05/06	04/12/06	04/12/06	Yes	
Clarksburg, City of	2,383,850	04/23/01		05/05/05					
Delbarton, Town of	258,000	07/18/01							
Eastern Wyoming PSD	360,000	10/16/01						Yes	
Fort Gay, Town of	305,000	07/19/99		10/31/05				Yes	
Franklin, Town of	2,528,623	12/17/03		04/06/05	09/30/05	10/12/05	10/19/05		
Gary, City of	1,786,911	08/01/00						Yes	
Grandview-Doolin PSD	1,483,979	05/02/00		09/09/04				Yes	
Hamrick PSD	760,000	03/31/03		10/26/04	10/06/05	10/12/05			
Marlinton, Town of	705,400	11/20/98		09/23/05				Yes	
Martinsburg, City of	7,564,000	09/27/00		01/03/05				Yes	
Mason County PSD	960,000	06/28/00		03/24/05	10/14/05	10/14/05		Yes	
(Camp Conley)	602,000	06/08/04		03/24/05	10/14/05	10/14/05			
Mason, Town of	650,000	05/10/01		05/12/06					

Freedom_0005745_0103

DWTRF - AUDIT DESK REVIEW - FY 2004									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Construction Complete?	Comments
New Haven PSD	1,241,000	03/02/00						Yes	
	767,354	09/21/00						Yes	
	732,646	03/29/01						Yes	
River Road PSD	1,068,500	10/25/01		11/17/04	10/07/05	10/13/05	12/05/05		
Shepherdstown, Corp. of	699,459	06/13/03		*04/04/05	10/12/05	10/13/05	10/24/05		
	1,300,541	06/13/03		*04/04/05	10/12/05	10/13/05	10/24/05		
Summersville, City of	2,388,495	12/18/02		01/05/06	10/04/06	10/05/06			
* Per Status of Audits from the State Auditor's Office, Chief Inspector's Division.									
* Revised Audit received 4/25/05									

Freedom_0005745_0104

DWTRF - AUDIT DESK REVIEW - FY 2005									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Bath, Town of	1,150,000	12/09/02	Yes					Yes	12/1/2003
Bluewell PSD	1,275,000	08/29/00	No					Yes	12/1/2001
Boone County PSD	2,130,000	04/26/00	Yes	10/11/05				Yes	9/1/2001
Clarksburg, City of	2,383,850	04/23/01	No	04/27/06				Yes	6/1/2002
Cowen PSD	400,000	12/29/04		11/10/05	10/07/06	10/09/06	10/13/06		
	800,000	12/29/04		11/10/05			10/19/06		
Delbarton, Town of	258,000	07/18/01	No					Yes	9/1/2002
Eastern Wyoming PSD	360,000	10/16/01	No					Yes	3/1/2002
(Mullens)	570,000	08/24/04						Yes 8/24/04	3/1/2006
Fort Gay, Town of	305,000	07/19/99	No	01/03/06				Yes	6/1/2000
Franklin, Town of	2,528,623	12/17/03		04/07/06	10/07/06	10/09/06	10/19/06	Yes	3/1/2005
Gary, City of	1,786,911	08/01/00	No					Yes	12/1/2001
Gilmer County PSD	1,639,999	04/19/05							
Grandview-Doolin PSD	1,483,979	05/02/00	No	09/12/05				Yes	12/1/2001
Hamrick PSD	760,000	03/31/03	No	10/31/05	10/06/06	10/09/06	10/27/06	Yes	6/1/2004
Marlinton, Town of	705,400	11/20/98	No					Yes	6/1/2000

Freedom_0005745_0105

DWTRF - AUDIT DESK REVIEW - FY 2005									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Martinsburg, City of	7,564,000	09/27/00	No	12/23/05				Yes	6/1/2002
Mason County PSD	960,000	06/28/00	No	03/20/06				Yes	6/1/2001
(Camp Conley)	602,000	06/08/04						Yes	6/1/2005
Mason, Town of	650,000	05/10/01	No					Yes	3/1/2002
New Haven PSD	1,241,000	03/02/00	No					Yes	6/1/2001
	767,354	09/21/00	No					Yes	9/1/2001
	732,646	03/29/01	No					Yes	6/1/2002
River Road PSD	1,068,500	10/25/01	No	10/17/05				Yes	9/1/2002
Shepherdstown, Corp. of	699,459	06/13/03	Yes	03/20/06	10/09/06	10/10/06	10/26/06	Yes	3/1/2004
	1,300,541	06/13/03	No	03/20/06				Yes	9/1/2004
Summersville, City of	2,388,495	12/18/02	Yes	08/04/06				Yes	3/1/2005
Wayne, Town of	1,925,000	10/21/04		07/24/06	10/07/06	10/10/06			3/1/2006
* Per Status of Audits from the State Auditor's Office, Chief Inspector's Division.									

Freedom_0005745_0106

DWTRF - AUDIT DESK REVIEW - FY 2006									
September 7, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Bath, Town of	1,150,000	12/09/02	Yes					Yes	12/1/2003
Bluewell PSD	1,275,000	08/29/00	No					Yes	12/1/2001
Boone County PSD	2,130,000	04/26/00	Yes	10/26/06				Yes	9/1/2001
Clarksburg, City of	2,383,850	04/23/01	No	08/28/07				Yes	6/1/2002
Cowen PSD	400,000	12/29/04		12/12/06					
	800,000	12/29/04		12/12/06					
Delbarton, Town of	258,000	07/18/01	No					Yes	9/1/2002
Eastern Wyoming PSD	360,000	10/16/01	No					Yes	3/1/2002
(Mullens)	570,000	08/24/04						Yes 8/24/04	3/1/2006
	2,570,000	01/12/06							
Fort Gay, Town of	305,000	07/19/99	No	07/23/07**				Yes	6/1/2000
Franklin, Town of	2,528,623	12/17/03						Yes	3/1/2005
Gary, City of	1,786,911	08/01/00	No	07/23/07**				Yes	12/1/2001
Gilmer County PSD	1,639,999	04/19/05							
Grandview-Doolin PSD	1,483,979	05/02/00	No	12/22/06				Yes	12/1/2001
Hamrick PSD	760,000	03/31/03	No	11/01/06				Yes	6/1/2004
Kenova, City of	9,986,213	09/27/05							

Freedom_0005745_0107

DWTRF - AUDIT DESK REVIEW - FY 2006									
September 7, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Marlinton, Town of	705,400	11/20/98	No					Yes	6/1/2000
Martinsburg, City of	7,564,000	09/27/00	No	12/27/06				Yes	6/1/2002
Mason County PSD	960,000	06/28/00	No	08/10/07				Yes	6/1/2001
(Camp Conley)	602,000	06/08/04		08/10/07				Yes	6/1/2005
Mason, Town of	650,000	05/10/01	No	11/06/06				Yes	3/1/2002
New Haven PSD	1,241,000	03/02/00	No					Yes	6/1/2001
	767,354	09/21/00	No					Yes	9/1/2001
	732,646	03/29/01	No					Yes	6/1/2002
River Road PSD	1,068,500	10/25/01	No	11/20/06				Yes	9/1/2002
Shepherdstown, Corp. of	699,459	06/13/03	Yes	03/20/07				Yes	3/1/2004
	1,300,541	06/13/03	No					Yes	9/1/2004
Summersville, City of	2,388,495	12/18/02	Yes					Yes	3/1/2005
Wayne, Town of	1,925,000	10/21/04		07/23/07**					3/1/2006
Weirton, City of	2,555,000	04/25/06		04/12/07					
* Per Status of Audits from the State Auditor's Office, Chief Inspector's Division.									
** Retrieved off auditor's office website									

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DWTRF - AUDIT DESK REVIEW - FY 2007									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Bath, Town of	1,150,000	12/09/02	Yes					Yes	12/1/2003
	1,250,000	08/29/06							
Bluewell PSD	1,275,000	08/29/00	No					Yes	12/1/2001
Boone County PSD	2,130,000	04/26/00	Yes					Yes	9/1/2001
Ceredo, Town of	2,700,000	06/14/07							
Clarksburg, City of	2,383,850	04/23/01	No					Yes	6/1/2002
Cowen PSD	400,000	12/29/04							
	800,000	12/29/04							
Delbarton, Town of	258,000	07/18/01	No					Yes	9/1/2002
Eastern Wyoming PSD	360,000	10/16/01	No					Yes	3/1/2002
(Mullens)	570,000	08/24/04						Yes 8/24/04	3/1/2006
	2,570,000	01/12/06							
Fort Gay, Town of	305,000	07/19/99	No					Yes	6/1/2000
Franklin, Town of	2,528,623	12/17/03						Yes	3/1/2005
Gary, City of	1,786,911	08/01/00	No					Yes	12/1/2001
Gilmer County PSD	1,639,999	04/19/05							
Grandview-Doolin PSD	1,483,979	05/02/00	No					Yes	12/1/2001

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DWTRF - AUDIT DESK REVIEW - FY 2007									
July 12, 2007									
LGA	Loan Amount	Date Closed	Single Audit Required?	Date Audit Received	Review Completed	Date of WDA Letter(s)	Response Received	Date Considered Complete	Comments
									Debt Service Began
Hamrick PSD	760,000	03/31/03	No					Yes	6/1/2004
Kenova, City of	9,986,213	09/27/05							
Marlinton, Town of	705,400	11/20/98	No					Yes	6/1/2000
Martinsburg, City of	7,564,000	09/27/00	No					Yes	6/1/2002
Mason County PSD	960,000	06/28/00	No					Yes	6/1/2001
(Camp Conley)	602,000	06/08/04						Yes	6/1/2005
Mason, Town of	650,000	05/10/01	No					Yes	3/1/2002
Masontown, Town of	635,500	03/12/07							
New Haven PSD	1,241,000	03/02/00	No					Yes	6/1/2001
	767,354	09/21/00	No					Yes	9/1/2001
	732,646	03/29/01	No					Yes	6/1/2002
River Road PSD	1,068,500	10/25/01	No					Yes	9/1/2002
Shepherdstown, Corp. of	699,459	06/13/03	Yes					Yes	3/1/2004
	1,300,541	06/13/03	No					Yes	9/1/2004
Summersville, City of	2,388,495	12/18/02	Yes					Yes	3/1/2005
Wayne, Town of	1,925,000	10/21/04							3/1/2006
Weirton, City of	2,555,000	04/25/06							
* Per Status of Audits from the State Auditor's Office, Chief Inspector's Division.									

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2002															
July 15, 2005															
LGA	Date Closed	Date of WDA Letter(s)	End Period	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bluewell PSD	08/29/00	03/21/02		05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	05/14/02	06/12/02	08/02/02
Boone County PSD	04/26/00	03/21/02		01/10/01	01/10/01	01/10/01	01/10/01	01/10/01	01/10/01	01/10/01	01/10/01	04/10/02	05/24/02		
Clarksburg, City of	04/23/01	03/21/02		04/03/02	04/03/02	04/03/02	04/03/02	04/03/02	04/03/02	04/03/02	04/03/02				
Delbarton, Town of	07/18/01	03/21/02													
Eastern Wyoming PSD	10/16/01	03/21/02													
Fort Gay, Town of	07/19/99	03/21/02													
Gary, City of	08/01/00	03/21/02													
Grandview-Doolin PSD	05/02/00	03/21/02		-	-	-	-	-	-	-	-		07/15/02	07/15/02	07/15/02
Marlinton, Town of	11/20/98	03/21/02		04/11/02	04/11/02	04/11/02	04/11/02	04/11/02	04/11/02	04/11/02	04/11/02	04/11/02	05/08/02	06/07/02	07/07/02
Martinsburg, City of	09/27/00	NA		03/15/02	03/15/02	03/15/02	03/15/02	03/15/02	03/15/02	03/15/02	03/15/02	04/10/02	05/09/02	06/10/02	07/10/02
Mason County PSD	06/28/00	03/21/02													
Mason, Town of	05/10/01	03/21/02													
New Haven PSD I	03/02/00	03/21/02		-	-	-	-	-	-	-	-	04/10/02	05/24/02		
New Haven PSD II	09/21/00	03/21/02										04/10/02	05/24/02		
New Haven PSD III	03/29/01	03/21/02										04/10/02	05/24/02		
River Road PSD	10/25/01	03/21/02													

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2003															
July 15, 2005															
LGA	Date Closed	Date of WDA Letter(s)	End Period	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bath, Town of	12/09/02														
Bluewell PSD	08/29/00	03/21/02		11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03	11/14/03
Boone County PSD	04/26/00	03/21/02		08/13/02	09/20/02	10/17/02	11/08/02		01/14/03	02/10/03	03/11/03	03/14/03	05/08/03	06/03/03	07/10/03
Clarksburg, City of	04/23/01	03/21/02		10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04	10/18/04
Delbarton, Town of	07/18/01	03/21/02													
Eastern Wyoming PSD	10/16/01	03/21/02		09/03/02	10/02/02	11/12/02	12/04/02	01/07/03							
Fort Gay, Town of	07/19/99	03/21/02	July - 03												
Gary, City of	08/01/00	03/21/02													
Grandview-Doolin PSD	05/02/00	03/21/02		02/07/03	02/07/03	02/07/03	02/07/03	02/07/03	02/07/03	05/01/03	05/08/03	08/04/03	08/04/03	08/04/03	08/04/03
Hamrick PSD	03/31/03														
Marlinton, Town of	11/20/98	03/21/02		10/15/02	10/15/02	10/15/02									
Martinsburg, City of	09/27/00	NA		08/09/02	09/09/02	10/09/02	11/08/02	12/09/02	01/08/03	02/11/03	03/07/03	05/01/03	05/08/03	06/09/03	07/10/03
Mason County PSD	06/28/00	03/21/02	April - 03	11/22/02	04/17/03	04/17/03	04/17/03	04/17/03	04/17/03	04/17/03	04/17/03	09/08/03	09/08/03	09/08/03	09/08/03
Mason, Town of	05/10/01	03/21/02													
New Haven PSD I	03/02/00	03/21/02		08/13/02	09/20/02	10/17/02	11/08/02		01/14/02	02/10/03	03/11/03	03/14/03	05/08/03	06/03/03	07/10/03
New Haven PSD II	09/21/00	03/21/02		08/13/02	09/20/02	10/17/02	11/18/02		01/14/02	02/10/03	03/11/03	03/14/03	05/08/03	06/03/03	07/10/03
New Haven PSD III	03/29/01	03/21/02		08/13/02	09/20/02	10/17/02	11/18/02		01/14/02	02/10/03	03/11/03	03/14/03	05/08/03	06/03/03	07/10/03
River Road PSD	10/25/01	03/21/02													
Shepherdstown, Corp	06/13/03												05/06/04	05/06/04	
Shepherdstown, Corp	06/13/03														
Summersville, City of	12/18/02														

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2004															
August 14, 2006															
LGA	Date Closed	Date of WDA Letter(s)	End Period (Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bath, Town of	12/09/02														
Bluewell PSD	08/29/00			02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05
Boone County PSD	04/26/00														
Clarksburg, City of	04/23/01			NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Delbarton, Town of	07/18/01														
Eastern Wyoming PSD	10/16/01														
Fort Gay, Town of	07/19/99		7/03												
Franklin, Town of	12/17/03									03/18/04	03/18/04	3/18/04	06/23/04	06/23/04	9/8/04
Gary, City of	08/01/00														
Grandview-Doolin PSD	05/02/00			09/23/04	10/27/03	10/27/03	12/12/03	01/16/04	02/19/04	04/16/04	04/16/04	04/16/04	05/26/04	7/16/04	7/16/04
Hamrick PSD	03/31/03														
Marlinton, Town of	11/20/98														
Martinsburg, City of	09/27/00		8/03		09/08/03	10/10/03	11/08/03	12/08/03	01/08/04	02/06/04	03/08/04	04/07/04	05/12/04	06/09/04	7/12/04
Mason County PSD	06/28/00		4/04	06/01/04	06/01/04	06/01/04	06/01/04	06/01/04	06/01/04	08/20/04	08/20/04	08/20/04	08/20/04	08/20/04	8/20/04
Mason County PSD (Camp Conley)	06/08/04														
Mason, Town of	05/10/01														
New Haven PSD I	03/02/00		2/04	08/11/03	09/11/03	10/10/03	11/10/03	12/09/03	01/08/04	02/09/04	03/08/04				
New Haven PSD II	09/21/00		2/04	08/11/03	09/11/03	10/10/03	11/10/03	12/09/03	01/08/04	02/09/04	03/08/04				
New Haven PSD III	03/29/01		2/04	08/11/03	09/11/03	10/10/03	11/10/03	12/09/03	01/08/04	02/09/04	03/08/04				
River Road PSD	10/25/01														
Shepherdstown, Corp	06/13/03											04/08/04	05/06/04	06/07/04	
Shepherdstown, Corp	06/13/03											04/08/04	05/06/04	06/07/04	
Summersville, City of	12/18/02														

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2005															
August 14, 2006															
LGA	Date Closed	Date of WDA Letter(s)	End Period (Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bath, Town of	12/09/02														
Bluewell PSD	08/29/00			02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	02/28/05	03/09/05	05/20/05	05/20/05	08/25/05	08/25/05
Boone County PSD	04/26/00														
Clarksburg, City of	04/23/01			02/16/05	02/16/05	02/16/05	02/16/05	02/16/05	02/16/05	05/11/05	05/11/05	05/11/05			
Cowen PSD	12/29/04														
Delbarton, Town of	07/18/01														
Eastern Wyoming PSD	10/16/01														
Eastern Wyoming PSD	08/24/05														
Fort Gay, Town of	07/19/99		7/03												
Franklin, Town of	12/17/03			09/08/04	9/08/04	12/13/04	12/13/04	12/13/04							
Gary, City of	08/01/00														
Gilmer County PSD	04/19/05														
Grandview-Doolin PSD	05/02/00			09/03/04	09/20/04	12/06/04	12/06/04	01/13/05	01/13/05	02/18/05	03/21/05	04/22/05	05/20/05	06/24/05	
Hamrick PSD	03/31/03														
Marlinton, Town of	11/20/98														
Martinsburg, City of	09/27/00		8/03	08/09/04	09/09/04	10/07/04	11/08/04	12/06/04	01/10/05	02/07/05	03/07/05	04/07/05	05/05/05	06/06/05	07/8/05
Mason County PSD	06/28/00		4/04	04/27/05	04/27/05	04/27/05	04/27/05	04/27/05	04/27/05	4/27/2005 *	4/27/2005*	6/16/2005*	6/16/2005*	10/03/05	10/03/05
Mason County PSD (Camp Conley)	06/08/04			04/27/05	04/27/05	04/27/05	04/27/05	04/27/05	04/27/05	4/27/2005*	4/27/2005*	6/16/2005*	6/17/2005*	10/03/05	10/03/05
Mason, Town of	05/10/01			11/18/04	11/18/04	11/18/04	11/18/04	12/13/04	01/11/05	02/08/05	03/08/05	04/08/05	05/11/05	06/07/05	07/12/05
New Haven PSD I	03/02/00		2/04												
New Haven PSD II	09/21/00		2/04												
New Haven PSD III	03/29/01		2/04												
River Road PSD	10/25/01			**11/17/04	**11/17/04	**11/17/04	**11/17/04	**1/19/05	**1/19/05	**2/23/05	**4/5/05	**4/5/05	**6/6/05	**6/6/05	10/17/05
Shepherdstown, Corp	06/13/03			08/06/04	09/07/04	10/06/04	11/08/04	12/06/04	01/06/05	02/03/05	03/04/05	04/04/05	05/05/05	06/06/05	07/11/05
Shepherdstown, Corp	06/13/03			08/06/04	09/07/04	10/06/04	11/08/04	12/06/04	01/06/05	02/03/05	03/04/05	04/04/05	05/05/05	06/06/05	07/11/05
Summersville, City of	12/18/02			10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05	10/21/05
Wayne, Town of	10/21/04														
* Revised Reports received 10/03/05															
**Revised Reports received 10/17/05															

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2006														
July 12, 2007														
LGA	Date Closed	End Period (Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bath, Town of	12/09/02													
Bluewell PSD	08/29/00		08/25/05											
Boone County PSD	04/26/00													
Clarksburg, City of	04/23/01													
Cowen PSD	12/29/04													
Delbarton, Town of	07/18/01													
Eastern Wyoming PSD	10/16/01													
Eastern Wyoming PSD	08/24/05													
Eastern Wyoming PSD	01/12/06													
Fort Gay, Town of	07/19/99	7/03												
Franklin, Town of	12/17/03		10/19/05	10/19/05	10/19/05		12/05/05	1/04/06	2/10/06	4/7/06	4/7/06	10/19/06	10/19/06	10/19/06
Gary, City of	08/01/00													
Gilmer County PSD	04/19/05													
Grandview-Doolin PSD	05/02/00													
Hamrick PSD	03/31/03										04/06/06	5/4/06	6/7/06	7/10/06
Kenova, City of	09/27/05													
Marlinton, Town of	11/20/98													
Martinsburg, City of	09/27/00	8/03	08/10/05	09/08/05	10/11/05	11/07/05	12/07/05	01/09/06	02/06/06	03/06/06	04/07/06	05/08/06	06/08/06	

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2006														
July 12, 2007														
		End												
	Date	Period												
LGA	Closed	(Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Mason County PSD	06/28/00	4/04	10/03/05	12/20/05	10/20/05	12/20/05	01/31/06	01/31/06						
Mason County PSD														
(Camp Conley)	06/08/04													
Mason, Town of	05/10/01		08/10/05	09/05/05	10/07/05	11/9/05	12/13/05	01/11/06	02/08/06	03/08/06	04/13/06	05/12/06	06/07/06	07/13/06
New Haven PSD I	03/02/00	2/04												
New Haven PSD II	09/21/00	2/04												
New Haven PSD III	03/29/01	2/04												
River Road PSD	10/25/01		10/17/05	10/17/05	10/17/05	11/14/05	12/12/05	02/17/06	02/17/06	04/25/06	04/25/06	06/21/06	06/21/06	6/21/06
Shepherdstown, Corp	06/13/03		08/05/05	09/12/05	10/11/05	11/03/05	12/07/05	01/12/06	02/13/06	03/03/06	04/06/06	05/14/06	06/09/06	7/10/06
Shepherdstown, Corp	06/13/03		08/05/05	09/12/05	10/11/05	11/03/05	12/07/05	01/12/06	02/13/06	03/03/06	04/06/06	05/14/06	06/09/06	7/10/06
Summersville, City of	12/18/02													
Wayne, Town of	10/21/04													
Weirton, City of	04/25/06													

Freedom_0005745_0116

DWTRF - MONTHLY FINANCIAL REPORTS - FY 2007														
September 7, 2007														
		End												
	Date	Period												
LGA	Closed	(Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Bath, Town of	12/09/02													
	08/29/06													
Bluewell PSD	08/29/00													
Boone County PSD	04/26/00													
Ceredo, Town of	06/14/07													
Clarksburg, City of	04/23/01													
Cowen PSD	12/29/04				10/19/06*	11/15/06	12/22/06							
Delbarton, Town of	07/18/01													
Eastern Wyoming PSD	10/16/01													
Eastern Wyoming PSD	08/24/05													
Eastern Wyoming PSD	01/12/06													
Fort Gay, Town of	07/19/99	7/03												
Franklin, Town of	12/17/03		10/19/06	10/19/06	10/19/06	01/10/07	01/10/07	01/10/07	02/09/07	03/08/07				
Gary, City of	08/01/00													
Gilmer County PSD	04/19/05													
Grandview-Doolin PSD	05/02/00													
Hamrick PSD	03/31/03		05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	05/11/07	06/07/07	07/06/07
Kenova, City of	09/27/05													

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DWTRF - MONTHLY FINANCIAL REPORTS - FY 2007														
September 7, 2007														
		End												
	Date	Period												
LGA	Closed	(Mo. Yr.)	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Marlinton, Town of	11/20/98													
Martinsburg, City of	09/27/00	8/03	08/09/06	09/08/06	10/12/06	11/08/06	12/07/06	01/08/07	02/08/07	03/07/07	04/06/07	05/09/07	06/06/07	07/12/07
Mason County PSD	06/28/00	4/04												
Mason County PSD														
(Camp Conley)	06/08/04													
Mason, Town of	05/10/01		08/07/06	09/08/06	10/10/06	11/06/06	12/12/06	01/05/07	02/06/07	03/07/07	04/11/07	05/09/07	06/06/07	
Masontown, Town of	03/12/07													
New Haven PSD I	03/02/00	2/04												
New Haven PSD II	09/21/00	2/04												
New Haven PSD III	03/29/01	2/04												
River Road PSD	10/25/01		09/18/06	09/18/06	11/20/06	11/20/06	05/18/07	05/18/07	05/18/07	05/18/07	05/18/07	05/18/07	05/18/07**	08/07/07
Shepherdstown, Corp	06/13/03		08/10/06		10/05/06	11/06/06	12/07/06	01/05/06	02/08/07	03/08/07	04/09/07			07/05/07
Shepherdstown, Corp	06/13/03		08/10/06		10/05/06	11/06/06	12/07/06	01/05/06	02/08/07	03/08/07	04/09/07			07/05/07
Summersville, City of	12/18/02													
Wayne, Town of	10/21/04					11/08/06	12/07/06	01/05/07	02/08/07	03/22/07	04/23/07	05/08/07	06/04/07	07/11/07
Weirton, City of	04/25/06													
* Revised Received 11/15/06														
** Revised Received 8/07/07														

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DWTRF - OPERATING BUDGETS - FY 2001					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bluewell PSD	1,275,000	08/29/00	03/21/02		
Boone County PSD	2,130,000	04/26/00	03/21/02		
Clarksburg, City of	2,383,850	04/23/01	03/21/02	NA	
Delbarton, Town of	258,000	07/18/01	03/21/02		
Eastern Wyoming PSD	360,000	10/16/01	03/21/02		
Fort Gay, Town of	305,000	07/19/99	03/21/02		
Gary, City of	1,786,911	08/01/00	03/21/02		
Grandview-Doolin PSD	1,483,979	05/02/00	03/21/02		
Marlinton, Town of	705,400	11/20/98	NA	06/15/00	
Martinsburg, City of	7,564,000	09/27/00	NA	03/21/02	
Mason County PSD	960,000	06/28/00	03/21/02		
Mason, Town of	650,000	05/10/01	03/21/02		
New Haven PSD	1,241,000	03/02/00	03/21/02		
River Road PSD	1,068,500	10/25/01	03/21/02		

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DWTRF - OPERATING BUDGETS - FY 2002					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bluewell PSD	1,275,000	08/29/00	NA	09/11/01	
Boone County PSD	2,130,000	04/26/00	NA	07/01/02	
Clarksburg, City of	2,383,850	04/23/01	03/21/02	04/03/02	
Delbarton, Town of	258,000	07/18/01	03/21/02		
Eastern Wyoming PSD	360,000	10/16/01	03/21/02		
Fort Gay, Town of	305,000	07/19/99	03/21/02		
Gary, City of	1,786,911	08/01/00	03/21/02		
Grandview-Doolin PSD	1,483,979	05/02/00	03/21/02		
Marlinton, Town of	705,400	11/20/98	NA	03/12/02	
Martinsburg, City of	7,564,000	09/27/00	NA	11/07/01	
Mason County PSD	960,000	06/28/00	NA	06/25/01	
Mason, Town of	650,000	05/10/01	03/21/02		
New Haven PSD I	1,241,000	03/02/00	03/21/02	10/19/01	
New Haven PSD II	767,354	09/21/00	03/21/02	10/19/01	
New Haven PSD III	732,646	03/29/01	03/21/02	10/19/01	
River Road PSD	1,068,500	10/25/01	03/21/02		

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DWTRF - OPERATING BUDGETS - FY 2003					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bath, Town of	1,150,000	12/09/02			
Bluewell PSD	1,275,000	08/29/00	05/30/02	07/09/02	
Boone County PSD	2,130,000	04/26/00	05/30/02	07/01/02	
Clarksburg, City of	2,383,850	04/23/01	05/30/02		
Delbarton, Town of	258,000	07/18/01	05/30/02		
Eastern Wyoming PSD	360,000	10/16/01	05/30/02	06/10/02	
Fort Gay, Town of	305,000	07/19/99	05/30/02		
Gary, City of	1,786,911	08/01/00	05/30/02	07/19/02	
Grandview-Doolin PSD	1,483,979	05/02/00	05/30/02	07/15/02	
Hamrick PSD	760,000	03/31/03			
Marlinton, Town of	705,400	11/20/98	05/30/02	07/08/02	
Martinsburg, City of	7,564,000	09/27/00	05/30/02		
Mason County PSD	960,000	06/28/00	05/30/02	07/19/02	
Mason, Town of	650,000	05/10/01	05/30/02	08/23/02	
New Haven PSD I	1,241,000	03/02/00	05/30/02	06/24/02	
New Haven PSD II	767,354	09/21/00	05/30/02	06/24/02	
New Haven PSD III	732,646	03/29/01	05/30/02	06/24/02	

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DWTRF - OPERATING BUDGETS - FY 2003					
July 12, 2007					
	Loan	Date	Date of	Date Budget	
LGA	Amount	Closed	LGA Letter(s)	Received	Comments
River Road PSD	1,068,500	10/25/01	05/30/02		
Shepherdstown, Corp. of	699,459	06/13/03	NA		
Shepherdstown, Corp. of	1,300,541	06/13/03	NA		
Summersville, City of	2,388,495	12/18/02			

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DWTRF - OPERATING BUDGETS - FY 2004					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bath, Town of	1,150,000	12/09/02	05/29/03		
Bluewell PSD	1,275,000	08/29/00	05/28/03	06/26/03	
Boone County PSD	2,130,000	04/26/00	05/28/03	06/12/03	
Clarksburg, City of	2,383,850	04/23/01	05/28/03	06/09/03	
Delbarton, Town of	258,000	07/18/01	05/29/03		
Eastern Wyoming PSD	360,000	10/16/01	05/28/03	07/14/03	
Fort Gay, Town of	305,000	07/19/99	05/29/03	06/27/03	
Gary, City of	1,786,911	08/01/00	05/28/03		
Grandview-Doolin PSD	1,483,979	05/02/00	05/28/03	08/08/03	
Hamrick PSD	760,000	03/31/03	05/28/03	06/19/03	
Marlinton, Town of	705,400	11/20/98	05/28/03	07/24/03	
Martinsburg, City of	7,564,000	09/27/00	05/28/03		
Mason County PSD	960,000	06/28/00	NA	05/27/03	
Mason County PSD (Camp Conley)	602,000	06/08/04			
Mason, Town of	650,000	05/10/01	06/10/03	06/13/03	

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DWTRF - OPERATING BUDGETS - FY 2004					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
New Haven PSD I	1,241,000	03/02/00	05/28/03	06/06/03	
New Haven PSD II	767,354	09/21/00	05/28/03	06/06/03	
New Haven PSD III	732,646	03/29/01	05/28/03	06/06/03	
River Road PSD	1,068,500	10/25/01	05/29/03	12/05/05	
Shepherdstown, Corp. of	699,459	06/13/03		12/07/05	
Shepherdstown, Corp. of	1,300,541	06/13/03		12/07/05	
Summersville, City of	2,388,495	12/18/02	05/28/03		

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DWTRF - OPERATING BUDGETS - FY 2005					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bath, Town of	1,150,000	12/09/02			
Bluewell PSD	1,275,000	08/29/00			
Boone County PSD	2,130,000	04/26/00		07/12/04	
Clarksburg, City of	2,383,850	04/23/01		05/10/04	
Cowen PSD	400,000	12/29/04		07/15/05	
	800,000	12/29/04		07/15/05	
Delbarton, Town of	258,000	07/18/01		06/15/05	
Eastern Wyoming PSD	360,000	10/16/01		07/08/04	
Eastern Wyoming PSD	570,000	08/24/04			
Fort Gay, Town of	305,000	07/19/99			
Franklin, Town of	2,528,623	12/17/03		03/21/05	
Gary, City of	1,786,911	08/01/00			
Gilmer County PSD	1,639,000	04/19/05			
Grandview-Doolin PSD	1,483,979	05/02/00		07/19/04	
Hamrick PSD	760,000	03/31/03		07/01/04	
Marlinton, Town of	705,400	11/20/98			

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DWTRF - OPERATING BUDGETS - FY 2005					
July 12, 2007					
	Loan	Date	Date of	Date Budget	
LGA	Amount	Closed	LGA Letter(s)	Received	Comments
Martinsburg, City of	7,564,000	09/27/00		03/29/04	
Mason County PSD	960,000	06/28/00		06/01/04	
Mason County PSD					
(Camp Conley)	602,000	06/08/04			
Mason, Town of	650,000	05/10/01		11/18/04	
New Haven PSD I	1,241,000	03/02/00			
New Haven PSD II	767,354	09/21/00			
New Haven PSD III	732,646	03/29/01			
River Road PSD	1,068,500	10/25/01		12/05/05	
Shepherdstown, Corp. of	699,459	06/13/03		06/23/04	
Shepherdstown, Corp. of	1,300,541	06/13/03		06/23/04	
Summersville, City of	2,388,495	12/18/02			
Wayne, Town of	1,925,000	10/21/04			

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DWTRF - OPERATING BUDGETS - FY 2006					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bath, Town of	1,150,000	12/09/02	05/23/05	07/14/05	
Bluewell PSD	1,275,000	08/29/00	05/23/05	07/25/05	
Boone County PSD	2,130,000	04/26/00	05/23/05	05/31/05	
Clarksburg, City of	2,383,850	04/23/01	05/23/05	05/13/05	
Cowen PSD	400,000	12/29/04			
	800,000	12/29/04			
Delbarton, Town of	258,000	07/18/01	05/23/05	06/15/05	
Eastern Wyoming PSD	360,000	10/16/01	05/23/05		
	570,000	08/24/04			
	2,570,000	01/12/06			
Fort Gay, Town of	305,000	07/19/99	05/23/05	01/11/06	
Franklin, Town of	2,528,623	12/17/03	05/23/05	03/18/05	
Gary, City of	1,786,911	08/01/00	05/23/05		
Gilmer County PSD	1,639,000	04/19/05			
Grandview-Doolin PSD	1,483,979	05/02/00	05/23/05	07/18/05	
Hamrick PSD	760,000	03/31/03	05/23/05	10/31/05	
Kenova, City of	9,986,213	09/27/05			

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DWTRF - OPERATING BUDGETS - FY 2006					
July 12, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Marlinton, Town of	705,400	11/20/98	05/23/05		
Martinsburg, City of	7,564,000	09/27/00	05/23/05	03/28/05	
Mason County PSD	960,000	06/28/00	05/23/05	06/21/05	
Mason County PSD (Camp Conley)	602,000	06/08/04	05/23/05	06/21/05	
Mason, Town of	650,000	05/10/01	05/23/05	05/26/05	
New Haven PSD I	1,241,000	03/02/00	05/23/05	07/13/05	
New Haven PSD II	767,354	09/21/00	05/23/05	07/13/05	
New Haven PSD III	732,646	03/29/01	05/23/05	07/13/05	
River Road PSD	1,068,500	10/25/01	05/23/05	6/6/2005*	
Shepherdstown, Corp. of	699,459	06/13/03	05/23/05	04/07/05	
Shepherdstown, Corp. of	1,300,541	06/13/03	05/23/05	04/07/05	
Summersville, City of	2,388,495	12/18/02	05/23/05		
Wayne, Town of	1,925,000	10/21/04			
Weirton, City of	2,555,000	04/25/06			
*Board approved budget received 10/17/05					

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DWTRF - OPERATING BUDGETS - FY 2007					
September 7, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Bath, Town of	1,150,000	12/09/02		07/19/07	
	1,250,000	08/29/06		07/19/07	
Bluewell PSD	1,275,000	08/29/00		07/11/07	
Boone County PSD	2,130,000	04/26/00		05/11/06	
Ceredo, Town of	2,700,000	06/14/07			
Clarksburg, City of	2,383,850	04/23/01		05/15/06	
Cowen PSD	400,000	12/29/04			
	800,000	12/29/04			
Delbarton, Town of	258,000	07/18/01			
Eastern Wyoming PSD	360,000	10/16/01			
	570,000	08/24/04			
	2,570,000	01/12/06			
Fort Gay, Town of	305,000	07/19/99			
Franklin, Town of	2,528,623	12/17/03		03/10/06	
Gary, City of	1,786,911	08/01/00			
Gilmer County PSD	1,639,000	04/19/05			
Grandview-Doolin PSD	1,483,979	05/02/00		11/15/06	
Hamrick PSD	760,000	03/31/03		07/10/06	

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DWTRF - OPERATING BUDGETS - FY 2007					
September 7, 2007					
LGA	Loan Amount	Date Closed	Date of LGA Letter(s)	Date Budget Received	Comments
Kenova, City of	9,986,213	09/27/05			
Marlinton, Town of	705,400	11/20/98		06/21/06	
Martinsburg, City of	7,564,000	09/27/00		03/30/06	
Mason County PSD	960,000	06/28/00			
Mason County PSD (Camp Conley)	602,000	06/08/04			
Mason, Town of	650,000	05/10/01			
Masontown, Town of	635,500	03/12/07			
New Haven PSD I	1,241,000	03/02/00			
New Haven PSD II	767,354	09/21/00			
New Haven PSD III	732,646	03/29/01			
River Road PSD	1,068,500	10/25/01		09/21/06	
Shepherdstown, Corp. of	699,459	06/13/03		05/05/06	
Shepherdstown, Corp. of	1,300,541	06/13/03		05/05/06	
Summersville, City of	2,388,495	12/18/02			
Wayne, Town of	1,925,000	10/21/04		07/12/07	
Weirton, City of	2,555,000	04/25/06			

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