AZ0407763	5	SOX
AZ0407763	7	SIA
AZ0407763	9	SOX
AZ0407765	12	SOX
AZ0407765	13	SOX
AZ0407765	15	SOX
AZ0407765	16	SOX
AZ0407765	17	SOX
AZ0407765	18	SIA
AZ0407765	19	SIA
AZ0407765	22	SOX
AZ0407765	26	SOX
AZ0407765	27	SOX
AZ0407765	28	SOX
AZ0407765	29	SOX
AZ0407767	1	SOX
AZ0407767	2	SIA
AZ0407767	3	SOX
AZ0407767	4	SOX
AZ0407782	1	SFJ
AZ0407782	10	SOX
AZ0407782	11	SIA
AZ0407782	12	SOX
AZ0407782	2	SIA
AZ0407782	5	SOX
AZ0407782	6	SOX
AZ0407782	7	SIA
AZ0407782	8	SOX
AZ0407782	9	SIA
AZ0407783	10	SIA
AZ0407783	11	SOX
AZ0407783	12	SIA
AZ0407783	13	SOX
AZ0407783	14	SIA
AZ0407783	15	SIA
AZ0407783	16	SOX
AZ0407783	17	SOX
AZ0407783	3	SIA
AZ0407783	4	SIA
AZ0407783	5	SOX
AZ0407783	6	SIA
AZ0407783	7	SOX
AZ0407783	8	SOX
AZ0407783	9	SIA
AZ0407789	1	SOX
AZ0407789	10	SIA
AZ0407789	11	SOX

St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	5/30/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Compliance achieved	12/2/2011	2011	AZ
St Compliance achieved	6/27/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Compliance achieved	8/21/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	3/23/2009	2009	AZ
St Formal NOV issued	12/10/2007	2007	AZ
St Compliance achieved	5/13/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	12/30/2011	2011	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/16/2010	2010	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	3/14/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/17/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	3/26/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ

AZ0407789	12	SOX
AZ0407789	13	SOX
AZ0407789	14	SIA
AZ0407789	15	SOX
AZ0407789	16	SOX
AZ0407789	3	SIA
AZ0407789	4	SFN
AZ0407789	5	SIA
AZ0407789	7	SOX
AZ0407789	8	SIA
AZ0407789	9	SOX
AZ0407790	1	SIA
AZ0407790	10	SOX
AZ0407790	11	SOX
AZ0407790	12	SOX
AZ0407790	13	SIA
AZ0407790	15	SIA
AZ0407790	2	SOX
AZ0407790	3	SIA
AZ0407790	4	SOX
AZ0407790	5	SIA
AZ0407790	6	SOX
AZ0407790	7	SIA
AZ0407790	8	SOX
AZ0407792	1	SIA
AZ0407792	2	SIA
AZ0407792	3	SOX
AZ0407792	4	SIA
AZ0407792	6	SOX
AZ0407854	194	SOX
AZ0407864	1	SOX
AZ0407864	13	SOX
AZ0407864	14	SOX
AZ0407864	15	SIA
AZ0407864	16	SOX
AZ0407864	17	SOX
AZ0407864	18	SIA
AZ0407864	19	SIA
AZ0407864	20	SOX
AZ0407864	21	SOX
AZ0407864	22	SIA
AZ0407864	26	SOX
AZ0407864	27	SIA
AZ0407864	28	SOX
AZ0407867	118	SO0
AZ0407875	58	SOX
AZ0407875	59	SOX

St Compliance achieved	1/24/2013	2013	AZ
St Compliance achieved	1/24/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Compliance achieved	4/12/2013	2013	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Show-cause Hearing	8/13/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	2/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	8/22/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	3/25/2010	2010	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Violation/Reminder Notice	4/28/2014	2014	AZ
St Compliance achieved	7/21/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/7/2010	2010	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/15/2011	2011	AZ
St Compliance achieved	2/29/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/2/2013	2013	AZ
State No Longer Subject to Rule	2/18/2011	2011	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ

AZ0407875	60	SIA
AZ0407875	61	SOX
AZ0407875	62	SIA
AZ0407875	63	SOX
AZ0407875	64	SOX
AZ0407875	65	SOX
AZ0407875	66	SIA
AZ0407875	67	SIA
AZ0407875	68	SOX
AZ0407875	69	SOX
AZ0407875	75	SOX
AZ0407875	76	SIA
AZ0407875	77	SOX
AZ0407900	1707	SOX
AZ0407900	1752	SOX
AZ0407900	1753	SOX
AZ0407900	1754	SOX
AZ0407900	1755	SIA
AZ0407900	1756	SIA
AZ0407900	1757	SOX
AZ0407900	1758	SOX
AZ0407900	1759	SOX
AZ0407900	1760	SOX
AZ0407900	1761	SOX
AZ0407900	1763	SOX
AZ0407900	1764	SIA
AZ0407900	1765	SIA
AZ0407900	1766	SIA
AZ0407900	1767	SIA
AZ0407900	1768	SIA
AZ0407900	1770	SIA
AZ0407900	1771	SOX
AZ0407900	1772	SOX
AZ0407900	1773	SOX
AZ0407900	1774	SIA
AZ0407900	1775	SOX
AZ0407900	1776	SOX
AZ0407900	1777	SOX
AZ0407900	1779	SIA
AZ0407900	1780	SOX
AZ0407900	1781	SIA
AZ0407900	1782	SOX
AZ0407900	1783	SIA
AZ0407900	1784	SIA
AZ0407900	1785	SOX
AZ0407900	1786	SOX
AZ0407900	1787	SIA

St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Compliance achieved	10/27/2011	2011	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	1/3/2007	2007	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	12/10/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	7/9/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Compliance achieved	6/30/2009	2009	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	3/23/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	6/4/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/1/2011	2011	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	8/15/2011	2011	AZ
St Compliance achieved	9/20/2011	2011	AZ
St Compliance achieved	9/21/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	2/8/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/18/2012	2012	AZ
St Violation/Reminder Notice	9/27/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/31/2013	2013	AZ
St Compliance achieved	1/31/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ

AZ0407900	1788	SIA
AZ0407900	1789	SOX
AZ0407900	1790	SOX
AZ0407900	1791	SIA
AZ0407900	1792	SIA
AZ0407900	1793	SOX
AZ0407900	1794	SOX
AZ0407901	1	SOX
AZ0407901	5	SIA
AZ0407901	6	SOX
AZ0407901	9	SOX
AZ0407902	107	SIA
AZ0407902	108	SOX
AZ0407902	109	SIA
AZ0407902	110	SOX
AZ0407902	112	SOX
AZ0407902	113	SOX
AZ0407902	114	SOX
AZ0407902	115	SIA
AZ0407902	116	SIA
AZ0407902	118	SIA
AZ0407902	120	SIA
AZ0407902	121	SOX
AZ0407902	122	SIA
AZ0407902	123	SIA
AZ0407902	124	SOX
AZ0407902	125	SOX
AZ0407902	126	SOX
AZ0407902	127	SIA
AZ0407902	128	SIA
AZ0407902	131	SOX
AZ0407902	132	SOX
AZ0407902	133	SIA
AZ0407902	136	SOX
AZ0407902	137	SIA
AZ0407902	139	SOX
AZ0407902	140	SIA
AZ0407902	141	SOX
AZ0407902	143	SOX
AZ0407902	145	SOX
AZ0407903	117	SOX
AZ0407903	119	SOX
AZ0407903	124	SOX
AZ0407903	125	SOX
AZ0407903	127	SIA
AZ0407903	128	SOX
AZ0407903	129	SOX

St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	4/26/2013	2013	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	10/24/2013	2013	AZ
St Compliance achieved	7/5/2013	2013	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/9/2008	2008	AZ
St Compliance achieved	12/31/2009	2009	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	10/24/2007	2007	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Compliance achieved	4/25/2008	2008	AZ
St Compliance achieved	5/13/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/16/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Compliance achieved	6/10/2009	2009	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/2/2010	2010	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/10/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/26/2011	2011	AZ
St Compliance achieved	1/19/2012	2012	AZ
St Compliance achieved	4/25/2011	2011	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/8/2008	2008	AZ
St Compliance achieved	6/25/2009	2009	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/8/2014	2014	AZ
St Compliance achieved	10/8/2013	2013	AZ

AZ0407904	10	SOX
AZ0407904	11	SOX
AZ0407904	12	SOX
AZ0407904	13	SOX
AZ0407904	14	SIA
AZ0407904	15	SIA
AZ0407904	17	SOX
AZ0407904	18	SO0
AZ0407904	19	SOX
AZ0407904	2	SOX
AZ0407904	20	SOX
AZ0407904	21	SOX
AZ0407904	5	SOX
AZ0407904	7	SOX
AZ0407904	8	SIA
AZ0407904	9	SOX
AZ0407905	2	SOX
AZ0407905	4	SOX
AZ0407905	6	SIA
AZ0407906	12	SIA
AZ0407906	13	SOX
AZ0407906	17	SIA
AZ0407906	18	SOX
AZ0407907	1	SOX
AZ0407907	2	SO0
AZ0407907	3	SOX
AZ0407908	2	SOX
AZ0407908	5	SIA
AZ0407908	6	SOX
AZ0407909	3	SIA
AZ0407909	4	SOX
AZ0407909	5	SIA
AZ0407909	6	SOX
AZ0407911	1	SIA
AZ0407911	2	SOX
AZ0407911	3	SOX
AZ0407911	6	SOX
AZ0407912	2	SFO
AZ0407912	3	SIA
AZ0407912	4	SOX
AZ0407912	5	SIA
AZ0407912	6	SO0
AZ0408001	3148	SIA
AZ0408001	3149	SOX
AZ0408001	3150	SIA
AZ0408001	3151	SOX
AZ0408001	3152	SOX

St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	1/31/2007	2007	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Compliance achieved	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	6/30/2009	2009	AZ
State No Longer Subject to Rule	9/25/2009	2009	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	10/18/2007	2007	AZ
St Compliance achieved	2/27/2008	2008	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	10/28/2009	2009	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	5/14/2008	2008	AZ
State No Longer Subject to Rule	3/18/2009	2009	AZ
St Compliance achieved	6/30/2009	2009	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/21/2014	2014	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	10/24/2012	2012	AZ
St Compliance achieved	9/13/2013	2013	AZ
St AO (w/penalty) issued	2/23/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
State No Longer Subject to Rule	3/1/2012	2012	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ

AZ0408001	3153	SOX
AZ0408001	3155	SIA
AZ0408001	3156	SIA
AZ0408001	3160	SOX
AZ0408001	3161	SOX
AZ0408001	3162	SIA
AZ0408001	3163	SIA
AZ0408001	3164	SFJ
AZ0408001	3165	SIA
AZ0408001	3166	SIA
AZ0408001	3167	SIA
AZ0408001	3168	SOX
AZ0408001	3169	SOX
AZ0408001	3170	SOX
AZ0408001	3171	SOX
AZ0408001	3172	SOX
AZ0408003	2350	SOX
AZ0408003	2351	SIA
AZ0408003	2352	SOX
AZ0408003	2353	SOX
AZ0408003	2354	SOX
AZ0408003	2355	SIA
AZ0408003	2356	SIA
AZ0408003	2357	SOX
AZ0408003	2358	SOX
AZ0408003	2360	SOX
AZ0408003	2361	SOX
AZ0408003	2362	SIA
AZ0408003	2363	SOX
AZ0408003	2365	SOX
AZ0408003	2366	SOX
AZ0408003	2367	SIA
AZ0408003	2368	SIA
AZ0408003	2369	SIA
AZ0408003	2371	SIA
AZ0408003	2372	SOX
AZ0408003	2373	SOX
AZ0408003	2374	SIA
AZ0408003	2375	SOX
AZ0408003	2376	SIA
AZ0408003	2378	SIA
AZ0408003	2379	SOX
AZ0408003	2380	SOX
AZ0408003	2381	SIA
AZ0408003	2385	SIA
AZ0408003	2386	SIA
AZ0408003	2387	SIA

St Compliance achieved	2/12/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	3/18/2009	2009	AZ
St Violation/Reminder Notice	8/22/2008	2008	AZ
St Violation/Reminder Notice	8/22/2008	2008	AZ
St Formal NOV issued	3/27/2013	2013	AZ
St Violation/Reminder Notice	5/2/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	5/24/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	8/25/2011	2011	AZ
St Compliance achieved	9/27/2012	2012	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	9/5/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Compliance achieved	7/21/2008	2008	AZ
St Compliance achieved	10/23/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	4/20/2009	2009	AZ
St Compliance achieved	5/14/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/10/2009	2009	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	11/13/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	1/26/2010	2010	AZ
St Compliance achieved	1/26/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ

AZ0408003	2391	SIA
AZ0408003	2393	SIA
AZ0408003	2394	SOX
AZ0408003	2395	SOX
AZ0408003	2398	SOX
AZ0408003	2399	SIA
AZ0408003	2400	SOX
AZ0408003	2401	SIA
AZ0408003	2402	SOX
AZ0408003	2403	SOX
AZ0408003	2404	SIA
AZ0408003	2406	SIA
AZ0408003	2407	SIA
AZ0408003	2408	SOX
AZ0408003	2409	SOX
AZ0408003	2410	SOX
AZ0408003	2411	SIA
AZ0408003	2413	SOX
AZ0408003	2414	SOX
AZ0408003	2415	SOX
AZ0408003	2416	SIA
AZ0408003	2418	SIA
AZ0408003	2420	SIA
AZ0408003	2422	SIA
AZ0408003	2424	SIA
AZ0408003	2426	SIA
AZ0408003	2428	SIA
AZ0408003	2430	SIA
AZ0408003	2431	SIA
AZ0408003	2432	SIA
AZ0408003	2434	SIA
AZ0408003	2436	SIA
AZ0408003	2438	SIA
AZ0408003	2440	SIA
AZ0408003	2442	SIA
AZ0408003	2444	SIA
AZ0408003	2446	SIA
AZ0408003	2448	SIA
AZ0408003	2450	SIA
AZ0408003	2452	SIA
AZ0408003	2454	SIA
AZ0408003	2455	SIA
AZ0408003	2456	SIA
AZ0408003	2458	SIA
AZ0408003	2460	SIA
AZ0408003	2462	SIA
AZ0408003	2464	SIA

St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	6/8/2010	2010	AZ
St Compliance achieved	6/18/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/21/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	1/27/2011	2011	AZ
St Compliance achieved	2/14/2011	2011	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Violation/Reminder Notice	6/19/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ

AZ0408003	2466	SIA
AZ0408003	2467	SIA
AZ0408003	2468	SIA
AZ0408003	2469	SIA
AZ0408003	2470	SIA
AZ0408003	2471	SIA
AZ0408003	2472	SIA
AZ0408003	2473	SIA
AZ0408003	2474	SIA
AZ0408003	2475	SIA
AZ0408003	2476	SIA
AZ0408003	2477	SIA
AZ0408003	2478	SIA
AZ0408003	2479	SIA
AZ0408003	2480	SIA
AZ0408003	2481	SIA
AZ0408003	2482	SIA
AZ0408003	2483	SIA
AZ0408003	2484	SIA
AZ0408003	2485	SIA
AZ0408003	2486	SIA
AZ0408003	2487	SIA
AZ0408003	2488	SIA
AZ0408003	2489	SIA
AZ0408003	2490	SIA
AZ0408003	2491	SIA
AZ0408003	2492	SIA
AZ0408003	2493	SIA
AZ0408003	2494	SIA
AZ0408003	2495	SIA
AZ0408003	2496	SIA
AZ0408003	2497	SIA
AZ0408003	2498	SIA
AZ0408003	2499	SIA
AZ0408003	2500	SIA
AZ0408003	2501	SIA
AZ0408003	2502	SIA
AZ0408003	2503	SIA
AZ0408003	2504	SIA
AZ0408003	2505	SIA
AZ0408003	2506	SIA
AZ0408003	2507	SOX
AZ0408003	2508	SOX
AZ0408003	2509	SOX
AZ0408003	2510	SOX
AZ0408003	2511	SOX
AZ0408003	2512	SOX

St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Compliance achieved	8/27/2013	2013	AZ
St Compliance achieved	6/12/2013	2013	AZ

AZ0408005	1937	SOX
AZ0408005	1938	SIA
AZ0408005	1941	SIA
AZ0408005	1942	SOX
AZ0408005	1943	SIA
AZ0408005	1944	SOX
AZ0408005	1945	SOX
AZ0408005	1946	SOX
AZ0408005	1947	SOX
AZ0408005	1948	SFJ
AZ0408005	1950	SOX
AZ0408005	1951	SIA
AZ0408005	1952	SFJ
AZ0408005	1953	SOX
AZ0408005	1954	SIA
AZ0408005	1955	SIA
AZ0408005	1956	SOX
AZ0408005	1957	SOX
AZ0408005	1958	SOX
AZ0408005	1959	SO0
AZ0408005	1961	SOX
AZ0408005	1962	SIA
AZ0408005	1963	SIA
AZ0408005	1964	SOX
AZ0408005	1965	SOX
AZ0408005	1966	SOX
AZ0408005	1967	SIA
AZ0408005	1968	SOX
AZ0408006	1107	SO0
AZ0408006	1141	SOX
AZ0408006	1142	SOX
AZ0408006	1143	SIA
AZ0408006	1144	SOX
AZ0408006	1145	SOX
AZ0408006	1146	SIA
AZ0408006	1147	SOX
AZ0408006	1148	SOX
AZ0408006	1149	SOX
AZ0408006	1150	SIA
AZ0408006	1151	SOX
AZ0408006	1152	SOX
AZ0408006	1153	SIA
AZ0408006	1154	SOX
AZ0408006	1157	SOX
AZ0408006	1160	SOX
AZ0408006	1161	SOX
AZ0408006	1162	SOX

St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	8/17/2010	2010	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/26/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Compliance achieved	5/11/2010	2010	AZ
St Formal NOV issued	7/15/2010	2010	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Formal NOV issued	1/24/2013	2013	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/7/2013	2013	AZ
St Compliance achieved	3/14/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
State No Longer Subject to Rule	9/23/2013	2013	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/5/2014	2014	AZ
St Compliance achieved	6/5/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	3/22/2011	2011	AZ
State No Longer Subject to Rule	1/3/2007	2007	AZ
St Compliance achieved	2/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	4/2/2008	2008	AZ
St Violation/Reminder Notice	12/26/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	1/20/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Compliance achieved	7/8/2013	2013	AZ

AZ0408006	1163	SIA
AZ0408006	1164	SOX
AZ0408006	1165	SIA
AZ0408007	509	SOX
AZ0408007	576	SOX
AZ0408007	577	SOX
AZ0408007	578	SOX
AZ0408007	579	SIA
AZ0408007	580	SOX
AZ0408007	581	SIA
AZ0408007	582	SIA
AZ0408007	584	SIA
AZ0408007	585	SOX
AZ0408007	586	SIA
AZ0408007	587	SOX
AZ0408007	588	SOX
AZ0408007	589	SIA
AZ0408007	590	SIA
AZ0408007	594	SOX
AZ0408007	595	SIA
AZ0408007	596	SOX
AZ0408008	3120	SOX
AZ0408008	3216	SOX
AZ0408008	3217	SOX
AZ0408008	3218	SIA
AZ0408008	3219	SOX
AZ0408008	3221	SOX
AZ0408013	608	SIA
AZ0408013	609	SOX
AZ0408013	610	SIA
AZ0408013	611	SOX
AZ0408013	612	SOX
AZ0408013	613	SOX
AZ0408013	616	SOX
AZ0408013	617	SIA
AZ0408013	620	SIA
AZ0408013	622	SIA
AZ0408013	623	SOX
AZ0408013	624	SIA
AZ0408013	625	SIA
AZ0408013	626	SOX
AZ0408013	627	SOX
AZ0408013	629	SIA
AZ0408013	630	SIA
AZ0408013	631	SIA
AZ0408013	632	SOX
AZ0408013	633	SIA

St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Compliance achieved	3/8/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/28/2014	2014	AZ
St Compliance achieved	6/21/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	5/13/2008	2008	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	2/2/2009	2009	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Compliance achieved	5/17/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	8/6/2012	2012	AZ
St Violation/Reminder Notice	9/17/2012	2012	AZ

AZ0408013	634	SFJ
AZ0408013	635	SOX
AZ0408013	637	SIA
AZ0408013	638	SOX
AZ0408013	639	SOX
AZ0408013	640	SIA
AZ0408013	641	SIA
AZ0408013	642	SOX
AZ0408013	643	SOX
AZ0408014	6095	SOX
AZ0408014	6096	SIA
AZ0408014	6098	SIA
AZ0408014	6099	SOX
AZ0408014	6103	SIA
AZ0408014	6104	SIA
AZ0408014	6105	SOX
AZ0408014	6106	SOX
AZ0408014	6107	SOX
AZ0408014	6108	SOX
AZ0408014	6110	SIA
AZ0408014	6112	SOX
AZ0408014	6113	SIA
AZ0408014	6114	SOX
AZ0408014	6115	SIA
AZ0408014	6116	SOX
AZ0408014	6117	SOX
AZ0408014	6118	SOX
AZ0408014	6119	SIA
AZ0408014	6120	SOX
AZ0408014	6121	SOX
AZ0408014	6123	SFJ
AZ0408014	6126	SIA
AZ0408014	6127	SOX
AZ0408014	6128	SOX
AZ0408014	6129	SIA
AZ0408014	6130	SIA
AZ0408014	6131	SOX
AZ0408015	3707	SOX
AZ0408015	3766	SOX
AZ0408015	3767	SOX
AZ0408015	3768	SOX
AZ0408015	3770	SIA
AZ0408015	3771	SIA
AZ0408015	3773	SOX
AZ0408015	3774	SIA
AZ0408015	3776	SOX
AZ0408015	3777	SOX

St Formal NOV issued	4/22/2011	2011	AZ
St Compliance achieved	10/18/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/20/2013	2013	AZ
St Compliance achieved	9/3/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/31/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	4/7/2014	2014	AZ
St Compliance achieved	4/12/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	4/2/2009	2009	AZ
St Compliance achieved	4/2/2009	2009	AZ
St Compliance achieved	3/12/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	8/22/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Compliance achieved	5/15/2009	2009	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	12/10/2012	2012	AZ
St Formal NOV issued	1/18/2013	2013	AZ
St Violation/Reminder Notice	4/22/2013	2013	AZ
St Compliance achieved	7/29/2013	2013	AZ
St Compliance achieved	11/30/2009	2009	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	7/30/2014	2014	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	2/29/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	1/14/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/2/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Compliance achieved	7/12/2012	2012	AZ

AZ0408016	718	SIA
AZ0408016	719	SIA
AZ0408016	720	SOX
AZ0408016	721	SOX
AZ0408016	722	SIA
AZ0408016	723	SIA
AZ0408016	724	SIA
AZ0408016	725	SIA
AZ0408016	726	SIA
AZ0408016	727	SIA
AZ0408016	728	SOX
AZ0408016	729	SIA
AZ0408016	730	SOX
AZ0408016	731	SIA
AZ0408016	732	SIA
AZ0408016	733	SIA
AZ0408016	734	SOX
AZ0408017	4396	SIA
AZ0408017	4398	SOX
AZ0408017	4399	SIA
AZ0408017	4400	SOX
AZ0408017	4401	SOX
AZ0408017	4402	SOX
AZ0408017	4408	SIA
AZ0408017	4409	SOX
AZ0408017	4413	SOX
AZ0408017	4414	SOX
AZ0408017	4415	SIA
AZ0408017	4417	SOX
AZ0408017	4418	SIA
AZ0408017	4419	SIA
AZ0408017	4420	SOX
AZ0408017	4421	SIA
AZ0408017	4422	SOX
AZ0408017	4423	SIA
AZ0408017	4426	SOX
AZ0408017	4427	SIA
AZ0408017	4428	SOX
AZ0408017	4430	SIA
AZ0408017	4436	SOX
AZ0408017	4437	SIA
AZ0408017	4438	SOX
AZ0408017	4439	SIA
AZ0408017	4440	SIA
AZ0408017	4441	SOX
AZ0408017	4442	SOX
AZ0408017	4443	SIA

St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/20/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/29/2013	2013	AZ
St Violation/Reminder Notice	9/17/2013	2013	AZ
St Compliance achieved	9/30/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/27/2014	2014	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	6/24/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/31/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	11/16/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	9/20/2010	2010	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/20/2012	2012	AZ
St Compliance achieved	8/22/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	12/31/2008	2008	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/13/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	12/7/2013	2013	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ

AZ0408017	4444	SIA
AZ0408018	3343	SOX
AZ0408018	3345	SIA
AZ0408018	3346	SIA
AZ0408018	3349	SIA
AZ0408018	3350	SOX
AZ0408018	3351	SOX
AZ0408018	3352	SIA
AZ0408018	3353	SIA
AZ0408018	3355	SOX
AZ0408018	3356	SIA
AZ0408018	3358	SIA
AZ0408018	3359	SOX
AZ0408018	3360	SOX
AZ0408018	3364	SFJ
AZ0408018	3369	SIA
AZ0408018	3371	SIA
AZ0408018	3372	SOX
AZ0408018	3373	SOX
AZ0408018	3374	SOX
AZ0408018	3375	SIA
AZ0408018	3377	SIA
AZ0408018	3378	SIA
AZ0408018	3379	SOX
AZ0408018	3380	SIA
AZ0408018	3381	SFJ
AZ0408018	3382	SIA
AZ0408018	3383	SFL
AZ0408018	3384	SOX
AZ0408018	3385	SOX
AZ0408018	3386	SOX
AZ0408018	3387	SOX
AZ0408019	6228	SOX
AZ0408020	3609	SOX
AZ0408020	3799	SOX
AZ0408020	3800	SIA
AZ0408020	3807	SOX
AZ0408020	3819	SIA
AZ0408020	3820	SOX
AZ0408020	3821	SOX
AZ0408020	3822	SOX
AZ0408020	3823	SOX
AZ0408020	3824	SOX
AZ0408020	3828	SIA
AZ0408020	3829	SOX
AZ0408020	3830	SOX
AZ0408020	3831	SOX

St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/15/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/5/2009	2009	AZ
St Compliance achieved	12/7/2009	2009	AZ
St Formal NOV issued	3/11/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	5/13/2010	2010	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	1/22/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	4/7/2010	2010	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Formal NOV issued	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St AO (w/o penalty) issued	7/2/2014	2014	AZ
St Compliance achieved	9/4/2014	2014	AZ
St Compliance achieved	6/9/2014	2014	AZ
St Compliance achieved	6/24/2013	2013	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Compliance achieved	1/15/2009	2009	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	4/16/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	6/22/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	5/15/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Compliance achieved	11/6/2008	2008	AZ

AZ0408020	3832	SIA
AZ0408020	3835	SOX
AZ0408020	3836	SOX
AZ0408020	3837	SOX
AZ0408020	3838	SOX
AZ0408020	3840	SOX
AZ0408020	3848	SIA
AZ0408020	3849	SIA
AZ0408020	3850	SIA
AZ0408020	3851	SIA
AZ0408020	3852	SIA
AZ0408020	3853	SIA
AZ0408020	3854	SIA
AZ0408020	3855	SOX
AZ0408020	3857	SOX
AZ0408020	3858	SIA
AZ0408020	3859	SIA
AZ0408020	3860	SIA
AZ0408020	3861	SOX
AZ0408020	3862	SOX
AZ0408020	3863	SOX
AZ0408020	3864	SOX
AZ0408020	3866	SOX
AZ0408020	3867	SOX
AZ0408020	3868	SOX
AZ0408020	3869	SOX
AZ0408020	3870	SIA
AZ0408020	3871	SOX
AZ0408020	3873	SOX
AZ0408020	3874	SIA
AZ0408020	3875	SOX
AZ0408021	3136	SIA
AZ0408021	3137	SIA
AZ0408021	3138	SIA
AZ0408021	3139	SOX
AZ0408021	3140	SOX
AZ0408021	3141	SOX
AZ0408021	3142	SOX
AZ0408021	3143	SOX
AZ0408021	3144	SOX
AZ0408021	3145	SOX
AZ0408021	3146	SIA
AZ0408021	3148	SIA
AZ0408021	3150	SIA
AZ0408021	3152	SIA
AZ0408021	3153	SOX
AZ0408021	3154	SOX

St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	11/21/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	7/15/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/3/2009	2009	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Compliance achieved	5/13/2009	2009	AZ
St Compliance achieved	5/28/2009	2009	AZ
St Compliance achieved	10/20/2010	2010	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Compliance achieved	5/12/2012	2012	AZ
St Compliance achieved	1/16/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	2/13/2012	2012	AZ
St Compliance achieved	7/31/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	1/24/2008	2008	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/12/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	5/18/2009	2009	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	9/3/2008	2008	AZ

AZ0408021	3155	SIA
AZ0408021	3158	SIA
AZ0408021	3159	SIA
AZ0408021	3160	SOX
AZ0408021	3161	SOX
AZ0408021	3162	SIA
AZ0408021	3164	SIA
AZ0408021	3166	SOX
AZ0408021	3168	SIA
AZ0408021	3169	SIA
AZ0408021	3171	SIA
AZ0408021	3172	SOX
AZ0408021	3173	SOX
AZ0408021	3174	SOX
AZ0408021	3175	SOX
AZ0408021	3176	SIA
AZ0408021	3184	SIA
AZ0408021	3186	SIA
AZ0408021	3187	SOX
AZ0408021	3188	SOX
AZ0408021	3189	SIA
AZ0408021	3190	SOX
AZ0408021	3191	SOX
AZ0408021	3192	SIA
AZ0408021	3195	SOX
AZ0408021	3196	SIA
AZ0408021	3197	SIA
AZ0408021	3198	SOX
AZ0408021	3199	SIA
AZ0408021	3200	SIA
AZ0408021	3202	SIA
AZ0408021	3203	SOX
AZ0408021	3204	SOX
AZ0408021	3205	SOX
AZ0408021	3206	SOX
AZ0408021	3207	SOX
AZ0408021	3208	SOX
AZ0408021	3209	SIA
AZ0408021	3210	SIA
AZ0408021	3211	SOX
AZ0408022	7307	SOX
AZ0408022	7534	SIA
AZ0408022	7535	SOX
AZ0408022	7536	SOX
AZ0408022	7545	SOX
AZ0408022	7546	SIA
AZ0408022	7547	SOX

St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/29/2008	2008	AZ
St Compliance achieved	10/26/2009	2009	AZ
St Compliance achieved	10/26/2009	2009	AZ
St Compliance achieved	10/30/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Compliance achieved	7/14/2010	2010	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	2/10/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	5/24/2012	2012	AZ
St Compliance achieved	3/21/2014	2014	AZ
St Compliance achieved	10/9/2013	2013	AZ
St Compliance achieved	6/10/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	6/26/2007	2007	AZ
St Compliance achieved	4/23/2008	2008	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/13/2012	2012	AZ

AZ0408022	7548	SOX
AZ0408022	7553	SOX
AZ0408022	7554	SOX
AZ0408022	7555	SOX
AZ0408022	7556	SIA
AZ0408024	16090	SOX
AZ0408024	16387	SOX
AZ0408024	16388	SOX
AZ0408024	16389	SOX
AZ0408024	16391	SOX
AZ0408024	16392	SOX
AZ0408024	16393	SOX
AZ0408024	16394	SOX
AZ0408024	16395	SIA
AZ0408024	16396	SOX
AZ0408024	16397	SIA
AZ0408024	16398	SIA
AZ0408024	16401	SOX
AZ0408024	16402	SIA
AZ0408024	16403	SOX
AZ0408024	16405	SIA
AZ0408024	16406	SIA
AZ0408024	16407	SOX
AZ0408024	16408	SIA
AZ0408024	16409	SIA
AZ0408024	16410	SOX
AZ0408024	16412	SIA
AZ0408024	16413	SOX
AZ0408024	16414	SIA
AZ0408024	16415	SIA
AZ0408024	16416	SOX
AZ0408024	16417	SOX
AZ0408024	16419	SO0
AZ0408024	16421	SOX
AZ0408024	16422	SIA
AZ0408024	16423	SOX
AZ0408024	16424	SOX
AZ0408024	16425	SOX
AZ0408024	16426	SOX
AZ0408024	16427	SOX
AZ0408024	16428	SOX
AZ0408024	16429	SOX
AZ0408024	16430	SIA
AZ0408024	16431	SOX
AZ0408024	16432	SOX
AZ0408024	16433	SOX
AZ0408025	2066	SO0

St Compliance achieved	7/21/2012	2012	AZ
St Compliance achieved	5/24/2011	2011	AZ
St Compliance achieved	7/10/2013	2013	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Compliance achieved	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/5/2013	2013	AZ
St Compliance achieved	7/27/2008	2008	AZ
State No Longer Subject to Rule	6/11/2013	2013	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	6/12/2014	2014	AZ
St Compliance achieved	7/7/2014	2014	AZ
St Compliance achieved	7/11/2014	2014	AZ
State No Longer Subject to Rule	5/27/2010	2010	AZ

AZ0408025	2067	SO0
AZ0408026	4705	SOX
AZ0408026	4771	SIA
AZ0408026	4772	SOX
AZ0408026	4773	SOX
AZ0408026	4774	SIA
AZ0408027	3754	SOX
AZ0408027	3755	SO0
AZ0408029	649	SOX
AZ0408029	650	SOX
AZ0408029	651	SIA
AZ0408029	652	SOX
AZ0408030	1426	SOX
AZ0408030	1427	SIA
AZ0408030	1428	SOX
AZ0408030	1429	SOX
AZ0408030	1430	SOX
AZ0408030	1431	SIA
AZ0408030	1432	SOX
AZ0408030	1433	SOX
AZ0408030	1435	SOX
AZ0408030	1436	SIA
AZ0408030	1437	SIA
AZ0408030	1438	SIA
AZ0408030	1439	SOX
AZ0408030	1440	SIA
AZ0408030	1441	SIA
AZ0408030	1442	SOX
AZ0408030	1443	SIA
AZ0408030	1444	SOX
AZ0408030	1447	SIA
AZ0408030	1448	SOX
AZ0408030	1449	SIA
AZ0408030	1450	SOX
AZ0408032	4607	SOX
AZ0408032	4712	SOX
AZ0408032	4714	SOX
AZ0408032	4716	SOX
AZ0408032	4719	SOX
AZ0408032	4720	SOX
AZ0408032	4721	SOX
AZ0408032	4722	SOX
AZ0408032	4723	SOX
AZ0408032	4726	SOX
AZ0408032	4727	SOX
AZ0408032	4729	SOX
AZ0408032	4730	SOX

State No Longer Subject to Rule	5/27/2010	2010	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Violation/Reminder Notice	12/26/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	6/25/2009	2009	AZ
St Violation/Reminder Notice	6/16/2008	2008	AZ
St Compliance achieved	6/11/2012	2012	AZ
State No Longer Subject to Rule	4/27/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	3/22/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	10/19/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/2/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/20/2009	2009	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Compliance achieved	3/29/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	3/2/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/19/2012	2012	AZ
St Violation/Reminder Notice	8/15/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/24/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	12/23/2008	2008	AZ
St Compliance achieved	12/23/2008	2008	AZ

AZ0408032	4731	SOX
AZ0408032	4732	SOX
AZ0408032	4774	SOX
AZ0408032	4776	SOX
AZ0408032	4777	SOX
AZ0408032	4778	SIA
AZ0408032	4779	SIA
AZ0408032	4780	SOX
AZ0408032	4781	SOX
AZ0408032	4788	SOX
AZ0408032	4789	SOX
AZ0408032	4790	SOX
AZ0408033	7619	SOX
AZ0408033	7620	SIA
AZ0408033	7621	SOX
AZ0408033	7622	SOX
AZ0408033	7623	SIA
AZ0408033	7624	SOX
AZ0408033	7626	SOX
AZ0408033	7627	SO0
AZ0408033	7629	SOX
AZ0408033	7631	SO0
AZ0408033	7633	SOX
AZ0408033	7634	SIA
AZ0408033	7635	SIA
AZ0408033	7638	SIA
AZ0408033	7639	SOX
AZ0408033	7640	SOX
AZ0408033	7641	SIA
AZ0408033	7644	SIA
AZ0408033	7645	SIA
AZ0408033	7646	SOX
AZ0408033	7647	SO0
AZ0408033	7648	SOX
AZ0408033	7649	SIA
AZ0408033	7650	SOX
AZ0408035	2829	SFL
AZ0408035	2830	SOX
AZ0408035	2831	SIA
AZ0408035	2835	SOX
AZ0408035	2837	SIA
AZ0408035	2839	SIA
AZ0408035	2841	SIA
AZ0408035	2842	SIA
AZ0408035	2844	SIA
AZ0408035	2845	SIA
AZ0408035	2847	SIA

St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/19/2009	2009	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	5/29/2012	2012	AZ
St Compliance achieved	7/25/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Compliance achieved	5/7/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
State No Longer Subject to Rule	11/20/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
State No Longer Subject to Rule	4/10/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	3/4/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Compliance achieved	6/22/2011	2011	AZ
St Violation/Reminder Notice	6/20/2008	2008	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
State No Longer Subject to Rule	1/1/2008	2008	AZ
St Compliance achieved	10/16/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St AO (w/o penalty) issued	5/29/2007	2007	AZ
St Compliance achieved	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ

AZ0408035	2850	SIA
AZ0408035	2851	SIA
AZ0408035	2852	SOX
AZ0408035	2853	SOX
AZ0408035	2854	SOX
AZ0408035	2855	SIA
AZ0408035	2856	SFJ
AZ0408035	2857	SOX
AZ0408035	2858	SIA
AZ0408035	2859	SIA
AZ0408035	2861	SIA
AZ0408035	2862	SIA
AZ0408035	2863	SOX
AZ0408035	2864	SOX
AZ0408035	2865	SIA
AZ0408035	2866	SIA
AZ0408035	2867	SOX
AZ0408035	2929	SIA
AZ0408035	2990	SIA
AZ0408035	2992	SIA
AZ0408035	2993	SOX
AZ0408035	2994	SOX
AZ0408035	2995	SIA
AZ0408035	2996	SOX
AZ0408035	2997	SOX
AZ0408035	2999	SIA
AZ0408035	3004	SOX
AZ0408035	3005	SIA
AZ0408035	3009	SIA
AZ0408035	3011	SIA
AZ0408035	3012	SIA
AZ0408035	3014	SIA
AZ0408035	3015	SOX
AZ0408035	3016	SIA
AZ0408035	3017	SIA
AZ0408035	3018	SOX
AZ0408035	3019	SIA
AZ0408035	3020	SIA
AZ0408035	3021	SFL
AZ0408035	3022	SOX
AZ0408035	3023	SOX
AZ0408035	3024	SIA
AZ0408035	3025	SOX
AZ0408035	3026	SOX
AZ0408035	3027	SIA
AZ0408035	3029	SOX
AZ0408035	3030	SFJ

St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/29/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	10/22/2007	2007	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/16/2009	2009	AZ
St Compliance achieved	12/16/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	2/17/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	10/12/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	12/17/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St AO (w/o penalty) issued	1/10/2011	2011	AZ
St Compliance achieved	1/18/2011	2011	AZ
St Compliance achieved	1/25/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Formal NOV issued	5/17/2011	2011	AZ

AZ0408035	3031	SIA
AZ0408035	3032	SIA
AZ0408035	3033	SIA
AZ0408035	3034	SIA
AZ0408035	3035	SOX
AZ0408035	3036	SOX
AZ0408035	3037	SIA
AZ0408035	3038	SOX
AZ0408035	3039	SIA
AZ0408035	3040	SIA
AZ0408035	3041	SOX
AZ0408035	3042	SIA
AZ0408035	3043	SOX
AZ0408035	3044	SOX
AZ0408035	3045	SFL
AZ0408035	3046	SIA
AZ0408035	3047	SOX
AZ0408035	3048	SOX
AZ0408035	3049	SFJ
AZ0408035	3050	SOX
AZ0408035	3051	SIA
AZ0408035	3052	SIA
AZ0408035	3054	SOX
AZ0408035	3056	SOX
AZ0408035	3057	SOX
AZ0408035	3058	SIA
AZ0408035	3059	SIA
AZ0408035	3060	SOX
AZ0408037	607	SOX
AZ0408037	610	SOX
AZ0408037	615	SOX
AZ0408037	617	SOX
AZ0408037	619	SOX
AZ0408037	620	SOX
AZ0408037	621	SOX
AZ0408037	622	SOX
AZ0408037	624	SOX
AZ0408037	625	SOX
AZ0408037	628	SOX
AZ0408037	629	SIA
AZ0408037	630	SOX
AZ0408038	2500	SOX
AZ0408038	2501	SOX
AZ0408038	2504	SOX
AZ0408038	2506	SIA
AZ0408038	2509	SIA
AZ0408038	2510	SOX

St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	4/13/2011	2011	AZ
St Compliance achieved	10/28/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/21/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	12/9/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	2/14/2012	2012	AZ
St Compliance achieved	3/13/2012	2012	AZ
St AO (w/o penalty) issued	2/27/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	6/8/2012	2012	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Formal NOV issued	7/25/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	1/11/2013	2013	AZ
St Compliance achieved	6/12/2013	2013	AZ
St Compliance achieved	11/2/2011	2011	AZ
St Compliance achieved	10/26/2011	2011	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	7/31/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	1/16/2008	2008	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	7/19/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	7/31/2009	2009	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ

AZ0408038	2511	SIA
AZ0408038	2512	SOX
AZ0408038	2513	SOX
AZ0408038	2514	SOX
AZ0408038	2515	SOX
AZ0408038	2516	SIA
AZ0408038	2517	SOX
AZ0408039	2219	SOX
AZ0408039	2220	SOX
AZ0408039	2221	SOX
AZ0408039	2223	SIA
AZ0408039	2224	SIA
AZ0408039	2226	SIA
AZ0408039	2227	SOX
AZ0408039	2228	SIA
AZ0408039	2229	SOX
AZ0408039	2230	SIA
AZ0408039	2232	SIA
AZ0408039	2233	SIA
AZ0408039	2235	SOX
AZ0408039	2237	SOX
AZ0408039	2239	SIA
AZ0408039	2240	SOX
AZ0408039	2241	SIA
AZ0408039	2242	SOX
AZ0408039	2244	SOX
AZ0408039	2245	SIA
AZ0408039	2246	SIA
AZ0408039	2247	SOX
AZ0408039	2250	SOX
AZ0408039	2251	SIA
AZ0408039	2252	SIA
AZ0408039	2253	SIA
AZ0408039	2254	SOX
AZ0408039	2255	SIA
AZ0408039	2256	SOX
AZ0408039	2257	SOX
AZ0408040	832	SOX
AZ0408040	847	SOX
AZ0408040	848	SOX
AZ0408040	849	SOX
AZ0408040	850	SIA
AZ0408040	853	SOX
AZ0408040	854	SOX
AZ0408040	855	SOX
AZ0408040	856	SOX
AZ0408040	857	SOX

St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/24/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Compliance achieved	6/20/2012	2012	AZ
St Compliance achieved	8/10/2007	2007	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	11/25/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Compliance achieved	11/18/2011	2011	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	1/14/2013	2013	AZ
St Compliance achieved	2/25/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Compliance achieved	11/27/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/21/2014	2014	AZ
St Compliance achieved	6/21/2014	2014	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	4/10/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	10/10/2007	2007	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	12/26/2007	2007	AZ

AZ0408040	858	SOX
AZ0408040	859	SOX
AZ0408040	860	SIA
AZ0408040	861	SOX
AZ0408040	863	SOX
AZ0408040	864	SOX
AZ0408041	1136	SOX
AZ0408041	1139	SOX
AZ0408041	1140	SIA
AZ0408041	1142	SOX
AZ0408041	1144	SIA
AZ0408041	1145	SOX
AZ0408041	1147	SIA
AZ0408041	1149	SOX
AZ0408041	1150	SOX
AZ0408041	1152	SOX
AZ0408041	1153	SOX
AZ0408042	2107	SOX
AZ0408042	2125	SIA
AZ0408042	2126	SIA
AZ0408042	2127	SIA
AZ0408042	2130	SOX
AZ0408042	2131	SOX
AZ0408042	2132	SOX
AZ0408042	2133	SOX
AZ0408042	2134	SOX
AZ0408042	2135	SIA
AZ0408042	2136	SOX
AZ0408042	2137	SIA
AZ0408042	2138	SIA
AZ0408042	2139	SIA
AZ0408042	2140	SIA
AZ0408042	2141	SIA
AZ0408042	2142	SIA
AZ0408042	2143	SFJ
AZ0408042	2144	SOX
AZ0408042	2145	SOX
AZ0408042	2147	SOX
AZ0408042	2148	SOX
AZ0408042	2149	SOX
AZ0408042	2150	SIA
AZ0408042	2151	SIA
AZ0408042	2152	SIA
AZ0408042	2153	SIA
AZ0408042	2155	SOX
AZ0408042	2156	SIA
AZ0408042	2157	SIA

St Compliance achieved	1/17/2008	2008	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	4/23/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	7/14/2009	2009	AZ
St Compliance achieved	10/11/2011	2011	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	7/29/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/1/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/29/2010	2010	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	10/10/2007	2007	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Formal NOV issued	10/14/2009	2009	AZ
St Compliance achieved	8/10/2007	2007	AZ
St Compliance achieved	11/18/2009	2009	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Compliance achieved	11/24/2009	2009	AZ
St Compliance achieved	12/15/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	4/7/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ

AZ0408042	2158	SIA
AZ0408042	2160	SIA
AZ0408042	2161	SOX
AZ0408042	2162	SOX
AZ0408042	2163	SOX
AZ0408042	2164	SOX
AZ0408042	2165	SIA
AZ0408042	2166	SOX
AZ0408042	2167	SOX
AZ0408042	2169	SOX
AZ0408042	2170	SOX
AZ0408042	2171	SIA
AZ0408042	2172	SIA
AZ0408042	2173	SOX
AZ0408042	2174	SOX
AZ0408042	2175	SOX
AZ0408042	2176	SIA
AZ0408042	2177	SIA
AZ0408042	2178	SOX
AZ0408042	2179	SOX
AZ0408042	2180	SIA
AZ0408042	2182	SIA
AZ0408042	2184	SOX
AZ0408042	2185	SOX
AZ0408042	2186	SIA
AZ0408042	2188	SIA
AZ0408042	2189	SOX
AZ0408042	2190	SFJ
AZ0408042	2191	SOX
AZ0408042	2192	SIA
AZ0408042	2193	SIA
AZ0408042	2194	SIA
AZ0408042	2195	SIA
AZ0408042	2196	SFL
AZ0408042	2197	SOX
AZ0408042	2198	SOX
AZ0408042	2199	SOX
AZ0408042	2200	SOX
AZ0408042	2201	SIA
AZ0408042	2202	SOX
AZ0408043	1735	SOX
AZ0408043	1736	SIA
AZ0408043	1737	SOX
AZ0408043	1738	SOX
AZ0408043	1739	SIA
AZ0408043	1742	SOX
AZ0408043	1743	SO0

St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	5/25/2010	2010	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Compliance achieved	1/25/2011	2011	AZ
St Compliance achieved	1/25/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Compliance achieved	5/6/2011	2011	AZ
St Compliance achieved	5/12/2011	2011	AZ
St Violation/Reminder Notice	6/10/2008	2008	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Compliance achieved	4/27/2011	2011	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/21/2013	2013	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	7/31/2013	2013	AZ
St Formal NOV issued	12/10/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St AO (w/o penalty) issued	2/14/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Compliance achieved	2/12/2014	2014	AZ
St Compliance achieved	2/12/2014	2014	AZ
St Compliance achieved	2/12/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/24/2014	2014	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/16/2007	2007	AZ
State No Longer Subject to Rule	9/24/2007	2007	AZ

AZ0408043	1745	SOX
AZ0408043	1746	SOX
AZ0408043	1748	SOX
AZ0408043	1750	SIA
AZ0408043	1751	SOX
AZ0408043	1752	SOX
AZ0408043	1753	SIA
AZ0408043	1754	SOX
AZ0408043	1762	SO0
AZ0408043	1763	SIA
AZ0408043	1764	SIA
AZ0408043	1765	SOX
AZ0408043	1766	SOX
AZ0408043	1767	SIA
AZ0408046	2428	SIA
AZ0408046	2429	SOX
AZ0408046	2430	SIA
AZ0408046	2431	SIA
AZ0408046	2432	SOX
AZ0408046	2433	SIA
AZ0408046	2435	SIA
AZ0408046	2437	SIA
AZ0408046	2438	SIA
AZ0408046	2440	SIA
AZ0408046	2441	SIA
AZ0408046	2443	SIA
AZ0408046	2444	SIA
AZ0408046	2446	SIA
AZ0408046	2447	SOX
AZ0408046	2448	SIA
AZ0408046	2452	SIA
AZ0408046	2454	SOX
AZ0408046	2455	SOX
AZ0408046	2456	SOX
AZ0408046	2457	SOX
AZ0408046	2458	SIA
AZ0408046	2461	SIA
AZ0408046	2462	SIA
AZ0408046	2463	SO0
AZ0408046	2464	SO0
AZ0408046	2465	SO0
AZ0408046	2469	SOX
AZ0408046	2470	SIA
AZ0408046	2471	SOX
AZ0408046	2472	SOX
AZ0408046	2473	SIA
AZ0408046	2474	SIA

St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	9/11/2009	2009	AZ
St Compliance achieved	1/13/2010	2010	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	3/24/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/18/2012	2012	AZ
State No Longer Subject to Rule	7/1/2012	2012	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	11/26/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	5/16/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
State No Longer Subject to Rule	6/9/2009	2009	AZ
State No Longer Subject to Rule	6/9/2009	2009	AZ
State No Longer Subject to Rule	6/9/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Compliance achieved	3/11/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ

AZ0408046	2475	SIA
AZ0408046	2477	SOX
AZ0408046	2478	SIA
AZ0408046	2479	SIA
AZ0408046	2480	SOX
AZ0408046	2481	SIA
AZ0408046	2482	SIA
AZ0408046	2483	SIA
AZ0408046	2484	SIA
AZ0408046	2485	SOX
AZ0408046	2486	SIA
AZ0408046	2487	SIA
AZ0408046	2488	SIA
AZ0408046	2490	SOX
AZ0408046	2491	SOX
AZ0408046	2492	SFJ
AZ0408046	2493	SOX
AZ0408046	2494	SIA
AZ0408046	2496	SIA
AZ0408046	2497	SIA
AZ0408046	2498	SOX
AZ0408046	2499	SIA
AZ0408046	2500	SOX
AZ0408046	2501	SOX
AZ0408046	2502	SOX
AZ0408046	2503	SOX
AZ0408046	2504	SIA
AZ0408046	2505	SOX
AZ0408046	2506	SOX
AZ0408046	2508	SIA
AZ0408046	2509	SOX
AZ0408046	2510	SIA
AZ0408046	2511	SOX
AZ0408046	2512	SIA
AZ0408046	2513	SOX
AZ0408046	2514	SIA
AZ0408046	2515	SOX
AZ0408046	2516	SOX
AZ0408046	2517	SOX
AZ0408046	2518	SOX
AZ0408046	2519	SIA
AZ0408047	2313	SOX
AZ0408047	2315	SOX
AZ0408047	2316	SOX
AZ0408047	2318	SIA
AZ0408047	2319	SIA
AZ0408047	2321	SOX

St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	5/20/2010	2010	AZ
St Violation/Reminder Notice	6/4/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	11/5/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/24/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/31/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/17/2012	2012	AZ
St Compliance achieved	8/17/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	3/21/2013	2013	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	5/24/2012	2012	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	11/27/2013	2013	AZ
St Compliance achieved	3/24/2014	2014	AZ
St Compliance achieved	10/9/2013	2013	AZ
St Compliance achieved	6/10/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Compliance achieved	6/25/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/28/2010	2010	AZ
St Compliance achieved	1/21/2011	2011	AZ

AZ0408047	2322	SIA
AZ0408047	2324	SIA
AZ0408047	2325	SIA
AZ0408047	2326	SIA
AZ0408047	2327	SO0
AZ0408047	2328	SOX
AZ0408047	2329	SOX
AZ0408047	2331	SIA
AZ0408047	2332	SO0
AZ0408047	2333	SIA
AZ0408047	2334	SOX
AZ0408047	2335	SIA
AZ0408047	2336	SOX
AZ0408047	2337	SIA
AZ0408052	795	SOX
AZ0408052	798	SOX
AZ0408052	799	SOX
AZ0408052	801	SIA
AZ0408054	537	SIA
AZ0408054	538	SOX
AZ0408054	539	SIA
AZ0408054	540	SOX
AZ0408054	541	SIA
AZ0408054	542	SOX
AZ0408054	543	SIA
AZ0408054	544	SOX
AZ0408054	545	SIA
AZ0408054	546	SOX
AZ0408057	1115	SOX
AZ0408057	1116	SOX
AZ0408057	1117	SOX
AZ0408057	1118	SIA
AZ0408057	1119	SOX
AZ0408057	1120	SOX
AZ0408057	1123	SIA
AZ0408057	1127	SIA
AZ0408057	1128	SOX
AZ0408057	1129	SIA
AZ0408057	1130	SOX
AZ0408057	1131	SIA
AZ0408057	1132	SOX
AZ0408057	1133	SOX
AZ0408057	1134	SIA
AZ0408057	1136	SOX
AZ0408057	1137	SIA
AZ0408057	1138	SIA
AZ0408057	1139	SOX

St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	8/26/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
State No Longer Subject to Rule	4/12/2012	2012	AZ
St Compliance achieved	4/13/2012	2012	AZ
St Compliance achieved	4/13/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
State No Longer Subject to Rule	7/11/2012	2012	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Violation/Reminder Notice	9/10/2013	2013	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/24/2007	2007	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/30/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/17/2008	2008	AZ
St Compliance achieved	6/23/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	1/23/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/23/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	6/15/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ

AZ0408057	1141	SIA
AZ0408057	1142	SIA
AZ0408057	1143	SIA
AZ0408057	1144	SFJ
AZ0408057	1145	SOX
AZ0408057	1147	SIA
AZ0408057	1148	SIA
AZ0408057	1149	SOX
AZ0408057	1151	SOX
AZ0408057	1154	SOX
AZ0408057	1155	SIA
AZ0408057	1157	SIA
AZ0408057	1158	SOX
AZ0408057	1159	SIA
AZ0408057	1160	SOX
AZ0408057	1161	SIA
AZ0408057	1162	SIA
AZ0408057	1163	SIA
AZ0408057	1165	SOX
AZ0408057	1166	SOX
AZ0408057	1169	SIA
AZ0408057	1170	SIA
AZ0408057	1171	SOX
AZ0408057	1172	SOX
AZ0408057	1173	SIA
AZ0408057	1174	SOX
AZ0408057	1175	SFJ
AZ0408057	1176	SIA
AZ0408057	1177	SOX
AZ0408057	1178	SIA
AZ0408057	1179	SOX
AZ0408057	1180	SIA
AZ0408057	1181	SOX
AZ0408057	1182	SIA
AZ0408057	1183	SOX
AZ0408057	1184	SIA
AZ0408057	1185	SOX
AZ0408057	1186	SIA
AZ0408057	1187	SOX
AZ0408057	1188	SIA
AZ0408057	1189	SOX
AZ0408057	1190	SIA
AZ0408057	1191	SIA
AZ0408057	1192	SIA
AZ0408057	1193	SIA
AZ0408057	1194	SOX
AZ0408057	1195	SIA

St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Formal NOV issued	2/1/2010	2010	AZ
St Compliance achieved	4/19/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	5/4/2010	2010	AZ
St Compliance achieved	5/10/2010	2010	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/9/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/6/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/8/2011	2011	AZ
St Compliance achieved	4/11/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	8/27/2010	2010	AZ
St Formal NOV issued	9/19/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	12/10/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/11/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/2/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	11/29/2013	2013	AZ
St Violation/Reminder Notice	3/10/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ

AZ0408057	1196	SOX
AZ0408057	1197	SOX
AZ0408059	6428	SIA
AZ0408059	6431	SOX
AZ0408059	6452	SOX
AZ0408059	6463	SOX
AZ0408059	6464	SOX
AZ0408059	6465	SOX
AZ0408059	6467	SOX
AZ0408059	6468	SOX
AZ0408059	6469	SOX
AZ0408059	6470	SOX
AZ0408059	6472	SOX
AZ0408059	6473	SOX
AZ0408059	6474	SOX
AZ0408059	6475	SIA
AZ0408059	6476	SOX
AZ0408059	6478	SIA
AZ0408059	6480	SIA
AZ0408059	6481	SIA
AZ0408059	6484	SIA
AZ0408059	6485	SOX
AZ0408059	6486	SIA
AZ0408059	6487	SIA
AZ0408059	6488	SIA
AZ0408059	6489	SIA
AZ0408059	6490	SIA
AZ0408059	6491	SOX
AZ0408059	6492	SOX
AZ0408059	6494	SOX
AZ0408059	6495	SOX
AZ0408059	6496	SIA
AZ0408059	6497	SOX
AZ0408059	6498	SOX
AZ0408059	6499	SIA
AZ0408059	6500	SIA
AZ0408059	6521	SOX
AZ0408059	6523	SIA
AZ0408059	6525	SOX
AZ0408059	6526	SOX
AZ0408059	6527	SIA
AZ0408059	6528	SOX
AZ0408059	6532	SIA
AZ0408059	6533	SOX
AZ0408059	6534	SO0
AZ0408061	1276	SIA
AZ0408061	1277	SOX

St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	8/8/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	10/10/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	2/20/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	4/2/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	6/2/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/3/2009	2009	AZ
St Compliance achieved	12/8/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Compliance achieved	4/1/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	3/24/2011	2011	AZ
St Compliance achieved	6/17/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	12/11/2012	2012	AZ
State No Longer Subject to Rule	8/21/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ

AZ0408061	1278	SOX
AZ0408061	1279	SIA
AZ0408061	1280	SOX
AZ0408061	1281	SIA
AZ0408061	1282	SOX
AZ0408061	1283	SIA
AZ0408061	1285	SIA
AZ0408061	1286	SO0
AZ0408061	1287	SOX
AZ0408061	1288	SIA
AZ0408061	1290	SO0
AZ0408061	1291	SO0
AZ0408061	1292	SO0
AZ0408062	707	SOX
AZ0408062	734	SOX
AZ0408062	736	SOX
AZ0408062	738	SOX
AZ0408062	739	SOX
AZ0408062	740	SOX
AZ0408062	741	SOX
AZ0408062	743	SOX
AZ0408062	744	SIA
AZ0408062	747	SOX
AZ0408062	750	SOX
AZ0408062	751	SIA
AZ0408063	10041	SOX
AZ0408063	10042	SOX
AZ0408063	10045	SOX
AZ0408063	10046	SIA
AZ0408063	10048	SIA
AZ0408063	10049	SOX
AZ0408063	10050	SOX
AZ0408063	10051	SIA
AZ0408063	10054	SIA
AZ0408063	10055	SOX
AZ0408063	10056	SOX
AZ0408063	10057	SOX
AZ0408063	10058	SOX
AZ0408063	10059	SOX
AZ0408063	10060	SIA
AZ0408063	10061	SIA
AZ0408063	10062	SOX
AZ0408063	10064	SOX
AZ0408063	10065	SOX
AZ0408063	10066	SOX
AZ0408063	10067	SOX
AZ0408063	10068	SOX

St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
State No Longer Subject to Rule	7/25/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
State No Longer Subject to Rule	12/4/2008	2008	AZ
State No Longer Subject to Rule	3/25/2011	2011	AZ
State No Longer Subject to Rule	7/17/2008	2008	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	8/24/2009	2009	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Violation/Reminder Notice	5/24/2012	2012	AZ
St Compliance achieved	10/22/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/21/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/27/2008	2008	AZ
St Compliance achieved	9/22/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	5/4/2009	2009	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	4/1/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	5/12/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Compliance achieved	8/15/2011	2011	AZ

AZ0408063	10069	SIA
AZ0408063	10070	SIA
AZ0408063	10071	SOX
AZ0408063	10075	SOX
AZ0408063	10078	SOX
AZ0408063	10080	SIA
AZ0408063	10082	SOX
AZ0408063	10083	SIA
AZ0408063	10085	SOX
AZ0408063	10087	SOX
AZ0408063	10088	SOX
AZ0408063	10090	SOX
AZ0408063	10091	SO0
AZ0408063	10092	SOX
AZ0408064	427	SIA
AZ0408064	428	SOX
AZ0408064	429	SOX
AZ0408064	430	SIA
AZ0408064	431	SIA
AZ0408064	432	SIA
AZ0408064	436	SIA
AZ0408064	438	SIA
AZ0408064	439	SOX
AZ0408064	440	SIA
AZ0408064	441	SIA
AZ0408064	442	SIA
AZ0408064	443	SOX
AZ0408064	444	SIA
AZ0408064	445	SIA
AZ0408064	446	SIA
AZ0408064	447	SIA
AZ0408064	448	SOX
AZ0408064	449	SOX
AZ0408064	450	SIA
AZ0408064	451	SOX
AZ0408064	453	SIA
AZ0408064	454	SOX
AZ0408064	455	SOX
AZ0408064	466	SIA
AZ0408064	467	SOX
AZ0408064	468	SOX
AZ0408064	469	SIA
AZ0408064	471	SOX
AZ0408064	472	SFJ
AZ0408064	474	SIA
AZ0408064	476	SOX
AZ0408064	477	SIA

St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	12/19/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Violation/Reminder Notice	1/7/2013	2013	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	2/28/2007	2007	AZ
St Compliance achieved	8/17/2010	2010	AZ
St Compliance achieved	7/31/2013	2013	AZ
St Compliance achieved	7/31/2013	2013	AZ
State No Longer Subject to Rule	10/1/2007	2007	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/7/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Violation/Reminder Notice	8/7/2013	2013	AZ
St Compliance achieved	10/28/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ

AZ0408064	478	SIA
AZ0408064	479	SOX
AZ0408064	480	SOX
AZ0408064	481	SOX
AZ0408064	482	SOX
AZ0408064	483	SOX
AZ0408066	1528	SIA
AZ0408066	1529	SOX
AZ0408066	1531	SOX
AZ0408066	1532	SOX
AZ0408066	1533	SOX
AZ0408066	1534	SOX
AZ0408066	1535	SOX
AZ0408066	1537	SIA
AZ0408066	1539	SIA
AZ0408066	1540	SIA
AZ0408066	1543	SIA
AZ0408066	1545	SIA
AZ0408066	1546	SOX
AZ0408066	1547	SIA
AZ0408066	1548	SIA
AZ0408066	1550	SIA
AZ0408066	1551	SIA
AZ0408066	1553	SIA
AZ0408066	1557	SFJ
AZ0408066	1561	SIA
AZ0408066	1563	SIA
AZ0408066	1564	SOX
AZ0408066	1565	SOX
AZ0408066	1566	SOX
AZ0408066	1568	SOX
AZ0408066	1569	SOX
AZ0408066	1571	SOX
AZ0408066	1573	SFJ
AZ0408066	1574	SOX
AZ0408066	1575	SOX
AZ0408066	1576	SIA
AZ0408066	1581	SOX
AZ0408066	1583	SIA
AZ0408066	1585	SIA
AZ0408066	1586	SOX
AZ0408066	1587	SIA
AZ0408066	1589	SIA
AZ0408066	1590	SIA
AZ0408066	1591	SOX
AZ0408066	1592	SIA
AZ0408066	1593	SIA

St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	10/7/2013	2013	AZ
St Compliance achieved	10/7/2013	2013	AZ
St Compliance achieved	1/1/2014	2014	AZ
St Compliance achieved	5/13/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/2/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	11/2/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	2/2/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	1/16/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Formal NOV issued	2/16/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Compliance achieved	11/18/2010	2010	AZ
St Compliance achieved	8/8/2011	2011	AZ
St Compliance achieved	2/13/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	11/8/2012	2012	AZ
St Formal NOV issued	11/21/2011	2011	AZ
St Compliance achieved	1/2/2013	2013	AZ
St Compliance achieved	2/25/2013	2013	AZ
St Violation/Reminder Notice	1/3/2013	2013	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	5/17/2010	2010	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ

AZ0408066	1594	SOX
AZ0408068	542	SIA
AZ0408068	549	SOX
AZ0408068	560	SOX
AZ0408068	562	SOX
AZ0408068	563	SOX
AZ0408068	564	SIA
AZ0408068	565	SIA
AZ0408068	566	SIA
AZ0408068	567	SOX
AZ0408068	568	SIA
AZ0408068	569	SOX
AZ0408068	570	SIA
AZ0408068	571	SOX
AZ0408068	572	SOX
AZ0408068	575	SIA
AZ0408068	576	SOX
AZ0408068	577	SIA
AZ0408068	578	SIA
AZ0408068	579	SOX
AZ0408068	580	SOX
AZ0408068	581	SIA
AZ0408068	585	SOX
AZ0408068	587	SOX
AZ0408071	402	SOX
AZ0408071	406	SIA
AZ0408071	407	SOX
AZ0408071	408	SIA
AZ0408071	409	SIA
AZ0408071	410	SOX
AZ0408072	7898	SOX
AZ0408072	7905	SOX
AZ0408072	7906	SOX
AZ0408072	7907	SOX
AZ0408072	7909	SOX
AZ0408072	7910	SOX
AZ0408072	7911	SIA
AZ0408072	7913	SIA
AZ0408072	7915	SFJ
AZ0408072	7919	SIA
AZ0408072	7920	SOX
AZ0408072	7921	SOX
AZ0408072	7923	SOX
AZ0408072	7925	SOX
AZ0408072	7928	SOX
AZ0408072	7930	SOX
AZ0408072	7931	SOX

St Compliance achieved	6/25/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	3/10/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	1/13/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/20/2011	2011	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	9/28/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/16/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	11/9/2012	2012	AZ
St Compliance achieved	6/21/2011	2011	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Formal NOV issued	2/2/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	4/6/2011	2011	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/2/2012	2012	AZ
St Compliance achieved	4/29/2010	2010	AZ
St Compliance achieved	7/5/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ

AZ0408074	3150	SOX
AZ0408074	3151	SIA
AZ0408074	3153	SOX
AZ0408074	3156	SOX
AZ0408074	3157	SOX
AZ0408074	3159	SIA
AZ0408074	3163	SIA
AZ0408074	3165	SOX
AZ0408074	3167	SOX
AZ0408074	3168	SOX
AZ0408074	3169	SOX
AZ0408074	3170	SIA
AZ0408074	3171	SIA
AZ0408074	3172	SOX
AZ0408077	4172	SOX
AZ0408077	4173	SOX
AZ0408077	4174	SOX
AZ0408077	4175	SOX
AZ0408077	4176	SIA
AZ0408077	4177	SOX
AZ0408077	4179	SOX
AZ0408077	4180	SOX
AZ0408077	4181	SOX
AZ0408077	4182	SOX
AZ0408077	4183	SOX
AZ0408077	4184	SOX
AZ0408077	4185	SOX
AZ0408077	4186	SIA
AZ0408077	4187	SOX
AZ0408078	223	SOX
AZ0408078	225	SOX
AZ0408078	226	SOX
AZ0408078	230	SOX
AZ0408078	239	SOX
AZ0408078	240	SOX
AZ0408078	241	SOX
AZ0408078	242	SOX
AZ0408078	244	SOX
AZ0408078	245	SIA
AZ0408078	246	SOX
AZ0408080	1339	SIA
AZ0408080	1357	SIA
AZ0408080	1359	SOX
AZ0408080	1360	SOX
AZ0408080	1361	SIA
AZ0408080	1362	SOX
AZ0408080	1363	SIA

St Compliance achieved	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	5/15/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/10/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	5/31/2012	2012	AZ
St Compliance achieved	12/4/2008	2008	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	10/25/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/27/2007	2007	AZ
St Compliance achieved	2/1/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/12/2008	2008	AZ
St Compliance achieved	9/3/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	9/17/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/25/2013	2013	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/3/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	2/12/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/5/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ

AZ0408080	1364	SIA
AZ0408080	1365	SOX
AZ0408080	1366	SOX
AZ0408080	1367	SIA
AZ0408080	1371	SIA
AZ0408080	1372	SOX
AZ0408080	1373	SOX
AZ0408080	1374	SIA
AZ0408080	1378	SOX
AZ0408080	1379	SOX
AZ0408080	1380	SFJ
AZ0408080	1381	SIA
AZ0408080	1382	SIA
AZ0408080	1383	SIA
AZ0408080	1384	SIA
AZ0408080	1385	SOX
AZ0408080	1386	SOX
AZ0408080	1387	SOX
AZ0408080	1388	SIA
AZ0408080	1389	SIA
AZ0408080	1390	SIA
AZ0408080	1391	SIA
AZ0408080	1392	SIA
AZ0408080	1393	SIA
AZ0408080	1394	SIA
AZ0408080	1395	SIA
AZ0408080	1396	SOX
AZ0408080	1397	SOX
AZ0408080	1398	SIA
AZ0408080	1399	SIA
AZ0408080	1400	SIA
AZ0408080	1401	SIA
AZ0408080	1402	SIA
AZ0408080	1403	SIA
AZ0408080	1404	SIA
AZ0408081	525	SOX
AZ0408081	526	SOX
AZ0408081	527	SIA
AZ0408081	529	SOX
AZ0408081	530	SIA
AZ0408081	531	SIA
AZ0408081	532	SOX
AZ0408081	533	SOX
AZ0408081	534	SOX
AZ0408081	535	SOX
AZ0408081	536	SOX
AZ0408081	537	SOX

St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/3/2009	2009	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	7/9/2007	2007	AZ
St Compliance achieved	11/19/2009	2009	AZ
St Formal NOV issued	10/8/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	12/12/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/2/2014	2014	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Compliance achieved	1/30/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	1/24/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	2/28/2014	2014	AZ
St Compliance achieved	2/20/2014	2014	AZ
St Compliance achieved	12/13/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/25/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	4/2/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/3/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	12/4/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ

AZ0408081	538	SO0
AZ0408081	540	SO0
AZ0408081	541	SIA
AZ0408081	542	SIA
AZ0408081	543	SOX
AZ0408081	544	SIA
AZ0408081	545	SOX
AZ0408081	546	SOX
AZ0408081	547	SOX
AZ0408081	549	SIA
AZ0408081	551	SIA
AZ0408081	553	SOX
AZ0408081	556	SIA
AZ0408081	557	SOX
AZ0408081	559	SIA
AZ0408081	561	SOX
AZ0408082	753	SOX
AZ0408082	754	SOX
AZ0408082	764	SIA
AZ0408082	765	SOX
AZ0408082	766	SIA
AZ0408082	767	SOX
AZ0408082	768	SIA
AZ0408082	769	SOX
AZ0408082	770	SOX
AZ0408082	772	SOX
AZ0408082	774	SOX
AZ0408082	775	SIA
AZ0408082	776	SIA
AZ0408082	778	SIA
AZ0408082	779	SOX
AZ0408082	780	SOX
AZ0408082	781	SOX
AZ0408082	782	SIA
AZ0408082	785	SIA
AZ0408082	786	SOX
AZ0408082	787	SOX
AZ0408082	788	SOX
AZ0408082	789	SIA
AZ0408082	790	SIA
AZ0408082	791	SOX
AZ0408082	792	SOX
AZ0408082	793	SIA
AZ0408082	794	SOX
AZ0408082	795	SIA
AZ0408082	797	SIA
AZ0408082	799	SIA

State No Longer Subject to Rule	2/19/2009	2009	AZ
State No Longer Subject to Rule	11/25/2009	2009	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/6/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	10/7/2011	2011	AZ
St Compliance achieved	3/19/2012	2012	AZ
St Compliance achieved	5/21/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	9/17/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	8/7/2007	2007	AZ
St Compliance achieved	2/4/2008	2008	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	10/29/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	8/11/2011	2011	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	4/26/2012	2012	AZ
St Violation/Reminder Notice	3/1/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ

AZ0408082	800	SIA
AZ0408082	801	SOX
AZ0408082	803	SOX
AZ0408082	804	SOX
AZ0408082	805	SIA
AZ0408082	806	SIA
AZ0408082	807	SIA
AZ0408082	808	SIA
AZ0408082	809	SIA
AZ0408082	810	SIA
AZ0408082	811	SFJ
AZ0408082	812	SIA
AZ0408082	813	SFJ
AZ0408082	814	SIA
AZ0408082	815	SIA
AZ0408082	816	SIA
AZ0408082	817	SOX
AZ0408082	818	SIA
AZ0408082	820	SIA
AZ0408082	821	SIA
AZ0408082	823	SIA
AZ0408082	827	SIA
AZ0408082	828	SOX
AZ0408082	829	SFL
AZ0408082	830	SIA
AZ0408082	831	SOX
AZ0408082	832	SOX
AZ0408082	833	SOX
AZ0408082	834	SIA
AZ0408082	835	SOX
AZ0408082	836	SOX
AZ0408082	837	SIA
AZ0408082	838	SIA
AZ0408082	839	SIA
AZ0408082	840	SIA
AZ0408082	843	SOX
AZ0408082	844	SIA
AZ0408083	1	SOX
AZ0408083	24	SOX
AZ0408083	25	SOX
AZ0408083	26	SOX
AZ0408083	27	SOX
AZ0408083	28	SOX
AZ0408083	29	SOX
AZ0408083	30	SOX
AZ0408083	32	SOX
AZ0408083	35	SIA

St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/6/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Violation/Reminder Notice	11/27/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Formal NOV issued	12/12/2012	2012	AZ
St Violation/Reminder Notice	2/8/2013	2013	AZ
St Formal NOV issued	2/20/2013	2013	AZ
St Violation/Reminder Notice	2/26/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	4/15/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/7/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	5/12/2010	2010	AZ
St AO (w/o penalty) issued	7/11/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	6/29/2012	2012	AZ
St Compliance achieved	9/20/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	11/25/2013	2013	AZ
St Compliance achieved	6/20/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	8/22/2013	2013	AZ
St Violation/Reminder Notice	8/22/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	3/20/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	4/17/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ

AZ0408083	36	SOX
AZ0408083	37	SOX
AZ0408083	39	SIA
AZ0408083	40	SIA
AZ0408083	41	SIA
AZ0408083	42	SIA
AZ0408083	43	SIA
AZ0408083	44	SOX
AZ0408083	45	SIA
AZ0408083	46	SIA
AZ0408083	47	SIA
AZ0408083	48	SIA
AZ0408083	49	SOX
AZ0408083	50	SOX
AZ0408083	51	SIA
AZ0408083	52	SIA
AZ0408083	53	SOX
AZ0408083	54	SIA
AZ0408083	56	SIA
AZ0408083	57	SIA
AZ0408083	58	SIA
AZ0408083	59	SIA
AZ0408083	60	SIA
AZ0408083	61	SIA
AZ0408083	62	SOX
AZ0408083	63	SIA
AZ0408083	64	SIA
AZ0408083	65	SIA
AZ0408083	66	SIA
AZ0408083	67	SOX
AZ0408083	68	SOX
AZ0408083	69	SOX
AZ0408083	70	SIA
AZ0408083	71	SFJ
AZ0408083	78	SOX
AZ0408083	79	SOX
AZ0408083	80	SOX
AZ0408083	81	SOX
AZ0408083	82	SIA
AZ0408083	83	SIA
AZ0408083	84	SOX
AZ0408083	85	SIA
AZ0408083	86	SOX
AZ0408083	87	SIA
AZ0408083	88	SIA
AZ0408083	89	SIA
AZ0408083	90	SIA

St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	11/1/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/8/2011	2011	AZ
St Compliance achieved	3/10/2011	2011	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/3/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Formal NOV issued	10/11/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	11/8/2012	2012	AZ
St Compliance achieved	12/19/2012	2012	AZ
St Compliance achieved	1/22/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/15/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ

AZ0408083	91	SOX
AZ0408088	1719	SOX
AZ0408088	1720	SOX
AZ0408088	1721	SOX
AZ0408088	1722	SOX
AZ0408088	1723	SOX
AZ0408088	1724	SIA
AZ0408088	1725	SIA
AZ0408088	1726	SO0
AZ0408088	1727	SIA
AZ0408089	2082	SIA
AZ0408089	2085	SIA
AZ0408089	2087	SOX
AZ0408089	2088	SOX
AZ0408089	2091	SOX
AZ0408089	2092	SOX
AZ0408089	2093	SIA
AZ0408089	2096	SOX
AZ0408089	2097	SOX
AZ0408090	1708	SOX
AZ0408090	1780	SIA
AZ0408090	1781	SOX
AZ0408090	1782	SOX
AZ0408090	1783	SOX
AZ0408090	1784	SOX
AZ0408090	1785	SIA
AZ0408090	1786	SIA
AZ0408090	1787	SOX
AZ0408090	1788	SIA
AZ0408090	1789	SIA
AZ0408090	1790	SIA
AZ0408090	1791	SIA
AZ0408090	1792	SIA
AZ0408090	1793	SIA
AZ0408090	1794	SOX
AZ0408090	1795	SOX
AZ0408090	1796	SOX
AZ0408090	1797	SOX
AZ0408090	1798	SIA
AZ0408090	1799	SOX
AZ0408090	1802	SIA
AZ0408090	1803	SOX
AZ0408090	1804	SIA
AZ0408090	1806	SOX
AZ0408090	1807	SOX
AZ0408090	1809	SOX
AZ0408090	1810	SIA

St Compliance achieved	8/15/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
State No Longer Subject to Rule	2/23/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	2/7/2011	2011	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	8/15/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/18/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	12/11/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	7/23/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Violation/Reminder Notice	1/14/2010	2010	AZ
St Compliance achieved	3/31/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	10/21/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	5/17/2010	2010	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ

AZ0408090	1811	SOX
AZ0408090	1812	SOX
AZ0408090	1814	SOX
AZ0408090	1816	SOX
AZ0408090	1817	SOX
AZ0408090	1818	SIA
AZ0408090	1819	SOX
AZ0408090	1820	SFJ
AZ0408090	1823	SIA
AZ0408090	1825	SOX
AZ0408090	1826	SOX
AZ0408090	1827	SIA
AZ0408090	1828	SIA
AZ0408090	1829	SIA
AZ0408090	1830	SOX
AZ0408090	1831	SOX
AZ0408090	1832	SOX
AZ0408090	1833	SIA
AZ0408090	1834	SOX
AZ0408090	1835	SIA
AZ0408090	1836	SIA
AZ0408091	606	SOX
AZ0408091	607	SOX
AZ0408091	613	SOX
AZ0408091	614	SOX
AZ0408091	615	SOX
AZ0408091	618	SIA
AZ0408091	619	SIA
AZ0408091	621	SIA
AZ0408091	622	SIA
AZ0408091	623	SOX
AZ0408091	624	SOX
AZ0408091	625	SIA
AZ0408091	627	SIA
AZ0408091	628	SIA
AZ0408091	629	SOX
AZ0408091	630	SIA
AZ0408091	631	SIA
AZ0408091	632	SFJ
AZ0408091	633	SIA
AZ0408091	634	SIA
AZ0408091	636	SIA
AZ0408091	637	SOX
AZ0408091	638	SOX
AZ0408091	639	SIA
AZ0408091	640	SOX
AZ0408091	641	SOX

St Compliance achieved	4/5/2011	2011	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	6/14/2012	2012	AZ
St Compliance achieved	11/24/2010	2010	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Formal NOV issued	4/19/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/13/2013	2013	AZ
St Compliance achieved	7/17/2010	2010	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/27/2013	2013	AZ
St Compliance achieved	3/5/2014	2014	AZ
St Compliance achieved	7/5/2013	2013	AZ
St Violation/Reminder Notice	7/13/2013	2013	AZ
St Compliance achieved	7/31/2013	2013	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	1/27/2009	2009	AZ
St Compliance achieved	3/27/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	12/13/2010	2010	AZ
St Compliance achieved	3/15/2011	2011	AZ
St Violation/Reminder Notice	8/8/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Formal NOV issued	9/27/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	10/30/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Compliance achieved	10/17/2012	2012	AZ
St Compliance achieved	11/19/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ

AZ0408091	642	SIA
AZ0408091	644	SIA
AZ0408091	646	SIA
AZ0408091	648	SIA
AZ0408091	649	SIA
AZ0408091	651	SIA
AZ0408091	652	SOX
AZ0408091	654	SIA
AZ0408091	656	SIA
AZ0408091	657	SIA
AZ0408091	658	SOX
AZ0408091	659	SOX
AZ0408091	660	SIA
AZ0408091	661	SIA
AZ0408093	27	SIA
AZ0408093	28	SIA
AZ0408093	29	SOX
AZ0408093	30	SOX
AZ0408093	31	SOX
AZ0408093	33	SOX
AZ0408093	34	SIA
AZ0408093	36	SOX
AZ0408093	37	SIA
AZ0408093	38	SIA
AZ0408093	42	SOX
AZ0408093	43	SOX
AZ0408093	47	SOX
AZ0408093	48	SOX
AZ0408096	406	SOX
AZ0408096	409	SIA
AZ0408096	410	SOX
AZ0408096	411	SOX
AZ0408096	412	SOX
AZ0408096	413	SOX
AZ0408096	414	SIA
AZ0408096	415	SIA
AZ0408096	416	SOX
AZ0408096	417	SIA
AZ0408096	418	SOX
AZ0408096	419	SIA
AZ0408096	420	SOX
AZ0408096	421	SIA
AZ0408096	422	SIA
AZ0408096	423	SOX
AZ0408096	424	SIA
AZ0408096	425	SOX
AZ0408098	1006	SOX

St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/5/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	8/17/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	6/18/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Compliance achieved	7/8/2013	2013	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	6/8/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/15/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	9/19/2012	2012	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	1/13/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/27/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ

AZ0408098	1007	SIA
AZ0408098	1008	SIA
AZ0408098	1009	SOX
AZ0408098	1010	SIA
AZ0408098	1011	SOX
AZ0408098	1013	SIA
AZ0408098	1014	SOX
AZ0408098	1015	SIA
AZ0408098	1016	SOX
AZ0408098	1017	SIA
AZ0408098	1018	SOX
AZ0408098	1020	SIA
AZ0408098	1022	SOX
AZ0408098	1023	SIA
AZ0408098	1025	SIA
AZ0408098	1026	SOX
AZ0408098	1027	SIA
AZ0408098	1028	SOX
AZ0408102	1704	SO0
AZ0408102	1747	SOX
AZ0408102	1748	SIA
AZ0408102	1749	SOX
AZ0408102	1750	SIA
AZ0408102	1751	SO0
AZ0408102	1752	SOX
AZ0408102	1753	SOX
AZ0408102	1754	SIA
AZ0408102	1755	SIA
AZ0408102	1756	SIA
AZ0408102	1757	SIA
AZ0408102	1758	SO0
AZ0408116	6661	SO0
AZ0408116	6663	SOX
AZ0408117	303	SOX
AZ0408117	304	SIA
AZ0408117	305	SOX
AZ0408117	307	SIA
AZ0408117	308	SOX
AZ0408117	309	SIA
AZ0408117	310	SIA
AZ0408117	311	SOX
AZ0408117	312	SIA
AZ0408117	313	SIA
AZ0408117	314	SOX
AZ0408122	2732	SOX
AZ0408122	2733	SOX
AZ0408122	2734	SIA

St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/20/2008	2008	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/17/2010	2010	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Compliance achieved	3/30/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Violation/Reminder Notice	5/13/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/27/2014	2014	AZ
State No Longer Subject to Rule	1/22/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
State No Longer Subject to Rule	7/22/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
State No Longer Subject to Rule	12/10/2008	2008	AZ
State No Longer Subject to Rule	3/13/2007	2007	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Compliance achieved	4/17/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	1/11/2010	2010	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	4/23/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ

AZ0408122	2735	SOX
AZ0408122	2738	SOX
AZ0408122	2745	SOX
AZ0408122	2747	SOX
AZ0408123	4847	SOX
AZ0408123	4848	SOX
AZ0408123	4849	SIA
AZ0408123	4850	SIA
AZ0408123	4851	SOX
AZ0408123	4855	SIA
AZ0408123	4856	SOX
AZ0408127	314	SOX
AZ0408127	315	SIA
AZ0408127	316	SOX
AZ0408127	317	SOX
AZ0408127	318	SIA
AZ0408127	319	SOX
AZ0408127	320	SIA
AZ0408127	321	SOX
AZ0408127	322	SOX
AZ0408127	323	SOX
AZ0408127	324	SIA
AZ0408127	325	SOX
AZ0408127	326	SOX
AZ0408127	327	SOX
AZ0408127	328	SOX
AZ0408127	329	SIA
AZ0408127	330	SIA
AZ0408127	331	SOX
AZ0408127	332	SOX
AZ0408129	6505	SOX
AZ0408129	6600	SOX
AZ0408129	6601	SOX
AZ0408129	6603	SOX
AZ0408129	6604	SOX
AZ0408129	6606	SOX
AZ0408129	6607	SOX
AZ0408129	6609	SOX
AZ0408129	6613	SOX
AZ0408129	6614	SOX
AZ0408129	6615	SOX
AZ0408129	6616	SOX
AZ0408130	310	SOX
AZ0408130	311	SOX
AZ0408130	312	SIA
AZ0408130	313	SIA
AZ0408130	314	SOX

St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	7/10/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	12/21/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	7/26/2012	2012	AZ
St Compliance achieved	10/22/2012	2012	AZ
St Compliance achieved	12/14/2008	2008	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Compliance achieved	4/18/2007	2007	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Compliance achieved	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/13/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	1/3/2007	2007	AZ
St Compliance achieved	3/26/2007	2007	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	11/13/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	8/5/2009	2009	AZ
St Compliance achieved	10/22/2007	2007	AZ
St Compliance achieved	7/14/2009	2009	AZ
St Compliance achieved	10/4/2010	2010	AZ
St Compliance achieved	10/11/2011	2011	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	2/22/2011	2011	AZ

AZ0408130	315	SIA
AZ0408130	318	SIA
AZ0408130	319	SOX
AZ0408130	320	SFJ
AZ0408130	321	SOX
AZ0408130	322	SOX
AZ0408133	10	SOX
AZ0408133	11	SIA
AZ0408133	12	SOX
AZ0408133	13	SIA
AZ0408133	14	SIA
AZ0408133	15	SIA
AZ0408133	16	SOX
AZ0408133	17	SOX
AZ0408133	19	SIA
AZ0408133	20	SOX
AZ0408133	21	SOX
AZ0408133	22	SIA
AZ0408133	23	SIA
AZ0408133	24	SOX
AZ0408133	5	SOX
AZ0408133	6	SOX
AZ0408133	7	SIA
AZ0408133	8	SOX
AZ0408133	9	SOX
AZ0408135	1517	SOX
AZ0408135	1518	SOX
AZ0408135	1519	SOX
AZ0408135	1520	SIA
AZ0408135	1521	SOX
AZ0408137	207	SOX
AZ0408137	222	SOX
AZ0408137	224	SOX
AZ0408137	225	SOX
AZ0408137	226	SOX
AZ0408137	227	SOX
AZ0408137	228	SOX
AZ0408137	230	SOX
AZ0408137	231	SOX
AZ0408137	234	SOX
AZ0408138	100	SOX
AZ0408138	101	SOX
AZ0408138	102	SOX
AZ0408138	103	SOX
AZ0408138	104	SIA
AZ0408138	105	SIA
AZ0408138	73	SIA

St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	1/2/2014	2014	AZ
St Formal NOV issued	12/31/2013	2013	AZ
St Compliance achieved	3/17/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	6/23/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/16/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/9/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/21/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	2/25/2014	2014	AZ
St Compliance achieved	2/25/2014	2014	AZ
St Compliance achieved	3/7/2014	2014	AZ
St Violation/Reminder Notice	3/3/2014	2014	AZ
St Violation/Reminder Notice	2/3/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ

AZ0408138	74	SOX
AZ0408138	75	SOX
AZ0408138	76	SIA
AZ0408138	77	SOX
AZ0408138	79	SOX
AZ0408138	80	SIA
AZ0408138	81	SOX
AZ0408138	82	SIA
AZ0408138	83	SOX
AZ0408138	84	SOX
AZ0408138	85	SOX
AZ0408138	86	SIA
AZ0408138	90	SIA
AZ0408138	92	SOX
AZ0408138	94	SOX
AZ0408138	95	SOX
AZ0408138	96	SOX
AZ0408138	98	SIA
AZ0408138	99	SIA
AZ0408140	411	SOX
AZ0408140	413	SOX
AZ0408140	414	SOX
AZ0408140	415	SIA
AZ0408140	416	SOX
AZ0408140	417	SOX
AZ0408140	418	SIA
AZ0408140	419	SOX
AZ0408140	421	SIA
AZ0408140	422	SOX
AZ0408140	423	SFJ
AZ0408140	424	SOX
AZ0408140	425	SOX
AZ0408140	426	SOX
AZ0408140	427	SIA
AZ0408140	428	SIA
AZ0408140	429	SOX
AZ0408142	706	SOX
AZ0408142	707	SOX
AZ0408142	742	SOX
AZ0408142	743	SIA
AZ0408142	744	SOX
AZ0408142	745	SOX
AZ0408142	747	SOX
AZ0408142	748	SOX
AZ0408142	750	SOX
AZ0408142	751	SOX
AZ0408142	753	SIA

St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/13/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	4/20/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	6/1/2009	2009	AZ
St Compliance achieved	10/31/2009	2009	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	7/24/2007	2007	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Violation/Reminder Notice	11/29/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/14/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/3/2013	2013	AZ
St Formal NOV issued	4/4/2013	2013	AZ
St Compliance achieved	4/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	1/16/2007	2007	AZ
St Compliance achieved	4/24/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ

AZ0408142	754	SOX
AZ0408142	755	SIA
AZ0408142	756	SOX
AZ0408142	758	SOX
AZ0408142	759	SOX
AZ0408144	2705	SOX
AZ0408144	2706	SOX
AZ0408144	2741	SOX
AZ0408144	2742	SIA
AZ0408144	2743	SOX
AZ0408144	2744	SIA
AZ0408144	2745	SOX
AZ0408144	2746	SIA
AZ0408144	2747	SIA
AZ0408144	2748	SOX
AZ0408144	2749	SOX
AZ0408144	2750	SIA
AZ0408146	1318	SOX
AZ0408146	1319	SIA
AZ0408146	1320	SIA
AZ0408146	1321	SOX
AZ0408148	848	SOX
AZ0408148	849	SOX
AZ0408148	850	SIA
AZ0408148	851	SOX
AZ0408148	852	SOX
AZ0408148	853	SOX
AZ0408148	854	SOX
AZ0408148	855	SOX
AZ0408148	856	SIA
AZ0408148	860	SIA
AZ0408148	861	SOX
AZ0408148	862	SOX
AZ0408148	863	SIA
AZ0408148	864	SOX
AZ0408148	865	SIA
AZ0408148	867	SOX
AZ0408148	868	SIA
AZ0408148	869	SIA
AZ0408148	870	SIA
AZ0408148	871	SOX
AZ0408148	872	SIA
AZ0408148	874	SOX
AZ0408148	875	SIA
AZ0408148	876	SOX
AZ0408148	877	SIA
AZ0408148	878	SIA

St Compliance achieved	9/9/2008	2008	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	6/29/2009	2009	AZ
St Compliance achieved	6/30/2011	2011	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	1/11/2011	2011	AZ
St Compliance achieved	1/17/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/14/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Compliance achieved	10/17/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	1/23/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/18/2009	2009	AZ
St Compliance achieved	3/24/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	6/8/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ

AZ0408148	879	SOX
AZ0408148	880	SOX
AZ0408148	881	SOX
AZ0408148	882	SIA
AZ0408148	883	SIA
AZ0408148	884	SIA
AZ0408148	885	SOX
AZ0408148	886	SOX
AZ0408148	887	SIA
AZ0408148	889	SOX
AZ0408148	890	SIA
AZ0408148	891	SIA
AZ0408148	892	SOX
AZ0408148	894	SOX
AZ0408148	896	SIA
AZ0408148	897	SOX
AZ0408148	898	SIA
AZ0408148	899	SOX
AZ0408148	900	SIA
AZ0408148	901	SOX
AZ0408148	902	SIA
AZ0408148	903	SOX
AZ0408148	904	SOX
AZ0408148	905	SIA
AZ0408148	906	SOX
AZ0408148	907	SIA
AZ0408148	908	SOX
AZ0408148	909	SOX
AZ0408148	910	SOX
AZ0408148	911	SIA
AZ0408148	913	SOX
AZ0408148	914	SOX
AZ0408148	917	SIA
AZ0408148	919	SIA
AZ0408148	920	SIA
AZ0408148	921	SIA
AZ0408148	922	SOX
AZ0408148	923	SOX
AZ0408148	924	SIA
AZ0408148	925	SOX
AZ0408148	926	SIA
AZ0408148	927	SIA
AZ0408148	928	SOX
AZ0408148	929	SOX
AZ0408148	930	SO0
AZ0408148	931	SIA
AZ0408148	932	SOX

St Compliance achieved	8/27/2009	2009	AZ
St Compliance achieved	10/16/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	4/19/2010	2010	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	8/25/2010	2010	AZ
St Compliance achieved	10/18/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/9/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/6/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/8/2011	2011	AZ
St Compliance achieved	4/11/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Compliance achieved	1/5/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	2/17/2012	2012	AZ
St Compliance achieved	2/17/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/5/2013	2013	AZ
St Compliance achieved	11/15/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	8/2/2013	2013	AZ
State No Longer Subject to Rule	1/1/2012	2012	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ

AZ0408148	933	SFJ
AZ0408148	934	SIA
AZ0408148	935	SOX
AZ0408148	936	SIA
AZ0408148	937	SOX
AZ0408149	752	SOX
AZ0408149	755	SIA
AZ0408149	756	SOX
AZ0408149	757	SIA
AZ0408149	759	SIA
AZ0408149	760	SOX
AZ0408149	761	SOX
AZ0408149	762	SIA
AZ0408149	763	SOX
AZ0408149	764	SOX
AZ0408149	765	SIA
AZ0408149	766	SIA
AZ0408149	767	SOX
AZ0408149	768	SIA
AZ0408149	771	SOX
AZ0408149	772	SIA
AZ0408149	774	SOX
AZ0408149	775	SOX
AZ0408149	776	SOX
AZ0408149	777	SIA
AZ0408149	778	SIA
AZ0408149	779	SOX
AZ0408149	780	SOX
AZ0408149	781	SIA
AZ0408149	782	SFJ
AZ0408149	783	SOX
AZ0408149	784	SFJ
AZ0408149	785	SOX
AZ0408149	786	SIA
AZ0408149	788	SOX
AZ0408149	789	SIA
AZ0408149	790	SOX
AZ0408149	791	SOX
AZ0408149	792	SIA
AZ0408149	793	SOX
AZ0408149	794	SOX
AZ0408149	795	SOX
AZ0408149	796	SIA
AZ0408149	797	SOX
AZ0408149	798	SIA
AZ0408149	799	SOX
AZ0408149	800	SOX

St Formal NOV issued	12/10/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	3/18/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	3/18/2010	2010	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	6/23/2010	2010	AZ
St Compliance achieved	10/1/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	2/8/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/15/2012	2012	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Formal NOV issued	9/25/2012	2012	AZ
St Compliance achieved	10/25/2012	2012	AZ
St Formal NOV issued	11/28/2012	2012	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	12/21/2010	2010	AZ
St Violation/Reminder Notice	2/6/2013	2013	AZ
St Compliance achieved	11/27/2012	2012	AZ
St Compliance achieved	1/1/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	2/13/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Compliance achieved	11/18/2010	2010	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/21/2014	2014	AZ
St Compliance achieved	6/21/2014	2014	AZ

AZ0408150	1143	SOX
AZ0408150	1150	SOX
AZ0408150	1151	SIA
AZ0408150	1152	SOX
AZ0408150	1153	SOX
AZ0408150	1155	SOX
AZ0408150	1156	SOX
AZ0408150	1157	SIA
AZ0408150	1158	SIA
AZ0408150	1160	SIA
AZ0408150	1161	SOX
AZ0408150	1162	SOX
AZ0408150	1163	SOX
AZ0408150	1164	SIA
AZ0408150	1166	SOX
AZ0408150	1167	SIA
AZ0408150	1168	SIA
AZ0408150	1169	SIA
AZ0408150	1170	SOX
AZ0408150	1171	SIA
AZ0408150	1172	SOX
AZ0408150	1174	SOX
AZ0408150	1175	SIA
AZ0408150	1176	SFJ
AZ0408150	1177	SOX
AZ0408150	1178	SOX
AZ0408150	1179	SFJ
AZ0408150	1180	SIA
AZ0408150	1182	SIA
AZ0408150	1183	SIA
AZ0408150	1184	SOX
AZ0408150	1185	SIA
AZ0408150	1186	SOX
AZ0408150	1187	SOX
AZ0408150	1188	SIA
AZ0408150	1189	SOX
AZ0408150	1190	SOX
AZ0408150	1191	SOX
AZ0408150	1192	SOX
AZ0408150	1193	SOX
AZ0408150	1194	SOX
AZ0408150	1195	SOX
AZ0408150	1196	SOX
AZ0408154	10	SOX
AZ0408154	12	SIA
AZ0408154	13	SIA
AZ0408154	14	SOX

St Compliance achieved	2/9/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/15/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/3/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Formal NOV issued	12/6/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	9/24/2007	2007	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	9/2/2009	2009	AZ
St Violation/Reminder Notice	8/29/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Compliance achieved	1/22/2013	2013	AZ
St Compliance achieved	1/22/2013	2013	AZ
St Compliance achieved	3/29/2013	2013	AZ
St Compliance achieved	1/10/2014	2014	AZ
St Compliance achieved	5/2/2007	2007	AZ
St Compliance achieved	3/21/2008	2008	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ

AZ0408154	15	SIA
AZ0408154	16	SOX
AZ0408154	19	SIA
AZ0408154	20	SOX
AZ0408154	21	SOX
AZ0408154	22	SOX
AZ0408154	23	SIA
AZ0408154	24	SIA
AZ0408154	25	SOX
AZ0408154	26	SOX
AZ0408154	27	SOX
AZ0408154	28	SOX
AZ0408154	29	SIA
AZ0408154	30	SOX
AZ0408154	7	SOX
AZ0408154	8	SIA
AZ0408154	9	SOX
AZ0408155	514	SOX
AZ0408155	515	SIA
AZ0408155	516	SIA
AZ0408155	520	SIA
AZ0408155	521	SOX
AZ0408155	522	SIA
AZ0408155	526	SOX
AZ0408156	415	SOX
AZ0408156	416	SOX
AZ0408156	417	SOX
AZ0408156	418	SOX
AZ0408156	419	SOX
AZ0408156	420	SIA
AZ0408156	421	SOX
AZ0408156	422	SIA
AZ0408156	423	SIA
AZ0408156	425	SIA
AZ0408156	427	SOX
AZ0408156	429	SOX
AZ0408156	430	SIA
AZ0408156	431	SIA
AZ0408156	432	SOX
AZ0408156	434	SOX
AZ0408156	438	SIA
AZ0408156	439	SIA
AZ0408156	440	SIA
AZ0408156	441	SIA
AZ0408156	442	SOX
AZ0408156	444	SIA
AZ0408156	446	SIA

St Violation/Reminder Notice	3/17/2009	2009	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/4/2012	2012	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Compliance achieved	9/17/2012	2012	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/23/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/15/2007	2007	AZ
St Compliance achieved	12/21/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	11/29/2010	2010	AZ
St Compliance achieved	12/21/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	9/14/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ

AZ0408156	447	SO0
AZ0408156	448	SIA
AZ0408156	449	SOX
AZ0408156	451	SIA
AZ0408156	452	SIA
AZ0408156	453	SO0
AZ0408156	454	SIA
AZ0408156	455	SOX
AZ0408158	715	SOX
AZ0408158	716	SOX
AZ0408158	719	SOX
AZ0408158	720	SOX
AZ0408158	721	SOX
AZ0408158	722	SOX
AZ0408158	723	SIA
AZ0408158	724	SIA
AZ0408158	725	SIA
AZ0408158	726	SIA
AZ0408158	727	SIA
AZ0408158	728	SIA
AZ0408158	729	SOX
AZ0408158	730	SOX
AZ0408158	731	SO0
AZ0408162	308	SOX
AZ0408162	309	SIA
AZ0408162	310	SOX
AZ0408162	311	SOX
AZ0408162	312	SIA
AZ0408162	313	SOX
AZ0408162	314	SOX
AZ0408162	316	SIA
AZ0408162	317	SIA
AZ0408162	319	SIA
AZ0408162	320	SIA
AZ0408162	321	SIA
AZ0408162	322	SIA
AZ0408162	323	SOX
AZ0408162	326	SOX
AZ0408162	327	SFJ
AZ0408162	328	SIA
AZ0408162	329	SIA
AZ0408162	330	SOX
AZ0408162	331	SIA
AZ0408162	332	SIA
AZ0408162	333	SIA
AZ0408162	334	SOX
AZ0408162	335	SIA

State No Longer Subject to Rule	4/26/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
State No Longer Subject to Rule	2/11/2013	2013	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/11/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	1/2/2008	2008	AZ
St Compliance achieved	5/6/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/28/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/28/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
State No Longer Subject to Rule	3/28/2011	2011	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/12/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	1/7/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	9/20/2011	2011	AZ
St Compliance achieved	4/16/2012	2012	AZ
St Formal NOV issued	4/25/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	12/13/2010	2010	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ

AZ0408162	336	SOX
AZ0408162	337	SIA
AZ0408163	1	SOX
AZ0408163	28	SIA
AZ0408163	29	SOX
AZ0408163	30	SIA
AZ0408163	31	SOX
AZ0408163	33	SIA
AZ0408163	34	SOX
AZ0408164	40	SOX
AZ0408164	41	SOX
AZ0408164	42	SOX
AZ0408164	43	SIA
AZ0408164	44	SIA
AZ0408164	45	SIA
AZ0408164	46	SIA
AZ0408164	47	SOX
AZ0408164	48	SIA
AZ0408164	51	SIA
AZ0408164	52	SIA
AZ0408164	53	SIA
AZ0408164	54	SOX
AZ0408164	55	SOX
AZ0408164	56	SFJ
AZ0408164	57	SIA
AZ0408164	58	SIA
AZ0408164	59	SIA
AZ0408164	60	SIA
AZ0408164	61	SIA
AZ0408164	62	SIA
AZ0408164	63	SIA
AZ0408164	64	SIA
AZ0408164	65	SIA
AZ0408164	66	SIA
AZ0408164	67	SOX
AZ0408164	68	SIA
AZ0408164	69	SIA
AZ0408164	70	SIA
AZ0408165	206	SIA
AZ0408165	207	SOX
AZ0408165	208	SFJ
AZ0408165	209	SOX
AZ0408165	211	SOX
AZ0408165	212	SOX
AZ0408165	213	SOX
AZ0408165	214	SIA
AZ0408166	207	SOX

St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	5/14/2012	2012	AZ
St Violation/Reminder Notice	5/21/2012	2012	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/20/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/9/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	9/5/2012	2012	AZ
St Formal NOV issued	9/18/2012	2012	AZ
St Violation/Reminder Notice	12/4/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/26/2013	2013	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	7/27/2010	2010	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Formal NOV issued	9/15/2010	2010	AZ
St Compliance achieved	1/21/2011	2011	AZ
St Compliance achieved	5/17/2012	2012	AZ
St Compliance achieved	3/25/2010	2010	AZ
St Compliance achieved	1/14/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ

AZ0408166	209	SIA
AZ0408166	210	SOX
AZ0408166	211	SIA
AZ0408166	212	SIA
AZ0408166	213	SOX
AZ0408166	215	SOX
AZ0408166	216	SIA
AZ0408166	217	SIA
AZ0408166	218	SIA
AZ0408166	219	SOX
AZ0408166	220	SOX
AZ0408166	221	SIA
AZ0408166	222	SOX
AZ0408166	223	SIA
AZ0408166	224	SOX
AZ0408166	225	SOX
AZ0408166	226	SOX
AZ0408166	227	SIA
AZ0408166	228	SIA
AZ0408166	229	SOX
AZ0408166	230	SIA
AZ0408169	1	SIA
AZ0408169	10	SOX
AZ0408169	11	SOX
AZ0408169	12	SIA
AZ0408169	13	SOX
AZ0408169	14	SOX
AZ0408169	15	SIA
AZ0408169	16	SIA
AZ0408169	17	SIA
AZ0408169	18	SOX
AZ0408169	2	SIA
AZ0408169	4	SIA
AZ0408169	6	SIA
AZ0408169	7	SOX
AZ0408169	8	SIA
AZ0408171	17	SOX
AZ0408171	18	SOX
AZ0408171	19	SIA
AZ0408171	20	SIA
AZ0408171	22	SIA
AZ0408171	23	SOX
AZ0408171	24	SOX
AZ0408171	26	SO0
AZ0408172	1	SOX
AZ0408172	10	SOX
AZ0408172	11	SIA

St Violation/Reminder Notice	8/5/2009	2009	AZ
St Compliance achieved	8/31/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	1/13/2011	2011	AZ
St Compliance achieved	3/23/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	3/6/2012	2012	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Compliance achieved	11/19/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Compliance achieved	11/19/2009	2009	AZ
St Compliance achieved	8/16/2012	2012	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	12/19/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	4/22/2009	2009	AZ
St Violation/Reminder Notice	6/8/2009	2009	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
State No Longer Subject to Rule	3/1/2014	2014	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ

AZ0408172	12	SIA
AZ0408172	13	SOX
AZ0408172	2	SIA
AZ0408172	3	SOX
AZ0408172	4	SIA
AZ0408172	5	SIA
AZ0408172	6	SIA
AZ0408172	7	SIA
AZ0408172	8	SOX
AZ0408172	9	SOX
AZ0408173	1	SOX
AZ0408173	10	SIA
AZ0408173	11	SIA
AZ0408173	13	SIA
AZ0408173	16	SOX
AZ0408173	17	SOX
AZ0408173	18	SOX
AZ0408173	3	SOX
AZ0408173	4	SIA
AZ0408173	5	SOX
AZ0408173	8	SOX
AZ0408173	9	SOX
AZ0408174	1	SOX
AZ0408174	100	SOX
AZ0408174	101	SOX
AZ0408174	102	SIA
AZ0408174	104	SIA
AZ0408174	105	SIA
AZ0408174	106	SIA
AZ0408174	108	SIA
AZ0408174	109	SOX
AZ0408174	11	SIA
AZ0408174	110	SIA
AZ0408174	111	SOX
AZ0408174	112	SIA
AZ0408174	114	SFJ
AZ0408174	115	SOX
AZ0408174	116	SIA
AZ0408174	117	SIA
AZ0408174	118	SOX
AZ0408174	119	SIA
AZ0408174	120	SIA
AZ0408174	121	SIA
AZ0408174	122	SOX
AZ0408174	123	SOX
AZ0408174	124	SOX
AZ0408174	13	SOX

St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	10/20/2013	2013	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	6/8/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	1/16/2007	2007	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	8/2/2012	2012	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/22/2008	2008	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	6/21/2011	2011	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Formal NOV issued	1/10/2014	2014	AZ
St Compliance achieved	2/21/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Compliance achieved	7/30/2014	2014	AZ
St Compliance achieved	10/4/2012	2012	AZ
St Compliance achieved	4/1/2009	2009	AZ

AZ0408174	15	SIA
AZ0408174	16	SIA
AZ0408174	17	SOX
AZ0408174	19	SIA
AZ0408174	2	SIA
AZ0408174	20	SOX
AZ0408174	21	SOX
AZ0408174	22	SOX
AZ0408174	23	SOX
AZ0408174	24	SIA
AZ0408174	25	SIA
AZ0408174	26	SIA
AZ0408174	27	SIA
AZ0408174	28	SIA
AZ0408174	29	SIA
AZ0408174	3	SIA
AZ0408174	30	SIA
AZ0408174	31	SIA
AZ0408174	32	SIA
AZ0408174	33	SIA
AZ0408174	34	SIA
AZ0408174	35	SIA
AZ0408174	36	SIA
AZ0408174	37	SIA
AZ0408174	38	SIA
AZ0408174	39	SIA
AZ0408174	40	SIA
AZ0408174	41	SIA
AZ0408174	42	SIA
AZ0408174	43	SIA
AZ0408174	5	SIA
AZ0408174	8	SOX
AZ0408174	84	SOX
AZ0408174	85	SOX
AZ0408174	86	SOX
AZ0408174	87	SOX
AZ0408174	88	SIA
AZ0408174	89	SIA
AZ0408174	9	SIA
AZ0408174	90	SIA
AZ0408174	91	SFJ
AZ0408174	92	SIA
AZ0408174	93	SOX
AZ0408174	94	SOX
AZ0408174	95	SOX
AZ0408174	96	SIA
AZ0408174	97	SOX

St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	6/23/2009	2009	AZ
St Compliance achieved	7/15/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	4/22/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Compliance achieved	6/30/2010	2010	AZ
St Compliance achieved	1/17/2012	2012	AZ
St Compliance achieved	5/16/2012	2012	AZ
St Compliance achieved	5/21/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Formal NOV issued	7/23/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/6/2012	2012	AZ
St Compliance achieved	9/25/2012	2012	AZ
St Compliance achieved	8/8/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	3/22/2012	2012	AZ

AZ0408174	98	SIA
AZ0408174	99	SIA
AZ0408175	1	SOX
AZ0408175	10	SIA
AZ0408175	11	SIA
AZ0408175	13	SIA
AZ0408175	14	SIA
AZ0408175	15	SOX
AZ0408175	16	SO0
AZ0408175	17	SOX
AZ0408175	18	SOX
AZ0408175	19	SOX
AZ0408175	22	SIA
AZ0408175	24	SIA
AZ0408175	26	SIA
AZ0408175	27	SOX
AZ0408175	29	SOX
AZ0408175	30	SIA
AZ0408175	32	SOX
AZ0408175	33	SIA
AZ0408175	34	SIA
AZ0408175	35	SIA
AZ0408175	36	SOX
AZ0408175	37	SIA
AZ0408175	38	SOX
AZ0408175	4	SIA
AZ0408175	6	SIA
AZ0408175	7	SIA
AZ0408175	8	SOX
AZ0408175	9	SOX
AZ0408176	1	SIA
AZ0408176	10	SIA
AZ0408176	14	SIA
AZ0408176	15	SO0
AZ0408176	16	SOX
AZ0408176	17	SOX
AZ0408176	18	SIA
AZ0408176	2	SIA
AZ0408176	4	SIA
AZ0408176	7	SOX
AZ0408176	8	SOX
AZ0408176	9	SOX
AZ0408178	1	SIA
AZ0408178	10	SIA
AZ0408178	11	SIA
AZ0408178	12	SOX
AZ0408178	13	SIA

St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/9/2009	2009	AZ
State No Longer Subject to Rule	10/21/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	11/9/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	10/22/2010	2010	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	8/6/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
State No Longer Subject to Rule	6/30/2012	2012	AZ
St Compliance achieved	10/29/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	1/27/2009	2009	AZ
St Compliance achieved	9/15/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	10/15/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ

AZ0408178	15	SOX
AZ0408178	16	SIA
AZ0408178	17	SIA
AZ0408178	18	SOX
AZ0408178	19	SOX
AZ0408178	20	SIA
AZ0408178	21	SIA
AZ0408178	22	SIA
AZ0408178	23	SOX
AZ0408178	25	SOX
AZ0408178	26	SIA
AZ0408178	27	SOX
AZ0408178	3	SOX
AZ0408178	4	SIA
AZ0408178	6	SIA
AZ0408178	8	SIF
AZ0408178	9	SOX
AZ0408179	1	SOX
AZ0408183	11	SIA
AZ0408183	12	SIA
AZ0408183	13	SIA
AZ0408183	14	SIA
AZ0408183	15	SOX
AZ0408183	16	SOX
AZ0408183	17	SIA
AZ0408183	18	SIA
AZ0408183	19	SIA
AZ0408183	20	SIA
AZ0408183	22	SOX
AZ0408183	23	SOX
AZ0408183	3	SIA
AZ0408183	4	SIA
AZ0408183	5	SIA
AZ0408183	6	SOX
AZ0408183	7	SOX
AZ0408183	8	SIA
AZ0408183	9	SIA
AZ0408184	1	SIA
AZ0408184	10	SOX
AZ0408184	11	SIA
AZ0408184	12	SOX
AZ0408184	13	SIA
AZ0408184	14	SIA
AZ0408184	15	SOX
AZ0408184	16	SIA
AZ0408184	17	SOX
AZ0408184	18	SIA

St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	4/24/2013	2013	AZ
St Violation/Reminder Notice	7/16/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	8/11/2011	2011	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/7/2014	2014	AZ
St Compliance achieved	3/31/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Public Notif received	8/13/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	5/14/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/6/2014	2014	AZ
St Compliance achieved	8/15/2014	2014	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Compliance achieved	3/18/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ

AZ0408184	19	SOX
AZ0408184	2	SIA
AZ0408184	3	SIA
AZ0408184	4	SOX
AZ0408184	5	SIA
AZ0408184	6	SIA
AZ0408184	7	SOX
AZ0408184	8	SOX
AZ0408184	9	SIA
AZ0408302	1743	SOX
AZ0408302	1744	SOX
AZ0408302	1748	SOX
AZ0408302	1749	SOX
AZ0408302	1750	SIA
AZ0408302	1751	SOX
AZ0408302	1752	SOX
AZ0408302	1753	SIA
AZ0408302	1754	SOX
AZ0408302	1755	SIA
AZ0408302	1756	SIA
AZ0408302	1757	SIA
AZ0408302	1758	SOX
AZ0408302	1759	SOX
AZ0408302	1760	SIA
AZ0408302	1761	SIA
AZ0408302	1763	SIA
AZ0408302	1764	SIA
AZ0408302	1765	SFJ
AZ0408302	1767	SOX
AZ0408302	1768	SIA
AZ0408302	1769	SOX
AZ0408302	1770	SIA
AZ0408302	1771	SOX
AZ0408302	1773	SOX
AZ0408302	1774	SIA
AZ0408302	1775	SIA
AZ0408302	1776	SOX
AZ0408302	1778	SOX
AZ0408302	1779	SOX
AZ0408302	1780	SIA
AZ0408302	1781	SFJ
AZ0408302	1783	SOX
AZ0408302	1784	SIA
AZ0408302	1785	SOX
AZ0408302	1786	SIA
AZ0408302	1787	SOX
AZ0408303	4	SOX

St Compliance achieved	8/14/2014	2014	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	8/8/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	5/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Formal NOV issued	9/25/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Violation/Reminder Notice	10/29/2012	2012	AZ
St Compliance achieved	12/10/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/9/2013	2013	AZ
St Compliance achieved	11/27/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Formal NOV issued	9/24/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ

AZ0408303	5	SIA
AZ0408303	6	SO0
AZ0408304	10	SIA
AZ0408304	11	SOX
AZ0408304	13	SIA
AZ0408304	14	SOX
AZ0408304	4	SOX
AZ0408304	6	SOX
AZ0408304	8	SIA
AZ0408304	9	SOX
AZ0408307	3643	SOX
AZ0408307	3644	SOX
AZ0408307	3645	SOX
AZ0408307	3646	SOX
AZ0408307	3647	SOX
AZ0408307	3648	SIA
AZ0408307	3650	SOX
AZ0408307	3651	SOX
AZ0408307	3652	SIA
AZ0408307	3653	SIA
AZ0408307	3654	SIA
AZ0408307	3656	SIA
AZ0408307	3658	SIA
AZ0408307	3659	SFJ
AZ0408307	3660	SIA
AZ0408307	3661	SIA
AZ0408307	3664	SIA
AZ0408307	3665	SIA
AZ0408307	3668	SOX
AZ0408307	3669	SOX
AZ0408307	3670	SIA
AZ0408307	3671	SIA
AZ0408307	3672	SIA
AZ0408307	3673	SO0
AZ0408307	3674	SO0
AZ0408307	3675	SO0
AZ0408307	3679	SIA
AZ0408307	3680	SIA
AZ0408307	3682	SIA
AZ0408307	3684	SIA
AZ0408307	3686	SIA
AZ0408307	3688	SOX
AZ0408307	3689	SOX
AZ0408307	3690	SIA
AZ0408307	3691	SOX
AZ0408307	3692	SIA
AZ0408307	3693	SOX

St Violation/Reminder Notice	1/31/2011	2011	AZ
State No Longer Subject to Rule	6/14/2012	2012	AZ
St Violation/Reminder Notice	9/15/2008	2008	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/12/2012	2012	AZ
St Compliance achieved	5/11/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/5/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Formal NOV issued	10/16/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	12/16/2008	2008	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
State No Longer Subject to Rule	2/26/2009	2009	AZ
State No Longer Subject to Rule	2/26/2009	2009	AZ
State No Longer Subject to Rule	1/1/2009	2009	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Compliance achieved	3/28/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	5/3/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ

AZ0408307	3694	SOX
AZ0408307	3695	SIA
AZ0408307	3696	SIA
AZ0408307	3697	SIA
AZ0408307	3699	SOX
AZ0408307	3700	SIA
AZ0408307	3701	SOX
AZ0408307	3702	SIA
AZ0408307	3704	SIA
AZ0408307	3705	SIA
AZ0408307	3706	SIA
AZ0408307	3708	SOX
AZ0408307	3709	SOX
AZ0408307	3710	SIA
AZ0408307	3712	SIA
AZ0408307	3713	SOX
AZ0408307	3714	SOX
AZ0408307	3715	SIA
AZ0408307	3717	SIA
AZ0408307	3719	SFJ
AZ0408307	3721	SIA
AZ0408307	3723	SIA
AZ0408307	3724	SIA
AZ0408307	3726	SOX
AZ0408307	3727	SIA
AZ0408307	3728	SIA
AZ0408307	3729	SIA
AZ0408307	3730	SOX
AZ0408307	3731	SOX
AZ0408307	3732	SOX
AZ0408307	3733	SIA
AZ0408307	3734	SOX
AZ0408310	810	SOX
AZ0408310	811	SOX
AZ0408310	812	SOX
AZ0408310	813	SOX
AZ0408310	814	SOX
AZ0408310	815	SIA
AZ0408310	816	SIA
AZ0408310	817	SFJ
AZ0408310	819	SIA
AZ0408310	820	SOX
AZ0408310	822	SIA
AZ0408310	823	SIA
AZ0408310	825	SOX
AZ0408310	826	SOX
AZ0408310	827	SIA

St Compliance achieved	6/27/2011	2011	AZ
St Violation/Reminder Notice	8/26/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	3/27/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Compliance achieved	4/28/2010	2010	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	3/19/2013	2013	AZ
St Compliance achieved	3/19/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	12/30/2011	2011	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Formal NOV issued	2/10/2014	2014	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Violation/Reminder Notice	3/10/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/29/2014	2014	AZ
St Compliance achieved	7/30/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/1/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Formal NOV issued	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	6/2/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ

AZ0408310	828	SOX
AZ0408310	829	SIA
AZ0408310	830	SOX
AZ0408310	832	SOX
AZ0408310	833	SIA
AZ0408310	834	SOX
AZ0408310	835	SIA
AZ0408311	1096	SO0
AZ0408311	1108	SO0
AZ0408316	6503	SOX
AZ0408316	6504	SOX
AZ0408316	6648	SOX
AZ0408316	6649	SOX
AZ0408316	6650	SOX
AZ0408316	6651	SOX
AZ0408316	6654	SOX
AZ0408316	6655	SIA
AZ0408316	6656	SIA
AZ0408316	6658	SIA
AZ0408316	6659	SIA
AZ0408316	6660	SIA
AZ0408316	6661	SOX
AZ0408316	6663	SIA
AZ0408316	6665	SOX
AZ0408316	6666	SOX
AZ0408316	6670	SOX
AZ0408316	6674	SIA
AZ0408316	6676	SIA
AZ0408316	6677	SIA
AZ0408316	6678	SOX
AZ0408316	6679	SOX
AZ0408316	6682	SIA
AZ0408316	6683	SOX
AZ0408316	6684	SIA
AZ0408316	6685	SOX
AZ0408318	192	SOX
AZ0408318	193	SIA
AZ0408318	194	SOX
AZ0408318	195	SIA
AZ0408318	196	SOX
AZ0408322	796	SOX
AZ0408322	797	SOX
AZ0408322	798	SIA
AZ0408322	799	SIA
AZ0408322	800	SIA
AZ0408322	802	SOX
AZ0408333	1705	SIA

St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/17/2012	2012	AZ
St Compliance achieved	11/16/2010	2010	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
State No Longer Subject to Rule	1/3/2007	2007	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Compliance achieved	1/31/2007	2007	AZ
St Compliance achieved	1/31/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/15/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	2/24/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	7/20/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	2/8/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/7/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/12/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	2/28/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Compliance achieved	1/29/2009	2009	AZ
St Compliance achieved	7/16/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	1/10/2011	2011	AZ

AZ0408333	1907	SOX
AZ0408333	1908	SOX
AZ0408333	1938	SOX
AZ0408333	1939	SIA
AZ0408333	1940	SOX
AZ0408333	1942	SOX
AZ0408333	1943	SOX
AZ0408333	1944	SOX
AZ0408333	1945	SOX
AZ0408333	1947	SOX
AZ0408341	918	SOX
AZ0408341	919	SIA
AZ0408341	920	SOX
AZ0408341	921	SOX
AZ0408341	922	SIA
AZ0408341	923	SOX
AZ0408341	924	SIA
AZ0408341	925	SOX
AZ0408341	926	SOX
AZ0408341	927	SOX
AZ0408341	928	SIA
AZ0408341	929	SIA
AZ0408341	930	SIA
AZ0408341	931	SIA
AZ0408341	932	SIA
AZ0408341	933	SOX
AZ0408341	934	SIA
AZ0408341	935	SIA
AZ0408341	936	SIA
AZ0408341	937	SOX
AZ0408341	938	SIA
AZ0408341	939	SOX
AZ0408341	940	SIA
AZ0408341	941	SOX
AZ0408341	944	SOX
AZ0408341	945	SOX
AZ0408341	946	SOX
AZ0408342	7095	SIA
AZ0408342	7096	SOX
AZ0408342	7097	SOX
AZ0408342	7098	SOX
AZ0408342	7099	SOX
AZ0408342	7100	SOX
AZ0408342	7101	SIA
AZ0408342	7102	SOX
AZ0408342	7103	SOX
AZ0408342	7104	SIA

St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/9/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/29/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	6/19/2009	2009	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/23/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	10/11/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/13/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	6/14/2012	2012	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	11/14/2011	2011	AZ
St Compliance achieved	10/17/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ

AZ0408342	7106	SIA
AZ0408342	7107	SIA
AZ0408342	7108	SIA
AZ0408342	7109	SOX
AZ0408342	7112	SOX
AZ0408342	7113	SOX
AZ0408342	7117	SIA
AZ0408342	7118	SIA
AZ0408342	7119	SIA
AZ0408342	7120	SOX
AZ0408342	7121	SIA
AZ0408342	7122	SIA
AZ0408350	857	SOX
AZ0408350	858	SOX
AZ0408350	859	SIA
AZ0408350	860	SIA
AZ0408350	861	SOX
AZ0408350	862	SOX
AZ0408350	863	SOX
AZ0408350	865	SIA
AZ0408350	866	SIA
AZ0408350	868	SIA
AZ0408350	869	SIA
AZ0408350	870	SOX
AZ0408350	871	SOX
AZ0408350	872	SIA
AZ0408350	873	SIA
AZ0408350	874	SOX
AZ0408350	875	SIA
AZ0408350	876	SOX
AZ0408350	877	SIA
AZ0408350	878	SOX
AZ0408350	879	SIA
AZ0408350	880	SIA
AZ0408350	881	SO0
AZ0408351	4002	SOX
AZ0408351	4082	SOX
AZ0408351	4083	SOX
AZ0408351	4084	SIA
AZ0408351	4085	SOX
AZ0408351	4086	SOX
AZ0408351	4087	SOX
AZ0408351	4088	SOX
AZ0408351	4089	SOX
AZ0408351	4090	SIA
AZ0408351	4091	SIA
AZ0408351	4092	SIA

St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Compliance achieved	12/27/2010	2010	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Violation/Reminder Notice	9/24/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/6/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	1/11/2010	2010	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
State No Longer Subject to Rule	5/7/2013	2013	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Compliance achieved	4/27/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	12/2/2009	2009	AZ
St Compliance achieved	12/2/2009	2009	AZ
St Compliance achieved	12/8/2009	2009	AZ
St Compliance achieved	1/13/2010	2010	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ

AZ0408351	4093	SIA
AZ0408351	4094	SOX
AZ0408351	4095	SOX
AZ0408351	4097	SOX
AZ0408351	4099	SOX
AZ0408351	4100	SIA
AZ0408351	4101	SFJ
AZ0408351	4102	SOX
AZ0408351	4103	SOX
AZ0408351	4105	SOX
AZ0408351	4107	SIA
AZ0408351	4108	SOX
AZ0408354	21	SOX
AZ0408354	22	SOX
AZ0408354	23	SIA
AZ0408354	25	SOX
AZ0408354	26	SIA
AZ0408354	28	SO0
AZ0408355	1016	SOX
AZ0408355	1017	SIA
AZ0408355	1018	SOX
AZ0408355	1019	SOX
AZ0408355	1020	SIA
AZ0408355	1021	SOX
AZ0408355	1022	SOX
AZ0408355	1023	SFJ
AZ0408355	1024	SOX
AZ0408355	1025	SIA
AZ0408355	1026	SIA
AZ0408355	1027	SIA
AZ0408355	1028	SOX
AZ0408355	1029	SOX
AZ0408358	800	SOX
AZ0408358	801	SOX
AZ0408358	802	SIA
AZ0408358	803	SOX
AZ0408358	804	SIA
AZ0408358	806	SOX
AZ0408358	807	SIA
AZ0408358	808	SIA
AZ0408358	809	SIA
AZ0408358	810	SOX
AZ0408358	811	SIA
AZ0408358	812	SOX
AZ0408358	813	SIA
AZ0408358	814	SOX
AZ0408358	815	SIA

St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	1/11/2011	2011	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Formal NOV issued	12/14/2012	2012	AZ
St Compliance achieved	10/6/2010	2010	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	2/12/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
State No Longer Subject to Rule	3/11/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	7/17/2009	2009	AZ
St Formal NOV issued	10/15/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/6/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/23/2007	2007	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	2/2/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ

AZ0408358	816	SIA
AZ0408358	817	SOX
AZ0408358	819	SIA
AZ0408358	820	SOX
AZ0408358	821	SIA
AZ0408358	822	SOX
AZ0408358	823	SIA
AZ0408358	824	SOX
AZ0408358	825	SIA
AZ0408358	826	SOX
AZ0408358	827	SOX
AZ0408358	828	SIA
AZ0408359	1011	SOX
AZ0408359	1012	SIA
AZ0408359	1013	SIA
AZ0408359	1014	SIA
AZ0408359	1015	SIA
AZ0408359	1016	SOX
AZ0408359	1017	SOX
AZ0408359	1018	SIA
AZ0408359	1019	SOX
AZ0408359	1020	SIA
AZ0408359	1021	SOX
AZ0408359	1023	SIA
AZ0408359	1024	SIA
AZ0408359	1025	SIA
AZ0408359	1026	SOX
AZ0408359	1027	SOX
AZ0408701	3615	SOX
AZ0408701	3616	SOX
AZ0408701	3617	SOX
AZ0408701	3619	SIA
AZ0408701	3621	SOX
AZ0408701	3622	SOX
AZ0408701	3623	SOX
AZ0408701	3624	SOX
AZ0408701	3625	SOX
AZ0408701	3626	SIA
AZ0408701	3628	SIA
AZ0408701	3629	SIA
AZ0408701	3630	SOX
AZ0408701	3631	SOX
AZ0408701	3632	SOX
AZ0408701	3633	SIA
AZ0408701	3636	SIA
AZ0408701	3637	SOX
AZ0408701	3638	SIA

St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	5/6/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/6/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/6/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/13/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	11/3/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Compliance achieved	1/24/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Compliance achieved	9/5/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ

AZ0408701	3639	SOX
AZ0408701	3641	SIA
AZ0408701	3642	SOX
AZ0408701	3643	SOX
AZ0408701	3645	SOX
AZ0408701	3647	SIA
AZ0408701	3648	SIA
AZ0408701	3649	SIA
AZ0408701	3650	SIA
AZ0408701	3651	SIA
AZ0408701	3652	SIA
AZ0408701	3653	SIA
AZ0408701	3654	SIA
AZ0408701	3655	SIA
AZ0408701	3656	SIA
AZ0408701	3657	SIA
AZ0408701	3658	SIA
AZ0408701	3659	SIA
AZ0408701	3660	SIA
AZ0408701	3661	SIA
AZ0408701	3662	SIA
AZ0408701	3663	SIA
AZ0408701	3664	SIA
AZ0408701	3665	SIA
AZ0408701	3666	SIA
AZ0408701	3667	SIA
AZ0408701	3668	SIA
AZ0408701	3669	SIA
AZ0408701	3670	SIA
AZ0408701	3671	SIA
AZ0408701	3672	SIA
AZ0408701	3673	SIA
AZ0408701	3674	SIA
AZ0408701	3675	SIA
AZ0408701	3676	SIA
AZ0408701	3677	SIA
AZ0408701	3678	SIA
AZ0408701	3679	SIA
AZ0408701	3680	SIA
AZ0408701	3681	SIA
AZ0408701	3682	SIA
AZ0408701	3683	SIA
AZ0408701	3684	SIA
AZ0408701	3685	SIA
AZ0408701	3686	SIA
AZ0408701	3687	SIA
AZ0408701	3748	SOX

St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ

AZ0408701	3751	SIA
AZ0408701	3752	SOX
AZ0408701	3753	SIA
AZ0408701	3754	SOX
AZ0408701	3755	SOX
AZ0408701	3756	SOX
AZ0408701	3759	SOX
AZ0408701	3760	SOX
AZ0408701	3761	SOX
AZ0408701	3762	SIA
AZ0408701	3763	SIA
AZ0408701	3764	SIA
AZ0408701	3765	SIA
AZ0408701	3766	SIA
AZ0408701	3767	SIA
AZ0408701	3768	SIA
AZ0408701	3769	SIA
AZ0408701	3770	SIA
AZ0408701	3771	SIA
AZ0408701	3772	SIA
AZ0408701	3773	SIA
AZ0408701	3774	SIA
AZ0408701	3775	SIA
AZ0408701	3776	SIA
AZ0408701	3777	SIA
AZ0408701	3778	SIA
AZ0408701	3779	SIA
AZ0408701	3780	SIA
AZ0408701	3781	SIA
AZ0408701	3782	SIA
AZ0408701	3783	SIA
AZ0408701	3784	SIA
AZ0408701	3785	SIA
AZ0408701	3786	SIA
AZ0408701	3787	SIA
AZ0408701	3788	SIA
AZ0408701	3789	SIA
AZ0408701	3790	SIA
AZ0408701	3791	SIA
AZ0408701	3792	SIA
AZ0408701	3793	SIA
AZ0408701	3794	SIA
AZ0408701	3795	SIA
AZ0408701	3796	SIA
AZ0408701	3797	SIA
AZ0408701	3798	SIA
AZ0408701	3799	SIA

St Violation/Reminder Notice	3/18/2011	2011	AZ
St Compliance achieved	4/26/2011	2011	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Compliance achieved	9/20/2011	2011	AZ
St Compliance achieved	9/6/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0408701	3800	SIA
AZ0408701	3801	SIA
AZ0408701	3803	SOX
AZ0408701	3804	SIA
AZ0408701	3805	SIA
AZ0408701	3806	SIA
AZ0408701	3807	SIA
AZ0408701	3808	SIA
AZ0408701	3809	SIA
AZ0408701	3810	SIA
AZ0408701	3811	SIA
AZ0408701	3812	SIA
AZ0408701	3813	SIA
AZ0408701	3814	SIA
AZ0408701	3815	SIA
AZ0408701	3816	SIA
AZ0408701	3817	SIA
AZ0408701	3818	SIA
AZ0408701	3819	SIA
AZ0408701	3820	SIA
AZ0408701	3821	SIA
AZ0408701	3822	SIA
AZ0408701	3823	SIA
AZ0408701	3824	SOX
AZ0408701	3825	SOX
AZ0408701	3826	SIA
AZ0408703	5845	SOX
AZ0408703	5846	SOX
AZ0408703	5847	SOX
AZ0408703	5848	SIA
AZ0408703	5849	SIA
AZ0408703	5850	SOX
AZ0408703	5851	SOX
AZ0408703	5852	SO0
AZ0408703	5854	SOX
AZ0408703	5855	SOX
AZ0408703	5856	SOX
AZ0408703	5857	SOX
AZ0408703	5858	SIA
AZ0408703	5861	SIA
AZ0408703	5862	SOX
AZ0408703	5863	SIA
AZ0408703	5866	SIA
AZ0408703	5867	SOX
AZ0408703	5868	SIA
AZ0408703	5869	SOX
AZ0408703	5870	SOX

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Compliance achieved	9/7/2011	2011	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Compliance achieved	11/25/2013	2013	AZ
St Compliance achieved	9/9/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
State No Longer Subject to Rule	2/11/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ

AZ0408703	5871	SOX
AZ0408703	5872	SOX
AZ0408703	5874	SIA
AZ0408703	5875	SOX
AZ0408703	5876	SOX
AZ0408703	5881	SOX
AZ0408703	5882	SO0
AZ0408703	5883	SOX
AZ0408703	5885	SIA
AZ0408703	5886	SOX
AZ0408703	5888	SOX
AZ0408703	5889	SOX
AZ0408703	5890	SIA
AZ0408703	5891	SOX
AZ0408703	5892	SIA
AZ0408703	5893	SOX
AZ0408704	2386	SIA
AZ0408704	2387	SIA
AZ0408704	2388	SOX
AZ0408704	2389	SOX
AZ0408704	2392	SIA
AZ0408704	2395	SIA
AZ0408704	2396	SOX
AZ0408704	2397	SIA
AZ0408704	2398	SOX
AZ0408704	2399	SOX
AZ0408704	2401	SOX
AZ0408704	2403	SIA
AZ0408704	2407	SOX
AZ0408704	2409	SOX
AZ0408704	2410	SIA
AZ0408704	2412	SOX
AZ0408704	2413	SOX
AZ0408704	2414	SIA
AZ0408704	2415	SOX
AZ0408704	2416	SOX
AZ0408704	2417	SOX
AZ0408704	2418	SIA
AZ0408704	2419	SIA
AZ0408704	2420	SIA
AZ0408704	2421	SIA
AZ0408704	2422	SIA
AZ0408704	2423	SIA
AZ0408704	2424	SIA
AZ0408704	2425	SIA
AZ0408704	2426	SIA
AZ0408704	2427	SIA

St Compliance achieved	12/4/2008	2008	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	7/9/2012	2012	AZ
State No Longer Subject to Rule	6/18/2007	2007	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/15/2014	2014	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	7/1/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Compliance achieved	12/4/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	5/29/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Compliance achieved	9/9/2013	2013	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ

AZ0408704	2428	SIA
AZ0408704	2429	SIA
AZ0408704	2430	SIA
AZ0408704	2431	SIA
AZ0408704	2432	SIA
AZ0408704	2433	SIA
AZ0408704	2434	SIA
AZ0408704	2435	SIA
AZ0408704	2436	SIA
AZ0408704	2437	SIA
AZ0408704	2438	SIA
AZ0408704	2439	SIA
AZ0408704	2440	SOX
AZ0409000	1100	SOX
AZ0409000	1134	SOX
AZ0409000	1135	SIA
AZ0409000	1136	SOX
AZ0409000	1137	SIA
AZ0409000	1138	SOX
AZ0409000	1139	SOX
AZ0409000	1141	SIA
AZ0409000	1142	SOX
AZ0409001	4901	SOX
AZ0409001	4902	SIA
AZ0409001	4903	SOX
AZ0409001	4904	SOX
AZ0409001	4905	SIA
AZ0409001	4906	SOX
AZ0409001	4907	SOX
AZ0409001	4908	SOX
AZ0409001	4909	SOX
AZ0409001	4910	SOX
AZ0409001	4912	SIA
AZ0409001	4913	SOX
AZ0409001	4915	SIA
AZ0409001	4916	SOX
AZ0409001	4917	SOX
AZ0409001	4918	SOX
AZ0409003	1949	SOX
AZ0409003	1952	SOX
AZ0409003	1953	SOX
AZ0409003	1954	SIF
AZ0409003	1961	SOX
AZ0409003	1962	SOX
AZ0409003	1963	SOX
AZ0409004	3456	SOX
AZ0409004	3458	SOX

St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/15/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	2/26/2009	2009	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	11/29/2011	2011	AZ
St Compliance achieved	7/17/2012	2012	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/4/2013	2013	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	12/6/2007	2007	AZ
St Compliance achieved	1/16/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	11/21/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	10/6/2010	2010	AZ
St Compliance achieved	8/8/2011	2011	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Public Notif received	6/15/2009	2009	AZ
St Compliance achieved	2/12/2009	2009	AZ
St Compliance achieved	6/1/2009	2009	AZ
St Compliance achieved	5/29/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ

AZ0409004	3459	SOX
AZ0409004	3460	SIA
AZ0409004	3461	SOX
AZ0409004	3462	SIA
AZ0409005	4507	SOX
AZ0409005	4557	SOX
AZ0409005	4559	SIA
AZ0409005	4560	SOX
AZ0409005	4561	SOX
AZ0409005	4562	SOX
AZ0409005	4564	SOX
AZ0409005	4566	SOX
AZ0409005	4567	SIA
AZ0409005	4570	SIA
AZ0409005	4571	SOX
AZ0409005	4572	SOX
AZ0409005	4573	SIA
AZ0409005	4575	SFJ
AZ0409005	4576	SOX
AZ0409005	4577	SOX
AZ0409005	4578	SIA
AZ0409005	4579	SIA
AZ0409005	4580	SOX
AZ0409005	4581	SFJ
AZ0409005	4582	SOX
AZ0409005	4583	SOX
AZ0409005	4584	SIA
AZ0409005	4585	SOX
AZ0409005	4586	SIA
AZ0409005	4587	SOX
AZ0409005	4588	SIA
AZ0409005	4590	SIA
AZ0409005	4591	SIA
AZ0409005	4594	SOX
AZ0409005	4595	SIA
AZ0409005	4596	SOX
AZ0409005	4597	SOX
AZ0409005	4598	SIA
AZ0409005	4599	SOX
AZ0409005	4600	SOX
AZ0409005	4601	SIA
AZ0409008	634	SOX
AZ0409008	635	SOX
AZ0409008	636	SIA
AZ0409008	637	SOX
AZ0409008	638	SOX
AZ0409008	640	SIA

St Compliance achieved	5/29/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/6/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	7/10/2008	2008	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Formal NOV issued	3/22/2010	2010	AZ
St Compliance achieved	7/7/2010	2010	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Formal NOV issued	10/22/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	11/2/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/13/2012	2012	AZ
St Violation/Reminder Notice	1/24/2013	2013	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/9/2014	2014	AZ
St Compliance achieved	4/9/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	3/12/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Violation/Reminder Notice	5/19/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ

AZ0409008	641	SOX
AZ0409008	642	SOX
AZ0409008	643	SIA
AZ0409008	644	SOX
AZ0409008	645	SIA
AZ0409008	646	SIA
AZ0409008	647	SIA
AZ0409008	648	SOX
AZ0409008	649	SIA
AZ0409008	650	SOX
AZ0409008	651	SIA
AZ0409008	652	SOX
AZ0409008	653	SIA
AZ0409008	654	SIA
AZ0409008	655	SIA
AZ0409008	656	SOX
AZ0409009	1224	SOX
AZ0409009	1225	SOX
AZ0409009	1230	SOX
AZ0409009	1231	SOX
AZ0409009	1232	SOX
AZ0409009	1233	SIA
AZ0409009	1236	SIA
AZ0409009	1237	SOX
AZ0409009	1238	SOX
AZ0409009	1239	SIA
AZ0409009	1241	SIA
AZ0409009	1242	SOX
AZ0409009	1243	SOX
AZ0409009	1244	SOX
AZ0409009	1245	SIA
AZ0409009	1246	SIA
AZ0409009	1247	SOX
AZ0409009	1248	SOX
AZ0409009	1249	SIA
AZ0409009	1251	SIA
AZ0409009	1252	SOX
AZ0409009	1253	SIA
AZ0409009	1255	SIA
AZ0409009	1256	SIA
AZ0409009	1257	SOX
AZ0409009	1258	SOX
AZ0409009	1259	SIA
AZ0409011	813	SIA
AZ0409011	814	SOX
AZ0409011	815	SIA
AZ0409011	816	SIA

St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/28/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	3/25/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	8/8/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	5/2/2007	2007	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	8/1/2007	2007	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/14/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	4/20/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Compliance achieved	3/14/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	12/10/2013	2013	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Compliance achieved	9/17/2013	2013	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ

AZ0409011	817	SIA
AZ0409011	818	SOX
AZ0409011	819	SOX
AZ0409011	820	SIA
AZ0409011	821	SIA
AZ0409011	822	SOX
AZ0409011	824	SOX
AZ0409013	720	SIA
AZ0409013	721	SOX
AZ0409013	722	SOX
AZ0409013	723	SOX
AZ0409013	724	SOX
AZ0409013	725	SIA
AZ0409013	726	SOX
AZ0409013	727	SIA
AZ0409013	728	SOX
AZ0409013	731	SOX
AZ0409013	733	SOX
AZ0409013	734	SIA
AZ0409013	736	SIA
AZ0409013	739	SOX
AZ0409013	740	SOX
AZ0409013	741	SFJ
AZ0409013	745	SOX
AZ0409013	746	SOX
AZ0409013	747	SOX
AZ0409013	748	SOX
AZ0409013	749	SIA
AZ0409013	750	SOX
AZ0409014	1249	SIA
AZ0409014	1250	SIA
AZ0409014	1252	SOX
AZ0409014	1253	SOX
AZ0409014	1255	SOX
AZ0409014	1257	SOX
AZ0409014	1259	SOX
AZ0409014	1260	SOX
AZ0409014	1262	SIA
AZ0409014	1263	SIA
AZ0409014	1265	SOX
AZ0409014	1267	SIA
AZ0409014	1270	SIA
AZ0409014	1271	SOX
AZ0409014	1272	SIA
AZ0409014	1273	SIA
AZ0409014	1275	SIA
AZ0409014	1278	SOX

St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Compliance achieved	11/21/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	8/4/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	7/22/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	9/16/2010	2010	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/27/2012	2012	AZ
St Formal NOV issued	3/29/2012	2012	AZ
St Compliance achieved	11/4/2012	2012	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Compliance achieved	9/14/2010	2010	AZ
St Compliance achieved	6/12/2008	2008	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/31/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	6/18/2008	2008	AZ
St Compliance achieved	7/7/2007	2007	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/8/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	10/18/2010	2010	AZ

AZ0409014	1298	SIA
AZ0409014	1299	SOX
AZ0409014	1300	SOX
AZ0409014	1302	SOX
AZ0409014	1305	SIA
AZ0409014	1306	SOX
AZ0409014	1307	SOX
AZ0409014	1308	SOX
AZ0409014	1309	SOX
AZ0409014	1310	SOX
AZ0409014	1311	SOX
AZ0409014	1312	SIA
AZ0409015	1001	SOX
AZ0409015	1002	SOX
AZ0409015	1003	SOX
AZ0409015	983	SOX
AZ0409015	987	SOX
AZ0409015	988	SIA
AZ0409015	989	SIA
AZ0409015	990	SOX
AZ0409015	991	SOX
AZ0409015	992	SOX
AZ0409015	994	SOX
AZ0409015	997	SOX
AZ0409016	3781	SIA
AZ0409016	3782	SOX
AZ0409016	3783	SOX
AZ0409016	3784	SOX
AZ0409016	3785	SOX
AZ0409016	3786	SOX
AZ0409016	3787	SIA
AZ0409016	3788	SIA
AZ0409016	3789	SOX
AZ0409016	3791	SIA
AZ0409016	3792	SOX
AZ0409016	3793	SOX
AZ0409016	3794	SIA
AZ0409016	3796	SIA
AZ0409016	3799	SIA
AZ0409016	3800	SOX
AZ0409016	3801	SIA
AZ0409016	3802	SIA
AZ0409016	3803	SOX
AZ0409016	3804	SOX
AZ0409016	3805	SIA
AZ0409016	3807	SIA
AZ0409016	3811	SOX

St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Compliance achieved	9/7/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/21/2013	2013	AZ
St Compliance achieved	10/3/2008	2008	AZ
St Compliance achieved	9/7/2011	2011	AZ
St Compliance achieved	8/23/2013	2013	AZ
St Compliance achieved	8/23/2013	2013	AZ
St Compliance achieved	6/12/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Compliance achieved	10/5/2010	2010	AZ
St Compliance achieved	8/8/2011	2011	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Compliance achieved	4/30/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	12/19/2007	2007	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Compliance achieved	6/18/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	6/16/2008	2008	AZ

AZ0409016	3812	SOX
AZ0409016	3818	SOX
AZ0409016	3819	SIA
AZ0409016	3823	SIA
AZ0409016	3825	SIA
AZ0409016	3827	SIA
AZ0409016	3829	SOX
AZ0409016	3830	SFJ
AZ0409016	3832	SOX
AZ0409016	3833	SIA
AZ0409016	3835	SOX
AZ0409016	3837	SIA
AZ0409016	3838	SOX
AZ0409016	3839	SOX
AZ0409016	3842	SOX
AZ0409016	3843	SOX
AZ0409016	3844	SIA
AZ0409016	3845	SIA
AZ0409016	3846	SIA
AZ0409016	3847	SOX
AZ0409016	3848	SOX
AZ0409017	507	SOX
AZ0409017	508	SOX
AZ0409017	509	SOX
AZ0409017	511	SOX
AZ0409017	512	SIA
AZ0409017	513	SIA
AZ0409017	515	SIA
AZ0409017	516	SOX
AZ0409018	10	SOX
AZ0409018	11	SOX
AZ0409018	12	SIA
AZ0409018	14	SOX
AZ0409018	15	SIA
AZ0409018	16	SOX
AZ0409020	1215	SOX
AZ0409020	1216	SOX
AZ0409020	1217	SOX
AZ0409020	1218	SOX
AZ0409020	1219	SIA
AZ0409020	1220	SOX
AZ0409020	1221	SIA
AZ0409020	1222	SOX
AZ0409021	9340	SOX
AZ0409021	9341	SOX
AZ0409021	9342	SOX
AZ0409021	9343	SOX

St Compliance achieved	1/20/2010	2010	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	4/10/2008	2008	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	9/2/2010	2010	AZ
St Formal NOV issued	1/14/2010	2010	AZ
St Compliance achieved	10/6/2010	2010	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	4/1/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Compliance achieved	6/5/2009	2009	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	3/23/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	10/22/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	5/29/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	4/5/2011	2011	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	1/19/2010	2010	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0409021	9344	SIA
AZ0409021	9345	SOX
AZ0409021	9347	SIA
AZ0409021	9349	SIA
AZ0409021	9350	SIA
AZ0409021	9351	SIA
AZ0409021	9353	SIA
AZ0409021	9357	SIA
AZ0409021	9358	SIA
AZ0409021	9359	SIA
AZ0409021	9362	SIA
AZ0409021	9363	SIA
AZ0409021	9364	SOX
AZ0409021	9365	SIA
AZ0409021	9367	SIA
AZ0409021	9368	SOX
AZ0409021	9369	SIA
AZ0409021	9371	SIA
AZ0409021	9375	SOX
AZ0409021	9376	SOX
AZ0409021	9377	SIA
AZ0409021	9381	SOX
AZ0409021	9385	SIA
AZ0409021	9387	SIA
AZ0409021	9388	SOX
AZ0409021	9389	SOX
AZ0409021	9390	SIA
AZ0409021	9393	SOX
AZ0409021	9394	SOX
AZ0409021	9395	SOX
AZ0409021	9396	SOX
AZ0409021	9399	SOX
AZ0409021	9400	SOX
AZ0409021	9403	SOX
AZ0409021	9405	SOX
AZ0409022	1860	SOX
AZ0409022	1861	SOX
AZ0409022	1862	SIA
AZ0409022	1864	SOX
AZ0409022	1866	SOX
AZ0409022	1868	SOX
AZ0409022	1869	SOX
AZ0409022	1870	SIA
AZ0409022	1872	SIA
AZ0409022	1873	SIA
AZ0409022	1875	SIA
AZ0409022	1876	SOX

St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/11/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/27/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	12/9/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	7/21/2010	2010	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	11/6/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	8/1/2010	2010	AZ
St Compliance achieved	9/21/2011	2011	AZ
St Compliance achieved	7/8/2013	2013	AZ
St Compliance achieved	3/20/2008	2008	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ

AZ0409022	1877	SIA
AZ0409022	1880	SIA
AZ0409022	1881	SOX
AZ0409022	1882	SIA
AZ0409022	1883	SOX
AZ0409022	1884	SIA
AZ0409022	1885	SOX
AZ0409022	1886	SIA
AZ0409022	1887	SOX
AZ0409022	1889	SIA
AZ0409022	1891	SOX
AZ0409022	1894	SOX
AZ0409023	2549	SOX
AZ0409023	2551	SOX
AZ0409023	2553	SOX
AZ0409023	2554	SIA
AZ0409023	2555	SIA
AZ0409023	2556	SOX
AZ0409023	2557	SOX
AZ0409023	2559	SOX
AZ0409023	2561	SOX
AZ0409023	2563	SO0
AZ0409023	2564	SOX
AZ0409023	2565	SOX
AZ0409023	2567	SOX
AZ0409023	2568	SOX
AZ0409023	2569	SIA
AZ0409023	2572	SIA
AZ0409023	2574	SIA
AZ0409023	2576	SOX
AZ0409023	2580	SIA
AZ0409023	2583	SIA
AZ0409023	2584	SOX
AZ0409023	2585	SOX
AZ0409023	2586	SIA
AZ0409023	2588	SOX
AZ0409023	2589	SOX
AZ0409023	2590	SIA
AZ0409023	2591	SIA
AZ0409023	2593	SIA
AZ0409023	2594	SOX
AZ0409023	2595	SIA
AZ0409023	2597	SIA
AZ0409023	2601	SOX
AZ0409023	2602	SOX
AZ0409023	2606	SOX
AZ0409023	2610	SOX

St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/8/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/29/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Compliance achieved	7/3/2012	2012	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	4/2/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/24/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
State No Longer Subject to Rule	3/14/2008	2008	AZ
St Compliance achieved	3/29/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/11/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/21/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/1/2007	2007	AZ
St Compliance achieved	11/5/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/11/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Compliance achieved	6/12/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	2/4/2010	2010	AZ

AZ0409023	2614	SIA
AZ0409023	2616	SIA
AZ0409023	2617	SIA
AZ0409023	2618	SIA
AZ0409023	2620	SOX
AZ0409023	2621	SIA
AZ0409023	2622	SOX
AZ0409023	2623	SOX
AZ0409023	2624	SOX
AZ0409023	2625	SOX
AZ0409023	2626	SOX
AZ0409023	2630	SOX
AZ0409023	2631	SIA
AZ0409023	2633	SIA
AZ0409023	2734	SIA
AZ0409023	2735	SOX
AZ0409023	2736	SOX
AZ0409023	2737	SOX
AZ0409023	2738	SIA
AZ0409023	2739	SIA
AZ0409023	2740	SOX
AZ0409023	2741	SIA
AZ0409023	2743	SOX
AZ0409023	2744	SOX
AZ0409024	2987	SOX
AZ0409024	2988	SIA
AZ0409024	2989	SIA
AZ0409024	2990	SOX
AZ0409024	2992	SOX
AZ0409024	2993	SOX
AZ0409024	2994	SIA
AZ0409024	2995	SOX
AZ0409024	2996	SIA
AZ0409024	2997	SIA
AZ0409024	2998	SOX
AZ0409024	2999	SOX
AZ0409024	3000	SOX
AZ0409024	3001	SOX
AZ0409024	3002	SOX
AZ0409024	3003	SIA
AZ0409024	3004	SOX
AZ0409024	3005	SIA
AZ0409025	1241	SOX
AZ0409025	1250	SOX
AZ0409025	1251	SOX
AZ0409025	1252	SOX
AZ0409025	1253	SOX

St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Compliance achieved	8/20/2012	2012	AZ
St Compliance achieved	3/12/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	9/4/2009	2009	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/10/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/6/2014	2014	AZ
St Compliance achieved	9/12/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Compliance achieved	1/22/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	4/8/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Compliance achieved	7/2/2012	2012	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/16/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	5/2/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ

AZ0409025	1254	SOX
AZ0409025	1255	SOX
AZ0409025	1256	SIA
AZ0409025	1257	SOX
AZ0409025	1259	SIA
AZ0409025	1262	SIA
AZ0409025	1263	SOX
AZ0409025	1265	SIA
AZ0409025	1267	SIA
AZ0409025	1269	SIA
AZ0409025	1270	SOX
AZ0409025	1271	SIA
AZ0409025	1273	SOX
AZ0409025	1274	SIA
AZ0409025	1275	SIA
AZ0409025	1276	SIA
AZ0409025	1277	SIA
AZ0409025	1280	SOX
AZ0409025	1282	SIA
AZ0409025	1283	SOX
AZ0409025	1284	SOX
AZ0409025	1285	SFJ
AZ0409025	1286	SIA
AZ0409025	1287	SIA
AZ0409025	1288	SIA
AZ0409025	1289	SO0
AZ0409025	1290	SIA
AZ0409025	1291	SIA
AZ0409025	1292	SOX
AZ0409025	1293	SIA
AZ0409025	1294	SIA
AZ0409025	1295	SO0
AZ0409025	1296	SIA
AZ0409025	1297	SO0
AZ0409025	1298	SO0
AZ0409026	1854	SOX
AZ0409026	1855	SOX
AZ0409026	1869	SOX
AZ0409026	1870	SIA
AZ0409026	1871	SOX
AZ0409026	1872	SOX
AZ0409026	1873	SOX
AZ0409026	1874	SIA
AZ0409026	1876	SIA
AZ0409026	1877	SOX
AZ0409026	1878	SOX
AZ0409026	1879	SIA

St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/12/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/3/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/8/2012	2012	AZ
St Formal NOV issued	2/22/2012	2012	AZ
St Violation/Reminder Notice	3/22/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
State No Longer Subject to Rule	5/31/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/16/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
State No Longer Subject to Rule	5/1/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
State No Longer Subject to Rule	5/1/2013	2013	AZ
State No Longer Subject to Rule	5/1/2013	2013	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	4/23/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/26/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Compliance achieved	12/18/2008	2008	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ

AZ0409026	1880	SOX
AZ0409026	1881	SIA
AZ0409026	1882	SOX
AZ0409026	1883	SIA
AZ0409026	1885	SIA
AZ0409026	1886	SOX
AZ0409026	1888	SIA
AZ0409026	1889	SOX
AZ0409026	1892	SIA
AZ0409026	1893	SOX
AZ0409026	1894	SIA
AZ0409026	1895	SOX
AZ0409026	1896	SIA
AZ0409026	1898	SIA
AZ0409026	1899	SOX
AZ0409026	1901	SIA
AZ0409026	1902	SOX
AZ0409026	1903	SOX
AZ0409026	1904	SIA
AZ0409026	1905	SIA
AZ0409026	1906	SIA
AZ0409026	1907	SIA
AZ0409026	1908	SIA
AZ0409026	1909	SOX
AZ0409026	1910	SOX
AZ0409026	1911	SOX
AZ0409026	1912	SOX
AZ0409026	1913	SOX
AZ0409026	1914	SOX
AZ0409026	1915	SIA
AZ0409026	1916	SOX
AZ0409026	1917	SOX
AZ0409026	1918	SIA
AZ0409026	1919	SIA
AZ0409026	1920	SOX
AZ0409026	1921	SO0
AZ0409027	711	SOX
AZ0409027	712	SIA
AZ0409027	713	SOX
AZ0409027	714	SOX
AZ0409027	715	SOX
AZ0409027	716	SOX
AZ0409027	717	SIA
AZ0409027	718	SOX
AZ0409027	719	SIA
AZ0409027	720	SOX
AZ0409027	721	SOX

St Compliance achieved	3/2/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/7/2009	2009	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Violation/Reminder Notice	2/21/2008	2008	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	1/4/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/30/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Compliance achieved	6/7/2013	2013	AZ
St Compliance achieved	7/5/2011	2011	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	4/16/2013	2013	AZ
St Compliance achieved	4/15/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
State No Longer Subject to Rule	7/18/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Compliance achieved	1/25/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ

AZ0409027	722	SOX
AZ0409027	723	SIA
AZ0409027	724	SOX
AZ0409027	725	SOX
AZ0409028	2603	SOX
AZ0409028	2655	SIA
AZ0409028	2656	SOX
AZ0409028	2657	SOX
AZ0409028	2658	SIA
AZ0409028	2659	SIA
AZ0409028	2660	SOX
AZ0409028	2661	SOX
AZ0409028	2662	SOX
AZ0409028	2663	SFJ
AZ0409028	2664	SIA
AZ0409028	2665	SOX
AZ0409028	2666	SOX
AZ0409028	2667	SOX
AZ0409028	2670	SIA
AZ0409029	4996	SOX
AZ0409029	5054	SOX
AZ0409029	5056	SOX
AZ0409029	5058	SOX
AZ0409029	5063	SOX
AZ0409029	5067	SOX
AZ0409029	5069	SIA
AZ0409029	5070	SIA
AZ0409029	5071	SOX
AZ0409030	1900	SOX
AZ0409030	1948	SOX
AZ0409030	1949	SOX
AZ0409030	1950	SOX
AZ0409030	1951	SIA
AZ0409030	1952	SOX
AZ0409030	1953	SOX
AZ0409030	1954	SIA
AZ0409030	1955	SOX
AZ0409030	1956	SOX
AZ0409030	1957	SOX
AZ0409031	1096	SOX
AZ0409031	1165	SOX
AZ0409031	1196	SOX
AZ0409031	1197	SOX
AZ0409031	1198	SOX
AZ0409031	1199	SOX
AZ0409031	1200	SIA
AZ0409031	1201	SOX

St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Formal NOV issued	3/22/2010	2010	AZ
St Violation/Reminder Notice	9/16/2010	2010	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Compliance achieved	9/16/2010	2010	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/28/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	10/7/2008	2008	AZ
St Compliance achieved	8/31/2012	2012	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/13/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	10/10/2007	2007	AZ
St Compliance achieved	12/27/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/29/2010	2010	AZ
St Compliance achieved	7/17/2012	2012	AZ
St Compliance achieved	2/26/2009	2009	AZ
St Compliance achieved	1/26/2007	2007	AZ
St Compliance achieved	12/10/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/31/2007	2007	AZ

AZ0409031	1203	SOX
AZ0409031	1205	SOX
AZ0409031	1206	SIA
AZ0409031	1208	SIA
AZ0409031	1209	SIA
AZ0409031	1212	SIA
AZ0409031	1213	SOX
AZ0409031	1214	SOX
AZ0409031	1215	SIA
AZ0409031	1216	SIA
AZ0409031	1217	SOX
AZ0409031	1218	SIA
AZ0409031	1220	SIA
AZ0409031	1224	SOX
AZ0409031	1225	SIA
AZ0409031	1227	SIA
AZ0409031	1228	SOX
AZ0409031	1229	SOX
AZ0409031	1233	SOX
AZ0409031	1235	SIA
AZ0409031	1237	SOX
AZ0409031	1238	SOX
AZ0409031	1239	SOX
AZ0409031	1240	SOX
AZ0409031	1241	SIA
AZ0409031	1242	SOX
AZ0409031	1243	SOX
AZ0409031	1245	SIA
AZ0409031	1247	SIA
AZ0409031	1248	SIA
AZ0409031	1249	SOX
AZ0409031	1250	SOX
AZ0409032	2147	SOX
AZ0409032	2148	SIA
AZ0409032	2149	SOX
AZ0409032	2150	SOX
AZ0409032	2151	SOX
AZ0409032	2152	SOX
AZ0409032	2153	SIA
AZ0409032	2154	SOX
AZ0409032	2155	SIA
AZ0409032	2156	SIA
AZ0409032	2157	SOX
AZ0409032	2158	SIA
AZ0409032	2160	SOX
AZ0409032	2161	SOX
AZ0409032	2162	SOX

St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	7/11/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	9/23/2010	2010	AZ
St Compliance achieved	10/1/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/7/2009	2009	AZ
St Compliance achieved	7/23/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/13/2013	2013	AZ
St Compliance achieved	3/20/2013	2013	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	11/21/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/29/2014	2014	AZ
St Compliance achieved	5/20/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/24/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	10/1/2010	2010	AZ
St Compliance achieved	9/27/2011	2011	AZ

AZ0409032	2163	SIA
AZ0409032	2164	SOX
AZ0409032	2165	SOX
AZ0409032	2166	SIA
AZ0409032	2167	SOX
AZ0409032	2169	SOX
AZ0409032	2170	SIA
AZ0409032	2171	SOX
AZ0409032	2172	SIA
AZ0409034	#PWS-AO-2008-6004	EFL
AZ0409034	1408	SOX
AZ0409034	1432	SOX
AZ0409034	1433	SOX
AZ0409034	1434	SIA
AZ0409034	1435	SIA
AZ0409034	1437	SOX
AZ0409034	1438	SOX
AZ0409034	1439	SOX
AZ0409034	1440	SOX
AZ0409034	1441	SOX
AZ0409034	1442	SIA
AZ0409034	1444	SOX
AZ0409034	1446	SOX
AZ0409034	1449	SIA
AZ0409034	1450	SOX
AZ0409034	1452	SIA
AZ0409034	1453	SIA
AZ0409034	1454	SIA
AZ0409034	1456	SIA
AZ0409034	1457	SIA
AZ0409034	1460	SIA
AZ0409034	1464	SIA
AZ0409034	1465	SOX
AZ0409034	1466	SOX
AZ0409034	1467	SIA
AZ0409034	1468	SIA
AZ0409034	1469	SOX
AZ0409034	1470	SOX
AZ0409034	1471	SIA
AZ0409034	1472	SIA
AZ0409034	1474	SIA
AZ0409034	1476	SOX
AZ0409034	1477	SIA
AZ0409034	1478	SIA
AZ0409034	1479	SIA
AZ0409034	1480	SIA
AZ0409034	1481	SIA

St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Violation/Reminder Notice	8/23/2013	2013	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
Fed FAO issued	7/30/2008	2008	AZ
St Compliance achieved	1/29/2007	2007	AZ
St Compliance achieved	3/6/2007	2007	AZ
St Compliance achieved	3/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Compliance achieved	3/3/2008	2008	AZ
St Compliance achieved	4/18/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Compliance achieved	10/16/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	3/31/2010	2010	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ

AZ0409034	1482	SIA
AZ0409034	1483	SOX
AZ0409034	1484	SIA
AZ0409034	1485	SIA
AZ0409034	1486	SIA
AZ0409034	1487	SOX
AZ0409034	1488	SOX
AZ0409034	1489	SOX
AZ0409034	1490	SIA
AZ0409034	1491	SIA
AZ0409034	1493	SIA
AZ0409034	1494	SIA
AZ0409034	1496	SIA
AZ0409034	1498	SIA
AZ0409034	1499	SIA
AZ0409034	1500	SOX
AZ0409034	1501	SOX
AZ0409034	1502	SIA
AZ0409034	1503	SOX
AZ0409034	1505	SOX
AZ0409034	1506	SOX
AZ0409034	1507	SIA
AZ0409034	1508	SIA
AZ0409034	1509	SIA
AZ0409034	1510	SIA
AZ0409034	1511	SOX
AZ0409034	1513	SFJ
AZ0409034	1514	SIA
AZ0409034	1516	SOX
AZ0409034	1517	SOX
AZ0409034	1518	SIA
AZ0409034	1519	SIA
AZ0409034	1520	SIA
AZ0409034	1522	SIA
AZ0409034	1523	SOX
AZ0409034	1524	SIA
AZ0409034	1525	SIA
AZ0409034	1526	SIA
AZ0409034	1527	SIA
AZ0409034	1528	SOX
AZ0409034	1529	SIA
AZ0409034	1530	SIA
AZ0409034	1531	SFJ
AZ0409034	1532	SIA
AZ0409034	1533	SIA
AZ0409034	1534	SIA
AZ0409034	1535	SIA

St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/28/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Compliance achieved	4/13/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	12/21/2011	2011	AZ
St Compliance achieved	12/28/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/13/2012	2012	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Formal NOV issued	8/10/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/12/2012	2012	AZ
St Compliance achieved	10/9/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Formal NOV issued	9/13/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ

AZ0409034	1536	SIA
AZ0409034	1537	SIA
AZ0409034	1538	SOX
AZ0409034	1539	SIA
AZ0409034	1540	SIA
AZ0409034	1541	SIA
AZ0409034	1542	SIA
AZ0409034	1543	SOX
AZ0409034	1544	SIA
AZ0409034	1545	SOX
AZ0409034	1546	SIA
AZ0409034	1547	SOX
AZ0409034	1548	SOX
AZ0409035	9562	SOX
AZ0409035	9564	SOX
AZ0409035	9565	SIA
AZ0409035	9566	SOX
AZ0409035	9567	SIA
AZ0409035	9568	SOX
AZ0409035	9570	SO0
AZ0409035	9571	SIA
AZ0409035	9573	SOX
AZ0409035	9574	SIA
AZ0409035	9575	SOX
AZ0409035	9576	SIA
AZ0409035	9579	SIA
AZ0409035	9580	SOX
AZ0409035	9581	SOX
AZ0409035	9582	SIA
AZ0409035	9583	SIA
AZ0409035	9584	SOX
AZ0409036	4578	SIA
AZ0409036	4581	SIA
AZ0409036	4582	SOX
AZ0409036	4583	SIA
AZ0409036	4585	SOX
AZ0409036	4586	SIA
AZ0409036	4587	SOX
AZ0409036	4588	SOX
AZ0409036	4589	SOX
AZ0409036	4590	SOX
AZ0409036	4594	SOX
AZ0409036	4598	SIA
AZ0409036	4600	SIA
AZ0409036	4601	SIA
AZ0409036	4602	SOX
AZ0409036	4603	SOX

St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	12/9/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/23/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/11/2014	2014	AZ
St Compliance achieved	8/25/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/2/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/18/2010	2010	AZ
State No Longer Subject to Rule	2/23/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	7/25/2012	2012	AZ
St Violation/Reminder Notice	10/26/2012	2012	AZ
St Compliance achieved	8/13/2012	2012	AZ
St Violation/Reminder Notice	1/15/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/22/2014	2014	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	4/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/18/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/24/2009	2009	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/13/2009	2009	AZ
St Compliance achieved	2/9/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/12/2010	2010	AZ
St Compliance achieved	5/8/2012	2012	AZ

AZ0409036	4604	SIA
AZ0409036	4605	SOX
AZ0409036	4606	SOX
AZ0409036	4607	SOX
AZ0409036	4608	SIA
AZ0409036	4609	SOX
AZ0409036	4610	SOX
AZ0409036	4611	SOX
AZ0409039	2891	SOX
AZ0409039	2893	SOX
AZ0409039	2895	SOX
AZ0409039	2896	SOX
AZ0409040	4206	SIA
AZ0409040	4758	SOX
AZ0409040	4760	SIA
AZ0409040	4763	SOX
AZ0409040	4765	SIA
AZ0409040	4766	SO0
AZ0409040	4767	SOX
AZ0409040	4768	SIA
AZ0409040	4770	SIA
AZ0409040	4771	SIA
AZ0409040	4772	SOX
AZ0409040	4774	SOX
AZ0409040	4775	SIA
AZ0409040	4776	SFJ
AZ0409040	4777	SIA
AZ0409040	4778	SOX
AZ0409040	4779	SIA
AZ0409040	4780	SOX
AZ0409041	1343	SOX
AZ0409041	1350	SIA
AZ0409041	1351	SOX
AZ0409041	1352	SOX
AZ0409041	1353	SIA
AZ0409041	1354	SOX
AZ0409041	1355	SFJ
AZ0409041	1356	SIA
AZ0409041	1357	SOX
AZ0409041	1358	SOX
AZ0409041	1359	SOX
AZ0409041	1360	SIA
AZ0409041	1361	SIA
AZ0409041	1362	SOX
AZ0409046	4571	SOX
AZ0409046	4572	SOX
AZ0409046	4574	SOX

St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Compliance achieved	8/8/2011	2011	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	12/20/2013	2013	AZ
St Compliance achieved	5/6/2010	2010	AZ
St Compliance achieved	8/16/2010	2010	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	7/17/2012	2012	AZ
St Compliance achieved	2/26/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
State No Longer Subject to Rule	6/9/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Formal NOV issued	9/20/2012	2012	AZ
St Violation/Reminder Notice	11/20/2012	2012	AZ
St Compliance achieved	12/10/2012	2012	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	5/3/2007	2007	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	5/8/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	5/15/2009	2009	AZ
St Formal NOV issued	10/11/2012	2012	AZ
St Violation/Reminder Notice	10/29/2012	2012	AZ
St Compliance achieved	10/29/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Compliance achieved	1/9/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ

AZ0409046	4578	SOX
AZ0409046	4580	SIA
AZ0409046	4581	SOX
AZ0409046	4582	SOX
AZ0409046	4583	SOX
AZ0409046	4584	SIA
AZ0409046	4585	SOX
AZ0409046	4586	SIA
AZ0409046	4587	SIA
AZ0409046	4588	SIA
AZ0409046	4589	SOX
AZ0409046	4592	SOX
AZ0409046	4593	SIA
AZ0409046	4595	SIA
AZ0409046	4596	SOX
AZ0409046	4597	SIA
AZ0409046	4598	SOX
AZ0409046	4599	SOX
AZ0409046	4600	SIA
AZ0409046	4601	SIA
AZ0409046	4602	SOX
AZ0409046	4603	SIA
AZ0409046	4604	SOX
AZ0409046	4605	SOX
AZ0409046	4606	SIA
AZ0409047	1032	SOX
AZ0409047	1033	SOX
AZ0409047	1034	SOX
AZ0409047	1035	SOX
AZ0409047	1036	SOX
AZ0409047	1037	SIA
AZ0409047	1038	SIA
AZ0409047	1039	SOX
AZ0409047	1040	SIA
AZ0409047	1041	SIA
AZ0409047	1042	SIA
AZ0409047	1043	SOX
AZ0409047	1044	SIA
AZ0409047	1045	SIA
AZ0409047	1046	SIA
AZ0409047	1047	SOX
AZ0409047	1048	SIA
AZ0409047	1049	SIA
AZ0409047	1050	SOX
AZ0409047	1051	SIA
AZ0409047	1052	SIA
AZ0409047	1053	SOX

St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	1/11/2011	2011	AZ
St Compliance achieved	9/21/2011	2011	AZ
St Compliance achieved	12/15/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/13/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Compliance achieved	12/19/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/4/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	12/7/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	6/15/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/2/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/18/2011	2011	AZ

AZ0409047	1054	SO0
AZ0409047	1055	SO0
AZ0409047	1056	SIA
AZ0409049	416	SOX
AZ0409049	417	SIA
AZ0409049	418	SOX
AZ0409049	419	SOX
AZ0409049	422	SIA
AZ0409049	423	SOX
AZ0409049	424	SOX
AZ0409049	426	SIA
AZ0409049	427	SOX
AZ0409049	428	SIA
AZ0409049	429	SOX
AZ0409049	430	SIA
AZ0409049	431	SIA
AZ0409049	432	SOX
AZ0409049	433	SOX
AZ0409049	434	SIA
AZ0409049	435	SOX
AZ0409049	436	SOX
AZ0409049	437	SOX
AZ0409049	438	SIA
AZ0409049	439	SIA
AZ0409049	440	SIA
AZ0409049	441	SOX
AZ0409049	442	SOX
AZ0409050	2041	SOX
AZ0409050	2042	SOX
AZ0409050	2043	SOX
AZ0409050	2044	SOX
AZ0409050	2045	SOX
AZ0409050	2046	SIA
AZ0409050	2047	SOX
AZ0409050	2048	SIA
AZ0409050	2049	SIA
AZ0409050	2050	SIA
AZ0409050	2051	SIA
AZ0409050	2052	SIA
AZ0409050	2053	SOX
AZ0409050	2054	SIA
AZ0409050	2055	SOX
AZ0409050	2056	SIA
AZ0409050	2057	SIA
AZ0409050	2058	SOX
AZ0409050	2059	SOX
AZ0409050	2060	SFJ

State No Longer Subject to Rule	8/1/2011	2011	AZ
State No Longer Subject to Rule	12/30/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	4/8/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	10/20/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	2/16/2012	2012	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	2/28/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	8/15/2007	2007	AZ
St Compliance achieved	12/4/2008	2008	AZ
St Compliance achieved	6/29/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/12/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/3/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Formal NOV issued	2/7/2013	2013	AZ

AZ0409050	2061	SIA
AZ0409050	2062	SOX
AZ0409050	2063	SOX
AZ0409050	2064	SOX
AZ0409050	2065	SIA
AZ0409050	2066	SIA
AZ0409050	2067	SIA
AZ0409050	2068	SIA
AZ0409050	2069	SOX
AZ0409052	8030	SOX
AZ0409052	8032	SOX
AZ0409052	8033	SIA
AZ0409052	8034	SOX
AZ0409052	8037	SOX
AZ0409052	8038	SOX
AZ0409052	8039	SOX
AZ0409052	8041	SOX
AZ0409052	8042	SIA
AZ0409052	8043	SIA
AZ0409052	8045	SOX
AZ0409052	8046	SOX
AZ0409053	1006	SOX
AZ0409053	1064	SOX
AZ0409053	1067	SOX
AZ0409053	1068	SIA
AZ0409053	1069	SOX
AZ0409053	1070	SOX
AZ0409053	1071	SOX
AZ0409053	1073	SOX
AZ0409053	1074	SOX
AZ0409053	1076	SIA
AZ0409053	1079	SIA
AZ0409053	1080	SOX
AZ0409053	1081	SIA
AZ0409053	1082	SIA
AZ0409053	1083	SIA
AZ0409053	1084	SIA
AZ0409053	1086	SOX
AZ0409053	1090	SOX
AZ0409053	1094	SIA
AZ0409053	1096	SIA
AZ0409053	1097	SOX
AZ0409053	1098	SIA
AZ0409053	1099	SIA
AZ0409053	1100	SIA
AZ0409053	1101	SOX
AZ0409053	1102	SOX

St Violation/Reminder Notice	7/16/2013	2013	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Compliance achieved	10/24/2011	2011	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Violation/Reminder Notice	10/17/2013	2013	AZ
St Violation/Reminder Notice	11/13/2013	2013	AZ
St Violation/Reminder Notice	12/11/2013	2013	AZ
St Violation/Reminder Notice	1/7/2014	2014	AZ
St Compliance achieved	7/9/2013	2013	AZ
St Compliance achieved	2/21/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	2/29/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Compliance achieved	10/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	2/13/2012	2012	AZ
St Compliance achieved	1/24/2007	2007	AZ
St Compliance achieved	7/12/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	5/21/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/12/2009	2009	AZ
St Compliance achieved	2/23/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/4/2011	2011	AZ
St Compliance achieved	3/29/2011	2011	AZ

AZ0409053	1103	SIA
AZ0409053	1104	SOX
AZ0409053	1105	SOX
AZ0409053	1107	SOX
AZ0409053	1108	SOX
AZ0409053	1109	SIA
AZ0409053	1111	SFJ
AZ0409053	1112	SOX
AZ0409053	1113	SOX
AZ0409053	1114	SOX
AZ0409053	1115	SIA
AZ0409053	1116	SOX
AZ0409053	1117	SIA
AZ0409054	859	SOX
AZ0409054	860	SOX
AZ0409054	861	SOX
AZ0409054	862	SIA
AZ0409054	863	SIA
AZ0409054	864	SOX
AZ0409054	865	SIA
AZ0409054	866	SOX
AZ0409054	867	SIA
AZ0409054	868	SOX
AZ0409054	871	SIA
AZ0409054	872	SIA
AZ0409054	873	SIA
AZ0409054	874	SOX
AZ0409054	876	SIA
AZ0409054	877	SIA
AZ0409054	878	SOX
AZ0409054	879	SOX
AZ0409060	3371	SOX
AZ0409060	3372	SIA
AZ0409060	3373	SIA
AZ0409060	3374	SOX
AZ0409060	3375	SOX
AZ0409060	3377	SOX
AZ0409060	3378	SIA
AZ0409060	3379	SIA
AZ0409060	3381	SIA
AZ0409060	3383	SIA
AZ0409060	3384	SOX
AZ0409060	3385	SIA
AZ0409060	3387	SIA
AZ0409060	3388	SIA
AZ0409060	3391	SIA
AZ0409060	3392	SIA

St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	2/7/2012	2012	AZ
St Compliance achieved	2/7/2012	2012	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Formal NOV issued	3/27/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Compliance achieved	9/29/2009	2009	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	12/9/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	1/22/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	5/27/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	12/17/2009	2009	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ

AZ0409060	3393	SIA
AZ0409060	3394	SIA
AZ0409060	3396	SIA
AZ0409060	3397	SIA
AZ0409060	3398	SOX
AZ0409060	3399	SIA
AZ0409060	3401	SIA
AZ0409060	3405	SIA
AZ0409060	3407	SIA
AZ0409060	3408	SOX
AZ0409060	3409	SOX
AZ0409060	3411	SOX
AZ0409060	3412	SFJ
AZ0409060	3413	SIA
AZ0409060	3417	SOX
AZ0409060	3418	SIA
AZ0409060	3419	SIA
AZ0409060	3421	SIA
AZ0409060	3423	SOX
AZ0409060	3426	SOX
AZ0409060	3427	SOX
AZ0409060	3428	SIA
AZ0409060	3429	SIA
AZ0409060	3431	SIA
AZ0409060	3433	SOX
AZ0409060	3434	SIA
AZ0409060	3436	SIA
AZ0409060	3437	SOX
AZ0409060	3439	SOX
AZ0409060	3440	SIA
AZ0409060	3442	SIA
AZ0409060	3443	SOX
AZ0409060	3444	SOX
AZ0409060	3445	SIA
AZ0409060	3446	SIA
AZ0409060	3447	SOX
AZ0409060	3448	SOX
AZ0409060	3450	SOX
AZ0409060	3451	SOX
AZ0409062	299	SOX
AZ0409062	300	SOX
AZ0409062	301	SOX
AZ0409062	302	SOX
AZ0409062	303	SOX
AZ0409062	304	SIA
AZ0409062	308	SOX
AZ0409062	309	SIA

St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Formal NOV issued	1/20/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/9/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	7/27/2010	2010	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Compliance achieved	8/30/2010	2010	AZ
St Violation/Reminder Notice	10/10/2012	2012	AZ
St Violation/Reminder Notice	11/15/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	8/22/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	6/14/2010	2010	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	9/27/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	12/5/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/30/2014	2014	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	9/17/2013	2013	AZ
St Compliance achieved	7/31/2013	2013	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	9/19/2008	2008	AZ
St Compliance achieved	4/6/2009	2009	AZ
St Compliance achieved	11/9/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ

AZ0409062	310	SOX
AZ0409067	10	SIA
AZ0409067	11	SIA
AZ0409067	13	SOX
AZ0409067	14	SIA
AZ0409067	15	SOX
AZ0409067	16	SIA
AZ0409067	17	SIA
AZ0409067	18	SOX
AZ0409067	3	SOX
AZ0409067	4	SIA
AZ0409067	6	SOX
AZ0409067	7	SIA
AZ0409067	8	SOX
AZ0409067	9	SIA
AZ0409068	1726	SIA
AZ0409068	1727	SOX
AZ0409068	1728	SOX
AZ0409068	1729	SIA
AZ0409068	1730	SOX
AZ0409069	10	SOX
AZ0409069	11	SOX
AZ0409069	12	SOX
AZ0409069	13	SOX
AZ0409069	2	SOX
AZ0409069	4	SOX
AZ0409069	5	SOX
AZ0409069	6	SIA
AZ0409069	7	SOX
AZ0409069	8	SIA
AZ0409069	9	SO0
AZ0409074	915	SOX
AZ0409074	916	SOX
AZ0409074	917	SIA
AZ0409074	918	SIA
AZ0409074	920	SIA
AZ0409074	921	SIA
AZ0409074	922	SOX
AZ0409074	923	SIA
AZ0409074	924	SIA
AZ0409074	925	SOX
AZ0409074	931	SOX
AZ0409074	935	SOX
AZ0409074	936	SIA
AZ0409074	937	SOX
AZ0409074	938	SIA
AZ0409074	939	SIA

St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	3/23/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Compliance achieved	11/18/2011	2011	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	1/29/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
State No Longer Subject to Rule	8/26/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	3/24/2009	2009	AZ
St Violation/Reminder Notice	6/3/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	2/23/2010	2010	AZ
St Compliance achieved	4/20/2010	2010	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ

AZ0409074	940	SIA
AZ0409074	941	SOX
AZ0409074	942	SIA
AZ0409074	943	SOX
AZ0409074	944	SOX
AZ0409074	945	SOX
AZ0409074	946	SOX
AZ0409074	947	SOX
AZ0409074	948	SOX
AZ0409075	2054	SOX
AZ0409075	2055	SOX
AZ0409075	2056	SIA
AZ0409075	2057	SIA
AZ0409075	2058	SOX
AZ0409075	2059	SIA
AZ0409075	2060	SIA
AZ0409075	2061	SOX
AZ0409075	2064	SIA
AZ0409075	2065	SOX
AZ0409075	2066	SOX
AZ0409075	2067	SIA
AZ0409075	2068	SIA
AZ0409075	2069	SOX
AZ0409075	2070	SOX
AZ0409075	2071	SFJ
AZ0409075	2073	SIA
AZ0409075	2074	SIA
AZ0409075	2075	SOX
AZ0409075	2076	SIA
AZ0409075	2078	SOX
AZ0409075	2079	SOX
AZ0409075	2080	SOX
AZ0409075	2081	SIA
AZ0409075	2082	SOX
AZ0409075	2083	SIA
AZ0409075	2084	SOX
AZ0409079	813	SOX
AZ0409079	814	SOX
AZ0409079	815	SOX
AZ0409079	816	SOX
AZ0409079	817	SOX
AZ0409079	818	SIA
AZ0409079	820	SOX
AZ0409079	822	SOX
AZ0409079	823	SIA
AZ0409080	604	SOX
AZ0409080	612	SOX

St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	6/3/2009	2009	AZ
St Compliance achieved	9/21/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/27/2012	2012	AZ
St Formal NOV issued	4/11/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	5/29/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Compliance achieved	11/6/2012	2012	AZ
St Compliance achieved	6/21/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/4/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	9/19/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	4/6/2009	2009	AZ
St Compliance achieved	2/16/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0409080	613	SOX
AZ0409080	614	SIA
AZ0409080	615	SIA
AZ0409080	616	SOX
AZ0409080	617	SIA
AZ0409080	618	SIA
AZ0409080	619	SOX
AZ0409080	620	SIA
AZ0409080	622	SIA
AZ0409080	623	SIA
AZ0409080	625	SOX
AZ0409080	627	SIA
AZ0409080	628	SOX
AZ0409081	968	SOX
AZ0409081	969	SOX
AZ0409081	970	SOX
AZ0409081	971	SIA
AZ0409081	972	SIA
AZ0409081	973	SIA
AZ0409081	974	SOX
AZ0409081	975	SOX
AZ0409081	977	SIA
AZ0409081	978	SFJ
AZ0409081	979	SOX
AZ0409081	980	SOX
AZ0409081	981	SIA
AZ0409081	982	SOX
AZ0409081	983	SIA
AZ0409081	984	SOX
AZ0409081	985	SIA
AZ0409081	986	SOX
AZ0409086	107	SOX
AZ0409086	112	SOX
AZ0409086	113	SOX
AZ0409086	114	SOX
AZ0409086	115	SIA
AZ0409086	116	SOX
AZ0409086	117	SOX
AZ0409086	122	SIA
AZ0409086	124	SOX
AZ0409086	125	SOX
AZ0409086	126	SOX
AZ0409086	127	SOX
AZ0409086	128	SOX
AZ0409086	129	SIA
AZ0409086	130	SOX
AZ0409086	131	SOX

St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	6/1/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	10/25/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Formal NOV issued	3/1/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/12/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/6/2014	2014	AZ
St Compliance achieved	1/16/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	10/1/2007	2007	AZ
St Compliance achieved	11/4/2008	2008	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	11/21/2013	2013	AZ

AZ0409086	132	SIA
AZ0409086	134	SIA
AZ0409086	135	SIA
AZ0409086	136	SOX
AZ0409086	137	SOX
AZ0409087	216	SOX
AZ0409087	217	SIA
AZ0409087	218	SOX
AZ0409087	219	SOX
AZ0409087	220	SOX
AZ0409087	221	SIA
AZ0409087	222	SIA
AZ0409087	223	SOX
AZ0409087	224	SIA
AZ0409087	225	SOX
AZ0409087	226	SOX
AZ0409087	227	SOX
AZ0409087	229	SIA
AZ0409087	230	SIA
AZ0409087	231	SIA
AZ0409087	232	SIA
AZ0409087	233	SOX
AZ0409087	234	SOX
AZ0409087	235	SIA
AZ0409087	236	SOX
AZ0409087	237	SOX
AZ0409087	238	SIA
AZ0409087	239	SIA
AZ0409087	240	SOX
AZ0409087	241	SO0
AZ0409087	242	SIA
AZ0409087	243	SIA
AZ0409087	244	SIA
AZ0409087	245	SO0
AZ0409087	246	SIA
AZ0409088	108	SOX
AZ0409088	109	SOX
AZ0409088	110	SIA
AZ0409088	111	SFJ
AZ0409088	114	SIA
AZ0409088	115	SOX
AZ0409088	116	SOX
AZ0409088	117	SIA
AZ0409088	119	SIA
AZ0409088	122	SOX
AZ0409088	123	SOX
AZ0409088	124	SOX

St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/29/2014	2014	AZ
St Compliance achieved	5/20/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	12/3/2010	2010	AZ
St Compliance achieved	12/3/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/14/2011	2011	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	1/24/2012	2012	AZ
State No Longer Subject to Rule	4/30/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
State No Longer Subject to Rule	6/4/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	3/7/2007	2007	AZ
St Compliance achieved	3/15/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Formal NOV issued	10/4/2007	2007	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Compliance achieved	4/6/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	6/14/2012	2012	AZ

AZ0409088	125	SIA
AZ0409088	126	SIA
AZ0409088	127	SOX
AZ0409088	128	SIA
AZ0409088	129	SOX
AZ0409089	126	SOX
AZ0409089	127	SOX
AZ0409089	129	SOX
AZ0409089	130	SIA
AZ0409089	131	SIA
AZ0409089	132	SIA
AZ0409089	133	SIA
AZ0409089	134	SOX
AZ0409089	135	SOX
AZ0409089	136	SOX
AZ0409089	137	SOX
AZ0409089	139	SIA
AZ0409089	140	SOX
AZ0409089	142	SIA
AZ0409089	143	SOX
AZ0409089	144	SIA
AZ0409089	145	SOX
AZ0409090	1	SO0
AZ0409090	10	SIA
AZ0409090	12	SIA
AZ0409090	13	SOX
AZ0409090	14	SOX
AZ0409090	15	SOX
AZ0409090	16	SOX
AZ0409090	17	SIA
AZ0409090	19	SOX
AZ0409090	20	SIA
AZ0409090	21	SO0
AZ0409090	22	SOX
AZ0409090	23	SIA
AZ0409090	24	SOX
AZ0409090	25	SOX
AZ0409090	26	SOX
AZ0409090	3	SOX
AZ0409090	4	SIA
AZ0409090	7	SIA
AZ0409090	8	SOX
AZ0409090	9	SIA
AZ0409092	1	SIA
AZ0409092	2	SOX
AZ0409093	1	SIA
AZ0409093	10	SOX

St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Compliance achieved	3/19/2007	2007	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	12/15/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
State No Longer Subject to Rule	3/28/2008	2008	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/14/2009	2009	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	2/22/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	9/22/2011	2011	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
State No Longer Subject to Rule	6/30/2012	2012	AZ
St Compliance achieved	1/23/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	5/2/2007	2007	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Compliance achieved	9/16/2008	2008	AZ
St Compliance achieved	8/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	10/1/2009	2009	AZ

AZ0409093	12	SIA
AZ0409093	13	SIA
AZ0409093	14	SOX
AZ0409093	15	SIA
AZ0409093	16	SIA
AZ0409093	19	SOX
AZ0409093	2	SOX
AZ0409093	4	SIA
AZ0409093	5	SIA
AZ0409093	6	SOX
AZ0409093	8	SOX
AZ0409093	9	SOX
AZ0409094	10	SIA
AZ0409094	12	SIA
AZ0409094	13	SO0
AZ0409094	14	SO0
AZ0409094	15	SOX
AZ0409094	2	SIA
AZ0409094	3	SIA
AZ0409094	4	SIA
AZ0409094	7	SOX
AZ0409094	8	SO0
AZ0409094	9	SIA
AZ0409095	10	SIA
AZ0409095	11	SOX
AZ0409095	12	SIA
AZ0409095	13	SOX
AZ0409095	14	SIA
AZ0409095	15	SOX
AZ0409095	2	SIA
AZ0409095	3	SOX
AZ0409095	5	SIA
AZ0409095	8	SIA
AZ0409095	9	SOX
AZ0409300	302	SOX
AZ0409300	307	SOX
AZ0409300	310	SIA
AZ0409305	312	SOX
AZ0409305	313	SOX
AZ0409305	314	SOX
AZ0409305	315	SIA
AZ0409305	316	SOX
AZ0409305	317	SOX
AZ0409305	318	SIA
AZ0409305	319	SOX
AZ0409305	320	SIA
AZ0409305	321	SOX

St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	7/24/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/31/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
State No Longer Subject to Rule	7/22/2010	2010	AZ
State No Longer Subject to Rule	6/14/2012	2012	AZ
St Compliance achieved	10/9/2009	2009	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Violation/Reminder Notice	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	2/9/2010	2010	AZ
State No Longer Subject to Rule	2/16/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/15/2010	2010	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	3/3/2008	2008	AZ
St Violation/Reminder Notice	12/31/2013	2013	AZ
St Compliance achieved	3/30/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	11/9/2009	2009	AZ

AZ0409305	322	SIA
AZ0409305	323	SIA
AZ0409305	324	SOX
AZ0409305	325	SIA
AZ0409305	328	SOX
AZ0409305	329	SOX
AZ0409305	330	SOX
AZ0409305	331	SIA
AZ0409305	333	SIA
AZ0409305	334	SOX
AZ0409305	335	SOX
AZ0409305	336	SOX
AZ0409305	337	SIA
AZ0409308	2533	SOX
AZ0409308	2534	SOX
AZ0409308	2536	SOX
AZ0409308	2537	SOX
AZ0409308	2538	SIA
AZ0409308	2539	SIA
AZ0409308	2541	SIA
AZ0409308	2545	SOX
AZ0409308	2546	SIA
AZ0409308	2547	SOX
AZ0409309	1318	SIA
AZ0409309	1319	SOX
AZ0409309	1320	SOX
AZ0409309	1321	SIA
AZ0409309	1323	SIA
AZ0409309	1324	SIA
AZ0409309	1325	SIA
AZ0409309	1326	SOX
AZ0409309	1328	SIA
AZ0409309	1330	SOX
AZ0409309	1331	SOX
AZ0409309	1332	SIA
AZ0409309	1333	SIA
AZ0409309	1335	SIA
AZ0409309	1336	SOX
AZ0409309	1337	SIA
AZ0409309	1338	SIA
AZ0409309	1339	SIA
AZ0409309	1340	SFJ
AZ0409309	1341	SOX
AZ0409309	1342	SOX
AZ0409309	1343	SIA
AZ0409309	1344	SOX
AZ0409309	1345	SIA

St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Compliance achieved	2/18/2014	2014	AZ
St Compliance achieved	4/17/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/5/2007	2007	AZ
St Compliance achieved	4/5/2007	2007	AZ
St Compliance achieved	8/27/2007	2007	AZ
St Compliance achieved	1/15/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/4/2009	2009	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/9/2011	2011	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Formal NOV issued	10/18/2012	2012	AZ
St Compliance achieved	11/27/2012	2012	AZ
St Compliance achieved	12/5/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ

AZ0409311	4783	SIA
AZ0409311	4788	SOX
AZ0409311	4790	SFJ
AZ0409311	4791	SOX
AZ0409311	4792	SOX
AZ0409311	4796	SIA
AZ0409311	4797	SOX
AZ0409311	4799	SIA
AZ0409311	4801	SOX
AZ0409311	4802	SOX
AZ0409311	4803	SIA
AZ0409311	4804	SOX
AZ0409311	4805	SIA
AZ0409311	4806	SOX
AZ0409311	4807	SIA
AZ0409311	4808	SIA
AZ0409311	4809	SOX
AZ0409311	4810	SOX
AZ0409311	4813	SOX
AZ0409311	4814	SOX
AZ0409311	4815	SIA
AZ0409311	4816	SOX
AZ0409311	4820	SFO
AZ0409311	4821	SOX
AZ0409311	4823	SIA
AZ0409311	4824	SOX
AZ0409311	4825	SOX
AZ0409311	4826	SOX
AZ0409311	4827	SOX
AZ0409311	4828	SOX
AZ0409311	4829	SIA
AZ0409311	4830	SIA
AZ0409311	4831	SOX
AZ0409313	307	SIA
AZ0409313	308	SIA
AZ0409313	309	SOX
AZ0409316	7994	SOX
AZ0409316	7996	SOX
AZ0409316	7999	SIA
AZ0409316	8001	SOX
AZ0409316	8002	SIA
AZ0409316	8003	SOX
AZ0409316	8004	SOX
AZ0409316	8016	SIA
AZ0409316	8021	SOX
AZ0409316	8022	SIA
AZ0409316	8023	SIA

St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	10/1/2007	2007	AZ
St Formal NOV issued	1/2/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	4/18/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/14/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/14/2011	2011	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Compliance achieved	1/11/2012	2012	AZ
St Compliance achieved	1/19/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	4/13/2012	2012	AZ
St AO (w/penalty) issued	8/29/2007	2007	AZ
St Compliance achieved	5/8/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	5/8/2012	2012	AZ
St Compliance achieved	10/17/2007	2007	AZ
St Compliance achieved	10/27/2008	2008	AZ
St Compliance achieved	2/1/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	10/30/2013	2013	AZ
St Compliance achieved	11/26/2013	2013	AZ
St Compliance achieved	4/23/2007	2007	AZ
St Compliance achieved	4/24/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ

AZ0409316	8030	SIA
AZ0409316	8034	SIA
AZ0409316	8035	SOX
AZ0409316	8036	SOX
AZ0409316	8039	SOX
AZ0409316	8041	SIA
AZ0409316	8043	SIA
AZ0409316	8044	SIA
AZ0409316	8047	SOX
AZ0409316	8049	SOX
AZ0409316	8050	SFL
AZ0409316	8051	SOX
AZ0409316	8052	SIA
AZ0409316	8053	SOX
AZ0409316	8054	SOX
AZ0409316	8055	SIA
AZ0409316	8056	SOX
AZ0409316	8057	SOX
AZ0409316	8058	SOX
AZ0409316	8059	SIA
AZ0409316	8060	SOX
AZ0409316	8061	SIA
AZ0409316	8062	SIA
AZ0409316	8063	SIA
AZ0409316	8064	SOX
AZ0409316	8065	SIA
AZ0409316	8066	SOX
AZ0409317	2403	SOX
AZ0409317	2486	SOX
AZ0409317	2487	SIA
AZ0409317	2488	SOX
AZ0409317	2490	SOX
AZ0409317	2491	SOX
AZ0409317	2493	SIA
AZ0409317	2494	SIA
AZ0409317	2495	SIA
AZ0409317	2497	SIA
AZ0409317	2498	SIA
AZ0409317	2499	SOX
AZ0409317	2501	SIA
AZ0409317	2503	SIA
AZ0409317	2504	SOX
AZ0409317	2505	SOX
AZ0409317	2506	SOX
AZ0409317	2507	SIA
AZ0409317	2508	SOX
AZ0409317	2509	SIA

St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	7/27/2010	2010	AZ
St Compliance achieved	10/21/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Compliance achieved	9/24/2012	2012	AZ
St AO (w/o penalty) issued	11/1/2012	2012	AZ
St Compliance achieved	10/3/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	10/12/2010	2010	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/13/2013	2013	AZ
St Compliance achieved	12/23/2011	2011	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	10/15/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	11/27/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/6/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/5/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ

AZ0409317	2510	SO0
AZ0409326	1311	SOX
AZ0409326	1312	SIA
AZ0409326	1313	SIA
AZ0409326	1314	SIA
AZ0409326	1318	SIA
AZ0409326	1319	SIA
AZ0409326	1320	SIA
AZ0409326	1321	SIA
AZ0409326	1322	SIA
AZ0409326	1323	SFJ
AZ0409326	1324	SIA
AZ0409326	1325	SOX
AZ0409326	1326	SIA
AZ0409326	1327	SO0
AZ0409326	1328	SOX
AZ0409326	1329	SOX
AZ0409329	407	SOX
AZ0409329	409	SOX
AZ0409329	436	SOX
AZ0409329	437	SIA
AZ0409329	438	SOX
AZ0409329	439	SOX
AZ0409329	440	SOX
AZ0409329	441	SIA
AZ0409329	442	SOX
AZ0409329	443	SOX
AZ0409329	444	SOX
AZ0409329	445	SOX
AZ0409329	446	SIA
AZ0409329	449	SIA
AZ0409329	450	SOX
AZ0409329	451	SOX
AZ0409329	452	SIA
AZ0409329	453	SOX
AZ0409329	455	SIA
AZ0409329	457	SOX
AZ0409329	458	SIA
AZ0409329	459	SOX
AZ0409329	460	SIA
AZ0409329	461	SIA
AZ0409329	462	SIA
AZ0409329	463	SFJ
AZ0409329	464	SOX
AZ0409329	465	SOX
AZ0409329	468	SOX
AZ0409329	469	SOX

State No Longer Subject to Rule	12/31/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Formal NOV issued	3/22/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	6/1/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
State No Longer Subject to Rule	8/31/2010	2010	AZ
St Compliance achieved	8/30/2010	2010	AZ
St Compliance achieved	8/30/2010	2010	AZ
St Compliance achieved	3/12/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	3/23/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/17/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/12/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Formal NOV issued	8/8/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	10/29/2012	2012	AZ
St Compliance achieved	10/16/2012	2012	AZ

AZ0409329	470	SOX
AZ0409329	471	SOX
AZ0409329	473	SIA
AZ0409329	474	SOX
AZ0409329	475	SOX
AZ0409329	476	SOX
AZ0409330	507	SOX
AZ0409330	508	SOX
AZ0409330	528	SOX
AZ0409330	532	SOX
AZ0409330	533	SIA
AZ0409330	535	SOX
AZ0409330	536	SOX
AZ0409330	537	SOX
AZ0409330	538	SIA
AZ0409330	539	SOX
AZ0409330	540	SOX
AZ0409330	541	SOX
AZ0409330	542	SOX
AZ0409330	543	SIA
AZ0409330	546	SIA
AZ0409330	547	SOX
AZ0409330	548	SOX
AZ0409330	549	SIA
AZ0409330	550	SOX
AZ0409330	551	SOX
AZ0409330	552	SIA
AZ0409330	553	SIA
AZ0409330	554	SOX
AZ0409330	555	SOX
AZ0409330	556	SIA
AZ0409330	557	SOX
AZ0409330	558	SIA
AZ0409330	560	SIA
AZ0409330	563	SOX
AZ0409330	564	SIA
AZ0409330	565	SOX
AZ0409330	566	SOX
AZ0409330	568	SOX
AZ0409332	2033	SOX
AZ0409336	408	SOX
AZ0409336	409	SIA
AZ0409336	410	SIA
AZ0409336	411	SOX
AZ0409336	412	SIA
AZ0409336	413	SOX
AZ0409336	414	SOX

St Compliance achieved	7/28/2011	2011	AZ
St Compliance achieved	1/31/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Compliance achieved	12/12/2011	2011	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	3/12/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/6/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/13/2010	2010	AZ
St Compliance achieved	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/29/2010	2010	AZ
St Compliance achieved	2/16/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	8/8/2013	2013	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Compliance achieved	10/31/2011	2011	AZ
St Compliance achieved	9/4/2013	2013	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/18/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ

AZ0409336	416	SIA
AZ0409336	417	SOX
AZ0409336	418	SOX
AZ0409336	420	SIA
AZ0409336	421	SOX
AZ0409336	422	SIA
AZ0409336	423	SOX
AZ0409336	424	SIA
AZ0409336	425	SIA
AZ0409336	426	SOX
AZ0409343	10	SOX
AZ0409343	11	SOX
AZ0409343	12	SIA
AZ0409343	13	SIA
AZ0409343	14	SIA
AZ0409343	15	SIA
AZ0409343	16	SOX
AZ0409343	18	SIA
AZ0409343	19	SIA
AZ0409343	20	SOX
AZ0409343	21	SFJ
AZ0409343	22	SIA
AZ0409343	23	SOX
AZ0409343	24	SOX
AZ0409343	26	SIA
AZ0409343	27	SOX
AZ0409343	3	SOX
AZ0409343	4	SIA
AZ0409343	5	SOX
AZ0409343	6	SIA
AZ0409343	7	SOX
AZ0409343	8	SOX
AZ0409343	9	SIA
AZ0409806	649	SOX
AZ0409806	650	SOX
AZ0409806	651	SOX
AZ0409806	653	SIA
AZ0409806	655	SOX
AZ0409806	656	SIA
AZ0409806	657	SOX
AZ0410001	713	SOX
AZ0410001	714	SOX
AZ0410001	715	SIA
AZ0410001	716	SOX
AZ0410001	717	SOX
AZ0410001	720	SOX
AZ0410001	722	SIA

St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/8/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	10/25/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/10/2014	2014	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Compliance achieved	4/8/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	6/27/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Formal NOV issued	9/18/2012	2012	AZ
St Violation/Reminder Notice	12/17/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Compliance achieved	2/8/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/9/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/31/2009	2009	AZ
St Compliance achieved	12/16/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ

AZ0410001	723	SOX
AZ0410001	724	SIA
AZ0410001	726	SIA
AZ0410001	730	SOX
AZ0410001	733	SOX
AZ0410001	734	SOX
AZ0410002	1405	SIA
AZ0410002	1633	SIA
AZ0410002	1635	SOX
AZ0410002	1636	SOX
AZ0410002	1637	SOX
AZ0410002	1638	SOX
AZ0410002	1639	SOX
AZ0410002	1642	SO0
AZ0410002	1643	SIA
AZ0410002	1645	SIA
AZ0410002	1646	SOX
AZ0410002	1648	SIA
AZ0410002	1649	SOX
AZ0410002	1650	SOX
AZ0410002	1651	SOX
AZ0410002	1652	SIA
AZ0410002	1654	SIA
AZ0410002	1658	SOX
AZ0410002	1659	SIA
AZ0410002	1661	SIA
AZ0410002	1662	SOX
AZ0410002	1663	SIA
AZ0410002	1664	SOX
AZ0410002	1665	SIA
AZ0410002	1666	SOX
AZ0410002	1667	SOX
AZ0410002	1668	SOX
AZ0410002	1669	SIA
AZ0410002	1671	SIA
AZ0410002	1675	SOX
AZ0410002	1676	SIA
AZ0410002	1677	SOX
AZ0410002	1678	SOX
AZ0410003	1	SOX
AZ0410003	25	SOX
AZ0410003	26	SOX
AZ0410003	29	SOX
AZ0410003	30	SIA
AZ0410004	5285	SIA
AZ0410004	5286	SOX
AZ0410004	5287	SOX

St Compliance achieved	4/1/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/28/2009	2009	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	4/17/2014	2014	AZ
St Violation/Reminder Notice	2/2/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/5/2007	2007	AZ
St Compliance achieved	7/2/2007	2007	AZ
St Compliance achieved	10/4/2007	2007	AZ
St Compliance achieved	9/19/2008	2008	AZ
State No Longer Subject to Rule	4/8/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/8/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	10/20/2010	2010	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/24/2014	2014	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	1/10/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	1/17/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/26/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ

AZ0410004	5288	SOX
AZ0410004	5289	SOX
AZ0410004	5290	SOX
AZ0410004	5292	SIA
AZ0410004	5293	SOX
AZ0410004	5295	SOX
AZ0410004	5296	SOX
AZ0410004	5298	SOX
AZ0410004	5299	SO0
AZ0410004	5341	SIA
AZ0410004	5342	SOX
AZ0410004	5343	SOX
AZ0410004	5384	SIA
AZ0410004	5385	SIA
AZ0410004	5386	SOX
AZ0410004	5388	SOX
AZ0410004	5389	SIA
AZ0410004	5390	SOX
AZ0410004	5392	SOX
AZ0410004	5393	SOX
AZ0410004	5394	SIA
AZ0410004	5395	SIA
AZ0410004	5396	SIA
AZ0410004	5397	SIA
AZ0410004	5398	SOX
AZ0410004	5399	SO0
AZ0410004	5400	SOX
AZ0410004	5401	SOX
AZ0410004	5402	SOX
AZ0410004	5403	SOX
AZ0410004	5404	SOX
AZ0410004	5405	SOX
AZ0410004	5406	SOX
AZ0410004	5407	SOX
AZ0410004	5408	SIA
AZ0410006	5292	SOX
AZ0410006	5293	SOX
AZ0410006	5295	SOX
AZ0410006	5296	SOX
AZ0410006	5297	SOX
AZ0410006	5298	SO0
AZ0410006	5300	SOX
AZ0410006	5301	SIA
AZ0410006	5302	SOX
AZ0410006	5303	SOX
AZ0410006	5304	SOX
AZ0410006	5305	SOX

St Compliance achieved	1/2/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/29/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Compliance achieved	10/16/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	3/13/2009	2009	AZ
State No Longer Subject to Rule	3/18/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	6/17/2011	2011	AZ
St Compliance achieved	10/18/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	12/16/2011	2011	AZ
St Compliance achieved	3/6/2007	2007	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	10/16/2012	2012	AZ
State No Longer Subject to Rule	6/30/2008	2008	AZ
St Compliance achieved	9/24/2007	2007	AZ
St Compliance achieved	1/25/2010	2010	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Compliance achieved	1/25/2010	2010	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Compliance achieved	9/6/2011	2011	AZ
St Compliance achieved	7/12/2013	2013	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
State No Longer Subject to Rule	4/3/2008	2008	AZ
St Compliance achieved	5/9/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Compliance achieved	11/2/2009	2009	AZ
St Compliance achieved	4/1/2010	2010	AZ
St Compliance achieved	12/11/2012	2012	AZ

AZ0410006	5306	SIA
AZ0410006	5309	SOX
AZ0410007	3764	SIA
AZ0410007	3765	SOX
AZ0410007	3767	SIA
AZ0410007	3768	SOX
AZ0410007	3769	SOX
AZ0410007	3770	SIA
AZ0410007	3771	SIA
AZ0410007	3772	SOX
AZ0410007	3774	SIA
AZ0410007	3776	SOX
AZ0410007	3777	SOX
AZ0410007	3778	SIA
AZ0410007	3780	SIA
AZ0410007	3781	SIA
AZ0410007	3782	SIA
AZ0410007	3783	SOX
AZ0410007	3785	SOX
AZ0410007	3786	SIA
AZ0410007	3787	SIA
AZ0410007	3788	SIA
AZ0410007	3789	SIA
AZ0410007	3790	SOX
AZ0410007	3791	SIA
AZ0410007	3792	SIA
AZ0410007	3793	SIA
AZ0410007	3796	SOX
AZ0410007	3797	SOX
AZ0410007	3798	SOX
AZ0410007	3799	SOX
AZ0410035	2806	SOX
AZ0410035	2847	SOX
AZ0410035	2864	SIA
AZ0410035	2865	SOX
AZ0410035	2866	SOX
AZ0410035	2867	SOX
AZ0410035	2868	SIA
AZ0410035	2869	SOX
AZ0410035	2870	SIA
AZ0410035	2871	SOX
AZ0410035	2872	SOX
AZ0410035	2874	SOX
AZ0410035	2876	SFJ
AZ0410035	2877	SIA
AZ0410035	2878	SOX
AZ0410035	2879	SOX

St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	9/25/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	6/18/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/7/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	4/13/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	2/26/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/13/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/14/2014	2014	AZ
St Compliance achieved	8/14/2014	2014	AZ
St Compliance achieved	8/14/2014	2014	AZ
St Compliance achieved	8/14/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	3/22/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Violation/Reminder Notice	12/27/2007	2007	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/1/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Formal NOV issued	5/13/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	8/2/2011	2011	AZ
St Compliance achieved	10/6/2010	2010	AZ

AZ0410035	2880	SO0
AZ0410035	2881	SIA
AZ0410035	2882	SOX
AZ0410035	2883	SFJ
AZ0410041	1575	SOX
AZ0410041	1576	SOX
AZ0410041	1577	SIA
AZ0410041	1578	SOX
AZ0410041	1579	SOX
AZ0410041	1580	SOX
AZ0410041	1583	SOX
AZ0410041	1584	SOX
AZ0410041	1585	SOX
AZ0410041	1607	SIA
AZ0410041	1609	SIA
AZ0410041	1610	SOX
AZ0410041	1611	SIA
AZ0410041	1612	SIA
AZ0410041	1613	SOX
AZ0410041	1615	SIA
AZ0410041	1616	SIA
AZ0410041	1617	SOX
AZ0410041	1618	SOX
AZ0410041	1622	SOX
AZ0410041	1625	SOX
AZ0410041	1626	SIA
AZ0410041	1627	SOX
AZ0410041	1628	SOX
AZ0410046	654	SOX
AZ0410046	655	SOX
AZ0410046	656	SOX
AZ0410046	657	SIA
AZ0410046	658	SOX
AZ0410046	659	SIA
AZ0410046	660	SIA
AZ0410046	661	SOX
AZ0410046	662	SOX
AZ0410046	663	SOX
AZ0410046	664	SOX
AZ0410046	665	SOX
AZ0410046	666	SIA
AZ0410047	3200	SOX
AZ0410047	3201	SIA
AZ0410047	3204	SOX
AZ0410047	3207	SOX
AZ0410047	3209	SIA
AZ0410047	3210	SIA

State No Longer Subject to Rule	6/1/2011	2011	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/4/2013	2013	AZ
St Formal NOV issued	4/28/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	11/18/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	5/8/2012	2012	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	6/14/2008	2008	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/6/2014	2014	AZ
St Compliance achieved	7/8/2013	2013	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/5/2013	2013	AZ
St Compliance achieved	6/8/2009	2009	AZ
St Compliance achieved	11/26/2012	2012	AZ
St Compliance achieved	6/15/2011	2011	AZ
St Compliance achieved	11/19/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ

AZ0410047	3213	SIA
AZ0410047	3215	SIA
AZ0410047	3217	SOX
AZ0410047	3218	SIA
AZ0410047	3219	SOX
AZ0410047	3221	SOX
AZ0410047	3222	SOX
AZ0410047	3223	SIA
AZ0410047	3224	SIA
AZ0410047	3225	SIA
AZ0410047	3226	SIA
AZ0410047	3227	SOX
AZ0410047	3228	SIA
AZ0410047	3229	SIA
AZ0410047	3230	SIA
AZ0410047	3231	SOX
AZ0410047	3232	SIA
AZ0410047	3233	SOX
AZ0410047	3234	SIA
AZ0410047	3236	SOX
AZ0410047	3237	SIA
AZ0410048	583	SOX
AZ0410048	592	SOX
AZ0410048	593	SIA
AZ0410048	594	SOX
AZ0410048	595	SOX
AZ0410048	596	SIA
AZ0410048	597	SOX
AZ0410048	598	SOX
AZ0410048	599	SOX
AZ0410048	601	SIA
AZ0410048	603	SOX
AZ0410048	604	SOX
AZ0410048	605	SIA
AZ0410048	606	SOX
AZ0410048	607	SOX
AZ0410048	608	SIA
AZ0410048	609	SIA
AZ0410048	610	SIA
AZ0410048	611	SIA
AZ0410048	612	SIA
AZ0410048	613	SIA
AZ0410048	614	SIA
AZ0410048	615	SIA
AZ0410048	616	SIA
AZ0410048	617	SIA
AZ0410048	618	SIA

St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Violation/Reminder Notice	8/6/2013	2013	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	8/3/2010	2010	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/5/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	1/2/2008	2008	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/25/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	7/26/2011	2011	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ

AZ0410048	619	SIA
AZ0410048	620	SIA
AZ0410048	621	SIA
AZ0410048	622	SIA
AZ0410048	623	SIA
AZ0410048	624	SIA
AZ0410048	625	SIA
AZ0410048	626	SIA
AZ0410048	627	SIA
AZ0410048	628	SOX
AZ0410048	629	SIA
AZ0410048	630	SIA
AZ0410048	631	SIA
AZ0410048	632	SIA
AZ0410048	633	SIA
AZ0410048	634	SIA
AZ0410048	635	SIA
AZ0410048	636	SIA
AZ0410048	637	SIA
AZ0410048	638	SIA
AZ0410048	639	SIA
AZ0410048	640	SIA
AZ0410048	641	SIA
AZ0410048	642	SIA
AZ0410048	643	SIA
AZ0410048	644	SIA
AZ0410048	645	SIA
AZ0410048	646	SIA
AZ0410048	647	SIA
AZ0410048	648	SIA
AZ0410049	1994	SOX
AZ0410049	2003	SOX
AZ0410049	2004	SIA
AZ0410049	2005	SOX
AZ0410049	2006	SOX
AZ0410049	2007	SOX
AZ0410049	2008	SIA
AZ0410049	2009	SIA
AZ0410049	2010	SIA
AZ0410049	2011	SOX
AZ0410049	2012	SOX
AZ0410049	2013	SOX
AZ0410049	2014	SIA
AZ0410049	2015	SOX
AZ0410049	2016	SOX
AZ0410049	2017	SIA
AZ0410049	2018	SIA

St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	8/4/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	11/13/2009	2009	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ

AZ0410049	2019	SOX
AZ0410049	2020	SOX
AZ0410051	6005	SOX
AZ0410051	6514	SOX
AZ0410051	6515	SIA
AZ0410051	6516	SOX
AZ0410051	6517	SOX
AZ0410051	6519	SO0
AZ0410051	6520	SOX
AZ0410051	6521	SOX
AZ0410051	6522	SIA
AZ0410051	6523	SOX
AZ0410051	6524	SOX
AZ0410051	6526	SOX
AZ0410051	6527	SOX
AZ0410051	6528	SOX
AZ0410051	6530	SIA
AZ0410051	6531	SIA
AZ0410051	6532	SIA
AZ0410051	6533	SIA
AZ0410051	6535	SIA
AZ0410051	6536	SIA
AZ0410051	6537	SIA
AZ0410051	6538	SIA
AZ0410051	6539	SIA
AZ0410051	6540	SIA
AZ0410051	6541	SOX
AZ0410051	6542	SOX
AZ0410051	6544	SOX
AZ0410051	6546	SOX
AZ0410051	6549	SOX
AZ0410051	6550	SOX
AZ0410051	6551	SOX
AZ0410051	6552	SO0
AZ0410051	6553	SOX
AZ0410051	6554	SIA
AZ0410051	6555	SIA
AZ0410051	6556	SIA
AZ0410051	6557	SIA
AZ0410051	6558	SIA
AZ0410051	6559	SIA
AZ0410051	6560	SIA
AZ0410051	6561	SIA
AZ0410051	6562	SIA
AZ0410051	6563	SIA
AZ0410051	6564	SIA
AZ0410051	6565	SIA

St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	8/2/2013	2013	AZ
St Compliance achieved	1/5/2007	2007	AZ
St Compliance achieved	3/16/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/4/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
State No Longer Subject to Rule	4/8/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Compliance achieved	12/29/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Compliance achieved	3/19/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	5/5/2010	2010	AZ
St Compliance achieved	9/16/2010	2010	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/13/2009	2009	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
State No Longer Subject to Rule	4/4/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ

AZ0410051	6566	SIA
AZ0410051	6567	SIA
AZ0410051	6568	SIA
AZ0410051	6569	SIA
AZ0410051	6570	SIA
AZ0410051	6571	SIA
AZ0410051	6572	SIA
AZ0410051	6573	SIA
AZ0410051	6574	SOX
AZ0410051	6575	SOX
AZ0410051	6576	SOX
AZ0410051	6577	SIA
AZ0410057	549	SOX
AZ0410057	550	SOX
AZ0410057	551	SOX
AZ0410057	552	SIA
AZ0410057	553	SOX
AZ0410057	554	SIA
AZ0410057	555	SIA
AZ0410057	557	SIA
AZ0410057	558	SOX
AZ0410057	560	SOX
AZ0410058	2485	SIA
AZ0410058	2486	SOX
AZ0410058	2487	SOX
AZ0410058	2488	SOX
AZ0410058	2489	SOX
AZ0410058	2490	SIA
AZ0410058	2491	SOX
AZ0410058	2492	SIA
AZ0410058	2493	SOX
AZ0410058	2494	SOX
AZ0410058	2497	SOX
AZ0410058	2499	SOX
AZ0410060	1424	SOX
AZ0410060	1425	SOX
AZ0410060	1426	SOX
AZ0410060	1427	SOX
AZ0410060	1428	SOX
AZ0410060	1429	SOX
AZ0410060	1432	SIA
AZ0410060	1433	SOX
AZ0410060	1434	SOX
AZ0410060	1435	SIA
AZ0410060	1437	SIA
AZ0410060	1438	SOX
AZ0410060	1439	SOX

St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	12/9/2013	2013	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Compliance achieved	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/15/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Compliance achieved	8/6/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	1/3/2012	2012	AZ
St Compliance achieved	5/7/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	10/10/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	3/15/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	6/6/2008	2008	AZ
St Compliance achieved	4/18/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	2/6/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	7/11/2012	2012	AZ

AZ0410060	1440	SOX
AZ0410063	2686	SOX
AZ0410063	2687	SIA
AZ0410063	2688	SIA
AZ0410063	2689	SOX
AZ0410063	2690	SOX
AZ0410063	2691	SOX
AZ0410063	2693	SIA
AZ0410063	2694	SOX
AZ0410063	2695	SIA
AZ0410063	2696	SOX
AZ0410063	2697	SIA
AZ0410063	2699	SIA
AZ0410063	2703	SOX
AZ0410063	2704	SIA
AZ0410063	2706	SIA
AZ0410063	2707	SOX
AZ0410063	2708	SOX
AZ0410063	2712	SIA
AZ0410063	2714	SIA
AZ0410063	2715	SOX
AZ0410063	2718	SOX
AZ0410064	731	SOX
AZ0410064	734	SOX
AZ0410064	735	SOX
AZ0410064	736	SOX
AZ0410064	737	SIA
AZ0410064	738	SOX
AZ0410064	740	SIA
AZ0410064	741	SOX
AZ0410064	742	SOX
AZ0410064	743	SIA
AZ0410064	745	SIA
AZ0410064	749	SOX
AZ0410064	755	SOX
AZ0410064	761	SOX
AZ0410064	762	SOX
AZ0410064	763	SIA
AZ0410064	764	SIA
AZ0410064	766	SIA
AZ0410064	768	SIA
AZ0410064	769	SOX
AZ0410064	770	SOX
AZ0410064	772	SIA
AZ0410064	773	SIA
AZ0410064	774	SOX
AZ0410064	775	SOX

St Compliance achieved	11/26/2012	2012	AZ
St Compliance achieved	4/30/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	4/6/2011	2011	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/22/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	6/13/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	2/22/2010	2010	AZ
St Compliance achieved	3/8/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/2/2011	2011	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	4/10/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ

AZ0410064	779	SOX
AZ0410064	780	SOX
AZ0410064	781	SOX
AZ0410064	783	SOX
AZ0410064	784	SOX
AZ0410065	4301	SOX
AZ0410065	4458	SOX
AZ0410065	4462	SOX
AZ0410065	4464	SIA
AZ0410065	4467	SOX
AZ0410065	4468	SOX
AZ0410065	4469	SOX
AZ0410065	4470	SOX
AZ0410065	4471	SOX
AZ0410065	4472	SOX
AZ0410065	4473	SOX
AZ0410065	4474	SOX
AZ0410065	4477	SIA
AZ0410065	4478	SIA
AZ0410065	4480	SIA
AZ0410065	4482	SIA
AZ0410065	4486	SOX
AZ0410065	4487	SIA
AZ0410065	4489	SIA
AZ0410065	4490	SOX
AZ0410065	4491	SOX
AZ0410065	4492	SOX
AZ0410065	4493	SFJ
AZ0410065	4494	SIA
AZ0410065	4495	SOX
AZ0410065	4496	SOX
AZ0410065	4497	SIA
AZ0410065	4498	SOX
AZ0410065	4499	SIA
AZ0410065	4500	SIA
AZ0410065	4501	SIA
AZ0410065	4502	SOX
AZ0410065	4503	SIA
AZ0410065	4504	SIA
AZ0410065	4505	SIA
AZ0410065	4507	SOX
AZ0410065	4508	SOX
AZ0410070	715	SOX
AZ0410070	716	SIA
AZ0410070	719	SIA
AZ0410070	720	SIA
AZ0410070	721	SIA

St Compliance achieved	12/22/2009	2009	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	8/6/2009	2009	AZ
St Compliance achieved	5/18/2010	2010	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Compliance achieved	3/22/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	12/27/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/16/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Compliance achieved	6/16/2010	2010	AZ
St Formal NOV issued	1/28/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Compliance achieved	8/13/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/14/2012	2012	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/10/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	7/1/2014	2014	AZ
St Compliance achieved	7/3/2014	2014	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ

AZ0410070	723	SIA
AZ0410070	724	SIA
AZ0410070	726	SIA
AZ0410070	728	SIA
AZ0410070	733	SIA
AZ0410070	735	SIA
AZ0410070	736	SOX
AZ0410070	737	SOX
AZ0410070	738	SOX
AZ0410070	739	SOX
AZ0410070	741	SIA
AZ0410070	743	SOX
AZ0410070	744	SOX
AZ0410070	745	SIA
AZ0410070	746	SOX
AZ0410070	747	SOX
AZ0410070	748	SOX
AZ0410070	752	SOX
AZ0410070	753	SOX
AZ0410072	1016	SOX
AZ0410072	1021	SOX
AZ0410072	1022	SOX
AZ0410072	1023	SOX
AZ0410072	1024	SOX
AZ0410072	1025	SOX
AZ0410072	1026	SOX
AZ0410072	1027	SOX
AZ0410072	1028	SIA
AZ0410072	1029	SIA
AZ0410072	1030	SO0
AZ0410072	1031	SO0
AZ0410074	147	SIA
AZ0410074	148	SOX
AZ0410074	149	SIA
AZ0410074	150	SOX
AZ0410074	151	SOX
AZ0410074	152	SOX
AZ0410074	153	SOX
AZ0410074	154	SIA
AZ0410074	156	SIA
AZ0410074	157	SOX
AZ0410074	158	SIA
AZ0410074	159	SIA
AZ0410076	50316	SOX
AZ0410076	50851	SOX
AZ0410076	50891	SOX
AZ0410076	50892	SOX

St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/30/2009	2009	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	8/8/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Compliance achieved	7/1/2009	2009	AZ
St Compliance achieved	8/19/2010	2010	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Compliance achieved	7/27/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
State No Longer Subject to Rule	3/10/2009	2009	AZ
State No Longer Subject to Rule	3/24/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	11/20/2008	2008	AZ
St Compliance achieved	10/24/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	2/6/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ

AZ0410076	50893	SOX
AZ0410076	50894	SOX
AZ0410076	50895	SIA
AZ0410076	50896	SOX
AZ0410076	50897	SOX
AZ0410076	50899	SIA
AZ0410076	50900	SOX
AZ0410076	50901	SOX
AZ0410076	50907	SOX
AZ0410076	50914	SOX
AZ0410076	50916	SIA
AZ0410076	50919	SOX
AZ0410076	50920	SIA
AZ0410092	2109	SFJ
AZ0410092	2172	SOX
AZ0410092	2173	SOX
AZ0410092	2175	SOX
AZ0410092	2176	SOX
AZ0410092	2177	SOX
AZ0410092	2178	SOX
AZ0410092	2179	SOX
AZ0410092	2181	SIA
AZ0410092	2182	SIA
AZ0410092	2183	SOX
AZ0410092	2184	SIA
AZ0410092	2186	SIA
AZ0410092	2187	SOX
AZ0410092	2188	SOX
AZ0410092	2190	SOX
AZ0410092	2191	SOX
AZ0410092	2192	SOX
AZ0410092	2193	SOX
AZ0410093	720	SOX
AZ0410093	721	SOX
AZ0410093	723	SIA
AZ0410093	724	SOX
AZ0410093	725	SIA
AZ0410093	726	SOX
AZ0410093	727	SOX
AZ0410093	728	SOX
AZ0410093	729	SOX
AZ0410093	730	SFJ
AZ0410093	731	SOX
AZ0410093	732	SOX
AZ0410093	733	SIA
AZ0410093	734	SOX
AZ0410093	735	SOX

St Compliance achieved	10/26/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/18/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	11/26/2012	2012	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	7/10/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	12/28/2007	2007	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	6/12/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/23/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	7/15/2009	2009	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Compliance achieved	1/22/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Formal NOV issued	7/30/2012	2012	AZ
St Compliance achieved	11/2/2012	2012	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/6/2013	2013	AZ
St Compliance achieved	7/8/2011	2011	AZ

AZ0410095	7306	SO0
AZ0410095	7307	SOX
AZ0410095	7409	SOX
AZ0410095	7410	SIA
AZ0410095	7411	SOX
AZ0410095	7413	SOX
AZ0410095	7415	SOX
AZ0410095	7416	SOX
AZ0410095	7417	SOX
AZ0410095	7418	SIA
AZ0410095	7419	SOX
AZ0410095	7420	SOX
AZ0410095	7421	SOX
AZ0410095	7422	SOX
AZ0410098	3749	SOX
AZ0410098	3750	SIA
AZ0410098	3751	SOX
AZ0410098	3752	SOX
AZ0410098	3754	SOX
AZ0410098	3755	SIA
AZ0410098	3756	SOX
AZ0410098	3757	SIA
AZ0410098	3758	SOX
AZ0410098	3760	SOX
AZ0410098	3761	SOX
AZ0410098	3762	SIA
AZ0410100	647	SOX
AZ0410100	648	SIA
AZ0410100	649	SOX
AZ0410100	650	SOX
AZ0410100	651	SIA
AZ0410100	652	SOX
AZ0410100	653	SOX
AZ0410100	654	SIA
AZ0410100	655	SIA
AZ0410100	656	SIA
AZ0410100	658	SOX
AZ0410100	659	SIA
AZ0410100	660	SOX
AZ0410101	3372	SOX
AZ0410101	3373	SOX
AZ0410101	3375	SOX
AZ0410101	3376	SOX
AZ0410101	3378	SOX
AZ0410101	3380	SOX
AZ0410101	3381	SOX
AZ0410101	3383	SOX

State No Longer Subject to Rule	1/3/2007	2007	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	4/17/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/21/2008	2008	AZ
St Compliance achieved	5/29/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	2/14/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	12/28/2007	2007	AZ
St Compliance achieved	6/11/2008	2008	AZ
St Compliance achieved	10/14/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	3/25/2009	2009	AZ
St Compliance achieved	8/17/2009	2009	AZ
St Violation/Reminder Notice	11/18/2010	2010	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	2/13/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	1/5/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ

AZ0410101	3386	SOX
AZ0410101	3387	SOX
AZ0410101	3389	SOX
AZ0410101	3392	SIA
AZ0410101	3393	SOX
AZ0410101	3394	SOX
AZ0410101	3395	SOX
AZ0410101	3396	SOX
AZ0410101	3397	SOX
AZ0410101	3398	SOX
AZ0410101	3399	SIA
AZ0410101	3400	SOX
AZ0410101	3401	SIA
AZ0410101	3402	SOX
AZ0410101	3403	SOX
AZ0410101	3405	SOX
AZ0410101	3406	SOX
AZ0410112	10	SIA
AZ0410112	11	SIA
AZ0410112	12	SOX
AZ0410112	13	SOX
AZ0410112	1713	SOX
AZ0410112	1715	SOX
AZ0410112	1716	SOX
AZ0410112	1718	SOX
AZ0410112	1719	SOX
AZ0410112	1720	SOX
AZ0410112	1722	SOX
AZ0410112	1723	SOX
AZ0410112	1724	SOX
AZ0410112	1725	SOX
AZ0410112	1726	SO0
AZ0410112	1727	SOX
AZ0410112	1728	SOX
AZ0410112	1729	SOX
AZ0410112	18	SOX
AZ0410112	19	SOX
AZ0410112	24	SIA
AZ0410112	25	SOX
AZ0410112	27	SOX
AZ0410112	3	SOX
AZ0410112	4	SIA
AZ0410112	6	SIA
AZ0410112	8	SOX
AZ0410112	9	SIA
AZ0410117	804	SOX
AZ0410117	855	SOX

St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	4/27/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Compliance achieved	3/12/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	10/10/2008	2008	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	8/3/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Compliance achieved	10/17/2011	2011	AZ
St Compliance achieved	6/14/2012	2012	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	9/27/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	1/17/2008	2008	AZ
St Compliance achieved	3/28/2008	2008	AZ
State No Longer Subject to Rule	4/29/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	12/11/2008	2008	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	4/25/2007	2007	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/28/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0410117	856	SOX
AZ0410117	857	SOX
AZ0410117	858	SOX
AZ0410118	3967	SOX
AZ0410118	3969	SOX
AZ0410118	3970	SOX
AZ0410118	3971	SOX
AZ0410118	3972	SOX
AZ0410118	3973	SOX
AZ0410118	3974	SOX
AZ0410118	3975	SOX
AZ0410118	3976	SOX
AZ0410118	3978	SIA
AZ0410118	3979	SOX
AZ0410118	3980	SOX
AZ0410118	3981	SIA
AZ0410118	3982	SOX
AZ0410118	3983	SOX
AZ0410118	3984	SFJ
AZ0410118	3985	SOX
AZ0410118	3987	SIA
AZ0410118	3988	SOX
AZ0410118	3989	SOX
AZ0410118	3990	SIA
AZ0410118	3991	SIA
AZ0410118	3996	SOX
AZ0410118	3998	SOX
AZ0410118	3999	SOX
AZ0410118	4000	SIA
AZ0410118	4001	SIA
AZ0410118	4002	SIA
AZ0410118	4003	SIA
AZ0410118	4004	SOX
AZ0410118	4006	SOX
AZ0410118	4007	SOX
AZ0410118	4008	SIA
AZ0410118	4009	SIA
AZ0410118	4010	SIA
AZ0410118	4011	SIA
AZ0410118	4012	SIA
AZ0410118	4013	SIA
AZ0410118	4014	SIA
AZ0410118	4015	SIA
AZ0410118	4016	SIA
AZ0410118	4017	SIA
AZ0410118	4018	SIA
AZ0410118	4019	SIA

St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	3/11/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	8/25/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	12/4/2008	2008	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	9/21/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	4/5/2010	2010	AZ
St Compliance achieved	4/15/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	10/1/2010	2010	AZ
St Compliance achieved	11/5/2010	2010	AZ
St Compliance achieved	12/9/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	11/25/2009	2009	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0410118	4020	SIA
AZ0410118	4021	SIA
AZ0410118	4022	SIA
AZ0410118	4023	SIA
AZ0410118	4024	SIA
AZ0410118	4025	SIA
AZ0410118	4026	SIA
AZ0410118	4027	SIA
AZ0410118	4028	SIA
AZ0410118	4029	SIA
AZ0410118	4030	SIA
AZ0410118	4031	SIA
AZ0410118	4032	SIA
AZ0410118	4033	SIA
AZ0410118	4034	SIA
AZ0410118	4035	SIA
AZ0410118	4036	SIA
AZ0410118	4037	SIA
AZ0410118	4038	SIA
AZ0410118	4039	SIA
AZ0410118	4040	SIA
AZ0410118	4041	SIA
AZ0410118	4042	SIA
AZ0410118	4043	SIA
AZ0410118	4044	SIA
AZ0410118	4045	SIA
AZ0410118	4046	SIA
AZ0410118	4047	SIA
AZ0410118	4048	SIA
AZ0410118	4049	SIA
AZ0410118	4050	SIA
AZ0410118	4051	SIA
AZ0410118	4052	SIA
AZ0410118	4053	SIA
AZ0410118	4054	SIA
AZ0410118	4055	SIA
AZ0410118	4056	SIA
AZ0410118	4057	SIA
AZ0410118	4058	SIA
AZ0410118	4059	SIA
AZ0410118	4060	SIA
AZ0410118	4061	SIA
AZ0410118	4062	SIA
AZ0410118	4063	SIA
AZ0410118	4064	SIA
AZ0410118	4065	SIA
AZ0410118	4066	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0410118	4067	SIA
AZ0410118	4068	SIA
AZ0410118	4069	SIA
AZ0410118	4070	SIA
AZ0410118	4071	SIA
AZ0410118	4072	SIA
AZ0410118	4073	SIA
AZ0410118	4074	SIA
AZ0410118	4075	SIA
AZ0410118	4076	SIA
AZ0410118	4077	SIA
AZ0410118	4078	SIA
AZ0410118	4079	SIA
AZ0410118	4080	SIA
AZ0410118	4081	SIA
AZ0410118	4082	SIA
AZ0410118	4083	SIA
AZ0410118	4084	SIA
AZ0410118	4085	SIA
AZ0410118	4086	SIA
AZ0410118	4087	SIA
AZ0410118	4088	SIA
AZ0410118	4089	SIA
AZ0410118	4090	SIA
AZ0410118	4091	SIA
AZ0410118	4092	SIA
AZ0410118	4093	SIA
AZ0410118	4094	SIA
AZ0410118	4095	SIA
AZ0410118	4096	SIA
AZ0410118	4097	SIA
AZ0410118	4098	SIA
AZ0410118	4099	SIA
AZ0410118	4100	SIA
AZ0410118	4101	SIA
AZ0410118	4102	SIA
AZ0410118	4103	SIA
AZ0410118	4104	SIA
AZ0410118	4105	SIA
AZ0410118	4106	SIA
AZ0410118	4107	SIA
AZ0410118	4108	SOX
AZ0410118	4109	SOX
AZ0410118	4130	SOX
AZ0410119	1156	SIA
AZ0410119	1159	SIA
AZ0410119	1160	SOX

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Compliance achieved	5/14/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	9/10/2014	2014	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/7/2009	2009	AZ

AZ0410119	1161	SOX
AZ0410123	5507	SFJ
AZ0410123	5580	SOX
AZ0410123	5581	SIA
AZ0410123	5582	SOX
AZ0410123	5586	SIA
AZ0410123	5587	SOX
AZ0410123	5588	SOX
AZ0410123	5589	SIA
AZ0410123	5590	SIA
AZ0410123	5591	SOX
AZ0410123	5592	SIA
AZ0410123	5593	SOX
AZ0410123	5594	SIA
AZ0410123	5595	SIA
AZ0410123	5596	SIA
AZ0410123	5597	SOX
AZ0410123	5598	SOX
AZ0410123	5599	SIA
AZ0410123	5602	SIA
AZ0410123	5603	SOX
AZ0410123	5604	SIA
AZ0410123	5605	SIA
AZ0410123	5606	SOX
AZ0410123	5607	SOX
AZ0410123	5608	SIA
AZ0410123	5609	SOX
AZ0410123	5610	SIA
AZ0410123	5611	SIA
AZ0410123	5612	SOX
AZ0410123	5613	SIA
AZ0410123	5614	SOX
AZ0410123	5615	SIA
AZ0410123	5616	SIA
AZ0410123	5617	SOX
AZ0410127	2788	SOX
AZ0410127	2789	SIA
AZ0410127	2790	SOX
AZ0410127	2791	SIA
AZ0410127	2792	SOX
AZ0410127	2793	SIA
AZ0410127	2794	SOX
AZ0410127	2795	SOX
AZ0410128	3807	SFJ
AZ0410128	3855	SOX
AZ0410128	3884	SOX
AZ0410128	3885	SOX

St Compliance achieved	3/16/2009	2009	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	7/1/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Compliance achieved	3/21/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	11/25/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Compliance achieved	3/19/2007	2007	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	7/28/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	1/19/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Formal NOV issued	1/19/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ

AZ0410128	3886	SOX
AZ0410128	3887	SIA
AZ0410128	3889	SOX
AZ0410128	3893	SOX
AZ0410128	3894	SIA
AZ0410128	3896	SIA
AZ0410128	3897	SIA
AZ0410128	3898	SIA
AZ0410128	3899	SOX
AZ0410128	3900	SIA
AZ0410128	3901	SOX
AZ0410128	3902	SOX
AZ0410128	3903	SOX
AZ0410128	3905	SOX
AZ0410131	1003	SOX
AZ0410132	310	SFJ
AZ0410132	312	SIA
AZ0410132	313	SOX
AZ0410132	314	SIA
AZ0410132	315	SOX
AZ0410132	316	SOX
AZ0410132	317	SIA
AZ0410132	319	SIA
AZ0410132	320	SOX
AZ0410132	321	SOX
AZ0410132	322	SIA
AZ0410132	323	SOX
AZ0410132	327	SOX
AZ0410132	328	SIA
AZ0410132	329	SIA
AZ0410136	1001	SFJ
AZ0410136	1012	SOX
AZ0410136	1013	SOX
AZ0410136	1014	SIA
AZ0410136	1015	SOX
AZ0410136	1017	SOX
AZ0410136	1019	SOX
AZ0410136	1020	SOX
AZ0410136	1021	SOX
AZ0410136	1022	SOX
AZ0410136	1025	SOX
AZ0410136	1026	SIA
AZ0410136	1027	SOX
AZ0410136	1029	SOX
AZ0410138	2359	SOX
AZ0410138	2360	SOX
AZ0410138	2361	SOX

St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	6/10/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/17/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	5/30/2012	2012	AZ
St Compliance achieved	7/25/2012	2012	AZ
St Compliance achieved	7/8/2010	2010	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Formal NOV issued	3/26/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	3/10/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Compliance achieved	12/23/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	7/26/2007	2007	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	7/12/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	7/12/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/27/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ

AZ0410138	2362	SOX
AZ0410138	2363	SOX
AZ0410138	2364	SOX
AZ0410138	2365	SOX
AZ0410138	2366	SOX
AZ0410138	2367	SOX
AZ0410138	2368	SOX
AZ0410138	2369	SOX
AZ0410138	2370	SOX
AZ0410138	2371	SIA
AZ0410138	2372	SIA
AZ0410138	2373	SIA
AZ0410138	2374	SOX
AZ0410138	2375	SOX
AZ0410138	2383	SOX
AZ0410138	2384	SIA
AZ0410138	2385	SOX
AZ0410138	2386	SOX
AZ0410138	2387	SIA
AZ0410138	2388	SIA
AZ0410138	2389	SOX
AZ0410138	2390	SOX
AZ0410138	2391	SIA
AZ0410138	2392	SO0
AZ0410138	2393	SO0
AZ0410138	2394	SOX
AZ0410139	4283	SIA
AZ0410139	4284	SOX
AZ0410139	4285	SOX
AZ0410139	4286	SOX
AZ0410139	4289	SOX
AZ0410139	4290	SIA
AZ0410139	4294	SIA
AZ0410139	4295	SOX
AZ0410139	4298	SOX
AZ0410139	4299	SOX
AZ0410139	4301	SIA
AZ0410139	4302	SOX
AZ0410139	4305	SOX
AZ0410139	4306	SOX
AZ0410139	4310	SOX
AZ0410139	4311	SIA
AZ0410139	4312	SOX
AZ0410139	4313	SOX
AZ0410140	3338	SOX
AZ0410140	3339	SOX
AZ0410140	3340	SIA

St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/29/2008	2008	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	9/11/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/7/2009	2009	AZ
St Compliance achieved	10/6/2009	2009	AZ
St Compliance achieved	3/23/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	2/14/2012	2012	AZ
St Compliance achieved	2/21/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
State No Longer Subject to Rule	3/27/2013	2013	AZ
State No Longer Subject to Rule	2/29/2012	2012	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	3/25/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	3/6/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	8/8/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	1/25/2010	2010	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ

AZ0410140	3341	SIA
AZ0410140	3342	SOX
AZ0410140	3343	SOX
AZ0410140	3344	SIA
AZ0410140	3345	SIA
AZ0410140	3346	SOX
AZ0410140	3347	SIA
AZ0410140	3348	SOX
AZ0410140	3349	SOX
AZ0410140	3350	SOX
AZ0410140	3351	SIA
AZ0410140	3353	SOX
AZ0410140	3354	SOX
AZ0410140	3355	SOX
AZ0410140	3357	SIA
AZ0410140	3358	SIA
AZ0410140	3359	SOX
AZ0410140	3360	SOX
AZ0410142	1304	SFJ
AZ0410142	1374	SOX
AZ0410142	1375	SOX
AZ0410142	1376	SIA
AZ0410142	1377	SOX
AZ0410142	1378	SIA
AZ0410142	1379	SOX
AZ0410142	1380	SOX
AZ0410142	1381	SOX
AZ0410142	1382	SIA
AZ0410142	1383	SOX
AZ0410142	1384	SFJ
AZ0410142	1385	SIA
AZ0410142	1386	SOX
AZ0410142	1387	SOX
AZ0410142	1388	SIA
AZ0410142	1389	SIA
AZ0410142	1390	SIA
AZ0410142	1391	SIA
AZ0410142	1392	SOX
AZ0410142	1393	SOX
AZ0410142	1394	SIA
AZ0410142	1395	SIA
AZ0410142	1396	SIA
AZ0410142	1397	SOX
AZ0410142	1398	SIA
AZ0410142	1399	SOX
AZ0410142	1400	SOX
AZ0410142	1401	SOX

St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	12/14/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/10/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	2/13/2013	2013	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Compliance achieved	12/15/2010	2010	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/1/2014	2014	AZ
St Compliance achieved	5/1/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/21/2008	2008	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	5/13/2009	2009	AZ
St Formal NOV issued	7/6/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/21/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/8/2010	2010	AZ
St Compliance achieved	11/19/2010	2010	AZ
St Compliance achieved	11/22/2010	2010	AZ

AZ0410142	1402	SIA
AZ0410142	1403	SIA
AZ0410142	1404	SIA
AZ0410142	1405	SO0
AZ0410142	1406	SIA
AZ0410142	1408	SIA
AZ0410142	1409	SOX
AZ0410142	1410	SOX
AZ0410142	1411	SOX
AZ0410142	1412	SOX
AZ0410142	1414	SOX
AZ0410142	1415	SIA
AZ0410142	1416	SOX
AZ0410142	1417	SOX
AZ0410142	1418	SIA
AZ0410142	1419	SIA
AZ0410142	1420	SOX
AZ0410142	1421	SOX
AZ0410142	1422	SIA
AZ0410142	1423	SIA
AZ0410142	1424	SOX
AZ0410142	1425	SOX
AZ0410142	1426	SOX
AZ0410142	1427	SIA
AZ0410142	1428	SOX
AZ0410142	1429	SOX
AZ0410142	1430	SOX
AZ0410142	1431	SIA
AZ0410142	1432	SIA
AZ0410142	1433	SIA
AZ0410142	1434	SOX
AZ0410142	1435	SIA
AZ0410142	1436	SOX
AZ0410143	404	SFJ
AZ0410143	419	SOX
AZ0410143	420	SOX
AZ0410143	421	SOX
AZ0410143	422	SOX
AZ0410143	423	SOX
AZ0410143	425	SOX
AZ0410143	427	SOX
AZ0410143	429	SOX
AZ0410143	430	SOX
AZ0410144	414	SOX
AZ0410144	415	SIA
AZ0410144	416	SOX
AZ0410144	417	SOX

St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
State No Longer Subject to Rule	1/24/2011	2011	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	9/12/2011	2011	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Compliance achieved	5/23/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	9/12/2011	2011	AZ
St Compliance achieved	8/16/2012	2012	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Violation/Reminder Notice	5/23/2013	2013	AZ
St Compliance achieved	6/29/2009	2009	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	10/1/2013	2013	AZ
St Compliance achieved	10/10/2013	2013	AZ
St Compliance achieved	10/8/2013	2013	AZ
St Compliance achieved	1/21/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/16/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	4/17/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ

AZ0410144	418	SIA
AZ0410144	419	SOX
AZ0410144	420	SOX
AZ0410150	2703	SFJ
AZ0410150	2784	SOX
AZ0410150	2785	SOX
AZ0410150	2786	SOX
AZ0410150	2787	SOX
AZ0410150	2788	SOX
AZ0410150	2790	SOX
AZ0410150	2791	SOX
AZ0410150	2792	SOX
AZ0410150	2793	SIA
AZ0410150	2794	SOX
AZ0410150	2795	SIA
AZ0410150	2797	SOX
AZ0410150	2798	SOX
AZ0410150	2799	SOX
AZ0410150	2800	SOX
AZ0410150	2801	SOX
AZ0410150	2803	SOX
AZ0410150	2804	SIA
AZ0410150	2806	SOX
AZ0410150	2807	SOX
AZ0410150	2808	SOX
AZ0410150	2809	SOX
AZ0410152	4906	SFJ
AZ0410152	5012	SOX
AZ0410152	5013	SOX
AZ0410152	5014	SOX
AZ0410152	5015	SIA
AZ0410152	5016	SOX
AZ0410152	5017	SOX
AZ0410152	5018	SOX
AZ0410152	5019	SOX
AZ0410152	5021	SIA
AZ0410152	5022	SOX
AZ0410152	5024	SIA
AZ0410152	5026	SOX
AZ0410152	5027	SIA
AZ0410152	5029	SOX
AZ0410152	5030	SOX
AZ0410152	5031	SIA
AZ0410152	5033	SOX
AZ0410152	5037	SOX
AZ0410152	5038	SOX
AZ0410152	5039	SIA

St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	8/23/2010	2010	AZ
St Compliance achieved	7/23/2009	2009	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	4/2/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	11/18/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Compliance achieved	1/21/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Compliance achieved	7/27/2011	2011	AZ
St Compliance achieved	7/21/2011	2011	AZ
St Compliance achieved	1/22/2007	2007	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/31/2007	2007	AZ
St Compliance achieved	4/11/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/23/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/8/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	4/3/2009	2009	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	12/29/2009	2009	AZ
St Compliance achieved	2/9/2010	2010	AZ
St Compliance achieved	3/11/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ

AZ0410152	5040	SIA
AZ0410152	5041	SIA
AZ0410152	5043	SIA
AZ0410152	5044	SIA
AZ0410152	5045	SOX
AZ0410152	5046	SIA
AZ0410152	5047	SOX
AZ0410152	5048	SIA
AZ0410152	5049	SOX
AZ0410152	5050	SOX
AZ0410152	5051	SOX
AZ0410152	5052	SOX
AZ0410152	5053	SOX
AZ0410152	5054	SOX
AZ0410153	513	SOX
AZ0410153	514	SOX
AZ0410153	515	SIA
AZ0410153	516	SIA
AZ0410153	518	SIA
AZ0410153	519	SIA
AZ0410153	521	SIA
AZ0410153	522	SIA
AZ0410153	524	SIA
AZ0410153	525	SIA
AZ0410153	527	SIA
AZ0410153	529	SIA
AZ0410153	530	SOX
AZ0410153	531	SIA
AZ0410153	534	SIA
AZ0410153	535	SIA
AZ0410153	536	SIA
AZ0410153	538	SIA
AZ0410153	539	SOX
AZ0410153	540	SIA
AZ0410153	542	SIA
AZ0410153	546	SIA
AZ0410153	548	SIA
AZ0410153	549	SOX
AZ0410153	550	SOX
AZ0410153	551	SOX
AZ0410153	552	SOX
AZ0410153	553	SOX
AZ0410153	554	SIA
AZ0410153	558	SIA
AZ0410153	559	SIA
AZ0410153	561	SIA
AZ0410153	562	SIA

St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	6/30/2011	2011	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	9/17/2013	2013	AZ
St Compliance achieved	11/7/2013	2013	AZ
St Compliance achieved	8/9/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/13/2009	2009	AZ
St Compliance achieved	1/19/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ

AZ0410153	563	SOX
AZ0410153	564	SOX
AZ0410153	565	SOX
AZ0410153	566	SIA
AZ0410153	568	SOX
AZ0410153	569	SIA
AZ0410153	571	SIA
AZ0410153	572	SOX
AZ0410153	573	SIA
AZ0410153	574	SOX
AZ0410153	575	SIA
AZ0410153	576	SOX
AZ0410153	577	SOX
AZ0410153	578	SOX
AZ0410153	582	SOX
AZ0410154	10	SIA
AZ0410154	12	SIA
AZ0410154	13	SO0
AZ0410154	14	SIA
AZ0410154	15	SO0
AZ0410154	16	SOX
AZ0410154	3	SIA
AZ0410154	4	SOX
AZ0410154	5	SIA
AZ0410154	6	SOX
AZ0410154	7	SIA
AZ0410154	9	SIA
AZ0410157	1215	SOX
AZ0410157	1216	SIA
AZ0410157	1217	SOX
AZ0410157	1218	SOX
AZ0410157	1219	SOX
AZ0410157	1222	SOX
AZ0410157	1223	SIA
AZ0410157	1226	SIA
AZ0410157	1227	SOX
AZ0410157	1228	SIA
AZ0410157	1229	SIA
AZ0410157	1230	SIA
AZ0410157	1231	SIA
AZ0410157	1233	SIA
AZ0410157	1234	SOX
AZ0410157	1236	SOX
AZ0410157	1237	SOX
AZ0410157	1238	SOX
AZ0410157	1242	SOX
AZ0410157	1243	SIA

St Compliance achieved	11/15/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/26/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	8/31/2012	2012	AZ
St Compliance achieved	8/22/2013	2013	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
State No Longer Subject to Rule	6/24/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
State No Longer Subject to Rule	8/19/2009	2009	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	10/3/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	2/4/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	11/15/2007	2007	AZ
St Compliance achieved	8/27/2007	2007	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	12/4/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/5/2009	2009	AZ
St Compliance achieved	8/7/2009	2009	AZ
St Compliance achieved	8/20/2009	2009	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ

AZ0410157	1245	SIA
AZ0410157	1247	SOX
AZ0410157	1248	SOX
AZ0410157	1249	SIA
AZ0410157	1250	SIA
AZ0410157	1251	SOX
AZ0410157	1252	SIA
AZ0410157	1253	SOX
AZ0410157	1257	SOX
AZ0410157	1258	SOX
AZ0410157	1259	SOX
AZ0410157	1260	SOX
AZ0410158	19	SOX
AZ0410158	21	SOX
AZ0410158	22	SOX
AZ0410158	24	SOX
AZ0410159	12	SOX
AZ0410159	14	SOX
AZ0410159	15	SOX
AZ0410159	16	SOX
AZ0410159	17	SOX
AZ0410159	19	SOX
AZ0410159	21	SOX
AZ0410162	2947	SOX
AZ0410164	10305	SIA
AZ0410164	11427	SOX
AZ0410164	11465	SOX
AZ0410164	11466	SOX
AZ0410164	11467	SOX
AZ0410164	11468	SOX
AZ0410164	11470	SOX
AZ0410164	11472	SOX
AZ0410164	11473	SOX
AZ0410164	11474	SOX
AZ0410164	11475	SOX
AZ0410164	11476	SIA
AZ0410164	11478	SOX
AZ0410164	11479	SOX
AZ0410164	11480	SOX
AZ0410164	11483	SOX
AZ0410164	11484	SOX
AZ0410164	11485	SO0
AZ0410164	11489	SIA
AZ0410164	11491	SOX
AZ0410164	11492	SOX
AZ0410164	11493	SOX
AZ0410164	11654	SOX

St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	10/19/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	8/10/2010	2010	AZ
St Compliance achieved	8/8/2013	2013	AZ
St Compliance achieved	8/8/2013	2013	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/7/2008	2008	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Compliance achieved	4/7/2009	2009	AZ
St Compliance achieved	4/12/2007	2007	AZ
St Violation/Reminder Notice	1/13/2011	2011	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
State No Longer Subject to Rule	3/19/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	7/14/2009	2009	AZ
St Compliance achieved	3/5/2010	2010	AZ

AZ0410164	11655	SOX
AZ0410164	11656	SIA
AZ0410164	11657	SIA
AZ0410164	11658	SIA
AZ0410164	11659	SOX
AZ0410164	11670	SIA
AZ0410164	11671	SOX
AZ0410164	11672	SOX
AZ0410164	11673	SOX
AZ0410169	1519	SOX
AZ0410169	1534	SOX
AZ0410169	1535	SOX
AZ0410169	1541	SOX
AZ0410171	320	SOX
AZ0410171	321	SOX
AZ0410171	323	SOX
AZ0410171	325	SOX
AZ0410173	1626	SOX
AZ0410173	1628	SOX
AZ0410173	1629	SOX
AZ0410173	1630	SOX
AZ0410173	1631	SOX
AZ0410173	1634	SOX
AZ0410175	3696	SIA
AZ0410175	3697	SOX
AZ0410175	3698	SIA
AZ0410175	3700	SIA
AZ0410175	3701	SOX
AZ0410175	3702	SOX
AZ0410175	3703	SOX
AZ0410177	416	SOX
AZ0410177	417	SOX
AZ0410177	419	SOX
AZ0410177	420	SOX
AZ0410177	421	SIA
AZ0410177	422	SOX
AZ0410177	423	SOX
AZ0410177	424	SOX
AZ0410177	425	SIA
AZ0410177	429	SIA
AZ0410177	431	SOX
AZ0410177	432	SFJ
AZ0410177	433	SOX
AZ0410177	435	SIA
AZ0410177	436	SOX
AZ0410177	437	SOX
AZ0410177	438	SOX

St Compliance achieved	3/17/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/12/2013	2013	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Compliance achieved	7/23/2007	2007	AZ
St Compliance achieved	4/5/2007	2007	AZ
St Compliance achieved	10/17/2007	2007	AZ
St Compliance achieved	4/7/2008	2008	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/7/2008	2008	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Compliance achieved	7/10/2008	2008	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/7/2008	2008	AZ
St Compliance achieved	2/26/2007	2007	AZ
St Violation/Reminder Notice	3/5/2008	2008	AZ
St Compliance achieved	3/5/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	3/8/2010	2010	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/20/2008	2008	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	1/19/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/15/2012	2012	AZ
St Formal NOV issued	2/27/2012	2012	AZ
St Compliance achieved	2/5/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Compliance achieved	7/21/2008	2008	AZ
St Compliance achieved	4/4/2011	2011	AZ

AZ0410177	439	SOX
AZ0410177	440	SOX
AZ0410177	441	SOX
AZ0410178	1561	SOX
AZ0410178	1562	SIA
AZ0410178	1563	SOX
AZ0410178	1564	SOX
AZ0410178	1567	SOX
AZ0410178	1568	SOX
AZ0410178	1570	SFJ
AZ0410178	1571	SIA
AZ0410178	1572	SOX
AZ0410178	1573	SIA
AZ0410178	1574	SOX
AZ0410178	1575	SIA
AZ0410178	1578	SIA
AZ0410178	1580	SIA
AZ0410178	1582	SOX
AZ0410178	1584	SOX
AZ0410178	1587	SOX
AZ0410179	640	SOX
AZ0410179	642	SOX
AZ0410179	645	SOX
AZ0410179	646	SIA
AZ0410179	647	SOX
AZ0410183	2359	SOX
AZ0410187	716	SOX
AZ0410187	721	SOX
AZ0410187	722	SIA
AZ0410187	723	SOX
AZ0410187	724	SIA
AZ0410187	725	SIA
AZ0410187	726	SOX
AZ0410187	727	SOX
AZ0410187	728	SOX
AZ0410187	729	SOX
AZ0410187	730	SOX
AZ0410187	731	SOX
AZ0410187	732	SIA
AZ0410187	733	SOX
AZ0410187	734	SIA
AZ0410187	735	SIA
AZ0410187	736	SOX
AZ0410187	737	SOX
AZ0410187	738	SIA
AZ0410187	739	SOX
AZ0410187	740	SOX

St Compliance achieved	5/15/2012	2012	AZ
St Compliance achieved	5/15/2012	2012	AZ
St Compliance achieved	8/19/2013	2013	AZ
St Compliance achieved	4/16/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Formal NOV issued	2/27/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	8/16/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Compliance achieved	11/19/2012	2012	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/25/2013	2013	AZ
St Compliance achieved	2/22/2011	2011	AZ
St Compliance achieved	4/19/2007	2007	AZ
St Compliance achieved	4/30/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	10/19/2010	2010	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/22/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	10/3/2008	2008	AZ
St Compliance achieved	12/17/2008	2008	AZ

AZ0410187	741	SIA
AZ0410187	742	SOX
AZ0410187	743	SIA
AZ0410187	744	SIA
AZ0410187	745	SOX
AZ0410187	746	SOX
AZ0410187	748	SIA
AZ0410187	749	SOX
AZ0410187	750	SIA
AZ0410187	751	SIA
AZ0410187	752	SIA
AZ0410187	753	SIA
AZ0410187	754	SIA
AZ0410187	755	SIA
AZ0410187	756	SIA
AZ0410187	757	SIA
AZ0410187	758	SIA
AZ0410187	759	SIA
AZ0410187	760	SIA
AZ0410187	761	SIA
AZ0410187	762	SIA
AZ0410187	763	SIA
AZ0410187	764	SIA
AZ0410187	765	SIA
AZ0410187	766	SIA
AZ0410187	767	SIA
AZ0410187	768	SIA
AZ0410187	769	SIA
AZ0410187	826	SIA
AZ0410187	827	SIA
AZ0410187	828	SIA
AZ0410187	829	SIA
AZ0410187	830	SIA
AZ0410187	831	SIA
AZ0410187	832	SIA
AZ0410187	833	SIA
AZ0410187	834	SIA
AZ0410187	835	SIA
AZ0410187	836	SIA
AZ0410187	837	SIA
AZ0410187	838	SIA
AZ0410187	839	SIA
AZ0410187	840	SIA
AZ0410187	841	SIA
AZ0410187	842	SIA
AZ0410187	843	SIA
AZ0410187	844	SIA

St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	7/20/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ

AZ0410187	845	SIA
AZ0410187	846	SIA
AZ0410187	847	SIA
AZ0410187	848	SIA
AZ0410187	849	SIA
AZ0410187	850	SIA
AZ0410187	851	SIA
AZ0410187	852	SIA
AZ0410187	853	SIA
AZ0410187	854	SIA
AZ0410187	855	SIA
AZ0410187	856	SIA
AZ0410187	857	SIA
AZ0410187	858	SIA
AZ0410187	859	SIA
AZ0410187	860	SIA
AZ0410187	861	SIA
AZ0410187	862	SIA
AZ0410187	864	SIA
AZ0410187	865	SOX
AZ0410187	866	SOX
AZ0410187	867	SOX
AZ0410187	868	SOX
AZ0410187	869	SOX
AZ0410187	870	SIA
AZ0410187	871	SOX
AZ0410187	873	SOX
AZ0410187	874	SOX
AZ0410187	875	SIA
AZ0410187	879	SIA
AZ0410187	880	SIA
AZ0410187	881	SOX
AZ0410187	882	SOX
AZ0410187	883	SOX
AZ0410187	884	SOX
AZ0410187	886	SOX
AZ0410187	890	SOX
AZ0410187	891	SOX
AZ0410187	892	SIA
AZ0410187	893	SOX
AZ0410188	1209	SOX
AZ0410188	1210	SOX
AZ0410188	1213	SIA
AZ0410188	1214	SOX
AZ0410188	1215	SIA
AZ0410188	1216	SOX
AZ0410188	1217	SOX

St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	11/18/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Compliance achieved	2/24/2010	2010	AZ
St Compliance achieved	4/5/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	8/9/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Compliance achieved	1/25/2013	2013	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Compliance achieved	10/29/2010	2010	AZ
St Compliance achieved	7/26/2011	2011	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	6/27/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	12/28/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	10/15/2009	2009	AZ

AZ0410188	1218	SFL
AZ0410188	1219	SOX
AZ0410188	1220	SOX
AZ0410188	1221	SOX
AZ0410190	294	SOX
AZ0410190	295	SIA
AZ0410190	297	SOX
AZ0410193	612	SOX
AZ0410193	613	SIA
AZ0410193	614	SOX
AZ0410193	615	SOX
AZ0410193	616	SOX
AZ0410193	617	SIA
AZ0410193	619	SOX
AZ0410193	620	SOX
AZ0410193	621	SOX
AZ0410193	622	SFJ
AZ0410193	623	SIA
AZ0410193	624	SOX
AZ0410193	625	SOX
AZ0410193	626	SIA
AZ0410193	627	SIA
AZ0410193	628	SIA
AZ0410193	629	SOX
AZ0410193	630	SIA
AZ0410193	631	SOX
AZ0410194	2907	SFJ
AZ0410194	2930	SIA
AZ0410194	2931	SIA
AZ0410194	2932	SOX
AZ0410194	2933	SOX
AZ0410194	2934	SOX
AZ0410194	2935	SOX
AZ0410194	2941	SOX
AZ0410194	2942	SIA
AZ0410194	2943	SIA
AZ0410194	2944	SFJ
AZ0410194	2945	SOX
AZ0410194	2946	SOX
AZ0410194	2947	SOX
AZ0410194	2948	SOX
AZ0410194	2950	SFJ
AZ0410197	1058	SOX
AZ0410197	1060	SIA
AZ0410197	1062	SOX
AZ0410197	1063	SOX
AZ0410197	1064	SIA

St AO (w/o penalty) issued	2/29/2012	2012	AZ
St Compliance achieved	12/22/2012	2012	AZ
St Compliance achieved	1/11/2013	2013	AZ
St Compliance achieved	3/13/2013	2013	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/10/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	5/12/2008	2008	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Formal NOV issued	11/30/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/14/2011	2011	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	10/21/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/6/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Compliance achieved	5/28/2008	2008	AZ
St Compliance achieved	5/28/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Formal NOV issued	8/13/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	9/20/2010	2010	AZ
St Compliance achieved	9/22/2010	2010	AZ
St Formal NOV issued	7/8/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	9/28/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ

AZ0410197	1065	SOX
AZ0410197	1066	SFJ
AZ0410199	2708	SOX
AZ0410199	2709	SOX
AZ0410199	2753	SIA
AZ0410199	2754	SOX
AZ0410199	2755	SIA
AZ0410199	2757	SOX
AZ0410199	2758	SIA
AZ0410199	2759	SIA
AZ0410199	2761	SIA
AZ0410199	2762	SIA
AZ0410199	2763	SIA
AZ0410199	2765	SIA
AZ0410199	2767	SOX
AZ0410199	2768	SIA
AZ0410199	2769	SOX
AZ0410199	2770	SOX
AZ0410199	2771	SIA
AZ0410199	2772	SIA
AZ0410199	2773	SIA
AZ0410199	2774	SIA
AZ0410199	2775	SIA
AZ0410199	2776	SIA
AZ0410199	2777	SOX
AZ0410199	2778	SIA
AZ0410199	2779	SOX
AZ0410199	2780	SIA
AZ0410199	2781	SIA
AZ0410199	2782	SOX
AZ0410206	535	SOX
AZ0410206	536	SOX
AZ0410206	537	SIA
AZ0410206	538	SOX
AZ0410207	629	SOX
AZ0410207	630	SIA
AZ0410207	631	SOX
AZ0410207	632	SOX
AZ0410207	633	SOX
AZ0410207	792	SOX
AZ0410207	793	SOX
AZ0410207	794	SIA
AZ0410207	795	SIA
AZ0410207	796	SIA
AZ0410207	797	SIA
AZ0410207	798	SIA
AZ0410207	799	SIA

St Compliance achieved	5/13/2013	2013	AZ
St Formal NOV issued	3/28/2013	2013	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/26/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	12/2/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/15/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	5/14/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/30/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	8/6/2013	2013	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ

AZ0410207	800	SIA
AZ0410207	801	SOX
AZ0410208	5683	SIA
AZ0410208	5684	SOX
AZ0410208	5685	SOX
AZ0410208	5687	SIA
AZ0410208	5689	SIA
AZ0410208	5690	SOX
AZ0410208	5691	SOX
AZ0410208	5693	SIA
AZ0410208	5696	SIA
AZ0410208	5697	SIA
AZ0410208	5700	SIA
AZ0410208	5701	SIA
AZ0410208	5702	SIA
AZ0410208	5704	SOX
AZ0410208	5705	SIA
AZ0410208	5707	SIA
AZ0410208	5708	SOX
AZ0410208	5709	SOX
AZ0410208	5710	SOX
AZ0410208	5711	SOX
AZ0410208	5715	SOX
AZ0410208	5716	SIA
AZ0410208	5718	SIA
AZ0410208	5719	SOX
AZ0410208	5720	SOX
AZ0410213	977	SOX
AZ0410213	986	SIA
AZ0410213	987	SOX
AZ0410213	988	SOX
AZ0410213	989	SOX
AZ0410213	992	SOX
AZ0410213	993	SOX
AZ0410213	994	SIA
AZ0410213	995	SOX
AZ0410213	996	SIA
AZ0410213	997	SOX
AZ0410214	6102	SFJ
AZ0410214	6183	SOX
AZ0410214	6184	SIA
AZ0410214	6186	SOX
AZ0410214	6190	SIA
AZ0410214	6192	SIA
AZ0410214	6193	SOX
AZ0410214	6194	SIA
AZ0410214	6195	SOX

St Violation/Reminder Notice	2/11/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/27/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/20/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	9/22/2009	2009	AZ
St Compliance achieved	12/3/2009	2009	AZ
St Compliance achieved	2/22/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Compliance achieved	11/26/2008	2008	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ

AZ0410214	6197	SOX
AZ0410217	3056	SOX
AZ0410217	3057	SIA
AZ0410217	3058	SOX
AZ0410217	3059	SOX
AZ0410217	3060	SOX
AZ0410217	3061	SOX
AZ0410217	3062	SIA
AZ0410217	3063	SOX
AZ0410217	3065	SOX
AZ0410217	3066	SIA
AZ0410217	3068	SIA
AZ0410217	3069	SIA
AZ0410217	3070	SOX
AZ0410217	3071	SOX
AZ0410217	3072	SOX
AZ0410217	3073	SIA
AZ0410217	3074	SOX
AZ0410217	3075	SFJ
AZ0410217	3076	SOX
AZ0410217	3077	SIA
AZ0410217	3078	SIA
AZ0410217	3079	SIA
AZ0410217	3080	SOX
AZ0410217	3081	SOX
AZ0410217	3082	SOX
AZ0410217	3083	SOX
AZ0410217	3084	SOX
AZ0410217	3085	SOX
AZ0410217	3086	SIA
AZ0410217	3087	SIA
AZ0410217	3088	SIA
AZ0410217	3089	SIA
AZ0410217	3091	SO0
AZ0410217	3093	SIA
AZ0410217	3094	SOX
AZ0410217	3095	SOX
AZ0410217	3096	SOX
AZ0410218	2141	SOX
AZ0410218	2143	SIA
AZ0410218	2144	SOX
AZ0410218	2145	SIA
AZ0410218	2146	SIA
AZ0410218	2147	SOX
AZ0410218	2148	SOX
AZ0410218	2149	SIA
AZ0410218	2150	SOX

St Compliance achieved	6/28/2012	2012	AZ
St Compliance achieved	5/11/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	11/26/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Compliance achieved	1/28/2010	2010	AZ
St Compliance achieved	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
State No Longer Subject to Rule	8/23/2010	2010	AZ
St Violation/Reminder Notice	3/30/2011	2011	AZ
St Compliance achieved	4/27/2011	2011	AZ
St Compliance achieved	4/27/2011	2011	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/27/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	5/13/2009	2009	AZ

AZ0410218	2151	SOX
AZ0410218	2152	SIA
AZ0410218	2153	SIA
AZ0410218	2154	SOX
AZ0410218	2155	SOX
AZ0410218	2156	SOX
AZ0410218	2157	SOX
AZ0410218	2158	SIA
AZ0410219	607	SFJ
AZ0410219	612	SOX
AZ0410219	613	SIA
AZ0410219	614	SOX
AZ0410219	615	SIA
AZ0410219	616	SIA
AZ0410219	617	SOX
AZ0410219	666	SOX
AZ0410219	667	SIA
AZ0410219	669	SOX
AZ0410219	670	SIA
AZ0410219	671	SOX
AZ0410219	672	SIA
AZ0410219	673	SFJ
AZ0410219	674	SIA
AZ0410219	675	SIA
AZ0410219	676	SOX
AZ0410219	677	SOX
AZ0410219	678	SIA
AZ0410219	679	SIA
AZ0410219	681	SOX
AZ0410219	682	SOX
AZ0410219	683	SIA
AZ0410219	684	SIA
AZ0410219	685	SIA
AZ0410219	686	SIA
AZ0410219	687	SIA
AZ0410219	688	SIA
AZ0410219	689	SIA
AZ0410219	690	SIA
AZ0410219	691	SIA
AZ0410219	692	SIA
AZ0410219	693	SIA
AZ0410219	694	SIA
AZ0410219	695	SIA
AZ0410219	696	SIA
AZ0410219	697	SIA
AZ0410219	698	SIA
AZ0410219	699	SIA

St Compliance achieved	5/14/2009	2009	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/5/2013	2013	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Compliance achieved	11/26/2012	2012	AZ
St Compliance achieved	12/6/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Formal NOV issued	2/27/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Compliance achieved	6/27/2012	2012	AZ
St Compliance achieved	11/29/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0410219	700	SIA
AZ0410219	701	SIA
AZ0410219	702	SIA
AZ0410219	703	SIA
AZ0410219	705	SOX
AZ0410219	706	SIA
AZ0410219	707	SIA
AZ0410219	708	SIA
AZ0410219	709	SIA
AZ0410219	710	SIA
AZ0410219	711	SIA
AZ0410219	712	SIA
AZ0410219	713	SIA
AZ0410219	714	SIA
AZ0410219	715	SIA
AZ0410219	716	SIA
AZ0410219	717	SIA
AZ0410219	718	SIA
AZ0410219	719	SIA
AZ0410219	720	SIA
AZ0410219	721	SIA
AZ0410219	722	SIA
AZ0410219	723	SIA
AZ0410219	724	SIA
AZ0410219	725	SIA
AZ0410219	726	SIA
AZ0410219	727	SIA
AZ0410219	728	SIA
AZ0410219	729	SIA
AZ0410219	730	SIA
AZ0410219	731	SIA
AZ0410219	732	SIA
AZ0410219	733	SIA
AZ0410219	734	SIA
AZ0410219	735	SIA
AZ0410219	736	SIA
AZ0410219	737	SIA
AZ0410219	738	SIA
AZ0410219	739	SIA
AZ0410219	740	SIA
AZ0410219	741	SIA
AZ0410219	742	SIA
AZ0410219	743	SIA
AZ0410219	744	SIA
AZ0410219	745	SIA
AZ0410219	746	SIA
AZ0410219	747	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Compliance achieved	6/6/2012	2012	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	9/26/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ

AZ0410219	748	SIA
AZ0410219	749	SIA
AZ0410219	750	SIA
AZ0410219	751	SIA
AZ0410219	752	SIA
AZ0410219	753	SIA
AZ0410219	754	SIA
AZ0410219	755	SIA
AZ0410219	756	SIA
AZ0410219	757	SIA
AZ0410219	758	SIA
AZ0410219	759	SIA
AZ0410219	760	SIA
AZ0410219	761	SIA
AZ0410219	762	SIA
AZ0410219	763	SIA
AZ0410219	764	SIA
AZ0410219	765	SIA
AZ0410219	766	SIA
AZ0410219	767	SIA
AZ0410219	768	SIA
AZ0410219	769	SIA
AZ0410219	770	SIA
AZ0410219	771	SIA
AZ0410219	772	SIA
AZ0410219	773	SIA
AZ0410219	774	SIA
AZ0410219	775	SIA
AZ0410219	776	SIA
AZ0410219	777	SIA
AZ0410219	778	SIA
AZ0410219	779	SIA
AZ0410219	780	SIA
AZ0410219	781	SIA
AZ0410219	782	SIA
AZ0410219	783	SIA
AZ0410219	784	SIA
AZ0410219	785	SIA
AZ0410219	786	SIA
AZ0410219	787	SIA
AZ0410219	788	SIA
AZ0410219	789	SIA
AZ0410219	790	SIA
AZ0410219	791	SIA
AZ0410219	792	SIA
AZ0410219	793	SIA
AZ0410219	794	SIA

St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ

AZ0410219	795	SIA
AZ0410219	796	SIA
AZ0410219	797	SIA
AZ0410219	798	SIA
AZ0410219	799	SIA
AZ0410219	800	SIA
AZ0410219	801	SIA
AZ0410219	802	SIA
AZ0410219	803	SIA
AZ0410219	804	SIA
AZ0410219	805	SIA
AZ0410219	806	SIA
AZ0410219	807	SIA
AZ0410219	808	SIA
AZ0410219	809	SIA
AZ0410219	810	SIA
AZ0410219	811	SIA
AZ0410219	812	SOX
AZ0410219	813	SOX
AZ0410219	814	SOX
AZ0410219	815	SOX
AZ0410219	816	SOX
AZ0410219	817	SOX
AZ0410219	818	SOX
AZ0410219	819	SOX
AZ0410219	820	SOX
AZ0410219	821	SIA
AZ0410219	822	SIA
AZ0410219	823	SIA
AZ0410219	824	SIA
AZ0410219	825	SIA
AZ0410219	826	SIA
AZ0410219	827	SIA
AZ0410219	828	SIA
AZ0410219	829	SIA
AZ0410219	830	SIA
AZ0410219	831	SIA
AZ0410219	832	SIA
AZ0410219	833	SIA
AZ0410219	834	SIA
AZ0410219	835	SIA
AZ0410219	836	SIA
AZ0410219	837	SIA
AZ0410219	838	SIA
AZ0410219	839	SIA
AZ0410219	840	SIA
AZ0410219	841	SIA

St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Compliance achieved	8/22/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ

AZ0410222	1114	SOX
AZ0410222	1115	SOX
AZ0410222	1116	SIA
AZ0410222	1117	SOX
AZ0410222	1119	SOX
AZ0410222	1120	SOX
AZ0410222	1121	SIA
AZ0410222	1122	SO0
AZ0410222	1123	SIA
AZ0410222	1124	SIA
AZ0410222	1125	SIA
AZ0410222	1126	SIA
AZ0410222	1127	SOX
AZ0410222	1128	SIA
AZ0410222	1129	SOX
AZ0410222	1130	SOX
AZ0410222	1131	SIA
AZ0410222	1132	SOX
AZ0410222	1133	SOX
AZ0410222	1134	SIA
AZ0410222	1136	SOX
AZ0410222	1137	SIA
AZ0410222	1138	SOX
AZ0410228	331	SOX
AZ0410228	332	SIA
AZ0410228	333	SOX
AZ0410228	334	SOX
AZ0410228	335	SIA
AZ0410228	336	SIA
AZ0410228	337	SIA
AZ0410228	338	SOX
AZ0410228	339	SOX
AZ0410228	340	SOX
AZ0410228	341	SOX
AZ0410228	342	SIA
AZ0410228	343	SOX
AZ0410228	344	SIA
AZ0410228	346	SOX
AZ0410228	347	SOX
AZ0410237	409	SOX
AZ0410237	430	SIA
AZ0410237	431	SOX
AZ0410237	432	SOX
AZ0410237	433	SOX
AZ0410237	434	SOX
AZ0410237	435	SIA
AZ0410237	436	SOX

St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
State No Longer Subject to Rule	5/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	11/16/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/5/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	3/4/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	3/12/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	6/4/2009	2009	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	1/29/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ

AZ0410237	437	SFJ
AZ0410237	438	SOX
AZ0410242	2857	SOX
AZ0410242	2858	SOX
AZ0410242	2859	SOX
AZ0410242	2860	SFJ
AZ0410242	2861	SIA
AZ0410242	2862	SOX
AZ0410242	2863	SOX
AZ0410242	2864	SOX
AZ0410242	2865	SOX
AZ0410242	2866	SIA
AZ0410242	2867	SOX
AZ0410243	3438	SOX
AZ0410243	3440	SIA
AZ0410243	3442	SIA
AZ0410243	3443	SOX
AZ0410243	3444	SOX
AZ0410243	3445	SIA
AZ0410243	3447	SIA
AZ0410243	3449	SIA
AZ0410243	3450	SIA
AZ0410243	3451	SIA
AZ0410243	3452	SOX
AZ0410243	3453	SIA
AZ0410243	3454	SIA
AZ0410243	3455	SIA
AZ0410243	3456	SIA
AZ0410243	3457	SOX
AZ0410243	3458	SOX
AZ0410243	3459	SOX
AZ0410243	3460	SIA
AZ0410243	3461	SFJ
AZ0410243	3462	SIA
AZ0410243	3463	SOX
AZ0410243	3464	SIA
AZ0410243	3465	SOX
AZ0410243	3466	SIA
AZ0410243	3467	SO0
AZ0410260	5890	SOX
AZ0410260	5891	SIA
AZ0410260	5892	SOX
AZ0410260	5893	SIA
AZ0410260	5894	SOX
AZ0410260	5895	SFJ
AZ0410260	5896	SIA
AZ0410260	5897	SOX

St Formal NOV issued	8/30/2010	2010	AZ
St Compliance achieved	10/22/2010	2010	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	6/23/2008	2008	AZ
St Formal NOV issued	11/1/2011	2011	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Compliance achieved	5/24/2012	2012	AZ
St Compliance achieved	7/10/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/20/2010	2010	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Formal NOV issued	1/5/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
State No Longer Subject to Rule	3/31/2014	2014	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ

AZ0410260	5898	SOX
AZ0410260	5899	SOX
AZ0410260	5900	SIA
AZ0410260	5902	SOX
AZ0410260	5905	SOX
AZ0410260	5906	SIA
AZ0410260	5908	SOX
AZ0410260	5911	SOX
AZ0410260	5912	SIA
AZ0410260	5913	SIA
AZ0410260	5914	SOX
AZ0410260	5915	SOX
AZ0410260	5916	SIA
AZ0410262	423	SOX
AZ0410262	426	SOX
AZ0410262	427	SIA
AZ0410262	428	SOX
AZ0410262	429	SOX
AZ0410262	430	SIA
AZ0410262	433	SIA
AZ0410262	434	SOX
AZ0410262	435	SOX
AZ0410262	437	SOX
AZ0410262	441	SIA
AZ0410262	442	SIA
AZ0410262	443	SOX
AZ0410262	444	SOX
AZ0410262	445	SOX
AZ0410264	631	SOX
AZ0410264	632	SOX
AZ0410264	633	SIA
AZ0410264	634	SOX
AZ0410264	635	SOX
AZ0410264	636	SIA
AZ0410264	637	SOX
AZ0410264	638	SIA
AZ0410264	639	SIA
AZ0410264	640	SIA
AZ0410264	641	SIA
AZ0410264	642	SIA
AZ0410264	643	SIA
AZ0410264	644	SOX
AZ0410265	1006	SFJ
AZ0410265	1066	SOX
AZ0410265	1067	SIA
AZ0410265	1068	SOX
AZ0410265	1069	SOX

St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Compliance achieved	8/9/2011	2011	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Compliance achieved	2/4/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/20/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	4/14/2009	2009	AZ
St Compliance achieved	8/3/2009	2009	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/12/2014	2014	AZ
St Compliance achieved	5/12/2014	2014	AZ
St Compliance achieved	4/7/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/14/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Compliance achieved	3/12/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Violation/Reminder Notice	8/6/2013	2013	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/31/2007	2007	AZ

AZ0410265	1071	SIA
AZ0410265	1072	SOX
AZ0410265	1074	SIA
AZ0410265	1075	SOX
AZ0410265	1076	SOX
AZ0410265	1077	SIA
AZ0410265	1079	SIA
AZ0410265	1080	SOX
AZ0410265	1082	SOX
AZ0410265	1083	SIA
AZ0410265	1084	SOX
AZ0410265	1085	SIA
AZ0410265	1086	SIA
AZ0410265	1087	SIA
AZ0410265	1088	SIA
AZ0410265	1089	SIA
AZ0410265	1090	SIA
AZ0410265	1091	SIA
AZ0410265	1092	SIA
AZ0410265	1093	SOX
AZ0410265	1094	SOX
AZ0410265	1095	SIA
AZ0410265	1097	SOX
AZ0410265	1099	SIA
AZ0410265	1100	SOX
AZ0410265	1101	SOX
AZ0410265	1103	SOX
AZ0410265	1104	SIA
AZ0410265	1105	SOX
AZ0410265	1106	SOX
AZ0410265	1107	SOX
AZ0410265	1108	SOX
AZ0410265	1109	SIA
AZ0410265	1110	SIA
AZ0410265	1111	SIA
AZ0410265	1112	SIA
AZ0410265	1113	SOX
AZ0410265	1114	SOX
AZ0410268	1807	SFJ
AZ0410268	1902	SOX
AZ0410268	1903	SIA
AZ0410268	1904	SOX
AZ0410268	1906	SOX
AZ0410268	1907	SOX
AZ0410268	1909	SOX
AZ0410268	1912	SOX
AZ0410268	1913	SOX

St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/7/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/2/2008	2008	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/12/2011	2011	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	5/3/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	10/19/2010	2010	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	5/28/2014	2014	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ

AZ0410268	1915	SOX
AZ0410268	1917	SOX
AZ0410268	1920	SOX
AZ0410268	1921	SOX
AZ0410268	1923	SOX
AZ0410268	1925	SOX
AZ0410268	1926	SOX
AZ0410268	1927	SOX
AZ0410268	1928	SOX
AZ0410268	1929	SIA
AZ0410268	1931	SIA
AZ0410268	1932	SIA
AZ0410268	1933	SIA
AZ0410268	1934	SIA
AZ0410268	1935	SOX
AZ0410268	1936	SOX
AZ0410268	1937	SIA
AZ0410268	1938	SFJ
AZ0410268	1939	SOX
AZ0410268	1940	SOX
AZ0410268	1942	SOX
AZ0410268	1943	SOX
AZ0410268	1944	SOX
AZ0410268	1945	SIA
AZ0410268	1946	SOX
AZ0410268	1947	SIA
AZ0410268	1948	SO0
AZ0410268	1949	SOX
AZ0410268	1950	SIA
AZ0410268	1951	SIA
AZ0410268	1952	SOX
AZ0410268	1953	SIA
AZ0410269	3462	SOX
AZ0410269	3466	SOX
AZ0410269	3467	SIA
AZ0410269	3468	SOX
AZ0410269	3470	SIA
AZ0410269	3472	SIA
AZ0410269	3473	SIA
AZ0410269	3474	SOX
AZ0410269	3475	SIA
AZ0410269	3476	SOX
AZ0410269	3477	SOX
AZ0410269	3480	SOX
AZ0410269	3481	SIA
AZ0410269	3484	SOX
AZ0410269	3485	SOX

St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	8/14/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Formal NOV issued	6/18/2010	2010	AZ
St Compliance achieved	7/9/2010	2010	AZ
St Compliance achieved	7/9/2010	2010	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Compliance achieved	6/20/2010	2010	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
State No Longer Subject to Rule	1/31/2014	2014	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/6/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/14/2014	2014	AZ
St Compliance achieved	8/18/2014	2014	AZ

AZ0410269	3486	SOX
AZ0410269	3487	SOX
AZ0410269	3488	SOX
AZ0410270	1477	SOX
AZ0410270	1479	SOX
AZ0410270	1480	SOX
AZ0410270	1481	SOX
AZ0410272	3219	SIA
AZ0410272	3224	SIA
AZ0410272	3225	SIA
AZ0410272	3226	SOX
AZ0410272	3227	SOX
AZ0410272	3228	SOX
AZ0410272	3229	SIA
AZ0410272	3230	SIA
AZ0410272	3231	SIA
AZ0410272	3232	SOX
AZ0410272	3233	SOX
AZ0410272	3234	SIA
AZ0410272	3235	SOX
AZ0410272	3237	SIA
AZ0410272	3239	SOX
AZ0410272	3240	SOX
AZ0410272	3241	SIA
AZ0410272	3243	SIA
AZ0410272	3244	SOX
AZ0410272	3245	SIA
AZ0410272	3246	SIA
AZ0410272	3247	SIA
AZ0410272	3248	SIA
AZ0410272	3249	SIA
AZ0410272	3250	SIA
AZ0410272	3251	SIA
AZ0410272	3252	SIA
AZ0410272	3253	SOX
AZ0410272	3254	SIA
AZ0410272	3255	SIA
AZ0410272	3256	SFJ
AZ0410272	3257	SOX
AZ0410273	713	SOX
AZ0410273	714	SIA
AZ0410273	715	SOX
AZ0410273	716	SOX
AZ0410273	717	SIA
AZ0410273	718	SOX
AZ0410275	1985	SIA
AZ0410275	1986	SOX

St Compliance achieved	8/18/2014	2014	AZ
St Compliance achieved	8/18/2014	2014	AZ
St Compliance achieved	8/18/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	1/17/2008	2008	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Compliance achieved	9/22/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/13/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	3/9/2007	2007	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Formal NOV issued	2/24/2014	2014	AZ
St Compliance achieved	7/24/2014	2014	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/13/2008	2008	AZ
St Compliance achieved	6/3/2008	2008	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	10/18/2011	2011	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ

AZ0410275	1988	SIA
AZ0410275	1992	SIA
AZ0410275	1993	SOX
AZ0410275	1994	SOX
AZ0410275	1995	SIA
AZ0410275	1997	SIA
AZ0410275	1998	SOX
AZ0410275	1999	SOX
AZ0410275	2001	SIA
AZ0410275	2002	SIA
AZ0410275	2003	SIA
AZ0410275	2004	SIA
AZ0410275	2005	SIA
AZ0410275	2006	SOX
AZ0410275	2007	SIA
AZ0410275	2008	SOX
AZ0410275	2009	SIA
AZ0410275	2010	SIA
AZ0410275	2011	SIA
AZ0410275	2012	SIA
AZ0410275	2013	SOX
AZ0410275	2014	SOX
AZ0410275	2015	SO0
AZ0410275	2016	SOX
AZ0410278	906	SOX
AZ0410278	934	SOX
AZ0410278	937	SIA
AZ0410278	938	SOX
AZ0410278	940	SIA
AZ0410278	941	SIA
AZ0410278	942	SOX
AZ0410278	943	SOX
AZ0410278	946	SIA
AZ0410278	947	SOX
AZ0410281	659	SOX
AZ0410281	661	SOX
AZ0410281	662	SIA
AZ0410281	663	SOX
AZ0410281	664	SOX
AZ0410281	666	SIA
AZ0410281	667	SIA
AZ0410281	668	SOX
AZ0410281	669	SOX
AZ0410281	670	SIA
AZ0410281	672	SIA
AZ0410281	673	SOX
AZ0410281	674	SOX

St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Compliance achieved	1/23/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	12/8/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	1/20/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
State No Longer Subject to Rule	2/1/2012	2012	AZ
St Compliance achieved	2/7/2012	2012	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	7/15/2009	2009	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	5/10/2007	2007	AZ
St Compliance achieved	5/11/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/3/2012	2012	AZ
St Compliance achieved	2/14/2013	2013	AZ

AZ0410281	675	SOX
AZ0410281	676	SIA
AZ0410281	677	SIA
AZ0410281	678	SIA
AZ0410281	679	SOX
AZ0410282	3993	SOX
AZ0410282	3995	SOX
AZ0410282	3996	SOX
AZ0410282	3997	SOX
AZ0410282	3999	SIA
AZ0410282	4000	SOX
AZ0410282	4003	SOX
AZ0410283	961	SOX
AZ0410283	964	SOX
AZ0410283	965	SIA
AZ0410283	966	SIA
AZ0410283	967	SOX
AZ0410283	968	SOX
AZ0410283	969	SIA
AZ0410283	970	SOX
AZ0410283	971	SOX
AZ0410283	974	SOX
AZ0410283	975	SIA
AZ0410283	976	SIA
AZ0410283	977	SOX
AZ0410284	1021	SOX
AZ0410284	1022	SOX
AZ0410284	1023	SOX
AZ0410284	1024	SIA
AZ0410284	1025	SOX
AZ0410284	1026	SIA
AZ0410284	1027	SOX
AZ0410284	1028	SIA
AZ0410284	1029	SOX
AZ0410284	1030	SIA
AZ0410284	1031	SIA
AZ0410284	1032	SIA
AZ0410284	1033	SIA
AZ0410284	1034	SIA
AZ0410284	1035	SOX
AZ0410284	1036	SOX
AZ0410284	1037	SIA
AZ0410284	1038	SOX
AZ0410284	1039	SOX
AZ0410284	1040	SOX
AZ0410284	1041	SOX
AZ0410284	1042	SOX

St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/18/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	10/9/2009	2009	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	9/15/2010	2010	AZ
St Compliance achieved	9/15/2010	2010	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	2/23/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/8/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	7/1/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Compliance achieved	5/4/2011	2011	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Compliance achieved	6/15/2011	2011	AZ
St Compliance achieved	7/26/2011	2011	AZ
St Compliance achieved	9/26/2011	2011	AZ
St Compliance achieved	12/29/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ

AZ0410284	1043	SOX
AZ0410284	1044	SIA
AZ0410284	1045	SOX
AZ0410286	726	SOX
AZ0410286	727	SOX
AZ0410286	728	SOX
AZ0410286	730	SOX
AZ0410286	731	SIA
AZ0410286	732	SOX
AZ0410286	733	SIA
AZ0410286	734	SOX
AZ0410286	735	SIA
AZ0410286	736	SOX
AZ0410286	738	SOX
AZ0410286	739	SOX
AZ0410286	740	SIA
AZ0410286	741	SOX
AZ0410286	742	SOX
AZ0410311	1300	SIA
AZ0410311	1301	SOX
AZ0410311	1302	SOX
AZ0410311	1303	SOX
AZ0410311	1304	SOX
AZ0410311	1305	SIA
AZ0410311	1306	SIA
AZ0410311	1307	SOX
AZ0410312	3008	SOX
AZ0410312	3111	SOX
AZ0410312	3113	SOX
AZ0410312	3115	SOX
AZ0410312	3116	SOX
AZ0410312	3117	SOX
AZ0410312	3118	SOX
AZ0410312	3119	SOX
AZ0410312	3121	SIA
AZ0410312	3122	SOX
AZ0410312	3123	SOX
AZ0410312	3124	SIA
AZ0410312	3125	SOX
AZ0410313	2938	SOX
AZ0410317	216	SIA
AZ0410317	217	SIA
AZ0410317	218	SIA
AZ0410317	219	SOX
AZ0410317	220	SOX
AZ0410317	221	SOX
AZ0410317	222	SIA

St Compliance achieved	4/27/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Compliance achieved	12/28/2010	2010	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	8/16/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/15/2011	2011	AZ
St Compliance achieved	8/4/2008	2008	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	7/15/2013	2013	AZ
St Compliance achieved	11/15/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	7/6/2009	2009	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	4/5/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/2/2014	2014	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/12/2014	2014	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ

AZ0410317	223	SOX
AZ0410317	224	SIA
AZ0410317	227	SIA
AZ0410317	228	SOX
AZ0410317	229	SIA
AZ0410317	230	SOX
AZ0410319	1000	SIA
AZ0410319	1001	SFJ
AZ0410319	1002	SOX
AZ0410319	1003	SOX
AZ0410319	1004	SOX
AZ0410319	1005	SOX
AZ0410319	1006	SOX
AZ0410319	1007	SOX
AZ0410319	1008	SOX
AZ0410319	1009	SOX
AZ0410319	918	SOX
AZ0410319	919	SIA
AZ0410319	920	SIA
AZ0410319	921	SOX
AZ0410319	922	SOX
AZ0410319	923	SOX
AZ0410319	924	SOX
AZ0410319	926	SOX
AZ0410319	927	SOX
AZ0410319	928	SOX
AZ0410319	929	SIA
AZ0410319	931	SIA
AZ0410319	932	SOX
AZ0410319	933	SIA
AZ0410319	934	SIA
AZ0410319	935	SIA
AZ0410319	936	SIA
AZ0410319	937	SIA
AZ0410319	938	SIA
AZ0410319	939	SOX
AZ0410319	940	SOX
AZ0410319	941	SOX
AZ0410319	942	SOX
AZ0410319	943	SIA
AZ0410319	944	SIA
AZ0410319	945	SIA
AZ0410319	946	SIA
AZ0410319	947	SIA
AZ0410319	948	SOX
AZ0410319	949	SOX
AZ0410319	950	SFJ

St Compliance achieved	11/8/2010	2010	AZ
St Violation/Reminder Notice	10/15/2008	2008	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	4/16/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Formal NOV issued	3/6/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	8/26/2014	2014	AZ
St Compliance achieved	4/18/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/18/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	2/12/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	6/27/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	6/4/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/16/2009	2009	AZ
St Compliance achieved	12/4/2009	2009	AZ
St Compliance achieved	12/9/2009	2009	AZ
St Compliance achieved	12/9/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Compliance achieved	12/20/2010	2010	AZ
St Formal NOV issued	11/19/2010	2010	AZ

AZ0410319	951	SIA
AZ0410319	952	SIA
AZ0410319	953	SOX
AZ0410319	954	SIA
AZ0410319	955	SIA
AZ0410319	956	SIA
AZ0410319	957	SOX
AZ0410319	958	SIA
AZ0410319	959	SOX
AZ0410319	960	SIA
AZ0410319	962	SOX
AZ0410319	964	SIA
AZ0410319	965	SOX
AZ0410319	966	SIA
AZ0410319	967	SOX
AZ0410319	968	SIA
AZ0410319	969	SIA
AZ0410319	970	SIA
AZ0410319	971	SFJ
AZ0410319	972	SIA
AZ0410319	973	SOX
AZ0410319	974	SIA
AZ0410319	975	SOX
AZ0410319	976	SFJ
AZ0410319	977	SIA
AZ0410319	978	SIA
AZ0410319	979	SIA
AZ0410319	980	SIA
AZ0410319	981	SOX
AZ0410319	982	SIA
AZ0410319	983	SIA
AZ0410319	984	SIA
AZ0410319	985	SIA
AZ0410319	986	SOX
AZ0410319	987	SIA
AZ0410319	988	SIA
AZ0410319	989	SOX
AZ0410319	990	SIA
AZ0410319	991	SIA
AZ0410319	992	SIA
AZ0410319	993	SOX
AZ0410319	994	SIA
AZ0410319	995	SFJ
AZ0410319	996	SIA
AZ0410319	997	SIA
AZ0410319	998	SIA
AZ0410319	999	SOX

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/10/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/8/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	9/27/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Formal NOV issued	2/27/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Formal NOV issued	7/19/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/20/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Formal NOV issued	11/21/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ

AZ0410320	611	SOX
AZ0410320	612	SIA
AZ0410320	613	SOX
AZ0410320	614	SOX
AZ0410320	615	SIA
AZ0410320	617	SOX
AZ0410320	618	SIA
AZ0410320	619	SOX
AZ0410320	620	SIA
AZ0410320	621	SOX
AZ0410320	622	SIA
AZ0410320	623	SOX
AZ0410321	3	SIA
AZ0410321	4	SIA
AZ0410321	5	SOX
AZ0410321	6	SIA
AZ0410323	106	SIA
AZ0410323	107	SOX
AZ0410323	108	SOX
AZ0410323	109	SIA
AZ0410323	110	SOX
AZ0410323	111	SOX
AZ0410323	112	SOX
AZ0410323	113	SOX
AZ0410323	114	SIA
AZ0410323	115	SIA
AZ0410323	117	SFJ
AZ0410323	120	SIA
AZ0410323	121	SIA
AZ0410323	122	SIA
AZ0410323	123	SOX
AZ0410323	124	SIA
AZ0410323	125	SOX
AZ0410323	126	SOX
AZ0410323	127	SIA
AZ0410323	128	SO0
AZ0410323	129	SO0
AZ0410323	130	SIA
AZ0410323	131	SO0
AZ0410325	32	SOX
AZ0410325	33	SOX
AZ0410326	1	SOX
AZ0410326	11	SOX
AZ0410326	12	SIA
AZ0410326	13	SOX
AZ0410326	14	SIA
AZ0410326	15	SIA

St Compliance achieved	8/2/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	6/19/2008	2008	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	6/19/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	7/15/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Formal NOV issued	8/20/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/9/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	1/25/2010	2010	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
State No Longer Subject to Rule	6/1/2011	2011	AZ
State No Longer Subject to Rule	6/29/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
State No Longer Subject to Rule	7/6/2011	2011	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/29/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ

AZ0410326	16	SOX
AZ0410326	17	SIA
AZ0410326	18	SOX
AZ0410326	3	SOX
AZ0410326	4	SIA
AZ0410326	5	SIA
AZ0410326	7	SOX
AZ0410326	8	SIA
AZ0410328	307	SFJ
AZ0410328	308	SFJ
AZ0410328	342	SIA
AZ0410328	343	SOX
AZ0410328	344	SOX
AZ0410328	345	SOX
AZ0410328	346	SIA
AZ0410328	347	SOX
AZ0410328	349	SO0
AZ0410328	350	SOX
AZ0410328	351	SOX
AZ0410328	352	SIA
AZ0410328	353	SOX
AZ0410328	354	SO0
AZ0410328	355	SIA
AZ0410328	358	SIA
AZ0410328	361	SIA
AZ0410328	362	SIA
AZ0410328	363	SIA
AZ0410328	364	SIA
AZ0410328	365	SIA
AZ0410328	367	SIA
AZ0410328	368	SIA
AZ0410328	370	SIA
AZ0410328	371	SIA
AZ0410328	372	SIA
AZ0410328	373	SIA
AZ0410328	374	SIA
AZ0410328	375	SIA
AZ0410328	376	SOX
AZ0410328	377	SIA
AZ0410328	378	SIA
AZ0410328	379	SIA
AZ0410328	380	SIA
AZ0410328	381	SIA
AZ0410328	382	SIA
AZ0410328	383	SIA
AZ0410328	384	SIA
AZ0410328	385	SIA

St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	7/15/2013	2013	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	7/1/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
State No Longer Subject to Rule	2/11/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
State No Longer Subject to Rule	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ

AZ0410328	386	SIA
AZ0410328	387	SIA
AZ0410328	388	SIA
AZ0410328	389	SOX
AZ0410328	390	SIA
AZ0410328	391	SIA
AZ0410328	392	SIA
AZ0410328	393	SIA
AZ0410328	394	SIA
AZ0410328	395	SIA
AZ0410328	396	SIA
AZ0410328	397	SIA
AZ0410328	398	SIA
AZ0410328	400	SIA
AZ0410328	401	SIA
AZ0410328	402	SIA
AZ0410328	403	SIA
AZ0410328	404	SIA
AZ0410328	405	SIA
AZ0410328	406	SIA
AZ0410328	407	SIA
AZ0410328	409	SIA
AZ0410328	410	SIA
AZ0410328	411	SOX
AZ0410328	412	SIA
AZ0410328	413	SIA
AZ0410328	414	SIA
AZ0410328	415	SIA
AZ0410328	416	SIA
AZ0410328	417	SOX
AZ0410328	418	SFJ
AZ0410328	419	SIA
AZ0410328	420	SIA
AZ0410328	421	SIA
AZ0410328	422	SIA
AZ0410328	423	SIA
AZ0410328	424	SFJ
AZ0410328	425	SIA
AZ0410328	426	SIA
AZ0410328	427	SIA
AZ0410328	428	SIA
AZ0410328	429	SIA
AZ0410328	430	SIA
AZ0410328	431	SIA
AZ0410328	432	SIA
AZ0410328	433	SIA
AZ0410328	435	SIA

St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	10/19/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/19/2012	2012	AZ
St Formal NOV issued	2/8/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Formal NOV issued	2/16/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ

AZ0410328	436	SIA
AZ0410328	437	SFO
AZ0410328	438	SO0
AZ0410328	439	SIA
AZ0410328	440	SIA
AZ0410328	441	SO0
AZ0410328	442	SIA
AZ0410328	443	SOX
AZ0410329	40	SOX
AZ0410329	41	SIA
AZ0410329	42	SOX
AZ0410329	43	SOX
AZ0410329	44	SOX
AZ0410329	45	SOX
AZ0410329	46	SOX
AZ0410329	47	SOX
AZ0410329	48	SIA
AZ0410329	49	SOX
AZ0410329	51	SOX
AZ0410329	52	SIA
AZ0410329	53	SOX
AZ0410329	54	SOX
AZ0410329	55	SOX
AZ0410330	10	SOX
AZ0410330	11	SOX
AZ0410330	12	SOX
AZ0410330	13	SOX
AZ0410330	14	SOX
AZ0410330	15	SIA
AZ0410330	18	SOX
AZ0410330	19	SOX
AZ0410330	20	SOX
AZ0410330	21	SIA
AZ0410330	22	SIA
AZ0410330	23	SOX
AZ0410330	9	SOX
AZ0410350	1209	SFJ
AZ0410350	1211	SOX
AZ0410350	1282	SOX
AZ0410350	1284	SOX
AZ0410350	1287	SIA
AZ0410350	1288	SOX
AZ0410350	1289	SIA
AZ0410350	1290	SIA
AZ0410350	1291	SIA
AZ0410350	1292	SOX
AZ0410350	1293	SIA

St Violation/Reminder Notice	12/28/2012	2012	AZ
St AO (w/penalty) issued	1/8/2013	2013	AZ
State No Longer Subject to Rule	1/11/2007	2007	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
State No Longer Subject to Rule	12/31/2012	2012	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	7/12/2007	2007	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	10/6/2009	2009	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	10/26/2012	2012	AZ
St Compliance achieved	6/30/2008	2008	AZ
St Compliance achieved	11/15/2012	2012	AZ
St Compliance achieved	6/24/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	9/3/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	12/9/2011	2011	AZ
St Compliance achieved	2/29/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	4/18/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/22/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	7/17/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ

AZ0410350	1294	SIA
AZ0410350	1295	SOX
AZ0410350	1296	SOX
AZ0410350	1297	SOX
AZ0410350	1298	SOX
AZ0410350	1299	SIA
AZ0410350	1300	SIA
AZ0410350	1301	SIA
AZ0410350	1302	SIA
AZ0410350	1303	SIA
AZ0410350	1305	SIA
AZ0410350	1306	SOX
AZ0410350	1307	SOX
AZ0410350	1308	SIA
AZ0410350	1309	SOX
AZ0410350	1311	SOX
AZ0410350	1314	SOX
AZ0410350	1315	SOX
AZ0410350	1316	SOX
AZ0410350	1318	SOX
AZ0410350	1319	SIA
AZ0410350	1320	SIA
AZ0410350	1321	SIA
AZ0410350	1322	SIA
AZ0410350	1323	SOX
AZ0410350	1324	SIA
AZ0410350	1325	SOX
AZ0410350	1326	SOX
AZ0410350	1327	SIA
AZ0410350	1328	SOX
AZ0410350	1329	SIA
AZ0410350	1330	SOX
AZ0410350	1331	SIA
AZ0410350	1332	SOX
AZ0410350	1333	SIA
AZ0410350	1334	SOX
AZ0410350	1335	SOX
AZ0410350	1336	SIA
AZ0410350	1337	SIA
AZ0410350	1338	SOX
AZ0410350	1339	SIA
AZ0410350	1340	SFJ
AZ0410350	1341	SIA
AZ0410350	1342	SOX
AZ0410351	6809	SOX
AZ0410351	6810	SOX
AZ0410351	6811	SOX

St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	3/6/2012	2012	AZ
St Compliance achieved	3/20/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	9/5/2012	2012	AZ
St Compliance achieved	9/6/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/6/2013	2013	AZ
St Compliance achieved	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	12/5/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Formal NOV issued	6/11/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	9/8/2009	2009	AZ

AZ0410351	6812	SIA
AZ0410351	6813	SOX
AZ0410351	6814	SIA
AZ0410351	6815	SOX
AZ0410351	6816	SIA
AZ0410351	6818	SOX
AZ0410351	6820	SOX
AZ0410354	322	SOX
AZ0410354	324	SIA
AZ0410354	325	SOX
AZ0410354	326	SIA
AZ0410354	329	SIA
AZ0410354	330	SIA
AZ0410354	331	SOX
AZ0410354	332	SOX
AZ0410354	333	SIA
AZ0410354	334	SOX
AZ0410354	335	SOX
AZ0410354	337	SIA
AZ0410354	338	SIA
AZ0410354	339	SOX
AZ0410357	412	SOX
AZ0410357	416	SOX
AZ0410357	417	SOX
AZ0410357	418	SIA
AZ0410357	419	SOX
AZ0410357	420	SOX
AZ0410357	421	SOX
AZ0410357	422	SOX
AZ0410357	423	SOX
AZ0410357	424	SOX
AZ0410357	426	SIA
AZ0410357	428	SIA
AZ0410357	429	SOX
AZ0410357	430	SOX
AZ0410357	431	SIA
AZ0410357	432	SIA
AZ0410357	434	SIA
AZ0410357	435	SOX
AZ0410357	436	SOX
AZ0410357	437	SIA
AZ0410357	438	SOX
AZ0410357	439	SOX
AZ0410357	440	SIA
AZ0410357	444	SOX
AZ0410357	445	SIA
AZ0410357	447	SIA

St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/10/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/8/2011	2011	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	12/17/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	4/20/2009	2009	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	4/8/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ

AZ0410357	448	SIA
AZ0410357	449	SOX
AZ0410357	450	SOX
AZ0410357	451	SIA
AZ0410357	452	SIA
AZ0410357	453	SIA
AZ0410357	454	SIA
AZ0410357	455	SIA
AZ0410357	456	SIA
AZ0410357	457	SIA
AZ0410357	458	SIA
AZ0410357	459	SIA
AZ0410357	460	SIA
AZ0410357	461	SIA
AZ0410357	462	SIA
AZ0410357	463	SOX
AZ0410357	464	SOX
AZ0410357	465	SIA
AZ0410357	466	SOX
AZ0410357	467	SIA
AZ0410360	3706	SOX
AZ0410360	3826	SOX
AZ0410360	3827	SOX
AZ0410360	3828	SIA
AZ0410360	3829	SOX
AZ0410360	3830	SIA
AZ0410360	3831	SOX
AZ0410360	3833	SOX
AZ0410360	3834	SIA
AZ0410360	3836	SOX
AZ0410360	3837	SOX
AZ0410360	3838	SIA
AZ0410360	3839	SOX
AZ0410360	3841	SOX
AZ0410360	3842	SOX
AZ0410360	3843	SOX
AZ0410400	105	SIA
AZ0410400	106	SOX
AZ0410400	107	SOX
AZ0410400	108	SOX
AZ0410400	109	SOX
AZ0410400	110	SOX
AZ0410400	112	SOX
AZ0410400	113	SOX
AZ0410400	115	SOX
AZ0410400	116	SOX
AZ0410400	117	SIA

St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	9/22/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Compliance achieved	2/17/2010	2010	AZ
St Compliance achieved	11/26/2012	2012	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	9/26/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	3/1/2011	2011	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Compliance achieved	8/3/2011	2011	AZ
St Compliance achieved	8/19/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/17/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ

AZ0410400	118	SOX
AZ0410400	119	SOX
AZ0410400	120	SFJ
AZ0410400	121	SOX
AZ0410400	122	SOX
AZ0410401	105	SIA
AZ0410401	106	SOX
AZ0410401	107	SOX
AZ0410401	108	SIA
AZ0410401	109	SOX
AZ0410401	110	SOX
AZ0410401	111	SOX
AZ0410401	112	SOX
AZ0410401	113	SIA
AZ0410401	114	SIA
AZ0410401	118	SIA
AZ0410401	119	SIA
AZ0410401	120	SFJ
AZ0410401	122	SO0
AZ0410402	110	SIA
AZ0410402	111	SIA
AZ0410402	112	SOX
AZ0410402	113	SOX
AZ0410402	114	SOX
AZ0410402	115	SOX
AZ0410402	116	SOX
AZ0410402	117	SIA
AZ0410402	118	SOX
AZ0410402	119	SOX
AZ0410402	120	SIA
AZ0410402	121	SIA
AZ0410402	122	SOX
AZ0410402	123	SIA
AZ0410402	124	SIA
AZ0410402	125	SIA
AZ0410402	127	SOX
AZ0410402	128	SOX
AZ0410402	130	SOX
AZ0410402	132	SOX
AZ0410402	133	SOX
AZ0410402	134	SIA
AZ0410402	135	SOX
AZ0410404	1	SIA
AZ0410404	12	SFJ
AZ0410404	14	SIA
AZ0410404	16	SIA
AZ0410404	17	SOX

St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Formal NOV issued	2/27/2012	2012	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Formal NOV issued	10/27/2008	2008	AZ
State No Longer Subject to Rule	9/3/2008	2008	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	3/12/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Compliance achieved	7/1/2010	2010	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/29/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Formal NOV issued	11/24/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ

AZ0410404	18	SIA
AZ0410404	19	SOX
AZ0410404	2	SOX
AZ0410404	20	SIA
AZ0410404	22	SIA
AZ0410404	3	SIA
AZ0410404	66	SOX
AZ0410404	67	SOX
AZ0410404	68	SOX
AZ0410404	72	SIA
AZ0410404	74	SIA
AZ0410404	75	SO0
AZ0410404	76	SIA
AZ0410404	77	SFJ
AZ0410404	78	SOX
AZ0410404	79	SOX
AZ0410404	80	SIA
AZ0410404	81	SIA
AZ0410404	82	SOX
AZ0410404	83	SOX
AZ0410404	84	SIA
AZ0410404	85	SOX
AZ0410404	86	SIA
AZ0410404	87	SIA
AZ0410404	9	SIA
AZ0410405	106	SOX
AZ0410405	107	SOX
AZ0410405	108	SOX
AZ0410405	109	SOX
AZ0410405	110	SOX
AZ0410405	111	SOX
AZ0410405	112	SIA
AZ0410405	113	SIA
AZ0410405	114	SOX
AZ0410405	115	SIA
AZ0410405	116	SOX
AZ0410405	117	SOX
AZ0410405	118	SOX
AZ0410406	107	SO0
AZ0410406	191	SOX
AZ0410406	192	SOX
AZ0410406	193	SOX
AZ0410406	194	SOX
AZ0410406	196	SOX
AZ0410406	197	SOX
AZ0410406	198	SIA
AZ0410406	200	SOX

St Violation/Reminder Notice	3/17/2009	2009	AZ
St Compliance achieved	4/1/2009	2009	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	9/28/2009	2009	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
State No Longer Subject to Rule	5/3/2011	2011	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Formal NOV issued	5/30/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	6/25/2009	2009	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	7/1/2009	2009	AZ
St Violation/Reminder Notice	7/7/2009	2009	AZ
St Compliance achieved	8/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	5/12/2011	2011	AZ
State No Longer Subject to Rule	1/22/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	3/25/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	12/8/2010	2010	AZ

AZ0410406	201	SIA
AZ0410406	202	SOX
AZ0410408	107	SIA
AZ0410408	108	SIA
AZ0410408	109	SOX
AZ0410408	110	SOX
AZ0410408	111	SOX
AZ0410408	112	SOX
AZ0410408	113	SIA
AZ0410408	114	SOX
AZ0410409	210	SOX
AZ0410409	211	SOX
AZ0410409	212	SIA
AZ0410409	213	SOX
AZ0410409	217	SIA
AZ0410409	218	SOX
AZ0410409	219	SIA
AZ0410409	222	SIA
AZ0410409	223	SIA
AZ0410409	224	SOX
AZ0410409	226	SIA
AZ0410409	227	SIA
AZ0410409	229	SIA
AZ0410409	230	SIA
AZ0410409	232	SIA
AZ0410409	236	SIA
AZ0410409	238	SIA
AZ0410409	242	SIA
AZ0410409	244	SIA
AZ0410409	245	SOX
AZ0410409	246	SIA
AZ0410409	247	SO0
AZ0410409	248	SOX
AZ0410409	249	SIA
AZ0410409	250	SOX
AZ0410409	251	SOX
AZ0410409	252	SOX
AZ0410410	209	SOX
AZ0410410	212	SIA
AZ0410410	213	SOX
AZ0410410	214	SIA
AZ0410410	217	SIA
AZ0410410	218	SOX
AZ0410410	220	SIA
AZ0410410	221	SIA
AZ0410410	223	SIA
AZ0410410	224	SIA

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/25/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	5/4/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
State No Longer Subject to Rule	5/1/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/8/2008	2008	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ

AZ0410410	226	SIA
AZ0410410	230	SIA
AZ0410410	232	SIA
AZ0410410	236	SIA
AZ0410410	238	SIA
AZ0410410	239	SOX
AZ0410410	240	SO0
AZ0410410	241	SOX
AZ0410410	242	SOX
AZ0410411	10	SIA
AZ0410411	11	SOX
AZ0410411	12	SIA
AZ0410411	14	SIA
AZ0410411	15	SOX
AZ0410411	16	SOX
AZ0410411	17	SIA
AZ0410411	18	SIA
AZ0410411	19	SOX
AZ0410411	20	SIA
AZ0410411	21	SOX
AZ0410411	22	SOX
AZ0410411	23	SOX
AZ0410411	24	SOX
AZ0410411	26	SIA
AZ0410411	27	SIA
AZ0410411	28	SIA
AZ0410411	29	SIA
AZ0410411	30	SIA
AZ0410411	31	SIA
AZ0410411	32	SIA
AZ0410411	33	SIA
AZ0410411	34	SIA
AZ0410411	35	SIA
AZ0410411	36	SIA
AZ0410411	37	SIA
AZ0410411	38	SIA
AZ0410411	39	SIA
AZ0410411	4	SOX
AZ0410411	40	SIA
AZ0410411	41	SIA
AZ0410411	42	SIA
AZ0410411	43	SIA
AZ0410411	44	SIA
AZ0410411	45	SIA
AZ0410411	5	SOX
AZ0410411	6	SIA
AZ0410411	8	SOX

St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	5/4/2011	2011	AZ
State No Longer Subject to Rule	5/1/2011	2011	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/8/2008	2008	AZ
St Violation/Reminder Notice	6/3/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/20/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	10/11/2013	2013	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Compliance achieved	2/24/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Violation/Reminder Notice	8/19/2014	2014	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ

AZ0410411	9	SOX
AZ0410414	1	SOX
AZ0410414	10	SOX
AZ0410414	11	SIA
AZ0410414	12	SOX
AZ0410414	13	SOX
AZ0410414	14	SOX
AZ0410414	15	SOX
AZ0410414	16	SOX
AZ0410414	17	SOX
AZ0410414	18	SIA
AZ0410414	19	SOX
AZ0410414	2	SIA
AZ0410414	3	SO0
AZ0410414	4	SIA
AZ0410414	6	SOX
AZ0410414	8	SOX
AZ0410414	9	SIA
AZ0410420	1	SFJ
AZ0410420	10	SOX
AZ0410420	11	SOX
AZ0410420	12	SOX
AZ0410420	13	SOX
AZ0410420	14	SOX
AZ0410420	15	SO0
AZ0410420	7	SOX
AZ0410420	8	SOX
AZ0410420	9	SIA
AZ0410425	1	SFJ
AZ0410425	11	SO0
AZ0410425	2	SOX
AZ0410425	3	SOX
AZ0410425	5	SIA
AZ0410425	6	SOX
AZ0410425	7	SIA
AZ0410425	8	SOX
AZ0410430	1	SFJ
AZ0410430	5	SO0
AZ0410430	6	SO0
AZ0410435	1	SIA
AZ0410435	10	SOX
AZ0410435	11	SIA
AZ0410435	12	SOX
AZ0410435	13	SOX
AZ0410435	14	SOX
AZ0410435	15	SOX
AZ0410435	2	SOX

St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Compliance achieved	4/5/2007	2007	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
State No Longer Subject to Rule	9/11/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	7/27/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Formal NOV issued	1/3/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	2/12/2008	2008	AZ
State No Longer Subject to Rule	9/1/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Formal NOV issued	1/3/2007	2007	AZ
State No Longer Subject to Rule	1/25/2010	2010	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	11/2/2009	2009	AZ
St Formal NOV issued	1/2/2007	2007	AZ
State No Longer Subject to Rule	4/8/2008	2008	AZ
State No Longer Subject to Rule	4/8/2008	2008	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	10/21/2008	2008	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	7/22/2007	2007	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	8/2/2007	2007	AZ

AZ0410435	4	SOX
AZ0410435	6	SIA
AZ0410435	7	SOX
AZ0410435	9	SIA
AZ0410445	10	SIA
AZ0410445	12	SOX
AZ0410445	13	SIA
AZ0410445	14	SOX
AZ0410445	15	SO0
AZ0410445	16	SIA
AZ0410445	17	SOX
AZ0410445	18	SIA
AZ0410445	19	SOX
AZ0410445	2	SOX
AZ0410445	20	SIA
AZ0410445	21	SOX
AZ0410445	22	SOX
AZ0410445	23	SIA
AZ0410445	24	SOX
AZ0410445	25	SO0
AZ0410445	27	SIA
AZ0410445	28	SIA
AZ0410445	29	SIA
AZ0410445	3	SOX
AZ0410445	30	SOX
AZ0410445	31	SIA
AZ0410445	32	SIA
AZ0410445	33	SOX
AZ0410445	34	SOX
AZ0410445	35	SOX
AZ0410445	36	SOX
AZ0410445	37	SOX
AZ0410445	38	SOX
AZ0410445	39	SOX
AZ0410445	40	SOX
AZ0410445	41	SOX
AZ0410445	42	SIA
AZ0410445	6	SIA
AZ0410445	7	SOX
AZ0410445	8	SIA
AZ0410445	9	SOX
AZ0410450	1	SFJ
AZ0410450	10	SOX
AZ0410450	11	SOX
AZ0410450	13	SIA
AZ0410450	14	SOX
AZ0410450	15	SOX

St Compliance achieved	6/24/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	12/15/2011	2011	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/14/2012	2012	AZ
State No Longer Subject to Rule	1/25/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/29/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	9/18/2013	2013	AZ
State No Longer Subject to Rule	12/4/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/10/2014	2014	AZ
St Violation/Reminder Notice	1/10/2014	2014	AZ
St Compliance achieved	9/12/2007	2007	AZ
St Compliance achieved	12/31/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/14/2010	2010	AZ
St Formal NOV issued	1/8/2007	2007	AZ
St Compliance achieved	9/22/2009	2009	AZ
St Compliance achieved	12/4/2009	2009	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	6/18/2013	2013	AZ

AZ0410450	16	SOX
AZ0410450	2	SIA
AZ0410450	3	SOX
AZ0410450	4	SOX
AZ0410450	5	SIA
AZ0410450	6	SIA
AZ0410450	7	SIA
AZ0410450	8	SOX
AZ0410450	9	SOX
AZ0410455	1	SOX
AZ0410455	2	SIA
AZ0410455	3	SOX
AZ0410455	4	SOX
AZ0410455	5	SOX
AZ0410455	6	SIA
AZ0410455	7	SO0
AZ0410460	2	SOX
AZ0410460	3	SIA
AZ0410460	4	SIA
AZ0410460	5	SOX
AZ0410460	6	SOX
AZ0410460	7	SO0
AZ0410460	8	SOX
AZ0410465	1	SFJ
AZ0410465	10	SO0
AZ0410465	11	SO0
AZ0410465	12	SO0
AZ0410465	2	SOX
AZ0410465	3	SIA
AZ0410465	4	SOX
AZ0410465	5	SIA
AZ0410465	6	SIA
AZ0410465	7	SIA
AZ0410465	8	SIA
AZ0410465	9	SOX
AZ0410470	11	SIA
AZ0410470	12	SIA
AZ0410470	14	SIA
AZ0410470	15	SOX
AZ0410470	16	SOX
AZ0410470	17	SOX
AZ0410470	18	SFJ
AZ0410470	20	SOX
AZ0410470	21	SOX
AZ0410470	22	SOX
AZ0410470	24	SIA
AZ0410470	26	SIA

St Compliance achieved	11/1/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/29/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	12/11/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	5/12/2009	2009	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	10/27/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	12/20/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
State No Longer Subject to Rule	11/11/2010	2010	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/21/2008	2008	AZ
St Compliance achieved	10/22/2008	2008	AZ
State No Longer Subject to Rule	11/24/2008	2008	AZ
St Compliance achieved	11/25/2008	2008	AZ
St Formal NOV issued	2/28/2008	2008	AZ
State No Longer Subject to Rule	7/15/2010	2010	AZ
State No Longer Subject to Rule	7/29/2010	2010	AZ
State No Longer Subject to Rule	4/1/2011	2011	AZ
St Compliance achieved	12/9/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/14/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	7/16/2009	2009	AZ
St Compliance achieved	1/6/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Compliance achieved	9/13/2012	2012	AZ
St Formal NOV issued	12/5/2012	2012	AZ
St Compliance achieved	12/21/2012	2012	AZ
St Compliance achieved	2/1/2013	2013	AZ
St Compliance achieved	3/5/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ

AZ0410470	27	SIA
AZ0410470	28	SOX
AZ0410470	29	SOX
AZ0410470	3	SIA
AZ0410470	30	SOX
AZ0410470	7	SIA
AZ0410470	9	SIA
AZ0410480	1	SIA
AZ0410480	10	SOX
AZ0410480	11	SIA
AZ0410480	12	SIA
AZ0410480	14	SIA
AZ0410480	15	SOX
AZ0410480	16	SOX
AZ0410480	17	SIA
AZ0410480	19	SIA
AZ0410480	2	SIA
AZ0410480	20	SOX
AZ0410480	22	SIA
AZ0410480	24	SIA
AZ0410480	25	SIA
AZ0410480	26	SIA
AZ0410480	27	SIA
AZ0410480	29	SIA
AZ0410480	30	SIA
AZ0410480	32	SIA
AZ0410480	34	SIA
AZ0410480	35	SIA
AZ0410480	36	SIA
AZ0410480	37	SIA
AZ0410480	38	SIA
AZ0410480	39	SIA
AZ0410480	4	SIA
AZ0410480	40	SIA
AZ0410480	41	SIA
AZ0410480	42	SIA
AZ0410480	43	SOX
AZ0410480	44	SOX
AZ0410480	45	SOX
AZ0410480	46	SIA
AZ0410480	47	SIA
AZ0410480	48	SOX
AZ0410480	5	SOX
AZ0410480	6	SIA
AZ0410480	8	SIA
AZ0410480	9	SOX
AZ0410481	1	SOX

St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Compliance achieved	11/27/2012	2012	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/3/2014	2014	AZ
St Compliance achieved	7/3/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/11/2014	2014	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	4/15/2010	2010	AZ

AZ0410481	2	SIA
AZ0410481	3	SIA
AZ0410481	4	SOX
AZ0410481	5	SOX
AZ0410481	6	SIA
AZ0410481	7	SIA
AZ0410481	8	SOX
AZ0410605	709	SOX
AZ0410605	763	SOX
AZ0410605	764	SOX
AZ0410605	765	SIA
AZ0410605	766	SOX
AZ0410605	768	SOX
AZ0410605	769	SIA
AZ0410605	770	SIA
AZ0410605	771	SOX
AZ0410605	772	SIA
AZ0410605	773	SOX
AZ0410606	1014	SOX
AZ0410606	1015	SIA
AZ0410606	1016	SOX
AZ0410606	1017	SOX
AZ0410606	1018	SOX
AZ0410606	1019	SIA
AZ0410606	1020	SOX
AZ0410608	555	SOX
AZ0410608	556	SIA
AZ0410608	557	SOX
AZ0410608	558	SOX
AZ0410608	559	SIA
AZ0410608	560	SIA
AZ0410608	561	SOX
AZ0410608	562	SIA
AZ0410608	563	SIA
AZ0410608	564	SOX
AZ0410608	565	SOX
AZ0410608	566	SIA
AZ0410609	1	SOX
AZ0410609	3	SIA
AZ0410609	5	SOX
AZ0410701	408	SOX
AZ0410701	409	SOX
AZ0410701	410	SOX
AZ0410701	411	SIA
AZ0410701	412	SIA
AZ0410701	413	SIA
AZ0410701	414	SIA

St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	6/5/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/2/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Compliance achieved	5/23/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	5/1/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ

AZ0410701	415	SOX
AZ0410701	416	SIA
AZ0410701	417	SOX
AZ0410703	445	SIA
AZ0411003	5214	SOX
AZ0411003	5219	SOX
AZ0411003	5220	SIA
AZ0411003	5221	SIA
AZ0411003	5222	SOX
AZ0411003	5223	SIA
AZ0411003	5224	SOX
AZ0411003	5225	SOX
AZ0411003	5226	SIA
AZ0411003	5227	SIA
AZ0411003	5228	SOX
AZ0411003	5229	SIA
AZ0411003	5230	SOX
AZ0411003	5231	SIA
AZ0411003	5233	SIA
AZ0411003	5236	SIA
AZ0411003	5238	SIA
AZ0411003	5240	SOX
AZ0411003	5241	SIA
AZ0411003	5242	SOX
AZ0411003	5243	SOX
AZ0411003	5244	SOX
AZ0411003	5246	SIA
AZ0411003	5247	SIA
AZ0411003	5248	SOX
AZ0411003	5249	SOX
AZ0411003	5250	SOX
AZ0411003	5251	SOX
AZ0411003	5252	SOX
AZ0411003	5253	SOX
AZ0411004	504	SOX
AZ0411004	512	SOX
AZ0411004	515	SIA
AZ0411004	516	SOX
AZ0411004	518	SOX
AZ0411004	519	SOX
AZ0411004	520	SO0
AZ0411004	521	SOX
AZ0411004	522	SOX
AZ0411004	524	SOX
AZ0411005	4307	SOX
AZ0411005	4369	SIA
AZ0411005	4370	SIA

St Compliance achieved	3/20/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	5/9/2013	2013	AZ
St Compliance achieved	2/22/2007	2007	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/17/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Violation/Reminder Notice	5/1/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/3/2013	2013	AZ
St Compliance achieved	7/10/2010	2010	AZ
St Compliance achieved	9/30/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	6/4/2014	2014	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
State No Longer Subject to Rule	2/9/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	4/1/2009	2009	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ

AZ0411005	4371	SOX
AZ0411005	4372	SOX
AZ0411005	4373	SOX
AZ0411005	4374	SOX
AZ0411005	4375	SIA
AZ0411005	4376	SOX
AZ0411005	4377	SOX
AZ0411005	4378	SOX
AZ0411005	4379	SOX
AZ0411005	4380	SIA
AZ0411005	4383	SIA
AZ0411005	4384	SOX
AZ0411005	4385	SIA
AZ0411005	4387	SIA
AZ0411005	4391	SOX
AZ0411005	4392	SOX
AZ0411005	4393	SOX
AZ0411005	4394	SIA
AZ0411005	4395	SOX
AZ0411005	4397	SOX
AZ0411005	4398	SIA
AZ0411005	4400	SIA
AZ0411005	4401	SIA
AZ0411005	4402	SOX
AZ0411005	4403	SIA
AZ0411005	4404	SOX
AZ0411005	4405	SOX
AZ0411005	4407	SOX
AZ0411005	4409	SFJ
AZ0411005	4410	SIA
AZ0411005	4411	SIA
AZ0411005	4412	SIA
AZ0411005	4413	SIA
AZ0411005	4414	SOX
AZ0411005	4415	SOX
AZ0411005	4416	SOX
AZ0411005	4417	SOX
AZ0411005	4418	SOX
AZ0411005	4419	SOX
AZ0411009	9474	SOX
AZ0411009	9481	SOX
AZ0411009	9482	SIA
AZ0411009	9485	SOX
AZ0411009	9486	SOX
AZ0411009	9487	SOX
AZ0411009	9488	SOX
AZ0411009	9489	SOX

St Compliance achieved	9/10/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/13/2008	2008	AZ
St Compliance achieved	6/16/2008	2008	AZ
St Compliance achieved	7/1/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	8/10/2010	2010	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	11/18/2010	2010	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Compliance achieved	5/4/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	10/7/2010	2010	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	2/21/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Formal NOV issued	10/8/2013	2013	AZ
St Violation/Reminder Notice	11/7/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	11/1/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	7/1/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	11/15/2011	2011	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/28/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ

AZ0411009	9490	SIA
AZ0411009	9491	SOX
AZ0411009	9492	SOX
AZ0411009	9493	SOX
AZ0411009	9494	SOX
AZ0411009	9495	SOX
AZ0411009	9496	SIA
AZ0411009	9497	SOX
AZ0411009	9498	SIA
AZ0411009	9499	SIA
AZ0411009	9500	SOX
AZ0411009	9501	SIF
AZ0411009	9502	SOX
AZ0411009	9503	SOX
AZ0411009	9504	SOX
AZ0411009	9510	SOX
AZ0411009	9511	SOX
AZ0411009	9512	SOX
AZ0411009	9513	SIA
AZ0411009	9514	SIA
AZ0411009	9515	SIA
AZ0411009	9516	SIA
AZ0411009	9517	SIA
AZ0411009	9518	SOX
AZ0411009	9522	SOX
AZ0411009	9523	SOX
AZ0411009	9524	SO0
AZ0411009	9525	SOX
AZ0411009	9526	SIA
AZ0411009	9527	SOX
AZ0411012	717	SOX
AZ0411012	724	SOX
AZ0411012	726	SOX
AZ0411012	727	SOX
AZ0411012	728	SIA
AZ0411012	729	SOX
AZ0411012	730	SIA
AZ0411012	732	SOX
AZ0411012	733	SIA
AZ0411012	734	SOX
AZ0411012	735	SOX
AZ0411012	736	SOX
AZ0411012	738	SOX
AZ0411012	739	SOX
AZ0411012	740	SIA
AZ0411012	741	SOX
AZ0411014	4347	SOX

St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	6/6/2008	2008	AZ
St Compliance achieved	6/6/2008	2008	AZ
St Compliance achieved	6/6/2008	2008	AZ
St Compliance achieved	6/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Public Notif received	6/15/2009	2009	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Compliance achieved	6/8/2010	2010	AZ
St Compliance achieved	4/22/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	6/23/2008	2008	AZ
St Compliance achieved	2/21/2013	2013	AZ
State No Longer Subject to Rule	6/30/2011	2011	AZ
St Compliance achieved	6/15/2011	2011	AZ
St Violation/Reminder Notice	2/28/2014	2014	AZ
St Compliance achieved	3/10/2014	2014	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	10/20/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	5/20/2009	2009	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	5/20/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Compliance achieved	8/23/2011	2011	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Compliance achieved	7/24/2008	2008	AZ
St Compliance achieved	7/28/2010	2010	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	11/7/2007	2007	AZ

AZ0411014	4353	SOX
AZ0411014	4354	SOX
AZ0411014	4355	SOX
AZ0411014	4356	SOX
AZ0411017	4906	SOX
AZ0411017	5014	SOX
AZ0411017	5022	SIA
AZ0411017	5023	SOX
AZ0411017	5025	SOX
AZ0411017	5026	SOX
AZ0411017	5028	SOX
AZ0411017	5029	SOX
AZ0411017	5031	SOX
AZ0411017	5033	SOX
AZ0411017	5035	SOX
AZ0411017	5037	SOX
AZ0411017	5038	SOX
AZ0411017	5040	SOX
AZ0411017	5041	SOX
AZ0411017	5042	SOX
AZ0411017	5043	SOX
AZ0411017	5044	SOX
AZ0411017	5045	SOX
AZ0411017	5046	SOX
AZ0411017	5048	SOX
AZ0411017	5049	SOX
AZ0411017	5050	SIA
AZ0411017	5051	SOX
AZ0411017	5053	SOX
AZ0411017	5054	SOX
AZ0411017	5055	SOX
AZ0411017	5056	SOX
AZ0411017	5059	SOX
AZ0411017	5060	SIA
AZ0411017	5061	SOX
AZ0411017	5062	SIA
AZ0411017	5063	SOX
AZ0411017	5064	SOX
AZ0411017	5065	SIA
AZ0411017	5071	SOX
AZ0411017	5074	SOX
AZ0411017	5075	SOX
AZ0411017	5076	SOX
AZ0411017	5081	SOX
AZ0411017	5085	SIA
AZ0411017	5086	SOX
AZ0411018	4407	SOX

St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Compliance achieved	11/19/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	1/2/2008	2008	AZ
St Compliance achieved	1/31/2008	2008	AZ
St Compliance achieved	3/10/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Compliance achieved	12/2/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	3/30/2009	2009	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	3/26/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	1/23/2009	2009	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Compliance achieved	10/5/2010	2010	AZ
St Compliance achieved	10/28/2008	2008	AZ
St Violation/Reminder Notice	12/6/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	1/2/2007	2007	AZ

AZ0411018	4459	SOX
AZ0411018	4460	SOX
AZ0411018	4462	SOX
AZ0411018	4465	SOX
AZ0411018	4466	SOX
AZ0411018	4468	SOX
AZ0411018	4469	SOX
AZ0411018	4471	SOX
AZ0411018	4472	SOX
AZ0411018	4476	SOX
AZ0411018	4480	SIA
AZ0411018	4483	SOX
AZ0411018	4484	SIA
AZ0411018	4485	SIA
AZ0411018	4486	SIA
AZ0411018	4487	SOX
AZ0411018	4488	SIA
AZ0411018	4489	SIA
AZ0411018	4491	SIA
AZ0411018	4492	SOX
AZ0411018	4493	SIA
AZ0411018	4494	SOX
AZ0411018	4495	SOX
AZ0411018	4496	SIA
AZ0411018	4497	SOX
AZ0411018	4498	SOX
AZ0411018	4499	SOX
AZ0411018	4500	SIA
AZ0411018	4502	SIA
AZ0411018	4503	SOX
AZ0411018	4504	SOX
AZ0411018	4505	SOX
AZ0411018	4506	SIA
AZ0411018	4507	SOX
AZ0411018	4508	SIA
AZ0411018	4509	SOX
AZ0411019	606	SOX
AZ0411019	613	SOX
AZ0411019	618	SOX
AZ0411020	23	SOX
AZ0411020	24	SIA
AZ0411020	25	SOX
AZ0411020	3	SOX
AZ0411021	9628	SOX
AZ0411021	9636	SIA
AZ0411021	9637	SOX
AZ0411022	3480	SIA

St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	9/3/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/3/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/25/2013	2013	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/12/2013	2013	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Compliance achieved	8/22/2013	2013	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	10/10/2013	2013	AZ
St Compliance achieved	8/21/2013	2013	AZ
St Compliance achieved	8/21/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/26/2014	2014	AZ
St Compliance achieved	1/3/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	5/29/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	2/24/2011	2011	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ

AZ0411022	3482	SOX
AZ0411022	3483	SIA
AZ0411022	3485	SOX
AZ0411022	3486	SOX
AZ0411022	3487	SIA
AZ0411022	3488	SIA
AZ0411022	3489	SIA
AZ0411022	3490	SIA
AZ0411022	3491	SOX
AZ0411022	3492	SIA
AZ0411022	3493	SIA
AZ0411022	3494	SIA
AZ0411022	3495	SIA
AZ0411022	3497	SIA
AZ0411022	3498	SOX
AZ0411022	3499	SIA
AZ0411022	3501	SOX
AZ0411022	3502	SIA
AZ0411022	3503	SIA
AZ0411022	3504	SOX
AZ0411022	3505	SIA
AZ0411022	3506	SIA
AZ0411022	3507	SOX
AZ0411022	3508	SIA
AZ0411022	3509	SIA
AZ0411022	3510	SOX
AZ0411022	3512	SIA
AZ0411022	3513	SOX
AZ0411022	3514	SIA
AZ0411022	3515	SIA
AZ0411022	3516	SOX
AZ0411022	3517	SOX
AZ0411022	3518	SIA
AZ0411022	3519	SIA
AZ0411022	3522	SOX
AZ0411022	3524	SIA
AZ0411022	3525	SOX
AZ0411022	3526	SOX
AZ0411022	3527	SOX
AZ0411022	3528	SIA
AZ0411022	3529	SIA
AZ0411024	3541	SIA
AZ0411024	3542	SOX
AZ0411024	3543	SOX
AZ0411024	3544	SOX
AZ0411024	3545	SIA
AZ0411024	3546	SIA

St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/20/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	2/17/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	9/7/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	3/28/2011	2011	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	10/1/2009	2009	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	6/30/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ

AZ0411024	3547	SOX
AZ0411024	3548	SOX
AZ0411024	3550	SOX
AZ0411024	3551	SOX
AZ0411024	3552	SOX
AZ0411024	3554	SOX
AZ0411024	3555	SIA
AZ0411024	3559	SOX
AZ0411024	3560	SOX
AZ0411024	3561	SFL
AZ0411024	3562	SOX
AZ0411024	3563	SIA
AZ0411024	3564	SIA
AZ0411024	3565	SIA
AZ0411024	3567	SIA
AZ0411024	3568	SIA
AZ0411024	3569	SIA
AZ0411024	3570	SIA
AZ0411024	3572	SOX
AZ0411024	3573	SFK
AZ0411024	3574	SOX
AZ0411024	3575	SIA
AZ0411024	3576	SIA
AZ0411024	3577	SIA
AZ0411024	3578	SIA
AZ0411024	3580	SIA
AZ0411024	3583	SO0
AZ0411024	3584	SIA
AZ0411024	3586	SIA
AZ0411024	3587	SOX
AZ0411024	3588	SOX
AZ0411024	3590	SOX
AZ0411024	3591	SIA
AZ0411024	3593	SOX
AZ0411024	3594	SOX
AZ0411024	3595	SOX
AZ0411024	3598	SIA
AZ0411024	3599	SIA
AZ0411024	3600	SOX
AZ0411024	3601	SOX
AZ0411024	3602	SIA
AZ0411024	3603	SIA
AZ0411024	3604	SOX
AZ0411024	3606	SOX
AZ0411024	3607	SOX
AZ0411024	3608	SOX
AZ0411024	3609	SIA

St Compliance achieved	6/20/2008	2008	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Compliance achieved	2/4/2009	2009	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Compliance achieved	2/8/2010	2010	AZ
St AO (w/o penalty) issued	3/2/2010	2010	AZ
St Compliance achieved	4/1/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St BCA signed	3/15/2011	2011	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
State No Longer Subject to Rule	5/23/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Compliance achieved	7/10/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Compliance achieved	12/20/2012	2012	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/11/2013	2013	AZ
St Compliance achieved	1/9/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	11/19/2013	2013	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Compliance achieved	5/6/2014	2014	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ

AZ0411030	2705	SIA
AZ0411030	2880	SOX
AZ0411030	2897	SOX
AZ0411030	2898	SOX
AZ0411030	2899	SOX
AZ0411030	2900	SIA
AZ0411030	2901	SOX
AZ0411030	2902	SIA
AZ0411030	2903	SOX
AZ0411030	2904	SOX
AZ0411030	2905	SOX
AZ0411030	2907	SOX
AZ0411030	2908	SOX
AZ0411030	2909	SOX
AZ0411030	2910	SOX
AZ0411030	2911	SIA
AZ0411030	2913	SIA
AZ0411030	2914	SOX
AZ0411030	2916	SIA
AZ0411030	2917	SIA
AZ0411030	2918	SIA
AZ0411030	2919	SOX
AZ0411030	2920	SOX
AZ0411030	2921	SOX
AZ0411030	2922	SOX
AZ0411030	2923	SOX
AZ0411030	2924	SOX
AZ0411030	2925	SIA
AZ0411030	2926	SIA
AZ0411030	2928	SIA
AZ0411030	2929	SOX
AZ0411030	2930	SOX
AZ0411030	2931	SOX
AZ0411030	2932	SOX
AZ0411030	2933	SOX
AZ0411030	2934	SFJ
AZ0411030	2935	SIA
AZ0411030	2936	SIA
AZ0411030	2937	SIA
AZ0411030	2938	SOX
AZ0411030	2940	SIA
AZ0411030	2941	SOX
AZ0411030	2942	SOX
AZ0411030	2943	SOX
AZ0411030	2944	SIA
AZ0411030	2945	SOX
AZ0411030	2946	SIA

St Violation/Reminder Notice	2/2/2011	2011	AZ
St Compliance achieved	2/6/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	3/21/2007	2007	AZ
St Compliance achieved	4/27/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	6/28/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/27/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	4/2/2009	2009	AZ
St Compliance achieved	2/25/2009	2009	AZ
St Compliance achieved	4/28/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	2/6/2012	2012	AZ
St Compliance achieved	3/7/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/29/2009	2009	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/12/2013	2013	AZ
St Compliance achieved	3/4/2013	2013	AZ
St Compliance achieved	3/14/2013	2013	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/1/2013	2013	AZ
St Compliance achieved	5/15/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ

AZ0411030	2947	SIA
AZ0411030	2948	SOX
AZ0411030	2949	SOX
AZ0411030	2950	SIA
AZ0411030	2951	SIA
AZ0411030	2952	SOX
AZ0411030	2953	SOX
AZ0411031	1152	SOX
AZ0411031	1153	SIA
AZ0411031	1154	SOX
AZ0411031	1155	SIA
AZ0411031	1158	SIA
AZ0411031	1159	SOX
AZ0411031	1160	SIA
AZ0411031	1161	SOX
AZ0411031	1162	SOX
AZ0411031	1163	SIA
AZ0411031	1164	SOX
AZ0411031	1165	SIA
AZ0411031	1166	SIA
AZ0411031	1167	SOX
AZ0411031	1168	SOX
AZ0411031	1169	SOX
AZ0411031	1170	SIA
AZ0411031	1171	SOX
AZ0411031	1175	SOX
AZ0411031	1176	SIA
AZ0411031	1177	SOX
AZ0411034	2207	SOX
AZ0411034	2277	SOX
AZ0411034	2278	SOX
AZ0411034	2279	SOX
AZ0411034	2280	SOX
AZ0411034	2283	SIA
AZ0411034	2284	SIA
AZ0411034	2287	SIA
AZ0411034	2288	SOX
AZ0411034	2289	SIA
AZ0411034	2291	SOX
AZ0411034	2292	SOX
AZ0411034	2294	SIA
AZ0411034	2295	SOX
AZ0411034	2296	SOX
AZ0411034	2297	SIA
AZ0411034	2298	SOX
AZ0411035	5452	SOX
AZ0411035	5453	SIA

St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	9/19/2013	2013	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	9/11/2013	2013	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Compliance achieved	5/12/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/12/2011	2011	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Compliance achieved	8/13/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/22/2014	2014	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	3/10/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/4/2009	2009	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	9/20/2013	2013	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/19/2007	2007	AZ

AZ0411035	5455	SOX
AZ0411035	5456	SOX
AZ0411035	5457	SIA
AZ0411035	5458	SOX
AZ0411035	5460	SOX
AZ0411035	5462	SIA
AZ0411035	5464	SIA
AZ0411035	5466	SOX
AZ0411035	5468	SOX
AZ0411035	5469	SIA
AZ0411035	5470	SIA
AZ0411035	5472	SOX
AZ0411035	5473	SOX
AZ0411035	5474	SOX
AZ0411035	5475	SOX
AZ0411035	5476	SIA
AZ0411035	5477	SFJ
AZ0411035	5478	SIA
AZ0411035	5479	SIA
AZ0411035	5480	SIA
AZ0411035	5481	SIA
AZ0411035	5482	SOX
AZ0411035	5483	SIA
AZ0411035	5484	SIA
AZ0411035	5485	SOX
AZ0411035	5486	SIA
AZ0411035	5487	SIA
AZ0411035	5488	SOX
AZ0411035	5489	SIA
AZ0411035	5490	SIA
AZ0411036	2047	SOX
AZ0411036	2048	SOX
AZ0411036	2050	SOX
AZ0411036	2051	SOX
AZ0411036	2052	SOX
AZ0411036	2053	SIA
AZ0411036	2054	SIA
AZ0411036	2055	SOX
AZ0411036	2056	SIA
AZ0411036	2057	SOX
AZ0411036	2059	SOX
AZ0411036	2060	SIA
AZ0411036	2061	SIA
AZ0411036	2062	SOX
AZ0411036	2063	SOX
AZ0411036	2065	SIA
AZ0411036	2066	SOX

St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	5/9/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	1/17/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	7/28/2010	2010	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Compliance achieved	1/7/2013	2013	AZ
St Violation/Reminder Notice	3/26/2013	2013	AZ
St Formal NOV issued	4/1/2013	2013	AZ
St Violation/Reminder Notice	5/9/2013	2013	AZ
St Violation/Reminder Notice	5/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	9/9/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Compliance achieved	12/3/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	9/3/2013	2013	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Compliance achieved	4/6/2007	2007	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	8/14/2012	2012	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	11/15/2013	2013	AZ
St Compliance achieved	4/3/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/6/2014	2014	AZ

AZ0411036	2067	SIA
AZ0411036	2068	SOX
AZ0411037	5907	SOX
AZ0411037	6023	SOX
AZ0411037	6025	SIA
AZ0411037	6026	SOX
AZ0411037	6027	SOX
AZ0411037	6028	SOX
AZ0411037	6029	SOX
AZ0411037	6030	SOX
AZ0411037	6031	SOX
AZ0411037	6033	SOX
AZ0411037	6034	SIA
AZ0411037	6035	SOX
AZ0411037	6036	SOX
AZ0411037	6037	SOX
AZ0411037	6038	SIA
AZ0411037	6040	SIA
AZ0411037	6041	SIA
AZ0411037	6042	SOX
AZ0411037	6043	SIA
AZ0411037	6046	SIA
AZ0411037	6047	SOX
AZ0411037	6048	SOX
AZ0411037	6049	SIA
AZ0411037	6050	SIA
AZ0411037	6055	SIA
AZ0411037	6056	SOX
AZ0411037	6057	SOX
AZ0411037	6058	SOX
AZ0411037	6059	SOX
AZ0411037	6060	SOX
AZ0411037	6061	SIA
AZ0411037	6062	SIA
AZ0411037	6065	SOX
AZ0411037	6069	SIA
AZ0411037	6070	SOX
AZ0411037	6071	SOX
AZ0411037	6072	SOX
AZ0411037	6073	SIA
AZ0411037	6074	SIA
AZ0411037	6075	SIA
AZ0411037	6076	SIA
AZ0411037	6077	SIA
AZ0411037	6078	SIA
AZ0411037	6079	SIA
AZ0411037	6080	SIA

St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	3/28/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/26/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Compliance achieved	5/9/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	12/15/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	1/29/2010	2010	AZ
St Violation/Reminder Notice	3/15/2010	2010	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Compliance achieved	4/19/2010	2010	AZ
St Compliance achieved	4/19/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ

AZ0411037	6081	SIA
AZ0411037	6082	SIA
AZ0411037	6083	SIA
AZ0411037	6084	SIA
AZ0411037	6085	SIA
AZ0411037	6086	SIA
AZ0411037	6087	SIA
AZ0411037	6088	SIA
AZ0411037	6089	SIA
AZ0411037	6090	SIA
AZ0411037	6091	SIA
AZ0411037	6092	SIA
AZ0411037	6093	SIA
AZ0411037	6094	SIA
AZ0411037	6095	SIA
AZ0411037	6096	SIA
AZ0411037	6097	SIA
AZ0411037	6098	SIA
AZ0411037	6099	SIA
AZ0411037	6100	SIA
AZ0411037	6101	SIA
AZ0411037	6102	SIA
AZ0411037	6103	SIA
AZ0411037	6104	SIA
AZ0411037	6105	SIA
AZ0411037	6107	SIA
AZ0411037	6108	SOX
AZ0411037	6109	SIA
AZ0411037	6110	SIA
AZ0411037	6111	SIA
AZ0411037	6112	SIA
AZ0411037	6113	SIA
AZ0411037	6114	SIA
AZ0411037	6116	SOX
AZ0411037	6117	SIA
AZ0411037	6118	SOX
AZ0411037	6119	SOX
AZ0411037	6120	SOX
AZ0411037	6121	SOX
AZ0411037	6122	SOX
AZ0411037	6124	SIA
AZ0411037	6126	SIA
AZ0411037	6127	SIA
AZ0411037	6128	SIA
AZ0411037	6129	SIA
AZ0411037	6130	SOX
AZ0411037	6131	SOX

St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	2/17/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	6/8/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Violation/Reminder Notice	3/25/2011	2011	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Compliance achieved	4/19/2011	2011	AZ
St Compliance achieved	2/9/2010	2010	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	3/22/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ

AZ0411037	6132	SOX
AZ0411037	6133	SOX
AZ0411037	6134	SFJ
AZ0411037	6135	SIA
AZ0411037	6136	SOX
AZ0411037	6138	SOX
AZ0411037	6139	SOX
AZ0411037	6140	SIA
AZ0411037	6141	SOX
AZ0411037	6143	SIA
AZ0411037	6144	SOX
AZ0411037	6148	SOX
AZ0411037	6149	SOX
AZ0411037	6150	SIA
AZ0411037	6151	SOX
AZ0411037	6152	SOX
AZ0411037	6153	SOX
AZ0411038	2509	SOX
AZ0411038	2544	SOX
AZ0411038	2545	SOX
AZ0411038	2546	SOX
AZ0411038	2547	SOX
AZ0411038	2548	SOX
AZ0411038	2549	SOX
AZ0411038	2551	SOX
AZ0411038	2552	SOX
AZ0411038	2554	SOX
AZ0411038	2555	SOX
AZ0411038	2556	SIA
AZ0411038	2557	SIA
AZ0411038	2558	SOX
AZ0411038	2559	SOX
AZ0411038	2560	SOX
AZ0411038	2561	SIA
AZ0411038	2562	SOX
AZ0411038	2563	SIA
AZ0411038	2564	SIA
AZ0411038	2565	SIA
AZ0411038	2566	SIA
AZ0411038	2567	SOX
AZ0411038	2568	SOX
AZ0411038	2569	SOX
AZ0411038	2570	SOX
AZ0411038	2571	SOX
AZ0411038	2572	SOX
AZ0411038	2574	SOX
AZ0411038	2575	SOX

St Compliance achieved	9/10/2012	2012	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Formal NOV issued	10/31/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/13/2012	2012	AZ
St Compliance achieved	10/10/2012	2012	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	4/5/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Compliance achieved	9/21/2009	2009	AZ
St Compliance achieved	7/26/2011	2011	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/31/2014	2014	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	3/20/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Compliance achieved	3/20/2009	2009	AZ
St Compliance achieved	5/19/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	6/14/2011	2011	AZ
St Compliance achieved	6/17/2011	2011	AZ
St Compliance achieved	1/19/2012	2012	AZ
St Compliance achieved	2/14/2012	2012	AZ

AZ0411038	2576	SIA
AZ0411038	2577	SOX
AZ0411038	2578	SOX
AZ0411038	2581	SOX
AZ0411038	2582	SOX
AZ0411038	2583	SIA
AZ0411038	2584	SIA
AZ0411038	2586	SIA
AZ0411038	2587	SOX
AZ0411039	2941	SOX
AZ0411039	2942	SOX
AZ0411039	2944	SIA
AZ0411039	2945	SOX
AZ0411039	2947	SOX
AZ0411039	2948	SIA
AZ0411039	2950	SIA
AZ0411039	2954	SOX
AZ0411039	2955	SOX
AZ0411039	2956	SOX
AZ0411039	2977	SIA
AZ0411039	2978	SIA
AZ0411039	2979	SIA
AZ0411039	2980	SIA
AZ0411039	2981	SIA
AZ0411039	2982	SIA
AZ0411039	2983	SIA
AZ0411039	2984	SIA
AZ0411039	2985	SIA
AZ0411039	2986	SIA
AZ0411039	2987	SIA
AZ0411039	2988	SIA
AZ0411039	2989	SOX
AZ0411039	2990	SIA
AZ0411039	2991	SOX
AZ0411039	2992	SIA
AZ0411039	2993	SOX
AZ0411039	2995	SOX
AZ0411039	2996	SOX
AZ0411039	2998	SIA
AZ0411039	2999	SOX
AZ0411039	3000	SOX
AZ0411039	3002	SOX
AZ0411041	6507	SOX
AZ0411041	6565	SOX
AZ0411042	2615	SIA
AZ0411042	2617	SOX
AZ0411042	2618	SOX

St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	8/29/2012	2012	AZ
St Compliance achieved	6/25/2012	2012	AZ
St Compliance achieved	8/13/2013	2013	AZ
St Violation/Reminder Notice	4/17/2014	2014	AZ
St Violation/Reminder Notice	4/8/2014	2014	AZ
St Violation/Reminder Notice	7/24/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	9/26/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	12/9/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/6/2009	2009	AZ
St Compliance achieved	3/12/2010	2010	AZ
St Compliance achieved	4/16/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	9/28/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	3/26/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	11/29/2011	2011	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	11/12/2013	2013	AZ
St Compliance achieved	2/10/2014	2014	AZ
St Compliance achieved	6/19/2013	2013	AZ
St Compliance achieved	1/4/2007	2007	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/9/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ

AZ0411042	2619	SIA
AZ0411042	2622	SIA
AZ0411042	2625	SIA
AZ0411042	2626	SOX
AZ0411042	2627	SIA
AZ0411042	2630	SIA
AZ0411042	2631	SFJ
AZ0411042	2632	SOX
AZ0411042	2633	SIA
AZ0411042	2635	SIA
AZ0411042	2636	SOX
AZ0411042	2637	SOX
AZ0411042	2638	SIA
AZ0411042	2639	SOX
AZ0411042	2640	SOX
AZ0411042	2641	SOX
AZ0411042	2642	SOX
AZ0411042	2643	SIA
AZ0411042	2644	SOX
AZ0411042	2646	SIA
AZ0411042	2647	SOX
AZ0411042	2648	SOX
AZ0411042	2649	SOX
AZ0411042	2650	SIA
AZ0411042	2651	SOX
AZ0411042	2652	SIA
AZ0411042	2653	SOX
AZ0411042	2654	SOX
AZ0411042	2655	SIA
AZ0411042	2656	SIA
AZ0411042	2657	SOX
AZ0411042	2658	SOX
AZ0411042	2659	SIA
AZ0411042	2660	SIA
AZ0411042	2661	SIA
AZ0411042	2662	SIA
AZ0411042	2663	SOX
AZ0411042	2664	SOX
AZ0411042	2665	SIA
AZ0411042	2666	SOX
AZ0411042	2667	SIA
AZ0411042	2668	SIA
AZ0411042	2669	SIA
AZ0411042	2670	SIA
AZ0411042	2671	SIA
AZ0411042	2673	SOX
AZ0411042	2674	SIA

St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	7/2/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Compliance achieved	12/15/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	5/20/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/8/2010	2010	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Compliance achieved	8/27/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	1/4/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	7/6/2011	2011	AZ
St Compliance achieved	8/10/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Compliance achieved	12/19/2011	2011	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	1/7/2013	2013	AZ
St Compliance achieved	11/7/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ

AZ0411042	2675	SOX
AZ0411042	2676	SIA
AZ0411042	2677	SOX
AZ0411042	2678	SIA
AZ0411042	2679	SIA
AZ0411042	2680	SIA
AZ0411042	2681	SOX
AZ0411042	2682	SOX
AZ0411042	2683	SIA
AZ0411042	2684	SIA
AZ0411042	2685	SIA
AZ0411042	2686	SIA
AZ0411042	2687	SFJ
AZ0411042	2688	SIA
AZ0411042	2689	SIA
AZ0411042	2690	SOX
AZ0411042	2691	SOX
AZ0411042	2692	SOX
AZ0411042	2693	SIA
AZ0411042	2694	SIA
AZ0411042	2695	SIA
AZ0411042	2696	SIA
AZ0411042	2697	SOX
AZ0411042	2698	SOX
AZ0411042	2699	SOX
AZ0411042	2700	SIA
AZ0411042	2701	SOX
AZ0411042	2702	SIA
AZ0411042	2703	SOX
AZ0411042	2704	SOX
AZ0411042	2705	SIA
AZ0411042	2706	SIA
AZ0411042	2707	SOX
AZ0411042	2708	SOX
AZ0411043	3606	SOX
AZ0411043	3665	SOX
AZ0411043	3666	SIA
AZ0411043	3667	SOX
AZ0411043	3669	SOX
AZ0411043	3672	SOX
AZ0411043	3674	SOX
AZ0411043	3676	SOX
AZ0411043	3677	SOX
AZ0411043	3679	SOX
AZ0411043	3680	SOX
AZ0411043	3682	SOX
AZ0411043	3683	SIA

St Compliance achieved	7/29/2010	2010	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Compliance achieved	7/5/2011	2011	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	11/13/2013	2013	AZ
St Compliance achieved	11/19/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Formal NOV issued	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/4/2014	2014	AZ
St Compliance achieved	2/4/2014	2014	AZ
St Compliance achieved	2/4/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	6/5/2014	2014	AZ
St Compliance achieved	7/10/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	8/13/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	1/11/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ

AZ0411043	3684	SOX
AZ0411043	3685	SOX
AZ0411043	3686	SOX
AZ0411043	3687	SOX
AZ0411043	3688	SIA
AZ0411043	3689	SOX
AZ0411043	3690	SIA
AZ0411043	3691	SIA
AZ0411043	3692	SOX
AZ0411043	3697	SOX
AZ0411043	3698	SOX
AZ0411043	3699	SIA
AZ0411043	3700	SOX
AZ0411044	1617	SOX
AZ0411044	1618	SOX
AZ0411044	1619	SOX
AZ0411044	1620	SOX
AZ0411044	1621	SOX
AZ0411044	1622	SOX
AZ0411044	1623	SIA
AZ0411044	1625	SIA
AZ0411044	1626	SOX
AZ0411044	1627	SIA
AZ0411044	1630	SIA
AZ0411044	1631	SOX
AZ0411044	1632	SIA
AZ0411044	1633	SIA
AZ0411044	1634	SIA
AZ0411044	1636	SIA
AZ0411044	1637	SOX
AZ0411044	1638	SIA
AZ0411044	1640	SIA
AZ0411044	1644	SOX
AZ0411044	1645	SOX
AZ0411044	1646	SOX
AZ0411044	1647	SIA
AZ0411044	1648	SOX
AZ0411044	1649	SOX
AZ0411044	1650	SOX
AZ0411044	1651	SOX
AZ0411044	1652	SOX
AZ0411044	1653	SOX
AZ0411044	1654	SOX
AZ0411044	1656	SOX
AZ0411045	3373	SOX
AZ0411045	3374	SOX
AZ0411045	3376	SIA

St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Compliance achieved	3/29/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/22/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/24/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/7/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	2/13/2012	2012	AZ
St Compliance achieved	3/12/2013	2013	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	6/23/2009	2009	AZ
St Compliance achieved	7/27/2011	2011	AZ
St Compliance achieved	8/5/2013	2013	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ

AZ0411045	3377	SIA
AZ0411045	3378	SOX
AZ0411045	3379	SFJ
AZ0411045	3381	SOX
AZ0411045	3382	SOX
AZ0411045	3384	SOX
AZ0411045	3385	SOX
AZ0411045	3386	SOX
AZ0411045	3387	SOX
AZ0411045	3388	SOX
AZ0411045	3389	SOX
AZ0411045	3390	SIA
AZ0411045	3391	SIA
AZ0411045	3394	SIA
AZ0411045	3395	SOX
AZ0411045	3396	SOX
AZ0411045	3397	SIA
AZ0411045	3399	SIA
AZ0411045	3400	SIA
AZ0411045	3401	SOX
AZ0411045	3402	SIA
AZ0411045	3403	SIA
AZ0411045	3404	SOX
AZ0411045	3405	SOX
AZ0411045	3406	SOX
AZ0411045	3407	SIA
AZ0411045	3409	SIA
AZ0411045	3410	SOX
AZ0411045	3411	SOX
AZ0411045	3412	SIA
AZ0411045	3413	SOX
AZ0411045	3414	SIA
AZ0411045	3415	SOX
AZ0411045	3416	SOX
AZ0411045	3417	SOX
AZ0411045	3418	SIA
AZ0411045	3419	SOX
AZ0411045	3420	SIA
AZ0411045	3421	SOX
AZ0411045	3422	SOX
AZ0411045	3423	SIA
AZ0411052	913	SOX
AZ0411052	914	SOX
AZ0411052	915	SOX
AZ0411052	916	SIA
AZ0411052	917	SIA
AZ0411052	918	SIA

St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Formal NOV issued	9/18/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Compliance achieved	11/6/2007	2007	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Compliance achieved	8/1/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/27/2009	2009	AZ
St Compliance achieved	1/6/2010	2010	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	6/23/2008	2008	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ

AZ0411052	919	SFJ
AZ0411052	920	SIA
AZ0411052	922	SOX
AZ0411052	923	SIA
AZ0411052	931	SFL
AZ0411052	932	SIA
AZ0411052	934	SOX
AZ0411052	935	SIA
AZ0411052	936	SOX
AZ0411052	937	SOX
AZ0411052	938	SOX
AZ0411052	939	SOX
AZ0411052	940	SIA
AZ0411052	941	SOX
AZ0411052	942	SOX
AZ0411052	943	SOX
AZ0411052	944	SOX
AZ0411052	945	SIA
AZ0411052	946	SO0
AZ0411055	1719	SOX
AZ0411055	1720	SOX
AZ0411055	1721	SIA
AZ0411055	1722	SOX
AZ0411055	1723	SOX
AZ0411055	1724	SIA
AZ0411055	1726	SIA
AZ0411055	1727	SOX
AZ0411055	1728	SOX
AZ0411055	1730	SIA
AZ0411055	1731	SIA
AZ0411055	1732	SIA
AZ0411055	1733	SIA
AZ0411055	1734	SIA
AZ0411055	1735	SIA
AZ0411055	1736	SIA
AZ0411055	1737	SO0
AZ0411055	1738	SIA
AZ0411055	1739	SO0
AZ0411055	1740	SO0
AZ0411055	1741	SIA
AZ0411055	1742	SO0
AZ0411055	1743	SIA
AZ0411055	1744	SOX
AZ0411055	1745	SOX
AZ0411056	3787	SOX
AZ0411056	3819	SIA
AZ0411056	3820	SOX

St Formal NOV issued	12/30/2008	2008	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St AO (w/o penalty) issued	6/29/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	2/1/2012	2012	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Compliance achieved	7/17/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Compliance achieved	7/23/2010	2010	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
State No Longer Subject to Rule	12/9/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	5/28/2009	2009	AZ
St Compliance achieved	7/2/2010	2010	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
State No Longer Subject to Rule	6/25/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
State No Longer Subject to Rule	6/1/2012	2012	AZ
State No Longer Subject to Rule	7/30/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
State No Longer Subject to Rule	6/1/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	7/9/2010	2010	AZ
St Compliance achieved	6/21/2011	2011	AZ
St Compliance achieved	11/3/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ

AZ0411056	3821	SOX
AZ0411056	3822	SOX
AZ0411056	3823	SOX
AZ0411056	3824	SOX
AZ0411056	3825	SOX
AZ0411056	3826	SOX
AZ0411056	3827	SIA
AZ0411056	3828	SOX
AZ0411056	3829	SOX
AZ0411056	3830	SOX
AZ0411056	3831	SOX
AZ0411056	3832	SIA
AZ0411056	3833	SOX
AZ0411056	3834	SIA
AZ0411056	3835	SIA
AZ0411060	809	SOX
AZ0411060	871	SOX
AZ0411060	873	SOX
AZ0411060	874	SOX
AZ0411060	875	SOX
AZ0411060	876	SOX
AZ0411060	879	SOX
AZ0411060	881	SOX
AZ0411060	882	SOX
AZ0411060	883	SOX
AZ0411060	884	SOX
AZ0411060	885	SIA
AZ0411060	886	SOX
AZ0411060	887	SIA
AZ0411060	888	SOX
AZ0411060	891	SIA
AZ0411060	892	SIA
AZ0411060	893	SIA
AZ0411060	894	SIA
AZ0411060	895	SIA
AZ0411060	896	SIA
AZ0411060	897	SIA
AZ0411060	898	SOX
AZ0411060	899	SOX
AZ0411060	900	SOX
AZ0411060	901	SFJ
AZ0411060	902	SIA
AZ0411060	904	SIA
AZ0411060	905	SIA
AZ0411060	906	SIA
AZ0411060	907	SOX
AZ0411060	908	SOX

St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	1/28/2008	2008	AZ
St Compliance achieved	2/20/2008	2008	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	9/11/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/2/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	1/31/2007	2007	AZ
St Compliance achieved	5/9/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Compliance achieved	10/18/2007	2007	AZ
St Compliance achieved	2/27/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	8/4/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	3/13/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	7/14/2011	2011	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/1/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/22/2013	2013	AZ
St Compliance achieved	3/22/2013	2013	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Formal NOV issued	3/29/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/2/2013	2013	AZ
St Compliance achieved	5/24/2013	2013	AZ
St Compliance achieved	5/24/2013	2013	AZ

AZ0411060	909	SOX
AZ0411060	910	SOX
AZ0411060	911	SOX
AZ0411060	912	SOX
AZ0411060	913	SOX
AZ0411060	914	SIA
AZ0411060	916	SIA
AZ0411060	917	SOX
AZ0411060	918	SIA
AZ0411060	919	SIA
AZ0411060	920	SIA
AZ0411060	921	SIA
AZ0411060	922	SIA
AZ0411060	923	SIA
AZ0411060	924	SIA
AZ0411060	925	SIA
AZ0411060	926	SIA
AZ0411060	927	SIA
AZ0411060	928	SIA
AZ0411060	929	SIA
AZ0411060	930	SIA
AZ0411060	931	SIA
AZ0411060	932	SIA
AZ0411060	933	SIA
AZ0411060	934	SIA
AZ0411060	935	SIA
AZ0411060	936	SIA
AZ0411060	937	SIA
AZ0411060	938	SIA
AZ0411060	939	SIA
AZ0411060	940	SIA
AZ0411060	941	SIA
AZ0411060	942	SIA
AZ0411060	943	SIA
AZ0411060	944	SIA
AZ0411060	945	SIA
AZ0411060	946	SIA
AZ0411060	947	SIA
AZ0411060	948	SIA
AZ0411060	949	SIA
AZ0411060	950	SIA
AZ0411060	951	SIA
AZ0411060	952	SIA
AZ0411060	953	SIA
AZ0411060	954	SIA
AZ0411060	955	SOX
AZ0411060	956	SOX

St Compliance achieved	5/24/2013	2013	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	9/7/2010	2010	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/14/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	4/29/2014	2014	AZ
St Compliance achieved	4/29/2014	2014	AZ

AZ0411061	#PWS-AO-2011-6005	EFL
AZ0411061	1013	SIA
AZ0411061	1014	SOX
AZ0411061	1015	SOX
AZ0411061	1016	SOX
AZ0411061	1017	SOX
AZ0411061	1019	SOX
AZ0411061	1020	SIA
AZ0411061	1022	SIA
AZ0411061	1023	SOX
AZ0411061	1024	SIA
AZ0411061	1026	SIA
AZ0411061	1027	SIA
AZ0411061	1030	SIA
AZ0411061	1031	SOX
AZ0411061	1032	SOX
AZ0411061	1033	SIA
AZ0411061	1035	SIA
AZ0411061	1036	SOX
AZ0411061	1037	SIA
AZ0411061	1039	SIA
AZ0411061	1043	SOX
AZ0411061	1045	SOX
AZ0411061	1046	SOX
AZ0411061	1047	SIA
AZ0411061	1051	SOX
AZ0411061	1052	SIA
AZ0411061	1054	SIA
AZ0411061	1055	SIA
AZ0411061	1056	SOX
AZ0411061	1058	SIA
AZ0411061	1060	SOX
AZ0411061	1061	SOX
AZ0411061	1062	SIA
AZ0411061	1064	SIA
AZ0411061	1065	SOX
AZ0411061	1067	SIA
AZ0411061	1068	SOX
AZ0411061	1070	SOX
AZ0411062	2228	SIA
AZ0411062	2229	SOX
AZ0411062	2230	SFJ
AZ0411062	2231	SIA
AZ0411062	2232	SIA
AZ0411062	2233	SFJ
AZ0411062	2236	SOX
AZ0411062	2237	SOX

Fed FAO issued	3/30/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/1/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Compliance achieved	12/5/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Compliance achieved	10/1/2008	2008	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	2/8/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/24/2011	2011	AZ
St Violation/Reminder Notice	4/11/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	5/8/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/11/2013	2013	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Formal NOV issued	6/19/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Formal NOV issued	8/14/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ

AZ0411062	2239	SOX
AZ0411062	2240	SIA
AZ0411062	2242	SIA
AZ0411062	2243	SIA
AZ0411062	2245	SIA
AZ0411062	2246	SIA
AZ0411062	2248	SIA
AZ0411062	2249	SIA
AZ0411062	2251	SIA
AZ0411062	2253	SIA
AZ0411062	2254	SOX
AZ0411062	2255	SOX
AZ0411062	2256	SIA
AZ0411062	2259	SIA
AZ0411062	2260	SIA
AZ0411062	2261	SIA
AZ0411062	2263	SIA
AZ0411062	2264	SOX
AZ0411062	2265	SIA
AZ0411062	2266	SIA
AZ0411062	2268	SIA
AZ0411062	2272	SOX
AZ0411062	2273	SIA
AZ0411062	2275	SIA
AZ0411062	2276	SOX
AZ0411062	2277	SOX
AZ0411062	2278	SIA
AZ0411062	2282	SIA
AZ0411062	2284	SIA
AZ0411062	2285	SIA
AZ0411062	2286	SIA
AZ0411062	2287	SIA
AZ0411062	2288	SOX
AZ0411062	2289	SIA
AZ0411062	2290	SOX
AZ0411062	2291	SIA
AZ0411062	2292	SOX
AZ0411062	2293	SIA
AZ0411062	2294	SOX
AZ0411062	2295	SOX
AZ0411062	2296	SOX
AZ0411062	2297	SIA
AZ0411062	2298	SOX
AZ0411062	2299	SIA
AZ0411062	2300	SOX
AZ0411062	2301	SIA
AZ0411062	2303	SIA

St Compliance achieved	7/9/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/19/2008	2008	AZ
St Compliance achieved	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	4/1/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	6/8/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Compliance achieved	4/1/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	10/7/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	7/26/2010	2010	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/7/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ

AZ0411062	2304	SFJ
AZ0411062	2305	SIA
AZ0411062	2306	SOX
AZ0411062	2307	SOX
AZ0411062	2308	SOX
AZ0411062	2309	SOX
AZ0411062	2310	SIA
AZ0411062	2311	SOX
AZ0411062	2312	SIA
AZ0411062	2313	SIA
AZ0411062	2314	SOX
AZ0411062	2315	SOX
AZ0411066	1011	SOX
AZ0411066	1039	SOX
AZ0411066	1110	SOX
AZ0411066	1111	SOX
AZ0411066	1112	SOX
AZ0411066	1113	SOX
AZ0411066	1114	SOX
AZ0411066	1115	SIA
AZ0411066	1116	SOX
AZ0411066	1117	SOX
AZ0411066	1118	SOX
AZ0411066	1119	SIA
AZ0411066	1120	SOX
AZ0411066	1121	SOX
AZ0411066	1122	SOX
AZ0411066	1123	SIA
AZ0411066	1124	SIA
AZ0411066	1125	SOX
AZ0411066	1126	SOX
AZ0411066	1127	SOX
AZ0411066	1128	SOX
AZ0411066	1129	SIA
AZ0411066	1131	SOX
AZ0411066	1132	SIA
AZ0411066	1133	SOX
AZ0411066	1134	SIA
AZ0411066	1135	SIA
AZ0411066	1136	SIA
AZ0411066	1137	SOX
AZ0411066	1138	SOX
AZ0411066	1140	SIA
AZ0411066	1142	SOX
AZ0411066	1143	SIA
AZ0411066	1144	SOX
AZ0411066	1145	SOX

St Formal NOV issued	5/6/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/17/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	12/4/2009	2009	AZ
St Compliance achieved	1/4/2012	2012	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/13/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/24/2014	2014	AZ
St Compliance achieved	8/24/2014	2014	AZ
St Compliance achieved	1/24/2007	2007	AZ
St Compliance achieved	2/5/2007	2007	AZ
St Compliance achieved	3/13/2007	2007	AZ
St Compliance achieved	1/5/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/11/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/7/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	9/15/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	12/15/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/18/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Compliance achieved	5/4/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	7/30/2013	2013	AZ
St Compliance achieved	4/30/2008	2008	AZ

AZ0411066	1146	SOX
AZ0411066	1147	SOX
AZ0411066	1148	SOX
AZ0411066	1149	SIA
AZ0411066	1150	SIA
AZ0411066	1151	SIA
AZ0411066	1152	SIA
AZ0411066	1153	SIA
AZ0411066	1154	SIA
AZ0411066	1155	SIA
AZ0411066	1156	SIA
AZ0411066	1157	SIA
AZ0411066	1158	SIA
AZ0411066	1159	SIA
AZ0411066	1161	SIA
AZ0411066	1162	SIA
AZ0411066	1163	SIA
AZ0411066	1164	SIA
AZ0411066	1165	SIA
AZ0411066	1167	SIA
AZ0411066	1168	SIA
AZ0411066	1169	SIA
AZ0411066	1170	SIA
AZ0411066	1171	SIA
AZ0411066	1172	SIA
AZ0411066	1173	SIA
AZ0411066	1174	SIA
AZ0411066	1175	SIA
AZ0411066	1176	SIA
AZ0411066	1177	SIA
AZ0411066	1178	SIA
AZ0411066	1179	SIA
AZ0411066	1180	SIA
AZ0411066	1181	SIA
AZ0411066	1182	SOX
AZ0411066	1183	SOX
AZ0411069	656	SOX
AZ0411069	659	SOX
AZ0411069	660	SIA
AZ0411069	661	SOX
AZ0411069	662	SOX
AZ0411069	663	SIA
AZ0411070	839	SOX
AZ0411070	840	SIA
AZ0411070	841	SOX
AZ0411070	844	SOX
AZ0411070	845	SIA

St Compliance achieved	2/25/2008	2008	AZ
St Compliance achieved	7/5/2011	2011	AZ
St Compliance achieved	4/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Compliance achieved	1/2/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	2/25/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	12/16/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/7/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Compliance achieved	9/3/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ

AZ0411070	848	SIA
AZ0411070	849	SOX
AZ0411070	850	SOX
AZ0411070	851	SOX
AZ0411070	852	SIA
AZ0411070	853	SIA
AZ0411070	854	SOX
AZ0411070	855	SIA
AZ0411070	856	SIA
AZ0411070	861	SOX
AZ0411070	862	SOX
AZ0411070	863	SOX
AZ0411070	866	SIA
AZ0411070	867	SIA
AZ0411070	868	SIA
AZ0411070	869	SOX
AZ0411070	870	SIA
AZ0411070	871	SOX
AZ0411070	872	SIA
AZ0411070	873	SOX
AZ0411070	874	SIA
AZ0411070	875	SOX
AZ0411070	876	SIA
AZ0411070	877	SIA
AZ0411070	878	SOX
AZ0411070	879	SIA
AZ0411070	880	SOX
AZ0411070	881	SIA
AZ0411072	402	SOX
AZ0411072	403	SIA
AZ0411072	404	SOX
AZ0411072	405	SOX
AZ0411072	408	SOX
AZ0411072	409	SIA
AZ0411072	410	SIA
AZ0411072	413	SIA
AZ0411072	414	SOX
AZ0411072	415	SOX
AZ0411072	416	SIA
AZ0411072	417	SOX
AZ0411072	418	SOX
AZ0411072	419	SIA
AZ0411072	420	SIA
AZ0411072	421	SIA
AZ0411072	422	SOX
AZ0411072	423	SIA
AZ0411072	424	SIA

St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	3/26/2009	2009	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	6/24/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/4/2013	2013	AZ
St Violation/Reminder Notice	11/6/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	9/24/2009	2009	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ

AZ0411072	425	SOX
AZ0411072	426	SIA
AZ0411072	427	SIA
AZ0411072	428	SIA
AZ0411072	429	SOX
AZ0411072	430	SOX
AZ0411072	431	SOX
AZ0411074	4404	SOX
AZ0411074	4405	SOX
AZ0411074	4406	SOX
AZ0411074	4407	SOX
AZ0411074	4408	SOX
AZ0411074	4415	SOX
AZ0411074	4421	SO0
AZ0411076	10	SOX
AZ0411076	11	SIA
AZ0411076	12	SOX
AZ0411076	3	SOX
AZ0411076	4	SOX
AZ0411077	4061	SOX
AZ0411077	4062	SOX
AZ0411077	4063	SOX
AZ0411077	4064	SOX
AZ0411077	4065	SOX
AZ0411077	4068	SOX
AZ0411077	4069	SOX
AZ0411077	4070	SIA
AZ0411077	4071	SOX
AZ0411077	4073	SIA
AZ0411077	4074	SOX
AZ0411077	4075	SOX
AZ0411077	4076	SOX
AZ0411077	4078	SOX
AZ0411077	4079	SIA
AZ0411077	4080	SOX
AZ0411077	4082	SIA
AZ0411077	4083	SOX
AZ0411077	4084	SIA
AZ0411077	4085	SOX
AZ0411078	225	SIA
AZ0411078	227	SOX
AZ0411078	228	SOX
AZ0411078	230	SOX
AZ0411078	231	SOX
AZ0411078	232	SIA
AZ0411078	233	SOX
AZ0411078	234	SOX

St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	11/6/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/20/2014	2014	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
State No Longer Subject to Rule	9/2/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	7/9/2010	2010	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	10/11/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	1/11/2010	2010	AZ
St Compliance achieved	1/7/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/7/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/2/2011	2011	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	11/26/2007	2007	AZ
St Compliance achieved	4/11/2008	2008	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/15/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ

AZ0411078	235	SIA
AZ0411078	236	SIA
AZ0411078	237	SOX
AZ0411078	238	SOX
AZ0411078	240	SOX
AZ0411078	241	SOX
AZ0411078	242	SIA
AZ0411078	244	SIA
AZ0411078	245	SIA
AZ0411078	246	SOX
AZ0411078	247	SOX
AZ0411078	248	SIA
AZ0411078	250	SOX
AZ0411079	1417	SOX
AZ0411079	1418	SIA
AZ0411079	1419	SOX
AZ0411079	1420	SOX
AZ0411079	1423	SOX
AZ0411079	1425	SIA
AZ0411079	1427	SIA
AZ0411079	1428	SIA
AZ0411079	1430	SIA
AZ0411079	1431	SIA
AZ0411079	1433	SIA
AZ0411079	1434	SOX
AZ0411079	1435	SIA
AZ0411079	1437	SIA
AZ0411079	1439	SIA
AZ0411079	1440	SIA
AZ0411079	1441	SIA
AZ0411079	1444	SIA
AZ0411079	1445	SIA
AZ0411079	1446	SOX
AZ0411079	1447	SOX
AZ0411079	1448	SOX
AZ0411079	1449	SOX
AZ0411079	1450	SIA
AZ0411079	1451	SOX
AZ0411079	1454	SIA
AZ0411079	1455	SIA
AZ0411079	1456	SOX
AZ0411079	1458	SIA
AZ0411079	1459	SIA
AZ0411079	1460	SIA
AZ0411079	1462	SIA
AZ0411079	1463	SOX
AZ0411079	1464	SOX

St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	4/23/2010	2010	AZ
St Compliance achieved	5/5/2011	2011	AZ
St Compliance achieved	6/1/2011	2011	AZ
St Compliance achieved	10/19/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	7/3/2014	2014	AZ
St Compliance achieved	10/6/2010	2010	AZ
St Compliance achieved	5/3/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/21/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Compliance achieved	12/22/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	6/2/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/5/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ

AZ0411079	1465	SOX
AZ0411079	1466	SOX
AZ0411079	1467	SOX
AZ0411079	1468	SIA
AZ0411079	1469	SIA
AZ0411079	1473	SOX
AZ0411079	1476	SIA
AZ0411079	1477	SOX
AZ0411079	1478	SIA
AZ0411079	1480	SIA
AZ0411079	1481	SOX
AZ0411079	1482	SIA
AZ0411079	1483	SOX
AZ0411079	1484	SOX
AZ0411079	1485	SIA
AZ0411079	1486	SIA
AZ0411079	1487	SIA
AZ0411079	1488	SOX
AZ0411079	1489	SOX
AZ0411079	1490	SOX
AZ0411079	1491	SOX
AZ0411079	1492	SIA
AZ0411079	1493	SOX
AZ0411079	1494	SIA
AZ0411079	1495	SIA
AZ0411079	1496	SOX
AZ0411079	1497	SOX
AZ0411079	1498	SOX
AZ0411079	1499	SIA
AZ0411079	1500	SIA
AZ0411079	1501	SOX
AZ0411079	1502	SIA
AZ0411079	1504	SIA
AZ0411079	1505	SIA
AZ0411079	1507	SIA
AZ0411079	1508	SOX
AZ0411079	1509	SIA
AZ0411079	1510	SOX
AZ0411079	1511	SOX
AZ0411079	1512	SOX
AZ0411079	1513	SIA
AZ0411079	1515	SOX
AZ0411079	1516	SIA
AZ0411079	1517	SOX
AZ0411079	1518	SIA
AZ0411079	1519	SOX
AZ0411079	1520	SOX

St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Compliance achieved	12/11/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/4/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/6/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	7/21/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/9/2010	2010	AZ
St Compliance achieved	11/5/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/9/2011	2011	AZ
St Compliance achieved	2/9/2011	2011	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Compliance achieved	9/27/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	2/16/2012	2012	AZ
St Compliance achieved	4/17/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/11/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	10/30/2009	2009	AZ
St Compliance achieved	8/26/2013	2013	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Violation/Reminder Notice	7/16/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	4/10/2014	2014	AZ

AZ0411079	1521	SIA
AZ0411079	1522	SOX
AZ0411085	808	SOX
AZ0411085	813	SOX
AZ0411085	821	SOX
AZ0411085	823	SOX
AZ0411085	824	SOX
AZ0411085	826	SOX
AZ0411085	836	SOX
AZ0411085	837	SIA
AZ0411085	839	SIA
AZ0411085	840	SOX
AZ0411085	841	SIA
AZ0411085	844	SIA
AZ0411085	848	SOX
AZ0411085	851	SIA
AZ0411085	853	SOX
AZ0411085	855	SIA
AZ0411085	856	SIA
AZ0411085	857	SOX
AZ0411085	859	SOX
AZ0411085	860	SIA
AZ0411085	861	SIA
AZ0411085	862	SOX
AZ0411085	863	SIA
AZ0411085	864	SIA
AZ0411085	865	SIA
AZ0411085	867	SIA
AZ0411085	868	SIA
AZ0411085	869	SOX
AZ0411085	870	SIA
AZ0411085	871	SFJ
AZ0411085	872	SOX
AZ0411085	873	SOX
AZ0411085	874	SOX
AZ0411085	875	SIA
AZ0411085	877	SOX
AZ0411086	2876	SIA
AZ0411086	2877	SOX
AZ0411086	2878	SOX
AZ0411086	2879	SIA
AZ0411086	2881	SIA
AZ0411086	2882	SIA
AZ0411086	2884	SIA
AZ0411086	2885	SIA
AZ0411086	2887	SIA
AZ0411086	2888	SIA

St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/24/2014	2014	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	11/14/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	12/31/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Compliance achieved	5/24/2013	2013	AZ
St Compliance achieved	4/24/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ

AZ0411086	2890	SIA
AZ0411086	2891	SIA
AZ0411086	2894	SIA
AZ0411086	2895	SO0
AZ0411086	2896	SO0
AZ0411086	2897	SIA
AZ0411086	2898	SOX
AZ0411086	2899	SOX
AZ0411086	2900	SIA
AZ0411086	2901	SOX
AZ0411087	2408	SOX
AZ0411087	2454	SIA
AZ0411087	2455	SIA
AZ0411087	2456	SOX
AZ0411087	2457	SOX
AZ0411087	2458	SIA
AZ0411087	2459	SOX
AZ0411087	2460	SIA
AZ0411087	2463	SOX
AZ0411087	2464	SOX
AZ0411087	2465	SOX
AZ0411087	2466	SOX
AZ0411087	2467	SIA
AZ0411087	2468	SIA
AZ0411087	2469	SIA
AZ0411087	2471	SIA
AZ0411087	2472	SIA
AZ0411087	2473	SOX
AZ0411087	2474	SIA
AZ0411087	2475	SOX
AZ0411087	2476	SOX
AZ0411087	2477	SOX
AZ0411087	2478	SIA
AZ0411087	2479	SIA
AZ0411087	2483	SFL
AZ0411087	2484	SOX
AZ0411087	2487	SIB
AZ0411087	2488	SIA
AZ0411087	2489	SIA
AZ0411087	2491	SIA
AZ0411087	2492	SIA
AZ0411087	2495	SOX
AZ0411087	2496	SOX
AZ0411087	2498	SOX
AZ0411087	2499	SOX
AZ0411087	2500	SOX
AZ0411087	2501	SIA

St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
State No Longer Subject to Rule	10/29/2008	2008	AZ
State No Longer Subject to Rule	9/30/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	3/26/2009	2009	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	9/12/2014	2014	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	6/23/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Compliance achieved	11/24/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St AO (w/o penalty) issued	2/26/2010	2010	AZ
St Compliance achieved	3/19/2010	2010	AZ
St Compliance Meeting conducted	4/13/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	5/24/2010	2010	AZ
St Compliance achieved	11/30/2010	2010	AZ
St Compliance achieved	6/15/2010	2010	AZ
St Compliance achieved	6/25/2010	2010	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ

AZ0411087	2502	SIA
AZ0411087	2503	SOX
AZ0411087	2505	SOX
AZ0411087	2506	SIA
AZ0411087	2507	SIA
AZ0411087	2508	SOX
AZ0411087	2509	SOX
AZ0411087	2510	SOX
AZ0411087	2511	SIA
AZ0411087	2512	SFJ
AZ0411087	2515	SIA
AZ0411087	2516	SOX
AZ0411087	2517	SIA
AZ0411087	2518	SFL
AZ0411087	2519	SIA
AZ0411087	2520	SOX
AZ0411087	2521	SOX
AZ0411087	2523	SOX
AZ0411087	2524	SIA
AZ0411087	2526	SOX
AZ0411087	2527	SFL
AZ0411087	2528	SIA
AZ0411087	2529	SOX
AZ0411087	2531	SIA
AZ0411087	2532	SOX
AZ0411087	2534	SIA
AZ0411087	2535	SIA
AZ0411087	2536	SOX
AZ0411087	2537	SOX
AZ0411089	3743	SOX
AZ0411089	3744	SOX
AZ0411089	3746	SOX
AZ0411089	3748	SOX
AZ0411089	3749	SOX
AZ0411089	3750	SOX
AZ0411089	3751	SOX
AZ0411089	3752	SOX
AZ0411089	3754	SOX
AZ0411089	3755	SIA
AZ0411089	3756	SOX
AZ0411089	3757	SOX
AZ0411089	3758	SIA
AZ0411089	3760	SIA
AZ0411089	3761	SIA
AZ0411089	3762	SFJ
AZ0411089	3763	SOX
AZ0411089	3764	SOX

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	9/19/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Compliance achieved	5/3/2012	2012	AZ
St Compliance achieved	5/16/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Formal NOV issued	5/23/2012	2012	AZ
St Violation/Reminder Notice	4/6/2012	2012	AZ
St Compliance achieved	6/13/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St AO (w/o penalty) issued	6/7/2010	2010	AZ
St Violation/Reminder Notice	8/2/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	11/15/2011	2011	AZ
St AO (w/o penalty) issued	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	7/8/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	2/20/2014	2014	AZ
St Compliance achieved	6/10/2014	2014	AZ
St Compliance achieved	6/10/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/24/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ

AZ0411089	3765	SIA
AZ0411089	3766	SOX
AZ0411089	3767	SO0
AZ0411089	3769	SIA
AZ0411089	3770	SIA
AZ0411089	3771	SO0
AZ0411089	3772	SOX
AZ0411089	3773	SO0
AZ0411089	3774	SOX
AZ0411089	3775	SOX
AZ0411090	706	SOX
AZ0411090	732	SOX
AZ0411090	733	SIA
AZ0411090	736	SIA
AZ0411090	737	SOX
AZ0411090	738	SOX
AZ0411090	739	SIA
AZ0411090	740	SOX
AZ0411090	742	SIA
AZ0411090	743	SOX
AZ0411090	746	SOX
AZ0411090	747	SOX
AZ0411090	748	SOX
AZ0411090	749	SOX
AZ0411090	750	SIA
AZ0411092	1653	SOX
AZ0411092	1654	SOX
AZ0411092	1655	SIA
AZ0411092	1656	SIA
AZ0411092	1658	SOX
AZ0411092	1659	SIA
AZ0411092	1660	SIA
AZ0411092	1661	SIA
AZ0411092	1662	SIA
AZ0411092	1663	SIA
AZ0411092	1664	SOX
AZ0411092	1665	SOX
AZ0411092	1666	SIA
AZ0411092	1667	SIA
AZ0411092	1668	SOX
AZ0411092	1669	SOX
AZ0411092	1670	SOX
AZ0411092	1671	SOX
AZ0411092	1673	SIA
AZ0411092	1674	SOX
AZ0411092	1675	SOX
AZ0411092	1676	SIA

St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/25/2009	2009	AZ
State No Longer Subject to Rule	4/15/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
State No Longer Subject to Rule	2/1/2009	2009	AZ
St Compliance achieved	4/26/2011	2011	AZ
State No Longer Subject to Rule	10/4/2011	2011	AZ
St Compliance achieved	11/24/2009	2009	AZ
St Compliance achieved	4/26/2011	2011	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	5/3/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	7/21/2007	2007	AZ
St Compliance achieved	12/22/2008	2008	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	7/16/2010	2010	AZ
St Compliance achieved	10/29/2010	2010	AZ
St Compliance achieved	9/28/2011	2011	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	8/26/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	1/21/2011	2011	AZ
St Compliance achieved	2/14/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Compliance achieved	5/3/2011	2011	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Compliance achieved	9/19/2012	2012	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ

AZ0411097	3206	SOX
AZ0411097	3358	SOX
AZ0411097	3359	SIA
AZ0411097	3365	SOX
AZ0411097	3369	SOX
AZ0411097	3370	SOX
AZ0411097	3371	SOX
AZ0411097	3372	SOX
AZ0411097	3373	SFJ
AZ0411097	3375	SOX
AZ0411097	3377	SOX
AZ0411097	3378	SOX
AZ0411097	3379	SOX
AZ0411097	3380	SIA
AZ0411097	3381	SOX
AZ0411097	3382	SIA
AZ0411097	3383	SIA
AZ0411097	3384	SOX
AZ0411097	3387	SOX
AZ0411097	3388	SOX
AZ0411097	3389	SIA
AZ0411097	3390	SIA
AZ0411097	3391	SOX
AZ0411097	3392	SIA
AZ0411097	3395	SIA
AZ0411097	3396	SIA
AZ0411097	3400	SOX
AZ0411097	3403	SOX
AZ0411097	3404	SFJ
AZ0411097	3405	SOX
AZ0411097	3406	SIA
AZ0411097	3407	SIA
AZ0411097	3408	SIA
AZ0411097	3410	SIA
AZ0411097	3411	SOX
AZ0411097	3412	SIA
AZ0411097	3413	SOX
AZ0411097	3414	SOX
AZ0411097	3415	SOX
AZ0411097	3416	SOX
AZ0411097	3417	SOX
AZ0411097	3418	SOX
AZ0411097	3419	SIA
AZ0411097	3420	SIA
AZ0411097	3421	SIA
AZ0411097	3422	SIA
AZ0411097	3423	SOX

St Compliance achieved	1/16/2007	2007	AZ
St Compliance achieved	3/1/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	6/18/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Formal NOV issued	7/20/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Compliance achieved	9/21/2007	2007	AZ
St Compliance achieved	10/17/2007	2007	AZ
St Compliance achieved	1/23/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	8/1/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Compliance achieved	12/23/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/11/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	6/23/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ

AZ0411097	3424	SOX
AZ0411097	3425	SOX
AZ0411097	3426	SOX
AZ0411097	3427	SOX
AZ0411097	3428	SOX
AZ0411097	3429	SOX
AZ0411097	3430	SIA
AZ0411097	3431	SIA
AZ0411097	3432	SOX
AZ0411097	3433	SOX
AZ0411097	3435	SOX
AZ0411097	3436	SIA
AZ0411097	3437	SOX
AZ0411097	3439	SIA
AZ0411097	3440	SOX
AZ0411097	3441	SIA
AZ0411097	3442	SIA
AZ0411097	3443	SFL
AZ0411097	3444	SOX
AZ0411097	3446	SO0
AZ0411097	3447	SOX
AZ0411097	3449	SIA
AZ0411097	3450	SOX
AZ0411097	3451	SOX
AZ0411097	3452	SOX
AZ0411097	3454	SIA
AZ0411100	3747	SOX
AZ0411100	3748	SOX
AZ0411100	3757	SIA
AZ0411100	3758	SOX
AZ0411100	3759	SOX
AZ0411100	3760	SIA
AZ0411100	3761	SIA
AZ0411100	3762	SFJ
AZ0411100	3763	SOX
AZ0411100	3764	SOX
AZ0411100	3765	SOX
AZ0411100	3766	SOX
AZ0411100	3767	SOX
AZ0411100	3768	SOX
AZ0411100	3770	SIA
AZ0411100	3771	SIA
AZ0411100	3772	SOX
AZ0411100	3773	SOX
AZ0411100	3774	SIA
AZ0411100	3776	SIA
AZ0411100	3777	SOX

St Compliance achieved	8/21/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Compliance achieved	10/26/2010	2010	AZ
St Compliance achieved	3/24/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	6/8/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/31/2012	2012	AZ
St Violation/Reminder Notice	5/1/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St AO (w/o penalty) issued	12/7/2010	2010	AZ
St Compliance achieved	8/15/2012	2012	AZ
State No Longer Subject to Rule	12/7/2010	2010	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Violation/Reminder Notice	6/25/2013	2013	AZ
St Compliance achieved	7/26/2013	2013	AZ
St Compliance achieved	12/3/2009	2009	AZ
St Compliance achieved	12/1/2010	2010	AZ
St Violation/Reminder Notice	5/13/2014	2014	AZ
St Compliance achieved	1/16/2008	2008	AZ
St Compliance achieved	2/3/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Formal NOV issued	7/20/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	9/17/2007	2007	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	6/12/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/1/2008	2008	AZ

AZ0411100	3779	SIA
AZ0411100	3781	SIA
AZ0411100	3782	SOX
AZ0411100	3783	SOX
AZ0411100	3784	SIA
AZ0411100	3785	SIA
AZ0411100	3788	SIA
AZ0411100	3789	SIA
AZ0411100	3792	SOX
AZ0411100	3793	SIA
AZ0411100	3794	SFJ
AZ0411100	3795	SIA
AZ0411100	3796	SIA
AZ0411100	3797	SIA
AZ0411100	3799	SIA
AZ0411100	3800	SIA
AZ0411100	3802	SIA
AZ0411100	3806	SOX
AZ0411100	3807	SIA
AZ0411100	3808	SOX
AZ0411100	3809	SOX
AZ0411100	3810	SIA
AZ0411100	3812	SOX
AZ0411100	3813	SOX
AZ0411100	3814	SOX
AZ0411100	3815	SIA
AZ0411100	3816	SIA
AZ0411100	3817	SIA
AZ0411100	3819	SOX
AZ0411100	3820	SIA
AZ0411100	3821	SFL
AZ0411100	3822	SIA
AZ0411100	3823	SIA
AZ0411100	3824	SIA
AZ0411100	3825	SOX
AZ0411100	3826	SIA
AZ0411100	3827	SIA
AZ0411100	3828	SIA
AZ0411100	3829	SIA
AZ0411100	3830	SIA
AZ0411100	3831	SOX
AZ0411100	3832	SOX
AZ0411100	3834	SIA
AZ0411100	3836	SIA
AZ0411100	3837	SIA
AZ0411100	3838	SOX
AZ0411100	3839	SIA

St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	9/15/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	12/18/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/27/2010	2010	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	7/15/2009	2009	AZ
St Compliance achieved	7/20/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Compliance achieved	12/23/2009	2009	AZ
St Compliance achieved	1/22/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/24/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St AO (w/o penalty) issued	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	12/9/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	6/1/2011	2011	AZ
St Violation/Reminder Notice	6/6/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ

AZ0411100	3840	SIA
AZ0411100	3841	SOX
AZ0411100	3842	SFL
AZ0411100	3843	SOX
AZ0411100	3844	SOX
AZ0411100	3845	SOX
AZ0411100	3846	SIA
AZ0411100	3847	SIA
AZ0411100	3848	SIA
AZ0411100	3849	SIA
AZ0411100	3850	SOX
AZ0411100	3851	SIA
AZ0411100	3852	SIA
AZ0411100	3853	SIA
AZ0411100	3854	SIA
AZ0411100	3855	SIA
AZ0411100	3856	SIA
AZ0411100	3857	SIA
AZ0411100	3858	SOX
AZ0411100	3859	SOX
AZ0411100	3860	SOX
AZ0411100	3861	SIA
AZ0411100	3862	SIA
AZ0411100	3863	SIA
AZ0411100	3864	SIA
AZ0411100	3865	SIA
AZ0411100	3866	SIA
AZ0411100	3867	SIA
AZ0411100	3868	SIA
AZ0411100	3869	SIA
AZ0411100	3870	SOX
AZ0411100	3871	SOX
AZ0411100	3872	SOX
AZ0411100	3873	SIA
AZ0411100	3874	SOX
AZ0411100	3875	SIA
AZ0411100	3876	SOX
AZ0411100	3877	SOX
AZ0411100	3878	SIA
AZ0411100	3879	SIA
AZ0411100	3880	SOX
AZ0411100	3881	SIA
AZ0411100	3882	SOX
AZ0411100	3883	SOX
AZ0411100	3884	SOX
AZ0411100	3885	SIA
AZ0411106	4380	SOX

St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ
St AO (w/o penalty) issued	2/27/2012	2012	AZ
St Compliance achieved	4/18/2012	2012	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Compliance achieved	5/10/2012	2012	AZ
St Violation/Reminder Notice	5/9/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	11/19/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	9/25/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	3/20/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Compliance achieved	7/16/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	8/27/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/6/2014	2014	AZ
St Compliance achieved	8/27/2013	2013	AZ
St Compliance achieved	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Compliance achieved	8/28/2007	2007	AZ

AZ0411106	4392	SOX
AZ0411106	4393	SIA
AZ0411106	4394	SOX
AZ0411106	4395	SOX
AZ0411106	4396	SOX
AZ0411106	4397	SOX
AZ0411106	4398	SOX
AZ0411106	4399	SIA
AZ0411106	4401	SIA
AZ0411106	4402	SIA
AZ0411106	4404	SIA
AZ0411106	4405	SIA
AZ0411106	4407	SIA
AZ0411106	4408	SOX
AZ0411106	4409	SIA
AZ0411106	4412	SIA
AZ0411106	4413	SIA
AZ0411106	4415	SOX
AZ0411106	4416	SIA
AZ0411106	4418	SIA
AZ0411106	4419	SIA
AZ0411106	4420	SOX
AZ0411106	4421	SOX
AZ0411106	4423	SIA
AZ0411106	4425	SIA
AZ0411106	4429	SOX
AZ0411106	4430	SIA
AZ0411106	4431	SIA
AZ0411106	4433	SIA
AZ0411106	4434	SOX
AZ0411106	4435	SOX
AZ0411106	4436	SOX
AZ0411106	4437	SIA
AZ0411106	4438	SOX
AZ0411106	4439	SIA
AZ0411106	4440	SIA
AZ0411106	4441	SOX
AZ0411106	4442	SOX
AZ0411106	4444	SIA
AZ0411106	4445	SOX
AZ0411106	4446	SOX
AZ0411107	10283	SIA
AZ0411107	10284	SOX
AZ0411107	10286	SOX
AZ0411107	10287	SOX
AZ0411107	10288	SOX
AZ0411107	10289	SOX

St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/22/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	7/23/2007	2007	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/28/2009	2009	AZ
St Violation/Reminder Notice	6/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Compliance achieved	8/4/2011	2011	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	8/15/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/16/2008	2008	AZ

AZ0411107	10290	SOX
AZ0411107	10292	SO0
AZ0411107	10294	SOX
AZ0411107	10295	SIA
AZ0411107	10296	SIA
AZ0411107	10297	SOX
AZ0411107	10298	SOX
AZ0411107	10300	SOX
AZ0411107	10303	SO0
AZ0411107	10304	SOX
AZ0411109	1300	SOX
AZ0411109	1301	SOX
AZ0411109	1302	SIA
AZ0411109	1304	SOX
AZ0411109	1305	SOX
AZ0411109	1306	SOX
AZ0411109	1307	SOX
AZ0411109	1308	SIA
AZ0411109	1309	SOX
AZ0411109	1310	SIA
AZ0411109	1311	SOX
AZ0411109	1313	SIA
AZ0411109	1315	SIA
AZ0411109	1316	SIA
AZ0411109	1317	SOX
AZ0411109	1318	SOX
AZ0411109	1319	SIA
AZ0411109	1320	SIA
AZ0411109	1321	SIA
AZ0411109	1322	SOX
AZ0411109	1323	SOX
AZ0411109	1324	SIA
AZ0411109	1325	SOX
AZ0411109	1326	SIA
AZ0411109	1327	SIA
AZ0411109	1328	SIA
AZ0411109	1329	SIA
AZ0411109	1330	SIA
AZ0411109	1331	SIA
AZ0411109	1332	SIA
AZ0411109	1333	SFJ
AZ0411109	1334	SIA
AZ0411109	1335	SIA
AZ0411109	1336	SIA
AZ0411109	1337	SIA
AZ0411109	1338	SIA
AZ0411109	1339	SIA

St Compliance achieved	8/13/2008	2008	AZ
State No Longer Subject to Rule	12/4/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	4/7/2008	2008	AZ
St Compliance achieved	1/14/2008	2008	AZ
State No Longer Subject to Rule	10/10/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	3/23/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/20/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	10/26/2009	2009	AZ
St Compliance achieved	10/26/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Formal NOV issued	1/30/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ

AZ0411111	1598	SOX
AZ0411111	1600	SOX
AZ0411111	1602	SIA
AZ0411111	1603	SIA
AZ0411111	1606	SIA
AZ0411111	1607	SOX
AZ0411111	1608	SIA
AZ0411111	1609	SIA
AZ0411111	1611	SIA
AZ0411111	1612	SIA
AZ0411111	1614	SIA
AZ0411111	1618	SOX
AZ0411111	1619	SIA
AZ0411111	1620	SIA
AZ0411111	1622	SIA
AZ0411111	1623	SOX
AZ0411111	1624	SOX
AZ0411111	1625	SOX
AZ0411111	1626	SOX
AZ0411111	1627	SOX
AZ0411111	1628	SOX
AZ0411111	1629	SOX
AZ0411111	1630	SIA
AZ0411111	1631	SIA
AZ0411111	1632	SIA
AZ0411111	1634	SIA
AZ0411111	1635	SOX
AZ0411111	1637	SOX
AZ0411111	1638	SOX
AZ0411111	1639	SIA
AZ0411111	1640	SOX
AZ0411111	1641	SOX
AZ0411111	1642	SIA
AZ0411111	1643	SOX
AZ0411111	1644	SOX
AZ0411111	1645	SIA
AZ0411111	1646	SIA
AZ0411111	1649	SIA
AZ0411111	1653	SOX
AZ0411111	1654	SIA
AZ0411111	1657	SOX
AZ0411111	1658	SOX
AZ0411111	1659	SIA
AZ0411115	6059	SOX
AZ0411115	6060	SOX
AZ0411115	6061	SOX
AZ0411115	6074	SOX

St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/14/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Compliance achieved	11/13/2009	2009	AZ
St Compliance achieved	12/9/2009	2009	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Compliance achieved	8/3/2012	2012	AZ
St Violation/Reminder Notice	10/23/2012	2012	AZ
St Compliance achieved	6/6/2011	2011	AZ
St Compliance achieved	10/21/2012	2012	AZ
St Violation/Reminder Notice	3/21/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Violation/Reminder Notice	12/24/2013	2013	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Compliance achieved	5/9/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Compliance achieved	11/2/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	7/9/2007	2007	AZ
St Compliance achieved	2/12/2007	2007	AZ

AZ0411115	6076	SOX
AZ0411115	6077	SOX
AZ0411115	6081	SOX
AZ0411115	6082	SOX
AZ0411115	6085	SIA
AZ0411115	6088	SIA
AZ0411115	6089	SOX
AZ0411115	6091	SIA
AZ0411115	6092	SIA
AZ0411115	6094	SIA
AZ0411115	6098	SOX
AZ0411115	6101	SIA
AZ0411115	6102	SOX
AZ0411115	6103	SIA
AZ0411115	6107	SOX
AZ0411115	6108	SOX
AZ0411115	6109	SOX
AZ0411115	6110	SIA
AZ0411115	6114	SIA
AZ0411115	6116	SOX
AZ0411115	6117	SIA
AZ0411115	6119	SIA
AZ0411115	6120	SIA
AZ0411115	6121	SIA
AZ0411115	6122	SOX
AZ0411115	6123	SIA
AZ0411115	6124	SOX
AZ0411115	6125	SIA
AZ0411115	6126	SIA
AZ0411115	6127	SOX
AZ0411115	6128	SOX
AZ0411115	6129	SIA
AZ0411115	6131	SIA
AZ0411115	6132	SIA
AZ0411115	6133	SOX
AZ0411115	6134	SO0
AZ0411115	6135	SOX
AZ0411115	6136	SIA
AZ0411115	6137	SIA
AZ0411115	6138	SIA
AZ0411115	6139	SOX
AZ0411115	6160	SOX
AZ0411115	6161	SOX
AZ0411115	6162	SOX
AZ0411115	6163	SIA
AZ0411115	6164	SIA
AZ0411115	6165	SOX

St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	9/21/2007	2007	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/7/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Compliance achieved	3/4/2010	2010	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Compliance achieved	5/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Compliance achieved	11/10/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	2/23/2012	2012	AZ
State No Longer Subject to Rule	2/24/2012	2012	AZ
St Compliance achieved	2/24/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/10/2013	2013	AZ
St Compliance achieved	7/29/2008	2008	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Compliance achieved	4/26/2012	2012	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	6/21/2013	2013	AZ

AZ0411115	6166	SOX
AZ0411115	6167	SOX
AZ0411115	6168	SIA
AZ0411115	6169	SOX
AZ0411115	6170	SIA
AZ0411115	6171	SOX
AZ0411115	6172	SIA
AZ0411115	6173	SOX
AZ0411117	18987	SOX
AZ0411117	18988	SOX
AZ0411117	18989	SOX
AZ0411117	18991	SOX
AZ0411117	18992	SIA
AZ0411117	18993	SIA
AZ0411117	18994	SIA
AZ0411117	18997	SIA
AZ0411117	18998	SOX
AZ0411117	18999	SOX
AZ0411117	19000	SOX
AZ0411117	19001	SOX
AZ0411117	19002	SIA
AZ0411117	19003	SOX
AZ0411117	19004	SO0
AZ0411117	20336	SIA
AZ0411117	20337	SOX
AZ0411117	20338	SIA
AZ0411117	20339	SFJ
AZ0411117	20340	SOX
AZ0411117	20341	SIA
AZ0411117	20342	SO0
AZ0411117	20343	SOX
AZ0411117	20346	SIA
AZ0411117	20347	SIA
AZ0411117	20348	SIA
AZ0411117	20349	SIA
AZ0411117	20350	SIA
AZ0411117	20351	SIA
AZ0411117	20352	SIA
AZ0411117	20353	SIA
AZ0411117	20354	SIA
AZ0411117	20355	SIA
AZ0411117	20356	SIA
AZ0411117	20357	SIA
AZ0411117	20358	SIA
AZ0411117	20359	SIA
AZ0411117	20360	SIA
AZ0411117	20361	SIA

St Compliance achieved	10/16/2013	2013	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Violation/Reminder Notice	2/28/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	3/14/2014	2014	AZ
St Violation/Reminder Notice	7/1/2014	2014	AZ
St Compliance achieved	7/10/2014	2014	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	10/19/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	11/6/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/21/2008	2008	AZ
State No Longer Subject to Rule	1/6/2009	2009	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Formal NOV issued	9/20/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	11/20/2012	2012	AZ
State No Longer Subject to Rule	11/27/2012	2012	AZ
St Compliance achieved	12/5/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0411117	20362	SIA
AZ0411117	20363	SIA
AZ0411117	20364	SIA
AZ0411117	20365	SIA
AZ0411117	20366	SOX
AZ0411117	20368	SIA
AZ0411117	20372	SOX
AZ0411117	20373	SOX
AZ0411117	20374	SOX
AZ0411117	20376	SOX
AZ0411117	20377	SOX
AZ0411117	20378	SOX
AZ0411117	20379	SOX
AZ0411117	20380	SOX
AZ0411117	20381	SOX
AZ0411117	20382	SIA
AZ0411117	20383	SIA
AZ0411117	20384	SIA
AZ0411117	20385	SIA
AZ0411117	20386	SIA
AZ0411117	20387	SIA
AZ0411117	20388	SIA
AZ0411117	20389	SIA
AZ0411117	20390	SIA
AZ0411117	20391	SIA
AZ0411117	20392	SIA
AZ0411117	20393	SIA
AZ0411117	20394	SIA
AZ0411117	20395	SIA
AZ0411117	20396	SIA
AZ0411117	20397	SIA
AZ0411117	20398	SIA
AZ0411117	20399	SIA
AZ0411117	20400	SIA
AZ0411117	20401	SIA
AZ0411117	20402	SOX
AZ0411119	910	SOX
AZ0411119	911	SOX
AZ0411119	912	SOX
AZ0411119	913	SIA
AZ0411119	914	SOX
AZ0411119	915	SIA
AZ0411119	916	SOX
AZ0411123	3304	SFJ
AZ0411123	3305	SIA
AZ0411123	3307	SOX
AZ0411123	3308	SOX

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Violation/Reminder Notice	5/3/2013	2013	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	8/3/2009	2009	AZ
St Compliance achieved	9/17/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Compliance achieved	4/30/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/18/2009	2009	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Formal NOV issued	5/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ

AZ0411123	3309	SIA
AZ0411123	3310	SOX
AZ0411123	3311	SOX
AZ0411123	3312	SOX
AZ0411123	3313	SOX
AZ0411123	3314	SIA
AZ0411123	3315	SOX
AZ0411123	3316	SIA
AZ0411123	3317	SIA
AZ0411123	3318	SIA
AZ0411123	3319	SIA
AZ0411123	3320	SIA
AZ0411123	3321	SIA
AZ0411123	3322	SIA
AZ0411123	3324	SIA
AZ0411123	3325	SIA
AZ0411123	3335	SOX
AZ0411123	3336	SO0
AZ0411123	3338	SIA
AZ0411123	3339	SOX
AZ0411123	3340	SOX
AZ0411123	3342	SO0
AZ0411123	3344	SOX
AZ0411123	3345	SO0
AZ0411126	1	SOX
AZ0411126	25	SIA
AZ0411126	26	SIA
AZ0411126	28	SOX
AZ0411126	29	SIA
AZ0411126	30	SOX
AZ0411126	31	SIA
AZ0411126	33	SOX
AZ0411126	34	SIA
AZ0411126	35	SOX
AZ0411128	3305	SIA
AZ0411128	3369	SOX
AZ0411128	3370	SOX
AZ0411128	3371	SOX
AZ0411128	3372	SOX
AZ0411128	3374	SOX
AZ0411128	3375	SOX
AZ0411128	3376	SFJ
AZ0411128	3377	SIA
AZ0411128	3380	SIA
AZ0411128	3382	SOX
AZ0411128	3384	SIA
AZ0411128	3385	SOX

St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/28/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
State No Longer Subject to Rule	7/27/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Compliance achieved	12/29/2009	2009	AZ
St Compliance achieved	9/30/2011	2011	AZ
State No Longer Subject to Rule	6/14/2012	2012	AZ
St Compliance achieved	7/8/2007	2007	AZ
State No Longer Subject to Rule	11/1/2008	2008	AZ
St Compliance achieved	1/10/2007	2007	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	3/2/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/10/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	8/13/2013	2013	AZ
St Violation/Reminder Notice	1/14/2011	2011	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	1/24/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	10/1/2008	2008	AZ
St Formal NOV issued	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/14/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	2/17/2009	2009	AZ

AZ0411128	3386	SOX
AZ0411128	3387	SOX
AZ0411128	3388	SOX
AZ0411128	3390	SOX
AZ0411128	3392	SIA
AZ0411128	3395	SOX
AZ0411128	3396	SFJ
AZ0411128	3400	SOX
AZ0411128	3401	SFJ
AZ0411128	3402	SIA
AZ0411128	3404	SIA
AZ0411128	3405	SFJ
AZ0411128	3406	SIA
AZ0411128	3407	SOX
AZ0411128	3409	SO0
AZ0411128	3410	SIA
AZ0411128	3411	SIA
AZ0411128	3412	SOX
AZ0411128	3413	SOX
AZ0411128	3414	SFJ
AZ0411128	3415	SOX
AZ0411128	3416	SOX
AZ0411128	3418	SOX
AZ0411129	617	SOX
AZ0411129	618	SOX
AZ0411129	619	SIA
AZ0411129	620	SOX
AZ0411129	621	SIA
AZ0411129	622	SIA
AZ0411129	623	SIA
AZ0411129	624	SOX
AZ0411130	1	SOX
AZ0411130	25	SOX
AZ0411130	26	SIA
AZ0411130	27	SOX
AZ0411130	29	SOX
AZ0411130	30	SOX
AZ0411130	31	SOX
AZ0411130	32	SOX
AZ0411130	34	SIA
AZ0411130	37	SOX
AZ0411130	38	SOX
AZ0411130	40	SOX
AZ0411130	41	SIA
AZ0411130	45	SIA
AZ0411130	47	SIA
AZ0411130	49	SIA

St Compliance achieved	3/30/2009	2009	AZ
St Compliance achieved	4/22/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Formal NOV issued	10/2/2007	2007	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Formal NOV issued	10/9/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Formal NOV issued	11/29/2012	2012	AZ
St Violation/Reminder Notice	1/2/2013	2013	AZ
St Compliance achieved	11/5/2012	2012	AZ
State No Longer Subject to Rule	12/28/2012	2012	AZ
St Violation/Reminder Notice	4/15/2013	2013	AZ
St Violation/Reminder Notice	4/8/2013	2013	AZ
St Compliance achieved	4/15/2013	2013	AZ
St Compliance achieved	3/11/2013	2013	AZ
St Formal NOV issued	6/17/2013	2013	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/14/2009	2009	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	12/30/2013	2013	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	4/17/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Compliance achieved	12/10/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	9/3/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	10/28/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	3/25/2008	2008	AZ

AZ0411130	51	SOX
AZ0411130	52	SOX
AZ0411130	54	SOX
AZ0411131	116	SOX
AZ0411131	117	SOX
AZ0411131	118	SOX
AZ0411131	120	SOX
AZ0411131	121	SOX
AZ0411131	122	SOX
AZ0411131	123	SIA
AZ0411131	124	SOX
AZ0411132	414	SOX
AZ0411132	415	SIA
AZ0411132	416	SOX
AZ0411132	417	SOX
AZ0411132	419	SIA
AZ0411132	422	SIA
AZ0411132	423	SIA
AZ0411132	426	SOX
AZ0411132	427	SIA
AZ0411132	428	SOX
AZ0411132	429	SOX
AZ0411132	430	SIA
AZ0411132	432	SIA
AZ0411132	434	SOX
AZ0411132	435	SOX
AZ0411132	436	SIA
AZ0411132	437	SIA
AZ0411132	438	SFJ
AZ0411132	439	SIA
AZ0411132	440	SIA
AZ0411132	441	SIA
AZ0411132	442	SIA
AZ0411132	443	SIA
AZ0411132	444	SOX
AZ0411132	445	SOX
AZ0411132	446	SOX
AZ0411132	447	SOX
AZ0411132	448	SOX
AZ0411132	449	SOX
AZ0411132	450	SOX
AZ0411132	451	SOX
AZ0411132	452	SIA
AZ0411132	453	SIA
AZ0411135	1	SOX
AZ0411135	11	SIA
AZ0411135	12	SOX

St Compliance achieved	7/15/2008	2008	AZ
St Compliance achieved	4/6/2011	2011	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	8/21/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Compliance achieved	10/5/2010	2010	AZ
St Compliance achieved	10/11/2011	2011	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	9/10/2014	2014	AZ
St Compliance achieved	2/16/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	11/12/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	12/23/2009	2009	AZ
St Violation/Reminder Notice	3/20/2012	2012	AZ
St Violation/Reminder Notice	2/16/2012	2012	AZ
St Formal NOV issued	9/18/2012	2012	AZ
St Violation/Reminder Notice	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/19/2013	2013	AZ
St Violation/Reminder Notice	2/7/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/8/2014	2014	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	7/26/2010	2010	AZ

AZ0411135	13	SIA
AZ0411135	14	SOX
AZ0411135	17	SIA
AZ0411135	18	SIA
AZ0411135	19	SOX
AZ0411135	20	SIA
AZ0411135	22	SIA
AZ0411135	23	SOX
AZ0411135	24	SOX
AZ0411135	25	SOX
AZ0411135	26	SOX
AZ0411135	3	SIA
AZ0411135	4	SOX
AZ0411135	6	SOX
AZ0411135	7	SIA
AZ0411135	8	SIA
AZ0411136	1	SOX
AZ0411136	10	SIA
AZ0411136	11	SOX
AZ0411136	12	SIA
AZ0411136	13	SIA
AZ0411136	14	SOX
AZ0411136	15	SOX
AZ0411136	17	SIA
AZ0411136	19	SOX
AZ0411136	2	SOX
AZ0411136	20	SOX
AZ0411136	3	SIA
AZ0411136	4	SOX
AZ0411136	5	SIA
AZ0411136	7	SIA
AZ0411138	1	SOX
AZ0411138	11	SIA
AZ0411138	12	SIA
AZ0411138	13	SOX
AZ0411138	17	SIA
AZ0411138	19	SIA
AZ0411138	2	SOX
AZ0411138	20	SIA
AZ0411138	22	SIA
AZ0411138	23	SOX
AZ0411138	24	SOX
AZ0411138	25	SOX
AZ0411138	26	SO0
AZ0411138	28	SOX
AZ0411138	29	SOX
AZ0411138	3	SOX

St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	7/2/2009	2009	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/1/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	7/27/2010	2010	AZ
St Compliance achieved	7/1/2011	2011	AZ
St Compliance achieved	6/26/2013	2013	AZ
St Compliance achieved	2/20/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/27/2008	2008	AZ
St Compliance achieved	10/17/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	7/22/2009	2009	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	2/11/2011	2011	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	9/2/2008	2008	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	8/20/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Compliance achieved	7/13/2009	2009	AZ
State No Longer Subject to Rule	7/13/2009	2009	AZ
St Compliance achieved	11/2/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	1/14/2008	2008	AZ

AZ0411138	4	SIA
AZ0411138	5	SOX
AZ0411138	6	SIA
AZ0411138	7	SIA
AZ0411138	8	SOX
AZ0411138	9	SIA
AZ0411139	1	SIA
AZ0411139	10	SOX
AZ0411139	11	SIA
AZ0411139	12	SIA
AZ0411139	14	SIA
AZ0411139	15	SIA
AZ0411139	17	SIA
AZ0411139	18	SOX
AZ0411139	19	SOX
AZ0411139	2	SOX
AZ0411139	21	SIA
AZ0411139	23	SIA
AZ0411139	24	SO0
AZ0411139	27	SOX
AZ0411139	28	SOX
AZ0411139	29	SOX
AZ0411139	3	SOX
AZ0411139	4	SIA
AZ0411139	5	SOX
AZ0411139	6	SIA
AZ0411139	8	SIA
AZ0411139	9	SIA
AZ0411151	1	SOX
AZ0411151	2	SIA
AZ0411160	1	SOX
AZ0411160	10	SIA
AZ0411160	11	SOX
AZ0411160	12	SOX
AZ0411160	15	SOX
AZ0411160	16	SIA
AZ0411160	17	SOX
AZ0411160	3	SOX
AZ0411160	4	SIA
AZ0411160	6	SOX
AZ0411160	7	SIA
AZ0411160	8	SIA
AZ0411160	9	SOX
AZ0411185	1	SIA
AZ0411185	10	SOX
AZ0411185	11	SIA
AZ0411185	12	SIA

St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/23/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
State No Longer Subject to Rule	6/1/2009	2009	AZ
St Compliance achieved	7/29/2008	2008	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	9/23/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/10/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/5/2009	2009	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/14/2012	2012	AZ
St Compliance achieved	3/20/2013	2013	AZ
St Compliance achieved	6/8/2011	2011	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	2/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ

AZ0411185	13	SIA
AZ0411185	14	SOX
AZ0411185	15	SIA
AZ0411185	16	SIA
AZ0411185	17	SIA
AZ0411185	18	SIA
AZ0411185	19	SIA
AZ0411185	2	SIA
AZ0411185	20	SIA
AZ0411185	21	SIA
AZ0411185	22	SIA
AZ0411185	23	SIA
AZ0411185	24	SIA
AZ0411185	25	SOX
AZ0411185	26	SIA
AZ0411185	27	SOX
AZ0411185	28	SOX
AZ0411185	29	SFJ
AZ0411185	3	SOX
AZ0411185	30	SIA
AZ0411185	31	SIA
AZ0411185	32	SIA
AZ0411185	34	SIA
AZ0411185	36	SIA
AZ0411185	37	SIA
AZ0411185	38	SIA
AZ0411185	39	SIA
AZ0411185	4	SOX
AZ0411185	40	SIA
AZ0411185	41	SIA
AZ0411185	42	SIA
AZ0411185	43	SOX
AZ0411185	44	SIA
AZ0411185	45	SIA
AZ0411185	46	SOX
AZ0411185	47	SOX
AZ0411185	48	SOX
AZ0411185	50	SIA
AZ0411185	51	SIA
AZ0411185	53	SIA
AZ0411185	54	SIA
AZ0411185	56	SOX
AZ0411185	57	SOX
AZ0411185	58	SOX
AZ0411185	6	SIA
AZ0411185	60	SIA
AZ0411185	61	SFL

St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	7/30/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	8/31/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/6/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Compliance achieved	8/23/2013	2013	AZ
St Violation/Reminder Notice	8/6/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	9/16/2013	2013	AZ
St Compliance achieved	5/24/2013	2013	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St AO (w/o penalty) issued	4/2/2014	2014	AZ

AZ0411185	62	SIA
AZ0411185	63	SIA
AZ0411185	64	SOX
AZ0411185	65	SOX
AZ0411185	66	SFJ
AZ0411185	7	SIA
AZ0411185	9	SOX
AZ0411200	497	SOX
AZ0411200	552	SOX
AZ0411200	555	SOX
AZ0411200	556	SOX
AZ0411200	558	SO0
AZ0411201	9609	SOX
AZ0411201	9774	SOX
AZ0411201	9775	SOX
AZ0411201	9776	SOX
AZ0411201	9777	SOX
AZ0411201	9778	SOX
AZ0411201	9779	SOX
AZ0411201	9780	SOX
AZ0411201	9781	SOX
AZ0411201	9782	SOX
AZ0411201	9783	SOX
AZ0411201	9784	SOX
AZ0411201	9787	SOX
AZ0411201	9788	SOX
AZ0411201	9789	SOX
AZ0411201	9790	SOX
AZ0411201	9792	SOX
AZ0411201	9793	SOX
AZ0411201	9794	SOX
AZ0411201	9795	SOX
AZ0411201	9796	SIA
AZ0411201	9797	SOX
AZ0411201	9798	SIA
AZ0411201	9799	SOX
AZ0411201	9800	SIA
AZ0411201	9801	SOX
AZ0411201	9802	SIA
AZ0411201	9803	SOX
AZ0411201	9804	SOX
AZ0411201	9806	SOX
AZ0411201	9808	SIA
AZ0411201	9809	SIA
AZ0411201	9810	SOX
AZ0411201	9811	SIA
AZ0411201	9812	SOX

St Violation/Reminder Notice	5/13/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Compliance achieved	6/25/2014	2014	AZ
St Compliance achieved	7/17/2014	2014	AZ
St Formal NOV issued	12/31/2013	2013	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	2/8/2012	2012	AZ
St Compliance achieved	1/3/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Compliance achieved	8/6/2008	2008	AZ
State No Longer Subject to Rule	5/23/2008	2008	AZ
St Compliance achieved	1/3/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	5/13/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	6/23/2009	2009	AZ
St Compliance achieved	9/23/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/13/2010	2010	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	11/22/2008	2008	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	5/6/2007	2007	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/4/2013	2013	AZ
St Violation/Reminder Notice	2/27/2014	2014	AZ
St Compliance achieved	3/10/2014	2014	AZ

AZ0411201	9813	SOX
AZ0411204	1154	SOX
AZ0411204	1155	SOX
AZ0411204	1158	SOX
AZ0411204	1159	SOX
AZ0411204	1160	SOX
AZ0411204	1162	SOX
AZ0411204	1163	SOX
AZ0411204	1165	SOX
AZ0411204	1166	SIA
AZ0411204	1167	SOX
AZ0411204	1168	SOX
AZ0411204	1169	SIA
AZ0411204	1170	SOX
AZ0411204	1171	SOX
AZ0411204	1172	SIA
AZ0411204	1173	SO0
AZ0411204	1174	SIA
AZ0411204	1175	SOX
AZ0411204	1176	SOX
AZ0411204	1177	SOX
AZ0411300	10	SIA
AZ0411300	11	SOX
AZ0411300	7	SOX
AZ0411300	8	SIA
AZ0411300	9	SIA
AZ0411301	4207	SIA
AZ0411301	4208	SOX
AZ0411301	4209	SOX
AZ0411301	4210	SOX
AZ0411301	4211	SOX
AZ0411301	4213	SOX
AZ0411301	4214	SOX
AZ0411301	4215	SOX
AZ0411301	4216	SOX
AZ0411301	4218	SOX
AZ0411301	4219	SOX
AZ0411301	4220	SIA
AZ0411301	4221	SOX
AZ0411301	4222	SIA
AZ0411301	4223	SOX
AZ0411301	4224	SOX
AZ0411301	4225	SIA
AZ0411301	4226	SOX
AZ0411301	4227	SOX
AZ0411301	4229	SOX
AZ0411301	4230	SOX

St Compliance achieved	6/29/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	11/19/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
State No Longer Subject to Rule	4/20/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	8/18/2009	2009	AZ
St Compliance achieved	8/18/2009	2009	AZ
St Compliance achieved	8/18/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	5/30/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	9/22/2008	2008	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	8/5/2010	2010	AZ
St Compliance achieved	1/10/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	4/11/2011	2011	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Compliance achieved	8/7/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Compliance achieved	10/11/2011	2011	AZ

AZ0411301	4231	SIA
AZ0411301	4232	SOX
AZ0411303	6508	SOX
AZ0411303	6607	SOX
AZ0411303	6608	SOX
AZ0411303	6609	SOX
AZ0411303	6610	SOX
AZ0411303	6611	SOX
AZ0411303	6612	SOX
AZ0411303	6613	SOX
AZ0411303	6614	SIA
AZ0411303	6616	SOX
AZ0411303	6617	SOX
AZ0411303	6618	SIA
AZ0411303	6620	SIA
AZ0411303	6621	SIA
AZ0411303	6623	SIA
AZ0411303	6624	SIA
AZ0411303	6626	SIA
AZ0411303	6627	SOX
AZ0411303	6628	SOX
AZ0411303	6629	SIA
AZ0411303	6631	SIA
AZ0411303	6632	SO0
AZ0411303	6633	SO0
AZ0411303	6634	SO0
AZ0411303	6635	SIA
AZ0411303	6640	SO0
AZ0411303	6642	SIA
AZ0411303	6643	SOX
AZ0411303	6644	SIA
AZ0411303	6645	SIA
AZ0411303	6646	SOX
AZ0411303	6647	SO0
AZ0411305	1610	SOX
AZ0411305	1611	SOX
AZ0411305	1612	SOX
AZ0411305	1613	SIA
AZ0411305	1626	SOX
AZ0411315	1884	SOX
AZ0411315	1888	SIA
AZ0411315	1889	SIA
AZ0411315	1892	SIA
AZ0411315	1893	SOX
AZ0411315	1894	SOX
AZ0411315	1895	SIA
AZ0411315	1896	SOX

St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
State No Longer Subject to Rule	7/31/2008	2008	AZ
State No Longer Subject to Rule	8/20/2008	2008	AZ
State No Longer Subject to Rule	8/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
State No Longer Subject to Rule	12/4/2008	2008	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	3/18/2011	2011	AZ
St Violation/Reminder Notice	3/14/2012	2012	AZ
St Violation/Reminder Notice	7/26/2012	2012	AZ
St Compliance achieved	8/22/2012	2012	AZ
State No Longer Subject to Rule	7/17/2008	2008	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Compliance achieved	3/15/2007	2007	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	7/13/2007	2007	AZ
St Compliance achieved	1/8/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ

AZ0411315	1897	SIA
AZ0411315	1898	SOX
AZ0411315	1899	SOX
AZ0411315	1900	SOX
AZ0411315	1901	SIA
AZ0411315	1902	SOX
AZ0411315	1903	SIA
AZ0411315	1904	SIA
AZ0411315	1905	SOX
AZ0411315	1907	SIA
AZ0411315	1908	SOX
AZ0411315	1909	SOX
AZ0411315	1910	SOX
AZ0411315	1911	SOX
AZ0411315	1912	SIA
AZ0411315	1913	SIA
AZ0411315	1914	SIA
AZ0411315	1915	SOX
AZ0411315	1916	SOX
AZ0411320	2534	SOX
AZ0411321	7209	SOX
AZ0411321	7210	SOX
AZ0411321	7211	SOX
AZ0411321	7212	SIA
AZ0411321	7214	SIA
AZ0411321	7215	SOX
AZ0411321	7216	SOX
AZ0411321	7217	SOX
AZ0411321	7218	SIA
AZ0411321	7221	SIA
AZ0411321	7222	SOX
AZ0411321	7223	SOX
AZ0411321	7224	SIA
AZ0411321	7226	SIA
AZ0411321	7230	SOX
AZ0411321	7231	SIA
AZ0411321	7233	SIA
AZ0411321	7234	SOX
AZ0411321	7238	SOX
AZ0411321	7239	SIA
AZ0411321	7241	SIA
AZ0411321	7242	SOX
AZ0411321	7243	SIA
AZ0411321	7244	SOX
AZ0411321	7245	SOX
AZ0411321	7246	SIA
AZ0411321	7247	SIA

St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	9/8/2009	2009	AZ
St Compliance achieved	11/5/2008	2008	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	4/6/2007	2007	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	11/17/2009	2009	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	6/30/2012	2012	AZ
St Compliance achieved	12/11/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	5/28/2014	2014	AZ
St Compliance achieved	6/24/2014	2014	AZ
St Compliance achieved	6/27/2014	2014	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Compliance achieved	1/28/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/27/2010	2010	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	10/14/2009	2009	AZ
St Compliance achieved	2/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	8/29/2011	2011	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Compliance achieved	9/19/2011	2011	AZ
St Compliance achieved	9/26/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ

AZ0411321	7248	SIA
AZ0411321	7250	SOX
AZ0411321	7251	SOX
AZ0411321	7252	SIA
AZ0411321	7253	SOX
AZ0411321	7254	SOX
AZ0411321	7255	SIA
AZ0411321	7256	SIA
AZ0411321	7257	SFJ
AZ0411321	7259	SIA
AZ0411321	7260	SOX
AZ0411321	7261	SFL
AZ0411321	7262	SIA
AZ0411321	7263	SIA
AZ0411321	7264	SIA
AZ0411321	7265	SOX
AZ0411321	7266	SIA
AZ0411321	7267	SIA
AZ0411321	7268	SIA
AZ0411321	7269	SIA
AZ0411321	7270	SOX
AZ0411321	7271	SFJ
AZ0411321	7272	SIA
AZ0411321	7273	SOX
AZ0411322	1708	SOX
AZ0411322	1744	SIA
AZ0411322	1745	SOX
AZ0411322	1746	SIA
AZ0411322	1747	SIA
AZ0411322	1748	SOX
AZ0411322	1749	SIA
AZ0411322	1751	SIA
AZ0411322	1752	SIA
AZ0411322	1754	SIA
AZ0411322	1755	SIA
AZ0411322	1757	SIA
AZ0411322	1758	SOX
AZ0411322	1759	SOX
AZ0411322	1760	SOX
AZ0411322	1761	SIA
AZ0411322	1763	SOX
AZ0411322	1764	SIA
AZ0411322	1765	SIA
AZ0411322	1766	SIA
AZ0411322	1768	SIA
AZ0411322	1769	SIA
AZ0411322	1770	SOX

St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/26/2012	2012	AZ
St Compliance achieved	4/26/2012	2012	AZ
St Violation/Reminder Notice	3/22/2012	2012	AZ
St Compliance achieved	5/2/2012	2012	AZ
St Compliance achieved	5/14/2012	2012	AZ
St Violation/Reminder Notice	4/26/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St Formal NOV issued	6/25/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Compliance achieved	11/21/2011	2011	AZ
St AO (w/o penalty) issued	6/19/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	6/3/2010	2010	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/13/2013	2013	AZ
St Formal NOV issued	12/26/2013	2013	AZ
St Violation/Reminder Notice	5/13/2014	2014	AZ
St Compliance achieved	2/12/2007	2007	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	1/31/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	6/23/2009	2009	AZ

AZ0411322	1771	SOX
AZ0411322	1772	SOX
AZ0411322	1773	SIA
AZ0411322	1777	SOX
AZ0411322	1778	SIA
AZ0411322	1779	SIA
AZ0411322	1781	SIA
AZ0411322	1782	SOX
AZ0411322	1783	SOX
AZ0411322	1784	SIA
AZ0411322	1785	SIA
AZ0411322	1786	SOX
AZ0411322	1787	SIA
AZ0411322	1788	SIA
AZ0411322	1789	SOX
AZ0411322	1790	SOX
AZ0411322	1791	SOX
AZ0411322	1792	SIA
AZ0411322	1793	SIA
AZ0411322	1794	SIA
AZ0411322	1795	SOX
AZ0411322	1796	SOX
AZ0411322	1797	SOX
AZ0411322	1799	SOX
AZ0411322	1800	SOX
AZ0411322	1801	SOX
AZ0411322	1803	SIA
AZ0411322	1805	SOX
AZ0411322	1806	SIA
AZ0411324	1235	SOX
AZ0411324	1238	SOX
AZ0411324	1240	SOX
AZ0411324	1242	SOX
AZ0411324	1243	SIA
AZ0411324	1246	SIA
AZ0411324	1247	SOX
AZ0411324	1248	SOX
AZ0411324	1249	SIA
AZ0411324	1251	SIA
AZ0411324	1252	SOX
AZ0411324	1253	SOX
AZ0411324	1254	SIA
AZ0411324	1255	SIA
AZ0411324	1257	SOX
AZ0411324	1259	SOX
AZ0411324	1260	SOX
AZ0411324	1264	SOX

St Compliance achieved	11/24/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	3/19/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	11/30/2010	2010	AZ
St Compliance achieved	5/24/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	11/5/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	4/6/2012	2012	AZ
St Violation/Reminder Notice	5/10/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	8/10/2010	2010	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	11/15/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	5/15/2008	2008	AZ
St Compliance achieved	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/27/2008	2008	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	4/6/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	7/29/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	10/16/2009	2009	AZ
St Compliance achieved	12/11/2012	2012	AZ

AZ0411324	1266	SOX
AZ0411324	1267	SOX
AZ0411328	7118	SOX
AZ0411328	7119	SIA
AZ0411328	7120	SIA
AZ0411328	7121	SOX
AZ0411328	7122	SOX
AZ0411328	7123	SOX
AZ0411328	7126	SIA
AZ0411328	7127	SOX
AZ0411328	7128	SOX
AZ0411328	7129	SOX
AZ0411328	7130	SIA
AZ0411328	7133	SIA
AZ0411328	7134	SOX
AZ0411328	7135	SOX
AZ0411328	7136	SIA
AZ0411328	7139	SOX
AZ0411328	7140	SIA
AZ0411328	7141	SOX
AZ0411328	7142	SIA
AZ0411328	7143	SIA
AZ0411328	7145	SIA
AZ0411328	7146	SIA
AZ0411328	7147	SOX
AZ0411328	7148	SIA
AZ0411328	7150	SIA
AZ0411328	7154	SOX
AZ0411328	7155	SIA
AZ0411328	7156	SOX
AZ0411328	7157	SIA
AZ0411328	7158	SIA
AZ0411328	7159	SOX
AZ0411328	7160	SOX
AZ0411328	7161	SOX
AZ0411328	7162	SIA
AZ0411328	7166	SOX
AZ0411328	7167	SIA
AZ0411328	7168	SIA
AZ0411328	7169	SIA
AZ0411328	7170	SIA
AZ0411328	7172	SIA
AZ0411328	7173	SOX
AZ0411328	7174	SIA
AZ0411328	7175	SIA
AZ0411328	7176	SOX
AZ0411328	7177	SIA

St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	12/31/2012	2012	AZ
St Compliance achieved	4/30/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	10/17/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	5/27/2008	2008	AZ
St Compliance achieved	6/3/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	11/4/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	11/12/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/1/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	2/26/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/4/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	10/6/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	1/26/2010	2010	AZ
St Compliance achieved	1/27/2010	2010	AZ
St Compliance achieved	1/26/2011	2011	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/15/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	6/7/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/7/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ

AZ0411328	7178	SIA
AZ0411328	7179	SIA
AZ0411328	7180	SOX
AZ0411328	7181	SOX
AZ0411328	7182	SIA
AZ0411328	7183	SOX
AZ0411328	7184	SIA
AZ0411328	7185	SOX
AZ0411328	7186	SIA
AZ0411328	7187	SOX
AZ0411328	7188	SIA
AZ0411328	7189	SOX
AZ0411328	7190	SIA
AZ0411328	7191	SIA
AZ0411328	7192	SOX
AZ0411328	7193	SOX
AZ0411328	7194	SOX
AZ0411328	7195	SOX
AZ0411328	7198	SOX
AZ0411328	7199	SIA
AZ0411328	7202	SIA
AZ0411328	7203	SOX
AZ0411328	7204	SOX
AZ0411328	7205	SIA
AZ0411334	1118	SIA
AZ0411334	1119	SOX
AZ0411334	1120	SOX
AZ0411334	1121	SIA
AZ0411334	1122	SOX
AZ0411334	1123	SOX
AZ0411334	1124	SOX
AZ0411334	1125	SOX
AZ0411334	1126	SIA
AZ0411334	1128	SIA
AZ0411334	1129	SIA
AZ0411334	1131	SIA
AZ0411334	1132	SIA
AZ0411334	1134	SIA
AZ0411334	1135	SIA
AZ0411334	1137	SIA
AZ0411334	1138	SIA
AZ0411334	1141	SIA
AZ0411334	1142	SIA
AZ0411334	1143	SIA
AZ0411334	1145	SIA
AZ0411334	1146	SOX
AZ0411334	1147	SIA

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/14/2011	2011	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/26/2010	2010	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Compliance achieved	5/13/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	6/10/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	10/25/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/16/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	11/7/2012	2012	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Violation/Reminder Notice	5/13/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	1/28/2008	2008	AZ
St Compliance achieved	3/25/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ

AZ0411334	1149	SIA
AZ0411334	1153	SIA
AZ0411334	1155	SIA
AZ0411334	1156	SOX
AZ0411334	1157	SOX
AZ0411334	1158	SOX
AZ0411334	1159	SOX
AZ0411334	1163	SIA
AZ0411334	1165	SIA
AZ0411334	1166	SOX
AZ0411334	1167	SOX
AZ0411334	1168	SIA
AZ0411334	1169	SIA
AZ0411334	1170	SOX
AZ0411334	1171	SOX
AZ0411334	1172	SIA
AZ0411334	1173	SOX
AZ0411334	1174	SIA
AZ0411334	1175	SIA
AZ0411334	1176	SOX
AZ0411334	1177	SOX
AZ0411334	1178	SIA
AZ0411334	1179	SOX
AZ0411334	1180	SOX
AZ0411347	1807	SOX
AZ0411347	1819	SOX
AZ0411347	1844	SOX
AZ0411347	1845	SIA
AZ0411347	1846	SOX
AZ0411347	1849	SOX
AZ0411347	1850	SIA
AZ0411347	1852	SIA
AZ0411347	1853	SIA
AZ0411347	1854	SOX
AZ0411347	1855	SIA
AZ0411347	1856	SIA
AZ0411347	1857	SOX
AZ0411347	1858	SOX
AZ0411347	1859	SOX
AZ0411347	1860	SIA
AZ0411347	1861	SOX
AZ0411347	1862	SO0
AZ0411348	796	SO0
AZ0411348	797	SO0
AZ0411351	2696	SOX
AZ0411351	2697	SOX
AZ0411351	2766	SIA

St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	7/1/2008	2008	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	11/18/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	3/12/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	5/30/2012	2012	AZ
St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	10/9/2012	2012	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	4/17/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	1/4/2007	2007	AZ
St Compliance achieved	5/1/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	3/24/2009	2009	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	11/12/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
State No Longer Subject to Rule	5/8/2013	2013	AZ
State No Longer Subject to Rule	3/15/2007	2007	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ

AZ0411351	2767	SO0
AZ0411351	2768	SO0
AZ0411354	5810	SOX
AZ0411354	5811	SOX
AZ0411354	5812	SOX
AZ0411354	5813	SOX
AZ0411354	5815	SOX
AZ0411354	5818	SOX
AZ0411354	5819	SIA
AZ0411354	5820	SOX
AZ0411354	5821	SIA
AZ0411354	5822	SFJ
AZ0411354	5823	SIA
AZ0411354	5824	SIA
AZ0411354	5825	SOX
AZ0411354	5827	SIA
AZ0411354	5828	SOX
AZ0411354	5829	SOX
AZ0411354	5830	SOX
AZ0411354	5831	SOX
AZ0411354	5832	SIA
AZ0411354	5833	SOX
AZ0411354	5834	SOX
AZ0411354	5835	SIA
AZ0411354	5836	SIA
AZ0411354	5837	SOX
AZ0411354	5838	SIA
AZ0411354	5839	SOX
AZ0411354	5840	SOX
AZ0411354	5841	SIA
AZ0411354	5842	SIA
AZ0411354	5843	SOX
AZ0411354	5844	SIA
AZ0411354	5845	SIA
AZ0411354	5846	SOX
AZ0411354	5847	SOX
AZ0411354	5848	SIA
AZ0411354	5849	SOX
AZ0411354	5850	SIA
AZ0411354	5851	SOX
AZ0411354	5852	SIA
AZ0411354	5853	SOX
AZ0411354	5854	SOX
AZ0411364	2372	SOX
AZ0411364	2373	SOX
AZ0411364	2374	SOX
AZ0411364	2375	SOX

State No Longer Subject to Rule	5/4/2007	2007	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	6/15/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	1/27/2010	2010	AZ
St Compliance achieved	1/28/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	7/8/2010	2010	AZ
St Compliance achieved	8/2/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/22/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	1/14/2013	2013	AZ
St Compliance achieved	10/18/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/11/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0411364	2376	SOX
AZ0411364	2377	SOX
AZ0411364	2378	SOX
AZ0411364	2379	SOX
AZ0411364	2380	SIA
AZ0411364	2381	SIA
AZ0411364	2382	SIA
AZ0411364	2383	SIA
AZ0411364	2384	SIA
AZ0411364	2385	SIA
AZ0411364	2386	SIA
AZ0411364	2387	SIA
AZ0411364	2388	SIA
AZ0411364	2389	SIA
AZ0411364	2390	SIA
AZ0411364	2392	SO0
AZ0411364	2393	SO0
AZ0411364	2394	SOX
AZ0411365	2897	SOX
AZ0411365	2904	SOX
AZ0411365	2905	SIA
AZ0411365	2906	SOX
AZ0411365	2907	SIA
AZ0411365	2908	SOX
AZ0411365	2909	SIA
AZ0411365	2912	SIA
AZ0411365	2913	SIA
AZ0411365	2914	SIA
AZ0411365	2915	SOX
AZ0411365	2917	SIA
AZ0411365	2918	SOX
AZ0411365	2919	SOX
AZ0411365	2920	SOX
AZ0411365	2921	SOX
AZ0411365	2922	SOX
AZ0411365	2923	SIA
AZ0411365	2924	SIA
AZ0411365	2925	SIA
AZ0411365	2926	SIA
AZ0411365	2927	SOX
AZ0411365	2928	SIA
AZ0411365	2929	SOX
AZ0411365	2930	SOX
AZ0411365	2931	SIA
AZ0411365	2932	SOX
AZ0411365	2933	SOX
AZ0411365	2934	SOX

St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/28/2008	2008	AZ
St Violation/Reminder Notice	10/28/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
State No Longer Subject to Rule	12/29/2008	2008	AZ
State No Longer Subject to Rule	3/17/2011	2011	AZ
St Compliance achieved	3/7/2007	2007	AZ
St Compliance achieved	4/10/2007	2007	AZ
St Compliance achieved	4/10/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/20/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Compliance achieved	11/16/2009	2009	AZ
St Compliance achieved	12/22/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	12/9/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/24/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	12/19/2011	2011	AZ

AZ0411365	2935	SIA
AZ0411365	2936	SIA
AZ0411365	2937	SOX
AZ0411365	2938	SIA
AZ0411365	2939	SIA
AZ0411365	2940	SIA
AZ0411365	2941	SOX
AZ0411365	2942	SIA
AZ0411365	2943	SOX
AZ0411365	2944	SO0
AZ0411365	2945	SIA
AZ0411365	2946	SIA
AZ0411365	2947	SOX
AZ0411365	2948	SIA
AZ0411365	2949	SOX
AZ0411365	2950	SOX
AZ0411365	2951	SOX
AZ0411375	406	SOX
AZ0411375	407	SIA
AZ0411375	408	SOX
AZ0411375	409	SOX
AZ0411375	410	SOX
AZ0411375	411	SOX
AZ0411375	412	SOX
AZ0411375	413	SO0
AZ0411375	414	SOX
AZ0411375	415	SO0
AZ0411375	416	SIA
AZ0411375	417	SOX
AZ0411381	3303	SIA
AZ0411381	3304	SOX
AZ0411381	3305	SOX
AZ0411381	3307	SIA
AZ0411381	3308	SIA
AZ0411381	3309	SIA
AZ0411381	3311	SIA
AZ0411381	3312	SIA
AZ0411381	3314	SIA
AZ0411381	3317	SIA
AZ0411381	3318	SIA
AZ0411381	3319	SOX
AZ0411381	3322	SOX
AZ0411381	3323	SOX
AZ0411381	3324	SIA
AZ0411381	3328	SOX
AZ0411381	3329	SOX
AZ0411381	3330	SIA

St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/12/2012	2012	AZ
St Compliance achieved	2/2/2012	2012	AZ
St Violation/Reminder Notice	3/20/2012	2012	AZ
St Violation/Reminder Notice	2/16/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Compliance achieved	9/19/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
State No Longer Subject to Rule	3/12/2013	2013	AZ
St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Violation/Reminder Notice	8/27/2013	2013	AZ
St Compliance achieved	6/30/2011	2011	AZ
St Compliance achieved	7/22/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Compliance achieved	2/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
State No Longer Subject to Rule	3/31/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
State No Longer Subject to Rule	7/29/2009	2009	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/12/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/24/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ

AZ0411381	3331	SIA
AZ0411381	3332	SIA
AZ0411381	3334	SIA
AZ0411381	3335	SOX
AZ0411381	3336	SIA
AZ0411381	3337	SIA
AZ0411381	3338	SOX
AZ0411381	3339	SOX
AZ0411381	3340	SOX
AZ0411381	3343	SIA
AZ0411381	3344	SIA
AZ0411381	3345	SFJ
AZ0411381	3347	SFJ
AZ0411381	3348	SOX
AZ0411381	3349	SIA
AZ0411381	3350	SOX
AZ0411381	3351	SOX
AZ0411381	3352	SIA
AZ0411381	3354	SIA
AZ0411381	3355	SIA
AZ0411381	3356	SOX
AZ0411381	3357	SIA
AZ0411381	3358	SOX
AZ0411381	3359	SIA
AZ0411381	3361	SIA
AZ0411381	3362	SOX
AZ0411381	3363	SOX
AZ0411381	3364	SIA
AZ0411381	3365	SIA
AZ0411381	3366	SIA
AZ0411381	3367	SOX
AZ0411381	3369	SIA
AZ0411381	3370	SIA
AZ0411381	3371	SIA
AZ0411381	3372	SOX
AZ0411381	3373	SIA
AZ0411381	3374	SOX
AZ0411381	3375	SOX
AZ0411382	446	SOX
AZ0411382	447	SOX
AZ0411382	448	SIA
AZ0411382	449	SOX
AZ0411382	450	SOX
AZ0411382	452	SIA
AZ0411382	453	SOX
AZ0411382	454	SIA
AZ0411382	455	SIA

St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	5/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Formal NOV issued	5/11/2007	2007	AZ
St Formal NOV issued	3/6/2009	2009	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	4/26/2010	2010	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	4/10/2012	2012	AZ
St Violation/Reminder Notice	11/8/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Compliance achieved	12/3/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Compliance achieved	8/11/2014	2014	AZ
St Compliance achieved	7/11/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/19/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ

AZ0411382	457	SIA
AZ0411382	459	SIA
AZ0411382	460	SIA
AZ0411382	461	SIA
AZ0411382	462	SIA
AZ0411382	463	SOX
AZ0411382	464	SIA
AZ0411382	465	SOX
AZ0411382	466	SOX
AZ0411383	1300	SOX
AZ0411383	1301	SIA
AZ0411383	1302	SOX
AZ0411385	1614	SOX
AZ0411385	1616	SOX
AZ0411385	1618	SOX
AZ0411385	1619	SOX
AZ0411385	1620	SIA
AZ0411385	1621	SOX
AZ0411385	1623	SIA
AZ0411385	1625	SOX
AZ0411396	2833	SIA
AZ0411396	2834	SOX
AZ0411396	2835	SOX
AZ0411396	2836	SOX
AZ0411396	2837	SFJ
AZ0411396	2839	SIA
AZ0411396	2842	SO0
AZ0411396	2845	SIA
AZ0411396	2846	SO0
AZ0411396	2847	SIA
AZ0411396	2848	SO0
AZ0411396	2849	SOX
AZ0411398	438	SO0
AZ0411398	439	SO0
AZ0411405	7090	SIA
AZ0411405	7092	SOX
AZ0411407	5890	SIA
AZ0411407	5891	SFJ
AZ0411407	5892	SIA
AZ0411407	5894	SOX
AZ0411407	5895	SOX
AZ0411407	5896	SOX
AZ0411407	5898	SOX
AZ0411407	5900	SIA
AZ0411407	5901	SOX
AZ0411407	5902	SIA
AZ0411407	5903	SOX

St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	2/2/2012	2012	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	3/22/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/10/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	6/24/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Formal NOV issued	8/28/2007	2007	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
State No Longer Subject to Rule	8/20/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
State No Longer Subject to Rule	9/4/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
State No Longer Subject to Rule	7/29/2009	2009	AZ
St Compliance achieved	7/24/2008	2008	AZ
State No Longer Subject to Rule	5/23/2007	2007	AZ
State No Longer Subject to Rule	11/1/2012	2012	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/21/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Formal NOV issued	6/18/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/26/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	4/11/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	10/6/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ

AZ0411407	5905	SOX
AZ0411407	5906	SIA
AZ0411407	5907	SIA
AZ0411407	5908	SOX
AZ0411407	5909	SOX
AZ0411407	5910	SOX
AZ0411407	5913	SOX
AZ0411407	5914	SIA
AZ0411407	5915	SOX
AZ0411407	5916	SOX
AZ0411407	5918	SOX
AZ0411408	604	SOX
AZ0411408	605	SOX
AZ0411408	606	SOX
AZ0411409	11	SIA
AZ0411409	2	SFJ
AZ0411409	3	SOX
AZ0411409	4	SIA
AZ0411409	5	SIA
AZ0411409	6	SIA
AZ0411409	8	SIA
AZ0411409	9	SIA
AZ0411433	2	SOX
AZ0411433	46	SOX
AZ0411433	47	SOX
AZ0411433	48	SOX
AZ0411433	49	SIA
AZ0411433	50	SOX
AZ0411433	52	SIA
AZ0411433	55	SOX
AZ0411433	56	SOX
AZ0411433	57	SOX
AZ0411433	58	SIA
AZ0411433	59	SOX
AZ0411433	60	SIA
AZ0411433	61	SIA
AZ0411433	62	SOX
AZ0411433	63	SIA
AZ0411433	64	SIA
AZ0411433	65	SOX
AZ0411433	66	SOX
AZ0411433	67	SOX
AZ0411433	68	SOX
AZ0411433	69	SOX
AZ0411433	70	SIA
AZ0411433	71	SIA
AZ0411433	72	SOX

St Compliance achieved	10/9/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	6/1/2011	2011	AZ
St Compliance achieved	8/7/2012	2012	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Violation/Reminder Notice	8/8/2013	2013	AZ
St Compliance achieved	7/16/2009	2009	AZ
St Compliance achieved	8/17/2011	2011	AZ
St Compliance achieved	6/18/2014	2014	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Violation/Reminder Notice	2/11/2014	2014	AZ
St Formal NOV issued	4/3/2013	2013	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Violation/Reminder Notice	8/6/2013	2013	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Violation/Reminder Notice	10/23/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	2/13/2014	2014	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	3/6/2007	2007	AZ
St Compliance achieved	3/6/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	12/17/2008	2008	AZ
St Compliance achieved	1/27/2009	2009	AZ
St Compliance achieved	1/28/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	11/19/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Compliance achieved	9/28/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Compliance achieved	5/13/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	8/10/2011	2011	AZ
St Compliance achieved	2/29/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Compliance achieved	11/13/2012	2012	AZ

AZ0411433	73	SIA
AZ0411433	74	SIA
AZ0411433	75	SIA
AZ0411433	76	SOX
AZ0411433	77	SO0
AZ0411433	78	SO0
AZ0411433	79	SIA
AZ0411433	80	SO0
AZ0411451	1	SOX
AZ0411451	10	SOX
AZ0411451	11	SIA
AZ0411451	12	SIA
AZ0411451	13	SOX
AZ0411451	14	SIA
AZ0411451	15	SIA
AZ0411451	16	SOX
AZ0411451	2	SIA
AZ0411451	3	SIA
AZ0411451	4	SOX
AZ0411451	5	SOX
AZ0411451	6	SIA
AZ0411451	7	SOX
AZ0411451	8	SIA
AZ0411451	9	SIA
AZ0411556	451	SOX
AZ0411556	452	SOX
AZ0411556	453	SOX
AZ0411556	454	SOX
AZ0411556	455	SOX
AZ0411556	457	SOX
AZ0411556	458	SIA
AZ0411556	463	SOX
AZ0411556	464	SIA
AZ0411556	467	SIA
AZ0411556	468	SOX
AZ0411556	469	SOX
AZ0411556	470	SIA
AZ0411556	472	SIA
AZ0411556	473	SIA
AZ0411556	474	SOX
AZ0411556	475	SOX
AZ0411556	476	SIA
AZ0411556	477	SIA
AZ0411556	478	SOX
AZ0411556	479	SOX
AZ0411556	480	SIA
AZ0411556	481	SFJ

St Violation/Reminder Notice	3/1/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/6/2013	2013	AZ
State No Longer Subject to Rule	6/25/2013	2013	AZ
State No Longer Subject to Rule	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
State No Longer Subject to Rule	6/30/2013	2013	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	6/18/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/22/2014	2014	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	10/24/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	12/19/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	9/10/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Compliance achieved	4/23/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	12/23/2009	2009	AZ
St Compliance achieved	12/30/2009	2009	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Formal NOV issued	7/2/2010	2010	AZ

AZ0411556	482	SOX
AZ0411556	483	SIA
AZ0411556	484	SOX
AZ0411556	485	SIA
AZ0411556	487	SOX
AZ0411556	488	SOX
AZ0411556	489	SIA
AZ0411556	490	SIA
AZ0411556	491	SIA
AZ0411556	492	SOX
AZ0411556	493	SOX
AZ0411556	494	SIA
AZ0411556	495	SOX
AZ0411556	496	SOX
AZ0411556	497	SIA
AZ0411556	498	SOX
AZ0411557	3147	SOX
AZ0411557	3148	SIA
AZ0411557	3151	SIA
AZ0411557	3152	SIA
AZ0411557	3154	SIA
AZ0411557	3155	SIA
AZ0411557	3157	SIA
AZ0411557	3158	SIA
AZ0411557	3160	SIA
AZ0411557	3161	SIA
AZ0411557	3163	SIA
AZ0411557	3164	SOX
AZ0411557	3165	SOX
AZ0411557	3166	SIA
AZ0411557	3167	SIA
AZ0411557	3170	SIA
AZ0411557	3171	SIA
AZ0411557	3172	SOX
AZ0411557	3173	SOX
AZ0411557	3174	SFJ
AZ0411557	3175	SOX
AZ0411557	3176	SIA
AZ0411557	3178	SIA
AZ0411557	3179	SOX
AZ0411557	3180	SFJ
AZ0411557	3181	SIA
AZ0411557	3182	SIA
AZ0411557	3184	SIA
AZ0411557	3185	SOX
AZ0411557	3186	SOX
AZ0411557	3187	SOX

St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Violation/Reminder Notice	3/9/2012	2012	AZ
St Compliance achieved	7/16/2010	2010	AZ
St Compliance achieved	6/7/2012	2012	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/10/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	3/6/2014	2014	AZ
St Compliance achieved	3/7/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/7/2014	2014	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	8/7/2014	2014	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	9/10/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	1/14/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Formal NOV issued	2/18/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	9/29/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ

AZ0411557	3188	SFL
AZ0411557	3189	SOX
AZ0411557	3190	SIA
AZ0411557	3191	SIA
AZ0411557	3192	SOX
AZ0411557	3194	SIA
AZ0411557	3195	SIA
AZ0411557	3196	SOX
AZ0411557	3197	SOX
AZ0411557	3198	SIA
AZ0411557	3199	SFJ
AZ0411557	3200	SOX
AZ0411557	3201	SOX
AZ0411557	3202	SOX
AZ0411557	3203	SIA
AZ0411557	3204	SIA
AZ0411557	3205	SIA
AZ0411557	3206	SOX
AZ0411557	3207	SOX
AZ0411557	3208	SIA
AZ0411557	3209	SIA
AZ0411557	3210	SOX
AZ0411600	1814	SOX
AZ0411600	1815	SOX
AZ0411600	1816	SOX
AZ0411600	1817	SOX
AZ0411600	1819	SIA
AZ0411600	1820	SOX
AZ0411600	1821	SIA
AZ0411600	1824	SIA
AZ0411600	1825	SOX
AZ0411600	1826	SIA
AZ0411600	1827	SOX
AZ0411703	1417	SOX
AZ0411703	1418	SOX
AZ0411703	1422	SIA
AZ0411703	1423	SOX
AZ0411703	1424	SOX
AZ0411703	1425	SOX
AZ0411703	1426	SIA
AZ0411703	1428	SOX
AZ0411703	1429	SOX
AZ0411703	1430	SOX
AZ0411703	1431	SIA
AZ0411703	1435	SOX
AZ0411703	1436	SIA
AZ0411703	1438	SIA

St AO (w/o penalty) issued	10/1/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/17/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Formal NOV issued	9/19/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	8/2/2011	2011	AZ
St Violation/Reminder Notice	10/1/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Compliance achieved	8/30/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	2/1/2010	2010	AZ
St Compliance achieved	3/1/2010	2010	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	12/20/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	2/26/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	2/14/2008	2008	AZ
St Compliance achieved	5/9/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	11/20/2008	2008	AZ
St Compliance achieved	11/25/2008	2008	AZ
St Compliance achieved	1/13/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	2/4/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ

AZ0411703	1439	SOX
AZ0411703	1440	SOX
AZ0411703	1441	SIA
AZ0411703	1443	SIA
AZ0411703	1444	SOX
AZ0411703	1445	SIA
AZ0411705	3103	SOX
AZ0411705	3227	SOX
AZ0411705	3228	SOX
AZ0411705	3238	SOX
AZ0411705	3239	SOX
AZ0411705	3240	SOX
AZ0411705	3241	SIA
AZ0411705	3242	SOX
AZ0411705	3243	SIA
AZ0411705	3245	SIA
AZ0411705	3251	SOX
AZ0411705	3252	SOX
AZ0411705	3253	SIA
AZ0411705	3254	SIA
AZ0411705	3255	SOX
AZ0411705	3256	SOX
AZ0411705	3257	SOX
AZ0411705	3258	SOX
AZ0411705	3259	SIA
AZ0411705	3260	SOX
AZ0411705	3261	SOX
AZ0411705	3262	SOX
AZ0411705	3263	SIA
AZ0411705	3264	SFJ
AZ0411705	3267	SOX
AZ0411705	3268	SIA
AZ0411705	3269	SIA
AZ0411705	3270	SIA
AZ0411705	3271	SIA
AZ0411705	3272	SIA
AZ0411705	3273	SIA
AZ0411705	3274	SIA
AZ0411705	3275	SIA
AZ0411705	3276	SIA
AZ0411705	3277	SIA
AZ0411705	3278	SIA
AZ0411705	3279	SIA
AZ0411705	3280	SIA
AZ0411705	3281	SIA
AZ0411705	3282	SIA
AZ0411705	3283	SIA

St Compliance achieved	6/8/2011	2011	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	2/5/2007	2007	AZ
St Compliance achieved	10/17/2007	2007	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	10/11/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	2/26/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/22/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	4/14/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Formal NOV issued	9/12/2007	2007	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ

AZ0411705	3284	SIA
AZ0411705	3285	SIA
AZ0411705	3286	SIA
AZ0411705	3287	SIA
AZ0411705	3308	SOX
AZ0411705	3309	SOX
AZ0411705	3310	SOX
AZ0411705	3311	SOX
AZ0411705	3312	SOX
AZ0411705	3313	SIA
AZ0411705	3314	SIA
AZ0411705	3318	SOX
AZ0411705	3319	SIA
AZ0411705	3321	SIA
AZ0411705	3322	SIA
AZ0411705	3323	SOX
AZ0411705	3324	SIA
AZ0411705	3325	SOX
AZ0411705	3326	SIA
AZ0411705	3327	SIA
AZ0411705	3328	SOX
AZ0411705	3329	SIA
AZ0411705	3330	SOX
AZ0411705	3331	SOX
AZ0411705	3332	SIA
AZ0411705	3333	SOX
AZ0411705	3334	SOX
AZ0411705	3337	SOX
AZ0411705	3338	SIA
AZ0411705	3340	SOX
AZ0411705	3341	SIA
AZ0411705	3342	SIA
AZ0411705	3343	SOX
AZ0411705	3344	SOX
AZ0411705	3345	SOX
AZ0411705	3346	SOX
AZ0411705	3347	SOX
AZ0411705	3348	SOX
AZ0411705	3349	SOX
AZ0411705	3386	SOX
AZ0411705	3387	SOX
AZ0411705	3388	SIA
AZ0411706	10022	SOX
AZ0411706	10023	SIA
AZ0411706	10024	SOX
AZ0411706	10026	SOX
AZ0411706	10031	SOX

St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Compliance achieved	8/21/2009	2009	AZ
St Compliance achieved	8/24/2009	2009	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	12/15/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	8/16/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/9/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	2/17/2011	2011	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Violation/Reminder Notice	4/1/2011	2011	AZ
St Compliance achieved	5/23/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	2/2/2012	2012	AZ
St Compliance achieved	3/19/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	4/10/2009	2009	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	3/15/2013	2013	AZ
St Violation/Reminder Notice	3/25/2013	2013	AZ
St Compliance achieved	10/11/2007	2007	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/15/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	4/30/2014	2014	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Compliance achieved	12/9/2013	2013	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	6/27/2012	2012	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	4/19/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0411706	10032	SIA
AZ0411706	10033	SOX
AZ0411706	10034	SOX
AZ0411706	10036	SOX
AZ0411706	10037	SOX
AZ0411706	10038	SOX
AZ0411706	10040	SIA
AZ0411706	10042	SOX
AZ0411706	10043	SIA
AZ0411706	10044	SOX
AZ0411706	10045	SOX
AZ0411706	10046	SOX
AZ0411706	10047	SOX
AZ0411706	10048	SIA
AZ0411706	10049	SOX
AZ0411706	10050	SIA
AZ0411706	10051	SIA
AZ0411706	10052	SOX
AZ0411706	10053	SIA
AZ0411706	10054	SOX
AZ0411706	10055	SIA
AZ0411706	10056	SOX
AZ0411706	10057	SIA
AZ0411706	10058	SOX
AZ0411706	10059	SIA
AZ0411706	10060	SIA
AZ0411706	10061	SOX
AZ0411706	10062	SIA
AZ0411706	10063	SOX
AZ0411706	10064	SIA
AZ0411706	10066	SO0
AZ0411706	10068	SOX
AZ0411706	10071	SOX
AZ0411707	1	SOX
AZ0411708	505	SIA
AZ0411708	506	SOX
AZ0411708	507	SOX
AZ0411708	508	SOX
AZ0411708	509	SIA
AZ0411708	510	SIA
AZ0411708	511	SOX
AZ0411708	512	SIA
AZ0411708	514	SOX
AZ0411708	515	SFJ
AZ0411708	516	SIA
AZ0411708	517	SOX
AZ0411708	518	SOX

St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	12/17/2008	2008	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	4/1/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	12/29/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/11/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	8/9/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	5/4/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
State No Longer Subject to Rule	10/31/2012	2012	AZ
St Compliance achieved	12/14/2010	2010	AZ
St Compliance achieved	2/5/2007	2007	AZ
St Compliance achieved	4/10/2009	2009	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	5/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Violation/Reminder Notice	8/8/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Formal NOV issued	11/21/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	1/7/2013	2013	AZ
St Compliance achieved	1/7/2013	2013	AZ

AZ0411708	519	SOX
AZ0411708	520	SIA
AZ0411708	521	SOX
AZ0411708	522	SOX
AZ0411711	900	SIA
AZ0411711	901	SOX
AZ0411712	7202	SOX
AZ0411712	7203	SOX
AZ0411712	7204	SOX
AZ0411712	7205	SOX
AZ0411712	7206	SOX
AZ0411712	7207	SOX
AZ0411712	7209	SOX
AZ0411712	7211	SIA
AZ0411712	7212	SIA
AZ0411712	7214	SOX
AZ0411712	7219	SIA
AZ0411712	7220	SOX
AZ0411712	7221	SOX
AZ0411712	7223	SFJ
AZ0411712	7224	SOX
AZ0411712	7225	SIA
AZ0411712	7227	SIA
AZ0411712	7228	SIA
AZ0411712	7229	SOX
AZ0411712	7230	SOX
AZ0411712	7232	SOX
AZ0411712	7233	SOX
AZ0411712	7236	SOX
AZ0411712	7238	SO0
AZ0411712	7259	SIA
AZ0411712	7260	SIA
AZ0411712	7281	SIA
AZ0411712	7283	SIA
AZ0411712	7284	SOX
AZ0411712	7285	SIA
AZ0411712	7286	SOX
AZ0411712	7287	SOX
AZ0411712	7288	SOX
AZ0411712	7289	SIA
AZ0411712	7290	SOX
AZ0411712	7291	SIA
AZ0411712	7292	SIA
AZ0411712	7293	SIA
AZ0411712	7294	SIA
AZ0411712	7295	SIA
AZ0411712	7296	SOX

St Compliance achieved	1/17/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/10/2008	2008	AZ
St Compliance achieved	12/19/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	3/20/2009	2009	AZ
St Compliance achieved	10/28/2008	2008	AZ
St Compliance achieved	7/12/2009	2009	AZ
State No Longer Subject to Rule	7/20/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Violation/Reminder Notice	11/12/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Violation/Reminder Notice	5/4/2010	2010	AZ
St Compliance achieved	5/6/2010	2010	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	10/29/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	12/15/2010	2010	AZ

AZ0411712	7297	SOX
AZ0411712	7298	SOX
AZ0411712	7299	SOX
AZ0411712	7300	SOX
AZ0411712	7301	SIA
AZ0411712	7302	SIA
AZ0411712	7303	SIA
AZ0411712	7304	SIA
AZ0411712	7305	SIA
AZ0411712	7306	SIA
AZ0411712	7307	SOX
AZ0411712	7308	SOX
AZ0411712	7309	SIA
AZ0411712	7310	SIA
AZ0411712	7311	SOX
AZ0411712	7312	SFL
AZ0411712	7313	SIA
AZ0411712	7314	SOX
AZ0411712	7315	SIA
AZ0411712	7316	SIA
AZ0411712	7317	SOX
AZ0411712	7318	SIA
AZ0411712	7319	SIA
AZ0411712	7320	SIA
AZ0411712	7321	SIA
AZ0411712	7322	SOX
AZ0411712	7323	SOX
AZ0411712	7324	SOX
AZ0411712	7325	SOX
AZ0411712	7326	SIA
AZ0411712	7327	SIA
AZ0411712	7330	SIA
AZ0411712	7331	SOX
AZ0411712	7332	SOX
AZ0411712	7333	SIA
AZ0411712	7334	SIA
AZ0411712	7338	SIA
AZ0411712	7339	SIA
AZ0411712	7340	SIA
AZ0411712	7341	SIA
AZ0411712	7342	SOX
AZ0411712	7343	SOX
AZ0411712	7344	SOX
AZ0411712	7345	SIA
AZ0411712	7346	SIA
AZ0411712	7347	SIA
AZ0411712	7348	SIA

St Compliance achieved	12/17/2010	2010	AZ
St Compliance achieved	2/1/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Violation/Reminder Notice	8/11/2011	2011	AZ
St Violation/Reminder Notice	8/11/2011	2011	AZ
St Compliance achieved	8/12/2011	2011	AZ
St AO (w/o penalty) issued	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	10/31/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Violation/Reminder Notice	11/18/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	12/9/2011	2011	AZ
St Compliance achieved	1/27/2012	2012	AZ
St Compliance achieved	2/29/2012	2012	AZ
St Compliance achieved	5/1/2012	2012	AZ
St Violation/Reminder Notice	3/22/2012	2012	AZ
St Violation/Reminder Notice	3/1/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	11/27/2012	2012	AZ
St Violation/Reminder Notice	11/27/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Violation/Reminder Notice	2/7/2013	2013	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Compliance achieved	1/9/2011	2011	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	5/23/2013	2013	AZ
St Violation/Reminder Notice	5/23/2013	2013	AZ
St Violation/Reminder Notice	5/7/2013	2013	AZ
St Violation/Reminder Notice	5/7/2013	2013	AZ

AZ0411712	7351	SIA
AZ0411712	7353	SOX
AZ0411712	7354	SOX
AZ0411712	7355	SOX
AZ0411712	7356	SOX
AZ0411712	7357	SIA
AZ0411712	7358	SIA
AZ0411712	7359	SIA
AZ0411712	7360	SIA
AZ0411712	7361	SIA
AZ0411712	7362	SIA
AZ0411712	7363	SOX
AZ0411712	7364	SOX
AZ0411712	7365	SOX
AZ0411712	7366	SOX
AZ0411713	7195	SOX
AZ0411713	7287	SOX
AZ0411713	7289	SOX
AZ0411713	7290	SIA
AZ0411713	7291	SOX
AZ0411713	7292	SIA
AZ0411713	7293	SOX
AZ0411713	7294	SOX
AZ0411713	7296	SIA
AZ0411713	7297	SOX
AZ0411713	7298	SOX
AZ0411716	1629	SOX
AZ0411716	1630	SOX
AZ0411716	1633	SIA
AZ0411716	1635	SOX
AZ0411716	1636	SIA
AZ0411716	1645	SOX
AZ0411716	1649	SIA
AZ0411716	1654	SIA
AZ0411716	1657	SIA
AZ0411716	1662	SOX
AZ0411716	1663	SIA
AZ0411716	1664	SIA
AZ0411716	1671	SOX
AZ0411716	1672	SOX
AZ0411716	1673	SIA
AZ0411716	1676	SOX
AZ0411716	1677	SOX
AZ0411716	1679	SOX
AZ0411716	1680	SIA
AZ0411716	1681	SIA
AZ0411716	1682	SIA

St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	12/1/2010	2010	AZ
St Compliance achieved	7/13/2012	2012	AZ
St Compliance achieved	9/20/2013	2013	AZ
St Compliance achieved	9/20/2013	2013	AZ
St Violation/Reminder Notice	10/1/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	12/10/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	11/20/2013	2013	AZ
St Compliance achieved	11/20/2013	2013	AZ
St Compliance achieved	12/30/2013	2013	AZ
St Compliance achieved	10/13/2010	2010	AZ
St Compliance achieved	6/8/2007	2007	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Compliance achieved	1/2/2008	2008	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/21/2014	2014	AZ
St Compliance achieved	10/8/2013	2013	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Compliance achieved	7/13/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ

AZ0411716	1683	SOX
AZ0411716	1684	SOX
AZ0411716	1685	SOX
AZ0411716	1686	SIA
AZ0411716	1688	SOX
AZ0411716	1689	SIA
AZ0411716	1690	SIA
AZ0411716	1691	SIA
AZ0411716	1692	SOX
AZ0411716	1693	SOX
AZ0411716	1694	SIA
AZ0411716	1695	SOX
AZ0411717	3679	SIA
AZ0411717	3680	SIA
AZ0411717	3681	SIA
AZ0411717	3683	SOX
AZ0411717	3684	SIA
AZ0411717	3685	SIA
AZ0411717	3687	SIA
AZ0411717	3688	SIA
AZ0411717	3689	SIA
AZ0411717	3690	SOX
AZ0411717	3691	SOX
AZ0411717	3692	SOX
AZ0411717	3693	SIA
AZ0411717	3694	SIA
AZ0411717	3695	SIA
AZ0411717	3696	SOX
AZ0411717	3697	SOX
AZ0411717	3698	SOX
AZ0411717	3699	SOX
AZ0411717	3700	SOX
AZ0411717	3701	SIA
AZ0411717	3702	SIA
AZ0411717	3703	SOX
AZ0412001	6483	SOX
AZ0412001	6484	SOX
AZ0412001	6485	SIA
AZ0412001	6486	SOX
AZ0412001	6487	SOX
AZ0412001	6488	SOX
AZ0412001	6489	SFL
AZ0412001	6490	SIA
AZ0412001	6492	SOX
AZ0412001	6493	SOX
AZ0412001	6494	SO0
AZ0412001	6495	SOX

St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Compliance achieved	8/23/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	2/21/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/6/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	1/23/2009	2009	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	6/12/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	12/28/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/24/2011	2011	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/23/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	8/13/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St AO (w/o penalty) issued	7/23/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	1/28/2010	2010	AZ
State No Longer Subject to Rule	4/21/2010	2010	AZ
St Compliance achieved	7/14/2010	2010	AZ

AZ0412001	6496	SO0
AZ0412001	6497	SOX
AZ0412001	6498	SIA
AZ0412001	6499	SOX
AZ0412001	6500	SOX
AZ0412001	6501	SIA
AZ0412001	6502	SIA
AZ0412001	6503	SOX
AZ0412001	6504	SOX
AZ0412001	6505	SOX
AZ0412004	#PWS-AO-2002-6000	EFL
AZ0412004	11564	SOX
AZ0412004	11601	SOX
AZ0412004	11602	SIA
AZ0412004	11608	SIA
AZ0412004	11609	SOX
AZ0412004	11610	SOX
AZ0412004	11611	SOX
AZ0412004	11612	SIA
AZ0412004	11613	SOX
AZ0412004	11614	SOX
AZ0412004	11615	SOX
AZ0412004	11616	SOX
AZ0412004	11617	SIA
AZ0412004	11618	SOX
AZ0412004	11621	SOX
AZ0412004	11622	SIA
AZ0412004	11623	SOX
AZ0412004	11624	SOX
AZ0412004	11625	SOX
AZ0412004	11626	SOX
AZ0412004	11627	SIA
AZ0412004	11628	SOX
AZ0412004	11629	SIA
AZ0412004	11630	SOX
AZ0412004	11632	SOX
AZ0412004	11636	SIA
AZ0412004	11637	SOX
AZ0412004	11638	SIA
AZ0412004	11643	SIA
AZ0412004	11644	SIA
AZ0412004	11645	SIA
AZ0412004	11646	SIA
AZ0412004	11647	SOX
AZ0412004	11648	SOX
AZ0412004	11649	SOX
AZ0412004	11651	SOX

State No Longer Subject to Rule	7/14/2010	2010	AZ
St Compliance achieved	8/23/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	10/5/2010	2010	AZ
St Compliance achieved	12/13/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/8/2011	2011	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
Fed FAO issued	5/16/2008	2008	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Compliance achieved	4/16/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	1/11/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	7/7/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	8/20/2008	2008	AZ
St Violation/Reminder Notice	8/18/2008	2008	AZ
St Compliance achieved	8/28/2008	2008	AZ
St Compliance achieved	10/14/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/5/2008	2008	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Compliance achieved	1/26/2009	2009	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/1/2009	2009	AZ
St Compliance achieved	4/28/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/20/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Violation/Reminder Notice	6/22/2009	2009	AZ
St Compliance achieved	8/18/2009	2009	AZ
St Compliance achieved	8/24/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ

AZ0412004	11652	SOX
AZ0412004	11653	SOX
AZ0412004	11654	SOX
AZ0412004	11655	SOX
AZ0412004	11656	SIA
AZ0412004	11657	SIA
AZ0412004	11658	SOX
AZ0412004	11659	SIA
AZ0412004	11660	SIA
AZ0412004	11661	SIA
AZ0412004	11662	SOX
AZ0412004	11663	SIA
AZ0412004	11664	SOX
AZ0412004	11665	SIA
AZ0412004	11666	SOX
AZ0412004	11667	SOX
AZ0412004	11668	SOX
AZ0412004	11669	SOX
AZ0412004	11670	SOX
AZ0412004	11671	SIA
AZ0412004	11672	SIA
AZ0412004	11678	SO0
AZ0412004	11679	SIA
AZ0412004	11680	SOX
AZ0412004	11681	SOX
AZ0412004	11683	SOX
AZ0412004	11688	SOX
AZ0412004	11690	SOX
AZ0412004	11691	SIA
AZ0412004	11694	SOX
AZ0412004	11695	SOX
AZ0412004	11696	SOX
AZ0412004	11697	SOX
AZ0412005	1428	SOX
AZ0412005	1431	SIA
AZ0412005	1432	SIA
AZ0412005	1433	SOX
AZ0412005	1434	SIA
AZ0412005	1436	SIA
AZ0412005	1437	SOX
AZ0412005	1438	SOX
AZ0412005	1439	SOX
AZ0412005	1440	SOX
AZ0412005	1441	SIA
AZ0412005	1442	SIA
AZ0412005	1443	SOX
AZ0412005	1444	SOX

St Compliance achieved	10/3/2008	2008	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	11/16/2009	2009	AZ
St Compliance achieved	1/8/2010	2010	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/16/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	7/1/2010	2010	AZ
St Violation/Reminder Notice	8/30/2010	2010	AZ
St Compliance achieved	10/20/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/25/2011	2011	AZ
St Compliance achieved	2/14/2011	2011	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	2/28/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
State No Longer Subject to Rule	10/11/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	9/17/2012	2012	AZ
St Compliance achieved	11/9/2012	2012	AZ
St Compliance achieved	10/5/2010	2010	AZ
St Compliance achieved	10/12/2011	2011	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	6/27/2012	2012	AZ
St Compliance achieved	12/11/2013	2013	AZ
St Compliance achieved	9/26/2013	2013	AZ
St Compliance achieved	5/7/2014	2014	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Compliance achieved	5/18/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	12/14/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/9/2010	2010	AZ
St Compliance achieved	4/21/2011	2011	AZ

AZ0412005	1445	SOX
AZ0412005	1446	SIA
AZ0412005	1448	SIA
AZ0412005	1452	SIA
AZ0412005	1453	SIA
AZ0412005	1454	SOX
AZ0412005	1455	SOX
AZ0412005	1456	SIA
AZ0412005	1457	SIA
AZ0412005	1462	SOX
AZ0412005	1463	SOX
AZ0412006	1969	SOX
AZ0412006	1970	SOX
AZ0412006	1971	SOX
AZ0412006	1973	SOX
AZ0412006	1974	SOX
AZ0412006	1975	SOX
AZ0412006	1976	SIA
AZ0412006	1977	SOX
AZ0412006	1978	SOX
AZ0412006	1979	SOX
AZ0412006	1980	SOX
AZ0412006	1981	SOX
AZ0412006	1982	SIA
AZ0412006	1983	SIA
AZ0412006	1984	SOX
AZ0412006	1985	SOX
AZ0412006	1986	SIA
AZ0412006	1987	SOX
AZ0412006	1988	SOX
AZ0412006	1989	SOX
AZ0412006	1992	SOX
AZ0412006	1993	SOX
AZ0412006	1994	SOX
AZ0412006	1995	SIA
AZ0412006	1997	SOX
AZ0412009	305	SIA
AZ0412009	310	SIA
AZ0412009	311	SOX
AZ0412009	312	SIA
AZ0412009	313	SOX
AZ0412009	314	SOX
AZ0412009	316	SOX
AZ0412009	317	SIA
AZ0412009	319	SIA
AZ0412009	320	SIA
AZ0412009	322	SIA

St Compliance achieved	7/19/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	6/21/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Compliance achieved	1/15/2014	2014	AZ
St Compliance achieved	1/15/2014	2014	AZ
St Violation/Reminder Notice	5/3/2013	2013	AZ
St Violation/Reminder Notice	5/3/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/2/2008	2008	AZ
St Compliance achieved	12/2/2008	2008	AZ
St Compliance achieved	12/3/2008	2008	AZ
St Compliance achieved	10/26/2009	2009	AZ
St Compliance achieved	3/17/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	8/16/2012	2012	AZ
St Compliance achieved	8/4/2010	2010	AZ
St Compliance achieved	7/15/2011	2011	AZ
St Compliance achieved	3/19/2014	2014	AZ
St Violation/Reminder Notice	3/13/2014	2014	AZ
St Compliance achieved	3/11/2009	2009	AZ
St Violation/Reminder Notice	8/7/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/7/2007	2007	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ

AZ0412009	323	SIA
AZ0412009	325	SIA
AZ0412009	326	SOX
AZ0412009	327	SIA
AZ0412009	329	SIA
AZ0412009	330	SOX
AZ0412009	331	SOX
AZ0412009	332	SIA
AZ0412009	333	SIA
AZ0412009	334	SOX
AZ0412009	335	SIF
AZ0412009	336	SOX
AZ0412009	337	SO0
AZ0412009	338	SIA
AZ0412009	339	SIA
AZ0412009	340	SIA
AZ0412009	341	SIA
AZ0412009	342	SIA
AZ0412009	343	SIA
AZ0412009	344	SIA
AZ0412009	345	SIA
AZ0412009	346	SIA
AZ0412009	347	SIA
AZ0412009	348	SIA
AZ0412009	349	SIA
AZ0412009	350	SIA
AZ0412009	351	SIA
AZ0412009	352	SIA
AZ0412009	353	SIA
AZ0412009	354	SIA
AZ0412009	355	SIA
AZ0412009	356	SIA
AZ0412009	357	SIA
AZ0412009	358	SIA
AZ0412009	379	SOX
AZ0412009	380	SIA
AZ0412009	381	SOX
AZ0412009	382	SOX
AZ0412009	383	SOX
AZ0412009	384	SIA
AZ0412009	385	SOX
AZ0412009	386	SOX
AZ0412009	389	SOX
AZ0412010	3942	SOX
AZ0412010	3943	SOX
AZ0412010	3947	SOX
AZ0412010	3948	SOX

St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	10/7/2008	2008	AZ
St Compliance achieved	10/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	3/17/2009	2009	AZ
St Compliance achieved	7/22/2009	2009	AZ
St Public Notif received	9/3/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
State No Longer Subject to Rule	10/29/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Violation/Reminder Notice	5/17/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/8/2010	2010	AZ
St Compliance achieved	1/4/2011	2011	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Compliance achieved	12/7/2010	2010	AZ
St Compliance achieved	10/9/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ

AZ0412010	3950	SOX
AZ0412010	3951	SIA
AZ0412010	3953	SIA
AZ0412010	3954	SIA
AZ0412010	3955	SOX
AZ0412010	3957	SOX
AZ0412010	3958	SOX
AZ0412010	3960	SO0
AZ0412010	3961	SOX
AZ0412010	3962	SOX
AZ0412010	3963	SOX
AZ0412011	2233	SOX
AZ0412011	2235	SOX
AZ0412011	2238	SOX
AZ0412011	2239	SOX
AZ0412011	2240	SOX
AZ0412011	2242	SOX
AZ0412011	2244	SOX
AZ0412011	2246	SOX
AZ0412011	2247	SIA
AZ0412011	2249	SOX
AZ0412011	2250	SOX
AZ0412011	2251	SOX
AZ0412011	2252	SOX
AZ0412011	2253	SOX
AZ0412011	2254	SOX
AZ0412011	2257	SOX
AZ0412011	2258	SOX
AZ0412011	2259	SIA
AZ0412011	2261	SOX
AZ0412011	2262	SIA
AZ0412011	2263	SO0
AZ0412011	2264	SOX
AZ0412011	2265	SOX
AZ0412011	2266	SIA
AZ0412011	2269	SIA
AZ0412011	2270	SOX
AZ0412011	2272	SOX
AZ0412011	2274	SOX
AZ0412011	2275	SOX
AZ0412011	2276	SOX
AZ0412011	2278	SOX
AZ0412011	2279	SIA
AZ0412011	2280	SIA
AZ0412011	2281	SIA
AZ0412011	2282	SIA
AZ0412011	2283	SIA

St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Compliance achieved	12/5/2008	2008	AZ
State No Longer Subject to Rule	6/14/2012	2012	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	5/14/2012	2012	AZ
St Compliance achieved	3/27/2009	2009	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/26/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/29/2007	2007	AZ
St Compliance achieved	12/5/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	4/14/2008	2008	AZ
St Compliance achieved	4/14/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	6/20/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
State No Longer Subject to Rule	8/1/2008	2008	AZ
St Compliance achieved	8/1/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/27/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	3/18/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ

AZ0412011	2284	SIA
AZ0412011	2285	SIA
AZ0412011	2286	SIA
AZ0412011	2287	SIA
AZ0412011	2288	SIA
AZ0412011	2289	SIA
AZ0412011	2290	SIA
AZ0412011	2291	SIA
AZ0412011	2292	SIA
AZ0412011	2293	SOX
AZ0412011	2294	SOX
AZ0412011	2295	SOX
AZ0412011	2296	SIA
AZ0412011	2297	SIA
AZ0412011	2298	SOX
AZ0412011	2299	SOX
AZ0412011	2301	SOX
AZ0412011	2302	SOX
AZ0412011	2303	SOX
AZ0412011	2304	SOX
AZ0412011	2305	SOX
AZ0412011	2306	SOX
AZ0412011	2307	SOX
AZ0412011	2308	SIA
AZ0412011	2309	SIA
AZ0412011	2310	SIA
AZ0412011	2311	SIA
AZ0412011	2312	SIA
AZ0412011	2315	SOX
AZ0412011	2316	SIA
AZ0412013	508	SOX
AZ0412013	515	SOX
AZ0412013	532	SOX
AZ0412013	533	SIA
AZ0412013	534	SIA
AZ0412013	535	SOX
AZ0412013	536	SOX
AZ0412013	537	SOX
AZ0412013	538	SIA
AZ0412013	539	SO0
AZ0412013	542	SOX
AZ0412013	543	SOX
AZ0412015	509	SOX
AZ0412015	533	SOX
AZ0412015	545	SOX
AZ0412015	551	SIA
AZ0412015	552	SIA

St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	6/22/2010	2010	AZ
St Compliance achieved	3/16/2012	2012	AZ
St Compliance achieved	4/22/2009	2009	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/5/2013	2013	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Compliance achieved	4/2/2013	2013	AZ
St Compliance achieved	12/11/2007	2007	AZ
St Compliance achieved	6/7/2012	2012	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	7/11/2009	2009	AZ
St Compliance achieved	12/31/2010	2010	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	7/23/2014	2014	AZ
St Violation/Reminder Notice	7/8/2014	2014	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	1/11/2008	2008	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
State No Longer Subject to Rule	7/28/2008	2008	AZ
St Compliance achieved	9/12/2007	2007	AZ
St Compliance achieved	9/29/2009	2009	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ

AZ0412015	553	SOX
AZ0412015	554	SOX
AZ0412015	555	SOX
AZ0412015	557	SOX
AZ0412015	558	SOX
AZ0412015	560	SIA
AZ0412015	561	SIA
AZ0412015	563	SIA
AZ0412015	565	SOX
AZ0412015	566	SIA
AZ0412015	568	SOX
AZ0412015	570	SOX
AZ0412015	571	SOX
AZ0412015	572	SOX
AZ0412015	573	SIA
AZ0412015	574	SIA
AZ0412015	575	SIA
AZ0412015	576	SOX
AZ0412015	577	SOX
AZ0412015	579	SOX
AZ0412015	580	SOX
AZ0412015	581	SOX
AZ0412015	582	SIA
AZ0412015	583	SOX
AZ0412015	584	SOX
AZ0412019	310	SOX
AZ0412019	311	SOX
AZ0412019	312	SIA
AZ0412019	313	SIA
AZ0412019	314	SOX
AZ0412019	315	SOX
AZ0412019	316	SIA
AZ0412019	317	SOX
AZ0412019	318	SIA
AZ0412019	320	SOX
AZ0412020	747	SOX
AZ0412020	756	SIA
AZ0412020	757	SOX
AZ0412020	758	SOX
AZ0412020	759	SOX
AZ0412020	760	SIA
AZ0412020	762	SOX
AZ0412020	764	SOX
AZ0412020	765	SOX
AZ0412020	766	SIA
AZ0412020	767	SOX
AZ0412020	768	SOX

St Compliance achieved	1/11/2008	2008	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	5/29/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	8/20/2009	2009	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	1/8/2010	2010	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	9/17/2012	2012	AZ
St Compliance achieved	9/29/2009	2009	AZ
St Compliance achieved	9/10/2010	2010	AZ
St Compliance achieved	10/12/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	9/25/2013	2013	AZ
St Compliance achieved	7/17/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	4/16/2012	2012	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	9/5/2014	2014	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	4/16/2008	2008	AZ
St Compliance achieved	4/16/2008	2008	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	8/27/2010	2010	AZ
St Compliance achieved	12/17/2010	2010	AZ

AZ0412020	769	SIA
AZ0412020	770	SIA
AZ0412020	771	SOX
AZ0412020	772	SOX
AZ0412020	773	SIA
AZ0412020	774	SOX
AZ0412020	776	SOX
AZ0412020	777	SOX
AZ0412020	778	SOX
AZ0412020	779	SOX
AZ0412020	780	SIA
AZ0412020	781	SOX
AZ0412020	782	SOX
AZ0412020	783	SIA
AZ0412025	11	SIA
AZ0412025	12	SIA
AZ0412025	14	SIA
AZ0412025	15	SIA
AZ0412025	17	SIA
AZ0412025	18	SOX
AZ0412025	19	SOX
AZ0412025	20	SOX
AZ0412025	21	SOX
AZ0412025	25	SOX
AZ0412025	26	SOX
AZ0412025	27	SIA
AZ0412025	3	SIA
AZ0412025	32	SOX
AZ0412025	33	SOX
AZ0412025	34	SIA
AZ0412025	35	SIA
AZ0412025	36	SIA
AZ0412025	38	SOX
AZ0412025	39	SFJ
AZ0412025	4	SOX
AZ0412025	41	SIA
AZ0412025	43	SOX
AZ0412025	44	SOX
AZ0412025	45	SOX
AZ0412025	46	SIA
AZ0412025	47	SOX
AZ0412025	48	SOX
AZ0412025	49	SOX
AZ0412025	5	SOX
AZ0412025	50	SIA
AZ0412025	51	SOX
AZ0412025	53	SOX

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	7/23/2008	2008	AZ
St Compliance achieved	8/4/2009	2009	AZ
St Compliance achieved	7/22/2011	2011	AZ
St Compliance achieved	6/30/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/10/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	10/9/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Compliance achieved	11/19/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	4/5/2010	2010	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	8/27/2010	2010	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Compliance achieved	5/12/2011	2011	AZ
St Compliance achieved	2/1/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	12/15/2010	2010	AZ
St Compliance achieved	2/20/2012	2012	AZ
St Compliance achieved	1/29/2008	2008	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/6/2014	2014	AZ
St Compliance achieved	6/2/2014	2014	AZ

AZ0412025	6	SOX
AZ0412025	7	SIA
AZ0412025	9	SIA
AZ0412028	620	SOX
AZ0412028	621	SOX
AZ0412028	622	SOX
AZ0412028	623	SIA
AZ0412028	624	SOX
AZ0412028	625	SIA
AZ0412028	626	SOX
AZ0412028	627	SIA
AZ0412028	628	SIA
AZ0412028	629	SOX
AZ0412028	630	SIA
AZ0412028	631	SOX
AZ0412028	632	SIA
AZ0412028	635	SIA
AZ0412028	636	SOX
AZ0412028	637	SOX
AZ0412029	2737	SOX
AZ0412029	2738	SOX
AZ0412029	2739	SIA
AZ0412029	2740	SOX
AZ0412029	2741	SOX
AZ0412029	2742	SOX
AZ0412032	526	SOX
AZ0412032	527	SIA
AZ0412032	528	SOX
AZ0412032	529	SOX
AZ0412032	530	SIA
AZ0412032	531	SIA
AZ0412032	532	SOX
AZ0412032	533	SOX
AZ0412032	534	SIA
AZ0412032	535	SIA
AZ0412035	516	SOX
AZ0412035	517	SIA
AZ0412035	518	SOX
AZ0412035	519	SOX
AZ0412035	520	SOX
AZ0412035	521	SIA
AZ0412035	522	SOX
AZ0412035	523	SIA
AZ0412035	524	SOX
AZ0412035	526	SOX
AZ0412035	547	SIA
AZ0412035	548	SOX

St Compliance achieved	2/4/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	3/28/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/15/2011	2011	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/25/2011	2011	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	12/12/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	6/13/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	1/24/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	12/31/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	5/31/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/8/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	5/10/2012	2012	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ

AZ0412036	4040	SOX
AZ0412036	4041	SOX
AZ0412036	4042	SOX
AZ0412036	4043	SIA
AZ0412036	4044	SIA
AZ0412036	4045	SOX
AZ0412036	4046	SO0
AZ0412036	4047	SOX
AZ0412037	11	SIA
AZ0412037	12	SOX
AZ0412037	13	SOX
AZ0412037	14	SIA
AZ0412037	17	SIA
AZ0412037	18	SOX
AZ0412037	19	SOX
AZ0412037	2	SOX
AZ0412037	20	SOX
AZ0412037	21	SOX
AZ0412037	22	SIA
AZ0412037	23	SIA
AZ0412037	24	SOX
AZ0412037	25	SOX
AZ0412037	26	SOX
AZ0412037	27	SOX
AZ0412037	28	SOX
AZ0412037	30	SOX
AZ0412037	31	SOX
AZ0412037	32	SIA
AZ0412039	915	SOX
AZ0412039	916	SOX
AZ0412039	917	SOX
AZ0412039	919	SIA
AZ0412039	920	SIA
AZ0412039	921	SOX
AZ0412039	922	SOX
AZ0412039	923	SIA
AZ0412039	924	SIA
AZ0412039	925	SIA
AZ0412039	926	SOX
AZ0412039	927	SIA
AZ0412039	928	SIA
AZ0412039	929	SIA
AZ0412039	930	SIA
AZ0412039	931	SFJ
AZ0412039	932	SIA
AZ0412039	933	SOX
AZ0412039	934	SOX

St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/25/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	3/20/2009	2009	AZ
State No Longer Subject to Rule	7/2/2009	2009	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	6/6/2008	2008	AZ
St Compliance achieved	8/4/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/4/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	1/29/2007	2007	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Compliance achieved	8/3/2009	2009	AZ
St Compliance achieved	8/8/2011	2011	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Compliance achieved	9/26/2012	2012	AZ
St Compliance achieved	10/21/2013	2013	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	2/20/2009	2009	AZ
St Compliance achieved	2/23/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/20/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	5/16/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Formal NOV issued	11/27/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	2/4/2013	2013	AZ
St Compliance achieved	3/13/2013	2013	AZ

AZ0412039	935	SIA
AZ0412039	936	SOX
AZ0412039	937	SIA
AZ0412039	938	SIA
AZ0412039	939	SIA
AZ0412039	940	SIA
AZ0412039	941	SIA
AZ0412039	942	SIA
AZ0412039	943	SFJ
AZ0412039	944	SFJ
AZ0412039	945	SIA
AZ0412039	946	SIA
AZ0412039	947	SIA
AZ0412039	948	SIA
AZ0412039	949	SIA
AZ0412039	950	SIA
AZ0412039	951	SFL
AZ0412039	952	SIA
AZ0412039	953	SIA
AZ0412039	954	SIA
AZ0412040	1	SIA
AZ0412040	11	SIA
AZ0412040	13	SFJ
AZ0412040	15	SOX
AZ0412040	16	SFJ
AZ0412040	19	SOX
AZ0412040	2	SOX
AZ0412040	20	SIA
AZ0412040	21	SOX
AZ0412040	22	SOX
AZ0412040	23	SOX
AZ0412040	24	SOX
AZ0412040	25	SIA
AZ0412040	26	SIA
AZ0412040	27	SOX
AZ0412040	28	SOX
AZ0412040	3	SIA
AZ0412040	6	SIA
AZ0412040	7	SIA
AZ0412040	9	SIA
AZ0412043	1	SOX
AZ0412043	2	SIA
AZ0412043	3	SIA
AZ0412043	4	SOX
AZ0412043	5	SIA
AZ0412043	6	SOX
AZ0412044	1	SFJ

St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/27/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Formal NOV issued	12/12/2013	2013	AZ
St Formal NOV issued	12/12/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St AO (w/o penalty) issued	6/24/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Formal NOV issued	10/3/2012	2012	AZ
St Compliance achieved	9/24/2012	2012	AZ
St Formal NOV issued	11/23/2012	2012	AZ
St Compliance achieved	12/18/2012	2012	AZ
St Compliance achieved	8/25/2011	2011	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/3/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	5/22/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Compliance achieved	4/4/2013	2013	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	12/20/2011	2011	AZ
St Violation/Reminder Notice	5/15/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	3/28/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/19/2014	2014	AZ
St Formal NOV issued	3/27/2012	2012	AZ

AZ0412044	2	SIA
AZ0412044	3	SFL
AZ0412044	4	SIA
AZ0412045	1	SIA
AZ0412045	2	SIA
AZ0412045	3	SOX
AZ0412045	4	SIA
AZ0412118	10	SIA
AZ0412118	11	SOX
AZ0412118	5	SOX
AZ0412118	8	SIA
AZ0412118	9	SOX
AZ0412301	1	SOX
AZ0412301	10	SIA
AZ0412301	11	SIA
AZ0412301	12	SOX
AZ0412301	14	SOX
AZ0412301	15	SOX
AZ0412301	2	SOX
AZ0412301	3	SOX
AZ0412301	4	SIA
AZ0412301	5	SOX
AZ0412301	7	SOX
AZ0412301	8	SIA
AZ0412301	9	SIA
AZ0412302	413	SOX
AZ0412302	414	SOX
AZ0412302	415	SOX
AZ0412302	416	SOX
AZ0412302	417	SOX
AZ0412302	418	SIA
AZ0412302	419	SOX
AZ0412302	420	SIA
AZ0412302	421	SOX
AZ0412302	422	SOX
AZ0412302	423	SIA
AZ0412305	845	SOX
AZ0412305	847	SOX
AZ0412305	848	SOX
AZ0412305	849	SIA
AZ0412305	850	SIA
AZ0412305	851	SOX
AZ0412305	852	SOX
AZ0412305	853	SIA
AZ0412305	854	SOX
AZ0412305	855	SOX
AZ0412305	856	SOX

St Violation/Reminder Notice	4/25/2012	2012	AZ
St AO (w/o penalty) issued	8/20/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	8/7/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/4/2013	2013	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/26/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	11/2/2011	2011	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Compliance achieved	12/18/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	12/14/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Violation/Reminder Notice	3/27/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Compliance achieved	6/10/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ

AZ0412305	858	SIA
AZ0412305	860	SOX
AZ0412305	861	SIA
AZ0412305	863	SOX
AZ0412305	864	SOX
AZ0412305	865	SOX
AZ0412305	866	SOX
AZ0412305	867	SIA
AZ0412305	868	SIA
AZ0412305	869	SOX
AZ0412305	870	SOX
AZ0412305	871	SIA
AZ0412305	872	SIA
AZ0412305	873	SOX
AZ0412305	874	SOX
AZ0412306	4595	SOX
AZ0412306	4596	SIA
AZ0412306	4597	SOX
AZ0412306	4598	SOX
AZ0412306	4600	SOX
AZ0412306	4602	SIA
AZ0412306	4603	SOX
AZ0412306	4604	SIA
AZ0412306	4605	SOX
AZ0412306	4607	SIA
AZ0412306	4608	SIA
AZ0412306	4609	SIA
AZ0412306	4611	SFJ
AZ0412306	4613	SIA
AZ0412306	4614	SOX
AZ0412306	4615	SOX
AZ0412306	4617	SOX
AZ0412306	4618	SOX
AZ0412306	4619	SOX
AZ0412306	4620	SOX
AZ0412306	4621	SIA
AZ0412306	4622	SIA
AZ0412306	4623	SOX
AZ0412306	4624	SOX
AZ0412306	4625	SIA
AZ0412306	4626	SIA
AZ0412306	4627	SIA
AZ0412306	4628	SIA
AZ0412306	4629	SIA
AZ0412306	4630	SOX
AZ0412306	4632	SIA
AZ0412306	4634	SIA

St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Violation/Reminder Notice	7/22/2009	2009	AZ
St Compliance achieved	8/20/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	11/2/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Compliance achieved	6/4/2010	2010	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/11/2014	2014	AZ
St Compliance achieved	9/11/2014	2014	AZ
St Compliance achieved	5/11/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	3/4/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/6/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Formal NOV issued	4/24/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Compliance achieved	11/25/2009	2009	AZ
St Compliance achieved	2/4/2010	2010	AZ
St Compliance achieved	7/1/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Compliance achieved	6/6/2012	2012	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	6/8/2009	2009	AZ
St Violation/Reminder Notice	5/22/2009	2009	AZ
St Violation/Reminder Notice	5/15/2009	2009	AZ
St Compliance achieved	2/1/2010	2010	AZ
St Violation/Reminder Notice	8/26/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ

AZ0412306	4636	SIA
AZ0412306	4638	SIA
AZ0412306	4639	SIA
AZ0412306	4641	SIA
AZ0412306	4643	SOX
AZ0412308	3242	SOX
AZ0412308	3243	SOX
AZ0412308	3245	SOX
AZ0412308	3247	SOX
AZ0412308	3248	SOX
AZ0412308	3250	SIA
AZ0412308	3251	SOX
AZ0412308	3254	SIA
AZ0412308	3255	SIA
AZ0412308	3256	SOX
AZ0412308	3257	SIA
AZ0412308	3259	SIA
AZ0412308	3260	SOX
AZ0412308	3261	SOX
AZ0412308	3262	SOX
AZ0412308	3265	SOX
AZ0412308	3266	SIA
AZ0412308	3268	SOX
AZ0412308	3269	SIA
AZ0412308	3270	SOX
AZ0412308	3271	SIA
AZ0412308	3272	SOX
AZ0412308	3273	SIA
AZ0412309	2405	SIA
AZ0412309	2555	SIA
AZ0412309	2556	SOX
AZ0412309	2558	SOX
AZ0412309	2559	SOX
AZ0412309	2577	SIA
AZ0412309	2579	SIA
AZ0412309	2580	SOX
AZ0412309	2581	SFJ
AZ0412309	2585	SOX
AZ0412309	2590	SIA
AZ0412309	2591	SOX
AZ0412309	2592	SOX
AZ0412309	2597	SIA
AZ0412309	2599	SOX
AZ0412309	2600	SOX
AZ0412309	2601	SIA
AZ0412309	2602	SO0
AZ0412309	2603	SO0

St Violation/Reminder Notice	2/11/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	9/6/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	1/15/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	7/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	4/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Violation/Reminder Notice	9/25/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/15/2008	2008	AZ
St Formal NOV issued	12/26/2008	2008	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	7/27/2009	2009	AZ
St Compliance achieved	7/27/2009	2009	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
State No Longer Subject to Rule	8/15/2011	2011	AZ
State No Longer Subject to Rule	10/17/2011	2011	AZ

AZ0412309	2604	SIA
AZ0412309	2606	SOX
AZ0412309	2607	SOX
AZ0412311	#PWS-AO-2008-6002	EFL
AZ0412311	2507	SOX
AZ0412311	2590	SIA
AZ0412311	2591	SIA
AZ0412311	2592	SOX
AZ0412311	2593	SOX
AZ0412311	2594	SOX
AZ0412311	2595	SIA
AZ0412311	2596	SOX
AZ0412311	2598	SOX
AZ0412311	2599	SOX
AZ0412311	2600	SOX
AZ0412311	2602	SIA
AZ0412311	2603	SOX
AZ0412311	2604	SOX
AZ0412311	2605	SIA
AZ0412311	2607	SIA
AZ0412311	2608	SIA
AZ0412311	2609	SIA
AZ0412311	2610	SOX
AZ0412311	2611	SOX
AZ0412311	2612	SIA
AZ0412311	2613	SIA
AZ0412311	2614	SOX
AZ0412311	2615	SOX
AZ0412314	411	SOX
AZ0412314	412	SIA
AZ0412314	413	SOX
AZ0412314	414	SOX
AZ0412314	416	SOX
AZ0412314	417	SIA
AZ0412314	418	SOX
AZ0412314	419	SIA
AZ0412314	420	SIA
AZ0412314	421	SOX
AZ0412314	422	SOX
AZ0412314	423	SIA
AZ0412314	424	SOX
AZ0412321	1	SOX
AZ0412321	10	SIA
AZ0412321	11	SOX
AZ0412321	12	SIA
AZ0412321	13	SOX
AZ0412321	14	SOX

St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	2/2/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
Fed FAO issued	7/30/2008	2008	AZ
St Compliance achieved	1/16/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/23/2007	2007	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	9/23/2008	2008	AZ
St Compliance achieved	10/6/2008	2008	AZ
St Compliance achieved	6/9/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	6/18/2012	2012	AZ
St Compliance achieved	3/15/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/8/2013	2013	AZ
St Compliance achieved	1/13/2011	2011	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	4/2/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	6/2/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	1/21/2009	2009	AZ

AZ0412321	15	SIA
AZ0412321	16	SIA
AZ0412321	18	SOX
AZ0412321	19	SOX
AZ0412321	2	SIA
AZ0412321	20	SOX
AZ0412321	21	SIA
AZ0412321	22	SIA
AZ0412321	23	SOX
AZ0412321	25	SOX
AZ0412321	26	SOX
AZ0412321	27	SOX
AZ0412321	28	SOX
AZ0412321	29	SIA
AZ0412321	3	SOX
AZ0412321	30	SOX
AZ0412321	31	SIA
AZ0412321	32	SOX
AZ0412321	33	SIA
AZ0412321	34	SIA
AZ0412321	35	SOX
AZ0412321	36	SOX
AZ0412321	4	SOX
AZ0412321	5	SOX
AZ0412321	6	SOX
AZ0412321	7	SIA
AZ0412321	8	SOX
AZ0412331	1105	SOX
AZ0412331	1119	SOX
AZ0412331	1120	SOX
AZ0412331	1121	SOX
AZ0412331	1122	SOX
AZ0412331	1123	SIA
AZ0412331	1124	SOX
AZ0412331	1125	SIA
AZ0412331	1126	SOX
AZ0412333	514	SOX
AZ0412333	516	SIA
AZ0412333	517	SOX
AZ0412333	518	SIA
AZ0412333	519	SOX
AZ0412333	520	SO0
AZ0412341	1221	SOX
AZ0412341	1222	SOX
AZ0412341	1223	SOX
AZ0412341	1224	SOX
AZ0412341	1225	SIA

St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Compliance achieved	10/21/2009	2009	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	12/15/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Compliance achieved	9/10/2012	2012	AZ
St Compliance achieved	5/9/2012	2012	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	7/23/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	6/30/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	2/7/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/18/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Compliance achieved	4/25/2011	2011	AZ
State No Longer Subject to Rule	7/1/2011	2011	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ

AZ0412341	1226	SIA
AZ0412341	1227	SOX
AZ0412341	1228	SIA
AZ0412341	1229	SOX
AZ0412341	1230	SIA
AZ0412341	1231	SOX
AZ0412342	613	SOX
AZ0412342	614	SOX
AZ0412342	615	SOX
AZ0412342	616	SOX
AZ0412342	617	SIA
AZ0412342	618	SOX
AZ0412342	619	SOX
AZ0412342	620	SOX
AZ0412342	621	SIA
AZ0412343	103	SOX
AZ0412343	104	SOX
AZ0412343	109	SIA
AZ0412343	110	SOX
AZ0412343	111	SIA
AZ0412343	112	SIA
AZ0412343	113	SOX
AZ0412343	114	SIA
AZ0412343	115	SOX
AZ0412343	116	SIA
AZ0412345	2	SOX
AZ0412345	4	SO0
AZ0412347	5706	SOX
AZ0412347	5771	SOX
AZ0412347	5772	SOX
AZ0412347	5773	SIA
AZ0412347	5774	SOX
AZ0412347	5775	SIA
AZ0412347	5776	SOX
AZ0412347	5777	SIA
AZ0412347	5778	SOX
AZ0412347	5779	SIA
AZ0412347	5780	SOX
AZ0412347	5781	SIA
AZ0412347	5782	SOX
AZ0412347	5783	SIA
AZ0412347	5784	SOX
AZ0412347	5785	SIA
AZ0412347	5786	SOX
AZ0412348	1	SFJ
AZ0412348	11	SOX
AZ0412348	13	SIA

St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	10/27/2009	2009	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/20/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	6/2/2014	2014	AZ
St Compliance achieved	4/2/2007	2007	AZ
St Compliance achieved	5/11/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	1/24/2008	2008	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	6/11/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Compliance achieved	1/17/2007	2007	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/25/2011	2011	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/19/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
State No Longer Subject to Rule	8/2/2007	2007	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Formal NOV issued	10/21/2008	2008	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ

AZ0412348	14	SOX
AZ0412348	15	SIA
AZ0412348	16	SIA
AZ0412348	17	SOX
AZ0412348	2	SOX
AZ0412348	20	SOX
AZ0412348	21	SIA
AZ0412348	22	SOX
AZ0412348	23	SIA
AZ0412348	3	SIA
AZ0412348	4	SIA
AZ0412348	5	SIA
AZ0412348	6	SIA
AZ0412348	8	SOX
AZ0412349	10	SIA
AZ0412349	11	SOX
AZ0412349	12	SIA
AZ0412349	13	SOX
AZ0412349	14	SOX
AZ0412349	15	SIA
AZ0412349	17	SIA
AZ0412349	18	SOX
AZ0412349	19	SIA
AZ0412349	20	SIA
AZ0412349	21	SOX
AZ0412349	3	SOX
AZ0412349	4	SIA
AZ0412349	5	SOX
AZ0412349	6	SIA
AZ0412349	7	SOX
AZ0412349	8	SOX
AZ0412349	9	SIA
AZ0412350	1	SO0
AZ0412606	949	SOX
AZ0412606	950	SIA
AZ0412606	951	SOX
AZ0412606	952	SIA
AZ0412606	953	SIA
AZ0412606	954	SOX
AZ0412606	955	SIA
AZ0412606	956	SOX
AZ0412606	957	SIA
AZ0412606	958	SOX
AZ0412606	959	SOX
AZ0412606	960	SIA
AZ0412606	961	SOX
AZ0412607	850	SOX

St Compliance achieved	5/28/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	1/11/2011	2011	AZ
St Compliance achieved	5/21/2009	2009	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	12/9/2013	2013	AZ
St Violation/Reminder Notice	4/15/2014	2014	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	12/21/2009	2009	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	11/21/2013	2013	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
State No Longer Subject to Rule	5/15/2012	2012	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/6/2009	2009	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	3/4/2014	2014	AZ
St Violation/Reminder Notice	1/27/2014	2014	AZ
St Compliance achieved	6/26/2014	2014	AZ
St Compliance achieved	8/14/2008	2008	AZ

AZ0412607	851	SIA
AZ0412607	852	SOX
AZ0412607	853	SIA
AZ0412607	854	SOX
AZ0412607	855	SIA
AZ0412607	856	SOX
AZ0412607	857	SOX
AZ0412607	858	SIA
AZ0412607	860	SIA
AZ0412607	861	SOX
AZ0412701	426	SIA
AZ0412701	427	SOX
AZ0412701	428	SIA
AZ0412701	429	SOX
AZ0413001	1879	SOX
AZ0413001	1880	SOX
AZ0413001	1881	SOX
AZ0413001	1882	SOX
AZ0413001	1883	SOX
AZ0413001	1884	SIA
AZ0413001	1886	SIA
AZ0413001	1887	SOX
AZ0413001	1889	SIA
AZ0413001	1892	SIA
AZ0413001	1893	SOX
AZ0413001	1895	SOX
AZ0413001	1896	SOX
AZ0413001	1897	SIA
AZ0413001	1898	SOX
AZ0413001	1899	SIA
AZ0413001	1900	SOX
AZ0413001	1901	SOX
AZ0413001	1902	SOX
AZ0413001	1903	SIA
AZ0413001	1904	SOX
AZ0413001	1905	SIA
AZ0413001	1906	SOX
AZ0413001	1907	SOX
AZ0413001	1908	SOX
AZ0413001	1909	SOX
AZ0413001	1910	SOX
AZ0413001	1911	SIA
AZ0413008	23	SOX
AZ0413008	24	SOX
AZ0413008	25	SOX
AZ0413008	26	SOX
AZ0413008	27	SOX

St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	1/6/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	2/18/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Violation/Reminder Notice	3/16/2011	2011	AZ
St Compliance achieved	4/25/2011	2011	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	2/14/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	9/18/2007	2007	AZ
St Compliance achieved	9/18/2007	2007	AZ
St Compliance achieved	12/5/2007	2007	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	3/12/2009	2009	AZ
St Compliance achieved	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	10/14/2010	2010	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/13/2011	2011	AZ
St Compliance achieved	3/5/2012	2012	AZ
St Compliance achieved	4/10/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	11/17/2012	2012	AZ
St Compliance achieved	6/16/2008	2008	AZ
St Compliance achieved	8/25/2011	2011	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/23/2007	2007	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Compliance achieved	12/24/2007	2007	AZ
St Compliance achieved	5/27/2008	2008	AZ

AZ0413008	28	SIA
AZ0413008	30	SIA
AZ0413008	31	SOX
AZ0413008	32	SOX
AZ0413008	33	SOX
AZ0413008	35	SIA
AZ0413008	37	SIA
AZ0413008	38	SOX
AZ0413008	39	SOX
AZ0413008	40	SOX
AZ0413008	41	SOX
AZ0413008	42	SIA
AZ0413008	43	SOX
AZ0413008	44	SOX
AZ0413008	45	SOX
AZ0413008	46	SIA
AZ0413008	50	SOX
AZ0413008	51	SOX
AZ0413008	52	SOX
AZ0413008	53	SIA
AZ0413008	54	SOX
AZ0413010	1609	SOX
AZ0413010	1614	SOX
AZ0413010	1686	SOX
AZ0413010	1687	SOX
AZ0413010	1689	SOX
AZ0413010	1690	SOX
AZ0413010	1692	SOX
AZ0413010	1693	SOX
AZ0413010	1694	SIA
AZ0413010	1696	SIA
AZ0413010	1697	SOX
AZ0413010	1698	SIA
AZ0413010	1700	SOX
AZ0413010	1701	SIA
AZ0413010	1702	SOX
AZ0413010	1703	SIA
AZ0413010	1705	SIA
AZ0413010	1706	SOX
AZ0413010	1707	SOX
AZ0413010	1708	SIA
AZ0413010	1710	SOX
AZ0413010	1712	SOX
AZ0413010	1713	SOX
AZ0413010	1714	SOX
AZ0413010	1716	SOX
AZ0413011	4267	SOX

St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	8/25/2008	2008	AZ
St Compliance achieved	11/20/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/29/2010	2010	AZ
St Compliance achieved	10/9/2009	2009	AZ
St Compliance achieved	1/4/2010	2010	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Compliance achieved	7/25/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Compliance achieved	7/30/2009	2009	AZ
St Compliance achieved	8/19/2010	2010	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	7/7/2010	2010	AZ
St Compliance achieved	10/11/2007	2007	AZ
St Compliance achieved	2/21/2007	2007	AZ
St Compliance achieved	2/28/2007	2007	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/6/2007	2007	AZ
St Compliance achieved	12/5/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/18/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/13/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	6/15/2009	2009	AZ
St Compliance achieved	8/10/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	2/28/2014	2014	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ

AZ0413011	4269	SOX
AZ0413011	4270	SOX
AZ0413011	4272	SOX
AZ0413011	4273	SOX
AZ0413011	4274	SOX
AZ0413011	4275	SOX
AZ0413011	4276	SOX
AZ0413011	4281	SOX
AZ0413012	9197	SOX
AZ0413012	9198	SOX
AZ0413012	9317	SOX
AZ0413012	9318	SOX
AZ0413012	9319	SOX
AZ0413012	9320	SOX
AZ0413012	9321	SIA
AZ0413012	9322	SIA
AZ0413012	9323	SOX
AZ0413012	9324	SO0
AZ0413012	9325	SOX
AZ0413012	9326	SIA
AZ0413012	9328	SOX
AZ0413012	9329	SOX
AZ0413012	9330	SOX
AZ0413012	9331	SOX
AZ0413012	9332	SOX
AZ0413012	9333	SIA
AZ0413012	9334	SIA
AZ0413012	9337	SOX
AZ0413012	9338	SOX
AZ0413012	9339	SIA
AZ0413012	9340	SIA
AZ0413012	9341	SIA
AZ0413012	9342	SIA
AZ0413012	9343	SIA
AZ0413012	9344	SFL
AZ0413012	9345	SOX
AZ0413012	9346	SIA
AZ0413012	9347	SOX
AZ0413012	9348	SIA
AZ0413012	9349	SOX
AZ0413012	9350	SOX
AZ0413012	9351	SOX
AZ0413012	9353	SOX
AZ0413012	9355	SOX
AZ0413012	9356	SOX
AZ0413012	9358	SOX
AZ0413012	9360	SIA

St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	4/14/2008	2008	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	2/1/2007	2007	AZ
St Compliance achieved	4/2/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
State No Longer Subject to Rule	2/9/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	7/16/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	1/28/2010	2010	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Compliance achieved	4/7/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St AO (w/o penalty) issued	7/29/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	10/26/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/14/2011	2011	AZ
St Compliance achieved	4/27/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	11/14/2011	2011	AZ
St Compliance achieved	4/9/2012	2012	AZ
St Compliance achieved	6/7/2010	2010	AZ
St Compliance achieved	9/12/2012	2012	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ

AZ0413012	9361	SOX
AZ0413012	9382	SIA
AZ0413012	9383	SIA
AZ0413012	9384	SIA
AZ0413012	9385	SIA
AZ0413012	9386	SIA
AZ0413012	9387	SIA
AZ0413012	9388	SIA
AZ0413012	9389	SIA
AZ0413012	9390	SIA
AZ0413012	9391	SIA
AZ0413012	9392	SIA
AZ0413012	9393	SIA
AZ0413012	9394	SIA
AZ0413012	9395	SIA
AZ0413012	9396	SIA
AZ0413012	9397	SIA
AZ0413012	9398	SIA
AZ0413012	9399	SIA
AZ0413012	9400	SIA
AZ0413012	9401	SIA
AZ0413012	9402	SIA
AZ0413012	9403	SIA
AZ0413012	9404	SIA
AZ0413012	9405	SIA
AZ0413012	9406	SIA
AZ0413012	9407	SIA
AZ0413012	9408	SIA
AZ0413012	9409	SIA
AZ0413012	9410	SIA
AZ0413012	9411	SIA
AZ0413012	9412	SIA
AZ0413012	9413	SIA
AZ0413012	9414	SIA
AZ0413012	9415	SIA
AZ0413012	9416	SIA
AZ0413012	9417	SIA
AZ0413012	9418	SIA
AZ0413012	9419	SIA
AZ0413012	9420	SIA
AZ0413012	9421	SIA
AZ0413012	9422	SIA
AZ0413012	9423	SIA
AZ0413012	9424	SIA
AZ0413012	9425	SIA
AZ0413012	9426	SIA
AZ0413012	9427	SIA

St Compliance achieved	10/31/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413012	9428	SIA
AZ0413012	9429	SIA
AZ0413012	9430	SIA
AZ0413012	9431	SIA
AZ0413012	9432	SIA
AZ0413012	9433	SIA
AZ0413012	9434	SIA
AZ0413012	9435	SIA
AZ0413012	9436	SIA
AZ0413012	9437	SIA
AZ0413012	9438	SIA
AZ0413012	9439	SIA
AZ0413012	9440	SIA
AZ0413012	9441	SIA
AZ0413012	9442	SFJ
AZ0413012	9444	SIA
AZ0413012	9445	SIA
AZ0413012	9446	SOX
AZ0413012	9447	SOX
AZ0413012	9448	SOX
AZ0413012	9449	SOX
AZ0413012	9450	SOX
AZ0413012	9451	SIA
AZ0413012	9452	SOX
AZ0413013	3093	SOX
AZ0413013	3094	SOX
AZ0413013	3098	SOX
AZ0413013	3099	SO0
AZ0413015	810	SOX
AZ0413015	847	SOX
AZ0413015	848	SOX
AZ0413015	849	SIA
AZ0413015	850	SOX
AZ0413015	851	SOX
AZ0413015	852	SOX
AZ0413015	853	SIA
AZ0413015	854	SIA
AZ0413015	856	SIA
AZ0413015	857	SOX
AZ0413015	858	SOX
AZ0413015	859	SIA
AZ0413015	860	SOX
AZ0413015	861	SIA
AZ0413015	862	SOX
AZ0413015	866	SIA
AZ0413015	867	SOX
AZ0413015	868	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Formal NOV issued	5/22/2013	2013	AZ
St Violation/Reminder Notice	6/17/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/3/2007	2007	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Compliance achieved	8/8/2013	2013	AZ
St Compliance achieved	6/28/2013	2013	AZ
St Compliance achieved	7/8/2011	2011	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	11/13/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	7/20/2011	2011	AZ
State No Longer Subject to Rule	12/21/2012	2012	AZ
St Compliance achieved	2/12/2007	2007	AZ
St Compliance achieved	3/6/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	10/26/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Compliance achieved	11/18/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	7/22/2009	2009	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	6/11/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/9/2011	2011	AZ
St Violation/Reminder Notice	11/19/2008	2008	AZ

AZ0413015	872	SOX
AZ0413015	873	SOX
AZ0413016	2865	SOX
AZ0413016	2866	SOX
AZ0413016	2867	SOX
AZ0413016	2868	SOX
AZ0413016	2872	SIA
AZ0413016	2874	SIA
AZ0413016	2875	SIA
AZ0413016	2880	SOX
AZ0413016	2887	SIA
AZ0413016	2888	SO0
AZ0413016	2889	SOX
AZ0413016	2890	SOX
AZ0413016	2892	SOX
AZ0413016	2894	SIA
AZ0413016	2896	SIA
AZ0413016	2902	SIA
AZ0413016	2903	SOX
AZ0413016	2904	SIA
AZ0413016	2905	SOX
AZ0413016	2906	SIA
AZ0413016	2907	SIA
AZ0413016	2908	SIA
AZ0413016	2909	SIA
AZ0413016	2910	SOX
AZ0413016	2912	SIA
AZ0413016	2913	SOX
AZ0413016	2915	SOX
AZ0413016	2916	SOX
AZ0413016	2917	SOX
AZ0413017	2118	SOX
AZ0413017	2120	SIA
AZ0413017	2121	SOX
AZ0413017	2122	SOX
AZ0413017	2123	SOX
AZ0413017	2124	SIA
AZ0413017	2125	SOX
AZ0413017	2127	SIA
AZ0413017	2129	SIA
AZ0413017	2130	SIA
AZ0413017	2131	SFJ
AZ0413017	2133	SIA
AZ0413017	2134	SOX
AZ0413017	2135	SOX
AZ0413017	2136	SOX
AZ0413017	2137	SOX

St Compliance achieved	12/31/2008	2008	AZ
St Compliance achieved	7/1/2011	2011	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
State No Longer Subject to Rule	12/31/2007	2007	AZ
St Compliance achieved	6/2/2009	2009	AZ
St Compliance achieved	6/2/2009	2009	AZ
St Compliance achieved	1/13/2010	2010	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	6/14/2011	2011	AZ
St Violation/Reminder Notice	9/14/2009	2009	AZ
St Compliance achieved	11/30/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/28/2013	2013	AZ
St Compliance achieved	6/18/2007	2007	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	6/18/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Formal NOV issued	5/22/2013	2013	AZ
St Violation/Reminder Notice	8/22/2013	2013	AZ
St Compliance achieved	8/28/2013	2013	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Compliance achieved	6/15/2009	2009	AZ

AZ0413017	2138	SOX
AZ0413017	2139	SIA
AZ0413017	2140	SOX
AZ0413017	2141	SIA
AZ0413017	2142	SOX
AZ0413019	#PWS-AO-2009-6013	EFL
AZ0413019	403	SOX
AZ0413019	404	SOX
AZ0413019	405	SOX
AZ0413019	406	SOX
AZ0413019	407	SOX
AZ0413019	408	SOX
AZ0413019	410	SOX
AZ0413019	411	SIA
AZ0413019	413	SIA
AZ0413019	414	SIA
AZ0413019	415	SOX
AZ0413019	416	SOX
AZ0413019	417	SOX
AZ0413019	418	SIA
AZ0413019	420	SIA
AZ0413019	421	SIA
AZ0413019	423	SIA
AZ0413019	424	SOX
AZ0413019	425	SIA
AZ0413019	426	SOX
AZ0413019	427	SOX
AZ0413019	428	SIA
AZ0413019	429	SOX
AZ0413019	430	SO0
AZ0413019	434	SOX
AZ0413019	436	SOX
AZ0413020	1784	SOX
AZ0413020	1787	SIA
AZ0413020	1788	SOX
AZ0413020	1789	SOX
AZ0413020	1790	SOX
AZ0413020	1791	SOX
AZ0413020	1792	SOX
AZ0413020	1793	SIA
AZ0413020	1794	SOX
AZ0413020	1795	SOX
AZ0413020	1796	SIA
AZ0413020	1797	SOX
AZ0413020	1798	SIA
AZ0413020	1799	SOX
AZ0413020	1800	SIA

St Compliance achieved	7/26/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/23/2014	2014	AZ
Fed FAO issued	9/1/2009	2009	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Compliance achieved	4/14/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/30/2009	2009	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	7/27/2010	2010	AZ
State No Longer Subject to Rule	11/1/2012	2012	AZ
St Compliance achieved	7/25/2011	2011	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	9/29/2008	2008	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ

AZ0413020	1801	SOX
AZ0413020	1802	SIA
AZ0413020	1804	SOX
AZ0413020	1805	SIA
AZ0413020	1807	SOX
AZ0413020	1808	SIA
AZ0413020	1809	SIA
AZ0413020	1811	SIA
AZ0413020	1812	SOX
AZ0413020	1813	SOX
AZ0413020	1814	SOX
AZ0413020	1815	SOX
AZ0413020	1816	SOX
AZ0413020	1817	SOX
AZ0413020	1818	SIA
AZ0413021	2730	SOX
AZ0413021	2732	SOX
AZ0413021	2733	SOX
AZ0413021	2734	SOX
AZ0413021	2735	SOX
AZ0413021	2736	SOX
AZ0413021	2737	SOX
AZ0413021	2742	SOX
AZ0413021	2743	SOX
AZ0413021	2744	SIA
AZ0413021	2746	SIA
AZ0413023	1715	SOX
AZ0413023	1716	SOX
AZ0413023	1718	SOX
AZ0413023	1719	SIA
AZ0413023	1720	SOX
AZ0413023	1721	SFJ
AZ0413023	1722	SIA
AZ0413023	1725	SIA
AZ0413023	1726	SOX
AZ0413023	1727	SIA
AZ0413023	1728	SOX
AZ0413023	1729	SOX
AZ0413023	1731	SIA
AZ0413023	1741	SOX
AZ0413023	1745	SOX
AZ0413023	1746	SIA
AZ0413023	1748	SIA
AZ0413023	1749	SIA
AZ0413023	1750	SIA
AZ0413023	1751	SOX
AZ0413023	1752	SOX

St Compliance achieved	7/19/2010	2010	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	2/15/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	3/11/2013	2013	AZ
St Compliance achieved	11/14/2011	2011	AZ
St Compliance achieved	9/27/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	10/3/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/20/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/19/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	2/14/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	8/8/2008	2008	AZ
St Compliance achieved	8/26/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	11/20/2007	2007	AZ
St Compliance achieved	4/11/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Formal NOV issued	10/16/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	2/9/2011	2011	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/13/2008	2008	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	5/6/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	6/25/2008	2008	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ

AZ0413023	1753	SOX
AZ0413023	1754	SOX
AZ0413023	1755	SOX
AZ0413023	1756	SIA
AZ0413024	415	SOX
AZ0413024	416	SIA
AZ0413024	417	SIA
AZ0413024	419	SOX
AZ0413024	420	SOX
AZ0413024	421	SOX
AZ0413024	422	SOX
AZ0413024	423	SIA
AZ0413024	426	SIA
AZ0413024	427	SOX
AZ0413024	428	SOX
AZ0413024	430	SIA
AZ0413024	432	SIA
AZ0413024	433	SOX
AZ0413024	434	SO0
AZ0413024	435	SIA
AZ0413024	437	SIA
AZ0413024	438	SOX
AZ0413024	440	SOX
AZ0413024	441	SIA
AZ0413024	442	SIA
AZ0413024	444	SOX
AZ0413024	445	SOX
AZ0413024	446	SOX
AZ0413024	450	SOX
AZ0413024	451	SIA
AZ0413024	452	SIA
AZ0413024	453	SIA
AZ0413024	454	SOX
AZ0413024	455	SOX
AZ0413024	457	SIA
AZ0413024	458	SOX
AZ0413024	459	SIA
AZ0413025	#PWS-AO-2009-6010	EFL
AZ0413025	8800	SOX
AZ0413025	8807	SOX
AZ0413025	8808	SOX
AZ0413025	8809	SIA
AZ0413025	8811	SIA
AZ0413025	8812	SOX
AZ0413025	8813	SOX
AZ0413025	8814	SOX
AZ0413025	8815	SOX

St Compliance achieved	7/26/2012	2012	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	7/9/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/17/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
State No Longer Subject to Rule	5/8/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	5/25/2011	2011	AZ
St Compliance achieved	7/31/2009	2009	AZ
St Violation/Reminder Notice	9/17/2013	2013	AZ
St Violation/Reminder Notice	10/11/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
Fed FAO issued	5/19/2009	2009	AZ
St Compliance achieved	6/28/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	11/30/2007	2007	AZ

AZ0413025	8816	SOX
AZ0413025	8817	SOX
AZ0413025	8818	SOX
AZ0413025	8819	SOX
AZ0413025	8820	SIA
AZ0413025	8823	SIA
AZ0413025	8824	SOX
AZ0413025	8826	SIA
AZ0413025	8828	SIA
AZ0413025	8829	SOX
AZ0413025	8830	SIA
AZ0413025	8832	SIA
AZ0413025	8833	SOX
AZ0413025	8834	SOX
AZ0413025	8835	SIA
AZ0413025	8836	SOX
AZ0413025	8837	SOX
AZ0413025	8838	SIA
AZ0413025	8839	SIA
AZ0413025	8840	SOX
AZ0413025	8841	SIA
AZ0413025	8842	SIA
AZ0413025	8843	SIA
AZ0413025	8844	SO0
AZ0413025	8845	SFO
AZ0413025	8846	SIA
AZ0413025	8847	SOX
AZ0413025	8848	SOX
AZ0413025	8849	SOX
AZ0413025	8850	SOX
AZ0413025	8851	SIA
AZ0413025	8853	SIA
AZ0413025	8854	SOX
AZ0413025	8858	SOX
AZ0413025	8859	SOX
AZ0413025	8860	SOX
AZ0413025	8861	SIA
AZ0413026	1119	SOX
AZ0413026	1120	SOX
AZ0413026	1121	SOX
AZ0413026	1122	SIA
AZ0413026	1123	SFJ
AZ0413026	1124	SIA
AZ0413026	1125	SOX
AZ0413026	1130	SOX
AZ0413026	1131	SIA
AZ0413026	1133	SIA

St Compliance achieved	1/25/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	6/10/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	1/15/2010	2010	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	11/30/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
State No Longer Subject to Rule	12/14/2010	2010	AZ
St AO (w/penalty) issued	5/19/2009	2009	AZ
St Violation/Reminder Notice	9/14/2009	2009	AZ
St Compliance achieved	4/11/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	2/15/2013	2013	AZ
St Compliance achieved	2/28/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/22/2013	2013	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	7/25/2011	2011	AZ
St Compliance achieved	6/9/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	1/6/2009	2009	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Violation/Reminder Notice	5/2/2013	2013	AZ
St Compliance achieved	5/14/2013	2013	AZ
St Compliance achieved	12/5/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ

AZ0413026	1134	SOX
AZ0413027	3069	SOX
AZ0413027	3070	SOX
AZ0413027	3071	SO0
AZ0413027	3072	SIA
AZ0413027	3074	SOX
AZ0413027	3075	SOX
AZ0413027	3078	SIA
AZ0413027	3079	SOX
AZ0413027	3080	SOX
AZ0413027	3081	SIA
AZ0413027	3082	SOX
AZ0413027	3083	SIA
AZ0413027	3084	SIA
AZ0413027	3085	SIA
AZ0413027	3086	SIA
AZ0413027	3087	SIA
AZ0413027	3088	SOX
AZ0413027	3089	SOX
AZ0413027	3090	SIA
AZ0413027	3091	SIA
AZ0413027	3092	SOX
AZ0413027	3093	SIA
AZ0413027	3094	SOX
AZ0413027	3095	SOX
AZ0413027	3096	SIA
AZ0413027	3097	SIA
AZ0413027	3098	SIA
AZ0413027	3099	SIA
AZ0413027	3100	SIA
AZ0413027	3101	SOX
AZ0413027	3102	SIA
AZ0413027	3103	SOX
AZ0413027	3104	SOX
AZ0413027	3105	SIA
AZ0413027	3106	SIA
AZ0413027	3108	SIA
AZ0413027	3109	SOX
AZ0413027	3110	SOX
AZ0413027	3111	SIA
AZ0413027	3114	SOX
AZ0413027	3115	SOX
AZ0413028	1207	SOX
AZ0413028	1253	SOX
AZ0413028	1256	SOX
AZ0413028	1257	SIA
AZ0413028	1258	SOX

St Compliance achieved	4/22/2014	2014	AZ
St Compliance achieved	2/20/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
State No Longer Subject to Rule	8/1/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	7/29/2008	2008	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Compliance achieved	9/9/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	7/8/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	6/1/2010	2010	AZ
St Compliance achieved	6/30/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/9/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/14/2011	2011	AZ
St Compliance achieved	8/25/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	10/23/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/8/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	1/16/2007	2007	AZ
St Compliance achieved	3/5/2007	2007	AZ
St Compliance achieved	5/7/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ

AZ0413028	1259	SOX
AZ0413028	1260	SOX
AZ0413028	1261	SOX
AZ0413028	1262	SOX
AZ0413028	1263	SIA
AZ0413028	1264	SOX
AZ0413028	1265	SFJ
AZ0413028	1266	SOX
AZ0413028	1268	SFJ
AZ0413028	1271	SOX
AZ0413028	1272	SOX
AZ0413028	1274	SIA
AZ0413028	1275	SOX
AZ0413028	1276	SIA
AZ0413028	1277	SOX
AZ0413028	1278	SIA
AZ0413028	1279	SOX
AZ0413028	1280	SIA
AZ0413029	5777	SOX
AZ0413029	5778	SOX
AZ0413029	5779	SOX
AZ0413029	5780	SOX
AZ0413029	5781	SIA
AZ0413029	5782	SOX
AZ0413029	5783	SOX
AZ0413029	5784	SOX
AZ0413029	5785	SIA
AZ0413029	5786	SOX
AZ0413029	5787	SOX
AZ0413029	5788	SIA
AZ0413029	5789	SIA
AZ0413029	5790	SIA
AZ0413029	5792	SFJ
AZ0413029	5800	SOX
AZ0413029	5801	SIA
AZ0413029	5802	SOX
AZ0413030	10	SOX
AZ0413030	11	SIA
AZ0413030	14	SOX
AZ0413030	15	SOX
AZ0413030	4	SIA
AZ0413030	5	SOX
AZ0413030	6	SIA
AZ0413030	7	SOX
AZ0413030	8	SIA
AZ0413030	9	SIA
AZ0413031	606	SOX

St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	11/12/2008	2008	AZ
St Compliance achieved	11/13/2008	2008	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Compliance achieved	4/28/2009	2009	AZ
St Formal NOV issued	7/7/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Formal NOV issued	1/30/2007	2007	AZ
St Compliance achieved	4/22/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/3/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	6/9/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Compliance achieved	3/19/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/3/2012	2012	AZ
St Violation/Reminder Notice	5/7/2012	2012	AZ
St Formal NOV issued	7/23/2012	2012	AZ
St Compliance achieved	3/11/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/16/2014	2014	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Compliance achieved	7/22/2013	2013	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/12/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Violation/Reminder Notice	3/30/2012	2012	AZ
St Violation/Reminder Notice	4/24/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ

AZ0413031	607	SOX
AZ0413031	608	SIA
AZ0413031	611	SOX
AZ0413031	612	SIA
AZ0413031	613	SOX
AZ0413034	1723	SIA
AZ0413034	1724	SOX
AZ0413034	1725	SIA
AZ0413034	1726	SOX
AZ0413034	1727	SOX
AZ0413034	1728	SIA
AZ0413034	1729	SOX
AZ0413034	1730	SOX
AZ0413034	1731	SOX
AZ0413034	1733	SOX
AZ0413034	1734	SIA
AZ0413034	1735	SOX
AZ0413034	1736	SIA
AZ0413034	1737	SOX
AZ0413034	1739	SOX
AZ0413034	1740	SOX
AZ0413034	1741	SIA
AZ0413034	1743	SIA
AZ0413034	1744	SOX
AZ0413034	1747	SOX
AZ0413035	7310	SOX
AZ0413035	7312	SOX
AZ0413035	7313	SIA
AZ0413035	7314	SOX
AZ0413036	3235	SOX
AZ0413036	3236	SIA
AZ0413037	2363	SOX
AZ0413037	2364	SIA
AZ0413037	2365	SOX
AZ0413037	2366	SOX
AZ0413037	2367	SOX
AZ0413037	2368	SOX
AZ0413037	2369	SOX
AZ0413037	2370	SOX
AZ0413037	2371	SOX
AZ0413037	2372	SOX
AZ0413037	2373	SOX
AZ0413037	2374	SOX
AZ0413037	2375	SIA
AZ0413037	2376	SIA
AZ0413037	2378	SIA
AZ0413037	2379	SOX

St Compliance achieved	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	9/11/2007	2007	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	6/27/2007	2007	AZ
St Compliance achieved	4/10/2009	2009	AZ
St Compliance achieved	12/28/2009	2009	AZ
St Compliance achieved	12/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2011	2011	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Compliance achieved	12/10/2009	2009	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Violation/Reminder Notice	12/12/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/25/2014	2014	AZ
St Compliance achieved	9/27/2010	2010	AZ
St Compliance achieved	12/10/2010	2010	AZ
St Compliance achieved	6/29/2010	2010	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Compliance achieved	9/19/2008	2008	AZ
St Violation/Reminder Notice	4/6/2012	2012	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	5/14/2007	2007	AZ
St Compliance achieved	1/4/2008	2008	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/21/2008	2008	AZ

AZ0413037	2380	SOX
AZ0413037	2381	SIA
AZ0413037	2384	SIA
AZ0413037	2385	SOX
AZ0413037	2386	SIA
AZ0413037	2388	SOX
AZ0413037	2389	SIA
AZ0413037	2390	SOX
AZ0413037	2391	SOX
AZ0413037	2393	SIA
AZ0413037	2394	SOX
AZ0413037	2395	SIA
AZ0413038	2945	SOX
AZ0413038	2946	SIA
AZ0413038	2950	SIA
AZ0413038	2951	SOX
AZ0413038	2952	SOX
AZ0413038	2953	SOX
AZ0413038	2954	SOX
AZ0413038	2955	SIA
AZ0413038	2956	SIA
AZ0413038	2959	SIA
AZ0413038	2960	SOX
AZ0413038	2961	SFJ
AZ0413038	2962	SOX
AZ0413038	2963	SIA
AZ0413038	2968	SOX
AZ0413038	2969	SIA
AZ0413038	2970	SOX
AZ0413038	2971	SFL
AZ0413038	2972	SFJ
AZ0413038	2973	SIA
AZ0413038	2974	SIA
AZ0413038	2975	SIA
AZ0413038	2976	SIA
AZ0413038	2977	SIA
AZ0413038	2978	SOX
AZ0413038	2979	SIA
AZ0413038	2980	SIA
AZ0413038	2981	SIA
AZ0413038	2982	SIA
AZ0413038	2983	SIA
AZ0413038	2984	SOX
AZ0413038	2986	SOX
AZ0413038	2987	SIA
AZ0413038	2988	SIA
AZ0413038	2989	SIA

St Compliance achieved	10/2/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	12/19/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Violation/Reminder Notice	6/26/2013	2013	AZ
St Compliance achieved	7/25/2008	2008	AZ
St Violation/Reminder Notice	10/3/2013	2013	AZ
St Compliance achieved	2/16/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Formal NOV issued	12/26/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	5/20/2010	2010	AZ
St AO (w/o penalty) issued	6/8/2010	2010	AZ
St Formal NOV issued	7/8/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	4/16/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ

AZ0413038	2990	SIA
AZ0413038	2991	SIA
AZ0413038	2992	SOX
AZ0413038	2993	SOX
AZ0413038	2994	SOX
AZ0413038	2995	SIA
AZ0413038	2996	SIA
AZ0413038	2997	SIA
AZ0413038	2998	SOX
AZ0413038	2999	SOX
AZ0413038	3000	SOX
AZ0413038	3001	SOX
AZ0413038	3002	SIA
AZ0413038	3003	SIA
AZ0413038	3004	SIA
AZ0413038	3005	SOX
AZ0413038	3006	SOX
AZ0413038	3007	SIA
AZ0413038	3008	SIA
AZ0413038	3009	SFJ
AZ0413038	3010	SIA
AZ0413038	3012	SIA
AZ0413038	3013	SIA
AZ0413038	3014	SIA
AZ0413038	3015	SIA
AZ0413038	3016	SIA
AZ0413038	3017	SOX
AZ0413038	3018	SIA
AZ0413038	3020	SIA
AZ0413038	3021	SIA
AZ0413038	3022	SOX
AZ0413038	3023	SIA
AZ0413038	3024	SIA
AZ0413038	3025	SOX
AZ0413038	3026	SIA
AZ0413038	3027	SIA
AZ0413038	3028	SOX
AZ0413038	3029	SIA
AZ0413038	3030	SIA
AZ0413038	3031	SIA
AZ0413038	3032	SIA
AZ0413038	3033	SOX
AZ0413038	3034	SIA
AZ0413038	3035	SIA
AZ0413039	14500	SOX
AZ0413039	14501	SOX
AZ0413039	14503	SOX

St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	4/6/2007	2007	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/31/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	8/21/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Compliance achieved	3/22/2012	2012	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Formal NOV issued	4/15/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	10/1/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	5/24/2007	2007	AZ
St Compliance achieved	5/24/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0413039	14504	SOX
AZ0413039	14505	SOX
AZ0413039	14506	SOX
AZ0413039	14507	SOX
AZ0413039	14509	SIA
AZ0413039	14510	SIA
AZ0413039	14511	SIA
AZ0413039	14512	SIA
AZ0413039	14513	SIA
AZ0413039	14514	SOX
AZ0413039	14515	SIA
AZ0413039	14516	SFJ
AZ0413039	14517	SOX
AZ0413039	14518	SO0
AZ0413039	14520	SIA
AZ0413039	14521	SOX
AZ0413039	14522	SOX
AZ0413039	14523	SOX
AZ0413039	14524	SOX
AZ0413039	14525	SIA
AZ0413039	14526	SIA
AZ0413039	14527	SIA
AZ0413039	14528	SOX
AZ0413039	14529	SOX
AZ0413039	14530	SOX
AZ0413039	14531	SOX
AZ0413039	14532	SOX
AZ0413039	14533	SFJ
AZ0413039	14534	SIA
AZ0413039	14535	SOX
AZ0413039	14538	SOX
AZ0413039	14539	SIA
AZ0413040	711	SOX
AZ0413040	712	SIA
AZ0413040	713	SIA
AZ0413040	714	SO0
AZ0413040	715	SIA
AZ0413040	716	SOX
AZ0413040	721	SOX
AZ0413041	4264	SOX
AZ0413041	4266	SOX
AZ0413041	4267	SOX
AZ0413041	4268	SIA
AZ0413041	4269	SOX
AZ0413041	4270	SOX
AZ0413041	4272	SIA
AZ0413041	4273	SOX

St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	2/12/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/24/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
State No Longer Subject to Rule	2/17/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	10/8/2009	2009	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	12/16/2009	2009	AZ
St Compliance achieved	3/22/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/24/2008	2008	AZ
St Compliance achieved	8/9/2011	2011	AZ
St Compliance achieved	9/27/2012	2012	AZ
St Compliance achieved	9/15/2008	2008	AZ
St Compliance achieved	2/20/2009	2009	AZ
St Compliance achieved	6/28/2012	2012	AZ
St Formal NOV issued	9/25/2013	2013	AZ
St Violation/Reminder Notice	10/31/2013	2013	AZ
St Compliance achieved	7/7/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
State No Longer Subject to Rule	3/14/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	8/4/2008	2008	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	4/2/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/12/2008	2008	AZ

AZ0413041	4274	SIA
AZ0413041	4277	SIA
AZ0413041	4278	SOX
AZ0413041	4279	SOX
AZ0413041	4281	SIA
AZ0413041	4282	SIA
AZ0413041	4283	SOX
AZ0413041	4284	SOX
AZ0413041	4285	SOX
AZ0413041	4286	SIA
AZ0413041	4287	SOX
AZ0413041	4288	SOX
AZ0413041	4289	SIA
AZ0413041	4290	SOX
AZ0413041	4291	SOX
AZ0413041	4292	SIA
AZ0413041	4293	SIA
AZ0413041	4294	SIA
AZ0413041	4295	SOX
AZ0413041	4296	SIA
AZ0413041	4297	SOX
AZ0413041	4298	SIA
AZ0413041	4299	SIA
AZ0413041	4300	SOX
AZ0413041	4302	SOX
AZ0413041	4303	SIA
AZ0413041	4304	SOX
AZ0413041	4305	SOX
AZ0413041	4306	SIA
AZ0413041	4307	SIA
AZ0413041	4308	SIA
AZ0413041	4309	SOX
AZ0413041	4310	SOX
AZ0413041	4311	SOX
AZ0413041	4312	SOX
AZ0413041	4313	SOX
AZ0413041	4314	SIA
AZ0413041	4315	SOX
AZ0413042	2759	SOX
AZ0413042	2760	SOX
AZ0413042	2761	SOX
AZ0413042	2762	SIA
AZ0413042	2763	SIA
AZ0413042	2764	SOX
AZ0413042	2766	SOX
AZ0413042	2767	SOX
AZ0413042	2768	SIA

St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	7/13/2007	2007	AZ
St Compliance achieved	12/17/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/20/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Compliance achieved	5/10/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/7/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Compliance achieved	11/2/2009	2009	AZ
St Violation/Reminder Notice	10/8/2013	2013	AZ
St Compliance achieved	7/16/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Compliance achieved	4/8/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/13/2014	2014	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	9/5/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ

AZ0413042	2769	SIA
AZ0413042	2771	SIA
AZ0413042	2772	SIA
AZ0413042	2774	SIA
AZ0413042	2775	SIA
AZ0413042	2777	SIA
AZ0413042	2778	SOX
AZ0413042	2779	SOX
AZ0413042	2780	SIA
AZ0413042	2783	SIA
AZ0413042	2784	SOX
AZ0413042	2785	SOX
AZ0413042	2786	SOX
AZ0413042	2787	SIA
AZ0413042	2788	SFJ
AZ0413042	2789	SOX
AZ0413042	2790	SOX
AZ0413042	2791	SIA
AZ0413042	2792	SIA
AZ0413042	2793	SOX
AZ0413042	2794	SOX
AZ0413042	2796	SIA
AZ0413042	2797	SOX
AZ0413042	2798	SOX
AZ0413042	2799	SOX
AZ0413042	2800	SIA
AZ0413042	2802	SOX
AZ0413042	2803	SIA
AZ0413042	2804	SOX
AZ0413042	2805	SOX
AZ0413042	2806	SIA
AZ0413042	2807	SOX
AZ0413042	2808	SOX
AZ0413042	2810	SOX
AZ0413042	2811	SOX
AZ0413042	2812	SOX
AZ0413042	2813	SIA
AZ0413042	2814	SIA
AZ0413043	5078	SIA
AZ0413043	5081	SIA
AZ0413043	5082	SOX
AZ0413043	5085	SOX
AZ0413043	5088	SOX
AZ0413043	5089	SOX
AZ0413044	1607	SOX
AZ0413044	1608	SOX
AZ0413044	1610	SOX

St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Compliance achieved	10/7/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/28/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/3/2009	2009	AZ
St Compliance achieved	4/20/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	5/5/2009	2009	AZ
St Compliance achieved	7/21/2009	2009	AZ
St Compliance achieved	7/21/2009	2009	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/21/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Compliance achieved	12/30/2008	2008	AZ
St Compliance achieved	11/6/2012	2012	AZ
St Compliance achieved	11/7/2011	2011	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	4/13/2009	2009	AZ
St Violation/Reminder Notice	6/29/2012	2012	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/3/2013	2013	AZ
St Compliance achieved	7/9/2007	2007	AZ
St Compliance achieved	6/13/2013	2013	AZ
St Compliance achieved	6/25/2013	2013	AZ
St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	6/25/2008	2008	AZ
St Compliance achieved	12/31/2009	2009	AZ

AZ0413044	1611	SO0
AZ0413044	1612	SIA
AZ0413044	1616	SOX
AZ0413045	3341	SOX
AZ0413045	3376	SOX
AZ0413045	3378	SOX
AZ0413045	3379	SOX
AZ0413045	3380	SOX
AZ0413045	3381	SOX
AZ0413045	3382	SOX
AZ0413045	3383	SIA
AZ0413045	3384	SOX
AZ0413045	3385	SOX
AZ0413045	3386	SIA
AZ0413045	3388	SIA
AZ0413045	3389	SOX
AZ0413045	3391	SIA
AZ0413045	3392	SOX
AZ0413045	3393	SIA
AZ0413045	3394	SOX
AZ0413045	3395	SOX
AZ0413045	3396	SIA
AZ0413045	3397	SOX
AZ0413045	3438	SO0
AZ0413045	3439	SIA
AZ0413045	3440	SOX
AZ0413045	3441	SOX
AZ0413045	3442	SOX
AZ0413045	3444	SIA
AZ0413045	3445	SOX
AZ0413045	3446	SOX
AZ0413045	3447	SOX
AZ0413045	3448	SIA
AZ0413045	3449	SIA
AZ0413045	3450	SOX
AZ0413045	3451	SIA
AZ0413045	3452	SIA
AZ0413045	3453	SIA
AZ0413045	3454	SIA
AZ0413045	3455	SIA
AZ0413045	3456	SIA
AZ0413045	3457	SIA
AZ0413045	3458	SIA
AZ0413045	3459	SIA
AZ0413045	3460	SIA
AZ0413045	3461	SIA
AZ0413045	3462	SIA

State No Longer Subject to Rule	3/23/2010	2010	AZ
St Violation/Reminder Notice	7/30/2012	2012	AZ
St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	4/3/2007	2007	AZ
St Compliance achieved	4/3/2007	2007	AZ
St Compliance achieved	6/19/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	3/29/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Compliance achieved	7/10/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	8/28/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	6/11/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
State No Longer Subject to Rule	7/14/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	4/20/2011	2011	AZ
St Compliance achieved	12/8/2011	2011	AZ
St Compliance achieved	1/23/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Compliance achieved	3/1/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	2/22/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413045	3463	SIA
AZ0413045	3464	SIA
AZ0413045	3465	SIA
AZ0413045	3466	SIA
AZ0413045	3467	SIA
AZ0413045	3468	SIA
AZ0413045	3469	SIA
AZ0413045	3470	SIA
AZ0413045	3471	SIA
AZ0413045	3475	SOX
AZ0413045	3477	SOX
AZ0413045	3478	SOX
AZ0413045	3479	SIA
AZ0413045	3482	SIA
AZ0413045	3483	SIA
AZ0413045	3484	SIA
AZ0413045	3485	SIA
AZ0413045	3486	SIA
AZ0413045	3487	SIA
AZ0413045	3488	SIA
AZ0413045	3489	SIA
AZ0413045	3490	SIA
AZ0413045	3491	SIA
AZ0413045	3492	SIA
AZ0413045	3493	SIA
AZ0413045	3494	SIA
AZ0413045	3495	SIA
AZ0413045	3496	SIA
AZ0413045	3497	SIA
AZ0413045	3498	SIA
AZ0413045	3499	SIA
AZ0413045	3500	SIA
AZ0413045	3501	SIA
AZ0413045	3502	SOX
AZ0413045	3503	SOX
AZ0413045	3505	SOX
AZ0413046	65	SOX
AZ0413046	68	SOX
AZ0413046	70	SOX
AZ0413046	72	SIA
AZ0413046	73	SIA
AZ0413046	74	SIA
AZ0413046	77	SOX
AZ0413046	78	SOX
AZ0413047	301	SIA
AZ0413047	303	SOX
AZ0413047	304	SFJ

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	5/3/2013	2013	AZ
St Compliance achieved	3/8/2012	2012	AZ
St Compliance achieved	9/24/2013	2013	AZ
St Compliance achieved	6/6/2013	2013	AZ
St Violation/Reminder Notice	1/29/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Compliance achieved	4/15/2014	2014	AZ
St Compliance achieved	4/14/2014	2014	AZ
St Compliance achieved	10/6/2010	2010	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	5/20/2010	2010	AZ
St Compliance achieved	6/1/2010	2010	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Formal NOV issued	7/23/2012	2012	AZ

AZ0413047	305	SIA
AZ0413047	306	SOX
AZ0413048	3163	SOX
AZ0413048	3182	SOX
AZ0413048	3184	SOX
AZ0413048	3186	SOX
AZ0413048	3187	SIA
AZ0413048	3188	SOX
AZ0413048	3190	SOX
AZ0413048	3196	SOX
AZ0413048	3197	SIA
AZ0413049	5596	SIA
AZ0413049	5597	SIA
AZ0413049	5598	SOX
AZ0413049	5604	SOX
AZ0413049	5605	SOX
AZ0413049	5606	SIA
AZ0413051	3030	SOX
AZ0413051	3036	SOX
AZ0413051	3037	SOX
AZ0413051	3041	SOX
AZ0413051	3043	SOX
AZ0413051	3045	SOX
AZ0413051	3046	SOX
AZ0413051	3048	SOX
AZ0413051	3049	SOX
AZ0413051	3051	SOX
AZ0413051	3052	SIA
AZ0413051	3054	SOX
AZ0413051	3055	SOX
AZ0413051	3056	SOX
AZ0413051	3057	SIA
AZ0413051	3060	SIA
AZ0413051	3061	SOX
AZ0413051	3062	SIA
AZ0413051	3064	SIA
AZ0413051	3065	SOX
AZ0413051	3066	SIA
AZ0413051	3067	SOX
AZ0413051	3071	SFJ
AZ0413051	3072	SFJ
AZ0413051	3073	SOX
AZ0413051	3074	SIA
AZ0413051	3076	SIA
AZ0413051	3077	SIA
AZ0413051	3078	SIA
AZ0413051	3079	SOX

St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	7/26/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	3/13/2007	2007	AZ
St Compliance achieved	12/17/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	12/5/2011	2011	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Compliance achieved	6/26/2012	2012	AZ
St Violation/Reminder Notice	12/18/2013	2013	AZ
St Compliance achieved	2/1/2008	2008	AZ
St Compliance achieved	2/21/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	3/14/2008	2008	AZ
St Compliance achieved	5/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/26/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	6/17/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/28/2009	2009	AZ
St Formal NOV issued	2/18/2010	2010	AZ
St Formal NOV issued	2/19/2010	2010	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	1/26/2011	2011	AZ

AZ0413051	3081	SOX
AZ0413051	3083	SOX
AZ0413051	3084	SOX
AZ0413051	3085	SIA
AZ0413051	3087	SOX
AZ0413051	3088	SOX
AZ0413051	3089	SFJ
AZ0413051	3090	SIA
AZ0413051	3091	SOX
AZ0413051	3113	SOX
AZ0413051	3114	SIA
AZ0413051	3115	SIA
AZ0413051	3116	SOX
AZ0413051	3117	SIA
AZ0413051	3118	SOX
AZ0413052	4980	SOX
AZ0413052	4982	SOX
AZ0413052	4983	SOX
AZ0413052	4985	SOX
AZ0413052	4986	SOX
AZ0413052	4988	SOX
AZ0413052	4991	SOX
AZ0413052	4993	SOX
AZ0413052	4994	SOX
AZ0413052	4996	SOX
AZ0413052	4998	SOX
AZ0413052	5001	SOX
AZ0413052	5002	SOX
AZ0413052	5005	SIA
AZ0413052	5007	SOX
AZ0413052	5009	SOX
AZ0413052	5012	SOX
AZ0413052	5015	SIA
AZ0413052	5016	SFJ
AZ0413052	5017	SOX
AZ0413052	5018	SFL
AZ0413052	5019	SOX
AZ0413052	5020	SFJ
AZ0413052	5021	SOX
AZ0413052	5023	SIA
AZ0413052	5024	SIA
AZ0413052	5025	SIA
AZ0413052	5026	SIA
AZ0413052	5027	SIA
AZ0413052	5028	SIA
AZ0413052	5029	SIA
AZ0413052	5030	SIA

St Compliance achieved	6/28/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	12/24/2012	2012	AZ
St Compliance achieved	10/2/2012	2012	AZ
St Formal NOV issued	2/12/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/16/2013	2013	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	5/7/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/26/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Compliance achieved	7/7/2009	2009	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Violation/Reminder Notice	9/7/2012	2012	AZ
St Formal NOV issued	7/23/2012	2012	AZ
St Compliance achieved	2/5/2013	2013	AZ
St AO (w/o penalty) issued	12/11/2012	2012	AZ
St Compliance achieved	3/15/2013	2013	AZ
St Formal NOV issued	5/30/2013	2013	AZ
St Compliance achieved	6/3/2013	2013	AZ
St Violation/Reminder Notice	10/1/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ

AZ0413052	5031	SIA
AZ0413052	5032	SIA
AZ0413052	5033	SIA
AZ0413052	5034	SIA
AZ0413052	5035	SIA
AZ0413052	5036	SIA
AZ0413052	5037	SOX
AZ0413052	5038	SIA
AZ0413052	5039	SIA
AZ0413052	5040	SIA
AZ0413054	215	SIA
AZ0413054	216	SOX
AZ0413054	217	SOX
AZ0413054	218	SIA
AZ0413054	219	SOX
AZ0413054	220	SOX
AZ0413054	221	SIA
AZ0413055	2454	SIA
AZ0413055	2455	SIA
AZ0413055	2456	SOX
AZ0413055	2457	SFJ
AZ0413055	2458	SOX
AZ0413055	2459	SOX
AZ0413055	2460	SOX
AZ0413055	2461	SOX
AZ0413055	2462	SFL
AZ0413055	2463	SIA
AZ0413055	2464	SOX
AZ0413055	2465	SOX
AZ0413055	2466	SOX
AZ0413055	2472	SIA
AZ0413055	2473	SOX
AZ0413056	3754	SOX
AZ0413056	3755	SFL
AZ0413056	3756	SOX
AZ0413056	3760	SIA
AZ0413056	3761	SOX
AZ0413056	3762	SIA
AZ0413056	3763	SIA
AZ0413056	3764	SIA
AZ0413056	3765	SOX
AZ0413057	700	SOX
AZ0413057	724	SOX
AZ0413057	744	SOX
AZ0413057	745	SOX
AZ0413057	746	SOX
AZ0413057	747	SOX

St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	5/8/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/21/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Violation/Reminder Notice	8/21/2014	2014	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Formal NOV issued	2/3/2009	2009	AZ
St Compliance achieved	1/14/2009	2009	AZ
St Compliance achieved	1/26/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St AO (w/o penalty) issued	4/26/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/7/2011	2011	AZ
St Compliance achieved	1/14/2013	2013	AZ
St Compliance achieved	2/7/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/16/2014	2014	AZ
St Compliance achieved	6/22/2007	2007	AZ
St AO (w/o penalty) issued	7/1/2008	2008	AZ
St Compliance achieved	4/15/2013	2013	AZ
St Violation/Reminder Notice	7/30/2013	2013	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Violation/Reminder Notice	9/11/2013	2013	AZ
St Violation/Reminder Notice	10/29/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	1/8/2007	2007	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	5/30/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/21/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ

AZ0413057	748	SOX
AZ0413057	749	SOX
AZ0413057	750	SIA
AZ0413057	752	SIA
AZ0413057	753	SIA
AZ0413057	756	SIA
AZ0413057	757	SOX
AZ0413057	758	SIA
AZ0413057	759	SOX
AZ0413057	760	SOX
AZ0413057	761	SIA
AZ0413057	762	SOX
AZ0413057	763	SOX
AZ0413057	764	SIA
AZ0413057	765	SOX
AZ0413057	766	SIA
AZ0413057	767	SIA
AZ0413057	768	SOX
AZ0413057	769	SIA
AZ0413057	770	SOX
AZ0413057	771	SOX
AZ0413057	778	SIA
AZ0413057	779	SIA
AZ0413057	780	SIA
AZ0413057	781	SOX
AZ0413057	782	SOX
AZ0413057	783	SOX
AZ0413058	1234	SOX
AZ0413058	1239	SOX
AZ0413058	1240	SIA
AZ0413058	1241	SIA
AZ0413058	1243	SIA
AZ0413058	1244	SIA
AZ0413058	1246	SIA
AZ0413058	1247	SOX
AZ0413058	1248	SOX
AZ0413058	1249	SIA
AZ0413058	1252	SIA
AZ0413058	1254	SIA
AZ0413058	1255	SOX
AZ0413058	1257	SOX
AZ0413058	1259	SOX
AZ0413058	1260	SOX
AZ0413058	1261	SOX
AZ0413058	1263	SIA
AZ0413058	1264	SIA
AZ0413058	1266	SIA

St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Compliance achieved	6/17/2009	2009	AZ
St Compliance achieved	11/5/2009	2009	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	5/6/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	11/26/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/8/2013	2013	AZ
St Compliance achieved	1/23/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	6/23/2014	2014	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	6/16/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Compliance achieved	5/14/2009	2009	AZ
St Compliance achieved	1/13/2012	2012	AZ
St Compliance achieved	2/13/2012	2012	AZ
St Compliance achieved	5/18/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ

AZ0413058	1267	SOX
AZ0413058	1268	SOX
AZ0413058	1269	SIA
AZ0413058	1270	SIA
AZ0413058	1272	SIA
AZ0413058	1274	SIA
AZ0413058	1275	SOX
AZ0413058	1276	SIA
AZ0413058	1278	SIA
AZ0413058	1279	SOX
AZ0413058	1280	SOX
AZ0413058	1281	SOX
AZ0413058	1282	SOX
AZ0413059	1637	SOX
AZ0413059	1649	SIA
AZ0413059	1652	SOX
AZ0413059	1654	SOX
AZ0413059	1655	SOX
AZ0413059	1656	SOX
AZ0413059	1657	SOX
AZ0413059	1658	SIA
AZ0413059	1661	SIA
AZ0413059	1662	SOX
AZ0413059	1663	SIA
AZ0413059	1665	SIA
AZ0413059	1666	SOX
AZ0413059	1667	SIA
AZ0413059	1668	SIA
AZ0413059	1669	SIA
AZ0413059	1671	SIA
AZ0413059	1672	SOX
AZ0413059	1673	SOX
AZ0413059	1674	SIA
AZ0413059	1675	SOX
AZ0413059	1676	SOX
AZ0413059	1677	SIA
AZ0413059	1681	SOX
AZ0413059	1682	SOX
AZ0413059	1684	SIA
AZ0413059	1686	SIA
AZ0413059	1687	SOX
AZ0413059	1688	SIA
AZ0413059	1689	SOX
AZ0413059	1690	SOX
AZ0413059	1691	SIA
AZ0413059	1692	SIA
AZ0413059	1693	SIA

St Compliance achieved	2/5/2008	2008	AZ
St Compliance achieved	12/27/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	9/18/2013	2013	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	8/5/2010	2010	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	10/29/2007	2007	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/20/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/16/2009	2009	AZ
St Compliance achieved	9/15/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	7/15/2008	2008	AZ
St Compliance achieved	12/30/2009	2009	AZ
St Violation/Reminder Notice	12/30/2009	2009	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	9/14/2010	2010	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	6/6/2011	2011	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ

AZ0413059	1694	SOX
AZ0413059	1695	SIA
AZ0413059	1696	SOX
AZ0413059	1697	SOX
AZ0413059	1698	SIA
AZ0413059	1699	SOX
AZ0413059	1700	SOX
AZ0413059	1701	SOX
AZ0413059	1702	SIA
AZ0413059	1704	SIA
AZ0413059	1707	SOX
AZ0413059	1708	SIA
AZ0413059	1709	SOX
AZ0413059	1710	SIA
AZ0413059	1712	SOX
AZ0413059	1713	SOX
AZ0413059	1715	SIA
AZ0413059	1716	SOX
AZ0413059	1717	SOX
AZ0413059	1718	SOX
AZ0413060	3462	SOX
AZ0413060	3464	SIA
AZ0413060	3465	SOX
AZ0413060	3466	SOX
AZ0413060	3467	SOX
AZ0413060	3468	SIA
AZ0413060	3471	SIA
AZ0413060	3472	SOX
AZ0413060	3473	SFJ
AZ0413060	3474	SOX
AZ0413060	3475	SOX
AZ0413060	3476	SIA
AZ0413060	3478	SIA
AZ0413060	3479	SOX
AZ0413060	3480	SIA
AZ0413060	3481	SOX
AZ0413060	3482	SIA
AZ0413060	3483	SIA
AZ0413060	3485	SIA
AZ0413060	3486	SOX
AZ0413060	3487	SOX
AZ0413060	3488	SOX
AZ0413060	3489	SOX
AZ0413060	3490	SIA
AZ0413060	3491	SOX
AZ0413060	3492	SOX
AZ0413060	3495	SOX

St Compliance achieved	6/26/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	11/13/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	12/7/2009	2009	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	12/27/2013	2013	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	2/20/2007	2007	AZ
St Compliance achieved	7/8/2014	2014	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2008	2008	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Compliance achieved	9/15/2008	2008	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	7/2/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	1/28/2010	2010	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Compliance achieved	2/18/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ

AZ0413060	3496	SOX
AZ0413060	3498	SOX
AZ0413060	3499	SOX
AZ0413060	3500	SIA
AZ0413060	3501	SOX
AZ0413060	3502	SIA
AZ0413062	1393	SOX
AZ0413062	1395	SOX
AZ0413062	1396	SOX
AZ0413062	1398	SOX
AZ0413062	1399	SOX
AZ0413062	1401	SOX
AZ0413062	1404	SOX
AZ0413062	1406	SOX
AZ0413062	1408	SOX
AZ0413062	1409	SOX
AZ0413062	1411	SOX
AZ0413062	1412	SOX
AZ0413062	1414	SOX
AZ0413062	1417	SOX
AZ0413062	1418	SOX
AZ0413062	1420	SOX
AZ0413062	1421	SOX
AZ0413062	1422	SIA
AZ0413062	1423	SIA
AZ0413062	1424	SIA
AZ0413062	1425	SIA
AZ0413062	1426	SIA
AZ0413062	1427	SOX
AZ0413062	1428	SOX
AZ0413062	1429	SIA
AZ0413062	1430	SOX
AZ0413062	1431	SIA
AZ0413062	1432	SOX
AZ0413062	1433	SIA
AZ0413062	1434	SIA
AZ0413062	1435	SIA
AZ0413062	1437	SIA
AZ0413062	1438	SIA
AZ0413062	1439	SOX
AZ0413062	1440	SOX
AZ0413062	1442	SIA
AZ0413062	1443	SOX
AZ0413062	1444	SOX
AZ0413063	903	SOX
AZ0413063	972	SOX
AZ0413063	973	SOX

St Compliance achieved	11/21/2012	2012	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ
St Compliance achieved	9/25/2007	2007	AZ
St Compliance achieved	3/19/2010	2010	AZ
St Compliance achieved	3/23/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/13/2011	2011	AZ
St Compliance achieved	5/5/2011	2011	AZ
St Violation/Reminder Notice	5/3/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	12/14/2011	2011	AZ
St Violation/Reminder Notice	3/30/2012	2012	AZ
St Violation/Reminder Notice	4/4/2012	2012	AZ
St Violation/Reminder Notice	3/10/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/24/2014	2014	AZ
St Compliance achieved	9/29/2010	2010	AZ
St Compliance achieved	1/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ

AZ0413063	975	SOX
AZ0413063	976	SOX
AZ0413063	977	SIA
AZ0413063	981	SOX
AZ0413063	982	SIA
AZ0413063	983	SIA
AZ0413063	984	SOX
AZ0413063	985	SOX
AZ0413063	986	SIA
AZ0413063	987	SOX
AZ0413063	988	SOX
AZ0413063	991	SOX
AZ0413063	995	SOX
AZ0413066	10	SIA
AZ0413066	11	SOX
AZ0413066	12	SIA
AZ0413066	13	SOX
AZ0413066	14	SIA
AZ0413066	3	SOX
AZ0413066	7	SIA
AZ0413066	8	SOX
AZ0413067	2624	SOX
AZ0413067	2625	SOX
AZ0413067	2626	SOX
AZ0413067	2627	SIA
AZ0413067	2628	SOX
AZ0413067	2629	SIA
AZ0413067	2630	SOX
AZ0413067	2631	SOX
AZ0413067	2633	SIA
AZ0413067	2634	SIA
AZ0413067	2635	SIA
AZ0413067	2636	SIA
AZ0413067	2637	SIA
AZ0413067	2638	SIA
AZ0413067	2639	SIA
AZ0413067	2641	SIA
AZ0413067	2643	SOX
AZ0413067	2644	SIA
AZ0413067	2645	SIA
AZ0413067	2646	SIA
AZ0413067	2648	SIA
AZ0413067	2649	SFJ
AZ0413067	2650	SIA
AZ0413067	2651	SOX
AZ0413067	2652	SOX
AZ0413067	2653	SOX

St Compliance achieved	11/25/2008	2008	AZ
St Compliance achieved	5/19/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	3/10/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Compliance achieved	1/17/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	7/26/2012	2012	AZ
St Compliance achieved	12/10/2012	2012	AZ
St Compliance achieved	7/25/2011	2011	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	10/2/2013	2013	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	6/26/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	5/9/2008	2008	AZ
St Violation/Reminder Notice	1/26/2010	2010	AZ
St Compliance achieved	5/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Formal NOV issued	9/15/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	12/10/2010	2010	AZ
St Compliance achieved	2/11/2011	2011	AZ
St Compliance achieved	4/27/2011	2011	AZ

AZ0413067	2655	SIA
AZ0413067	2656	SIA
AZ0413067	2657	SOX
AZ0413067	2658	SOX
AZ0413067	2659	SIA
AZ0413067	2660	SIA
AZ0413067	2661	SIA
AZ0413067	2662	SOX
AZ0413067	2663	SIA
AZ0413067	2664	SIA
AZ0413067	2665	SOX
AZ0413067	2666	SFJ
AZ0413067	2667	SIA
AZ0413067	2668	SOX
AZ0413067	2669	SOX
AZ0413067	2670	SIA
AZ0413067	2671	SOX
AZ0413067	2672	SIA
AZ0413067	2673	SOX
AZ0413067	2674	SOX
AZ0413067	2675	SIA
AZ0413068	918	SOX
AZ0413068	919	SOX
AZ0413068	920	SOX
AZ0413068	921	SIA
AZ0413068	922	SOX
AZ0413068	923	SOX
AZ0413068	924	SOX
AZ0413068	927	SOX
AZ0413068	929	SIA
AZ0413068	931	SOX
AZ0413068	932	SIA
AZ0413068	933	SIA
AZ0413068	934	SOX
AZ0413069	6262	SOX
AZ0413069	6263	SIA
AZ0413069	6264	SOX
AZ0413069	6265	SFJ
AZ0413069	6267	SOX
AZ0413069	6268	SOX
AZ0413069	6269	SIA
AZ0413069	6270	SOX
AZ0413069	6271	SIA
AZ0413069	6272	SIA
AZ0413069	6274	SOX
AZ0413069	6275	SIA
AZ0413069	6276	SOX

St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Compliance achieved	1/20/2012	2012	AZ
St Violation/Reminder Notice	2/14/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/23/2013	2013	AZ
St Formal NOV issued	8/29/2013	2013	AZ
St Violation/Reminder Notice	8/20/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Compliance achieved	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/8/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	12/28/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	3/30/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Formal NOV issued	11/19/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	6/13/2008	2008	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	4/23/2013	2013	AZ

AZ0413069	6277	SIA
AZ0413069	6278	SOX
AZ0413071	1551	SOX
AZ0413071	1552	SOX
AZ0413071	1553	SOX
AZ0413071	1554	SOX
AZ0413071	1555	SOX
AZ0413071	1556	SIA
AZ0413071	1557	SOX
AZ0413071	1559	SIA
AZ0413071	1560	SOX
AZ0413071	1562	SIA
AZ0413071	1563	SOX
AZ0413071	1564	SIA
AZ0413071	1567	SIA
AZ0413071	1568	SOX
AZ0413071	1569	SOX
AZ0413071	1571	SOX
AZ0413071	1572	SFJ
AZ0413071	1573	SOX
AZ0413071	1574	SIA
AZ0413071	1575	SOX
AZ0413071	1576	SOX
AZ0413071	1577	SOX
AZ0413071	1581	SOX
AZ0413071	1583	SFN
AZ0413071	1584	SIA
AZ0413071	1586	SIA
AZ0413071	1588	SOX
AZ0413071	1589	SOX
AZ0413071	1591	SFJ
AZ0413071	1592	SFL
AZ0413071	1593	SFL
AZ0413071	1594	SFL
AZ0413071	1597	SIA
AZ0413071	1598	SOX
AZ0413071	1599	SOX
AZ0413071	1600	SO0
AZ0413071	1601	SIA
AZ0413071	1603	SIA
AZ0413071	1604	SOX
AZ0413071	1605	SOX
AZ0413071	1610	SOX
AZ0413071	1611	SOX
AZ0413071	1612	SOX
AZ0413071	1613	SOX
AZ0413071	1614	SOX

St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	12/4/2007	2007	AZ
St Compliance achieved	1/28/2008	2008	AZ
St Compliance achieved	3/29/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Compliance achieved	10/22/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Compliance achieved	8/24/2009	2009	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Compliance achieved	11/12/2009	2009	AZ
St Compliance achieved	2/18/2010	2010	AZ
St Show-cause Hearing	4/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Compliance achieved	7/19/2010	2010	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Formal NOV issued	4/11/2012	2012	AZ
St AO (w/o penalty) issued	6/7/2010	2010	AZ
St AO (w/o penalty) issued	6/2/2011	2011	AZ
St AO (w/o penalty) issued	2/25/2010	2010	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Compliance achieved	3/18/2013	2013	AZ
St Compliance achieved	3/18/2013	2013	AZ
State No Longer Subject to Rule	2/28/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/24/2013	2013	AZ
St Compliance achieved	4/25/2013	2013	AZ
St Compliance achieved	7/9/2009	2009	AZ
St Compliance achieved	4/11/2011	2011	AZ
St Compliance achieved	5/21/2014	2014	AZ
St Compliance achieved	5/21/2014	2014	AZ
St Compliance achieved	5/27/2014	2014	AZ

AZ0413071	1615	SOX
AZ0413072	1908	SOX
AZ0413072	1950	SOX
AZ0413072	1961	SIA
AZ0413072	1962	SOX
AZ0413072	1963	SOX
AZ0413072	1964	SOX
AZ0413072	1965	SOX
AZ0413072	1966	SIA
AZ0413072	1967	SIA
AZ0413072	1969	SIA
AZ0413072	1970	SOX
AZ0413072	1971	SOX
AZ0413072	1972	SOX
AZ0413072	1973	SIA
AZ0413072	1974	SOX
AZ0413072	1975	SOX
AZ0413072	1976	SIA
AZ0413072	1977	SOX
AZ0413072	1978	SIA
AZ0413072	1979	SOX
AZ0413072	1980	SIA
AZ0413072	1981	SIA
AZ0413072	1982	SOX
AZ0413072	1985	SOX
AZ0413072	1986	SOX
AZ0413072	1987	SOX
AZ0413072	1988	SIA
AZ0413072	1989	SOX
AZ0413072	1990	SOX
AZ0413072	1991	SIA
AZ0413073	10	SIA
AZ0413073	11	SOX
AZ0413073	12	SIA
AZ0413073	13	SOX
AZ0413073	3	SOX
AZ0413073	4	SFJ
AZ0413073	5	SO0
AZ0413073	7	SO0
AZ0413073	9	SOX
AZ0413074	2329	SIA
AZ0413074	2330	SOX
AZ0413074	2331	SOX
AZ0413074	2332	SIA
AZ0413074	2333	SOX
AZ0413074	2335	SIA
AZ0413074	2336	SOX

St Compliance achieved	9/10/2014	2014	AZ
St Compliance achieved	1/18/2007	2007	AZ
St Compliance achieved	2/12/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	10/26/2007	2007	AZ
St Compliance achieved	3/4/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Compliance achieved	11/18/2008	2008	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/11/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	6/13/2011	2011	AZ
St Violation/Reminder Notice	11/18/2008	2008	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	1/22/2013	2013	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	12/31/2008	2008	AZ
St Compliance achieved	7/2/2012	2012	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	4/25/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Formal NOV issued	4/18/2008	2008	AZ
State No Longer Subject to Rule	6/2/2008	2008	AZ
State No Longer Subject to Rule	3/12/2009	2009	AZ
St Compliance achieved	5/28/2008	2008	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/14/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	8/11/2008	2008	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	2/3/2012	2012	AZ

AZ0413074	2339	SOX
AZ0413074	2340	SOX
AZ0413075	1007	SOX
AZ0413075	1021	SOX
AZ0413075	1022	SOX
AZ0413075	1023	SIA
AZ0413075	1025	SIA
AZ0413075	1026	SOX
AZ0413075	1027	SIA
AZ0413075	1028	SOX
AZ0413075	1029	SOX
AZ0413075	1031	SOX
AZ0413075	1032	SIA
AZ0413075	1033	SIA
AZ0413075	1034	SOX
AZ0413075	1035	SOX
AZ0413075	1036	SOX
AZ0413075	1037	SFJ
AZ0413075	1038	SOX
AZ0413075	1040	SOX
AZ0413075	1041	SIA
AZ0413075	1042	SIA
AZ0413075	1043	SOX
AZ0413075	1045	SOX
AZ0413075	1046	SIA
AZ0413075	1047	SIA
AZ0413075	1048	SOX
AZ0413077	2624	SOX
AZ0413077	2625	SOX
AZ0413077	2626	SOX
AZ0413077	2627	SIA
AZ0413077	2628	SOX
AZ0413077	2634	SIA
AZ0413077	2635	SOX
AZ0413078	2110	SOX
AZ0413078	2173	SOX
AZ0413078	2174	SOX
AZ0413078	2184	SOX
AZ0413078	2186	SOX
AZ0413078	2187	SOX
AZ0413078	2188	SOX
AZ0413078	2189	SOX
AZ0413078	2190	SOX
AZ0413078	2191	SOX
AZ0413078	2192	SIA
AZ0413078	2194	SIA
AZ0413078	2195	SOX

St Compliance achieved	5/15/2007	2007	AZ
St Compliance achieved	6/24/2010	2010	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Compliance achieved	8/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	10/1/2009	2009	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Compliance achieved	10/29/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	3/30/2011	2011	AZ
St Compliance achieved	6/12/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	4/3/2013	2013	AZ
St Compliance achieved	8/5/2008	2008	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/14/2013	2013	AZ
St Compliance achieved	5/16/2008	2008	AZ
St Compliance achieved	4/24/2012	2012	AZ
St Compliance achieved	5/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Compliance achieved	5/30/2007	2007	AZ
St Compliance achieved	8/21/2007	2007	AZ
St Compliance achieved	8/26/2008	2008	AZ
St Compliance achieved	3/27/2007	2007	AZ
St Compliance achieved	6/18/2007	2007	AZ
St Compliance achieved	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	6/16/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ

AZ0413078	2196	SOX
AZ0413078	2197	SIA
AZ0413078	2200	SIA
AZ0413078	2201	SOX
AZ0413078	2202	SIA
AZ0413078	2203	SIA
AZ0413078	2204	SOX
AZ0413078	2205	SIA
AZ0413078	2206	SIA
AZ0413078	2207	SIA
AZ0413078	2208	SIA
AZ0413078	2210	SIA
AZ0413078	2211	SIA
AZ0413078	2212	SIA
AZ0413078	2213	SIA
AZ0413078	2214	SOX
AZ0413078	2217	SIA
AZ0413078	2218	SIA
AZ0413078	2219	SOX
AZ0413078	2220	SOX
AZ0413078	2221	SIA
AZ0413078	2222	SOX
AZ0413078	2223	SFJ
AZ0413078	2224	SIA
AZ0413078	2226	SIA
AZ0413078	2227	SIA
AZ0413078	2229	SIA
AZ0413078	2230	SIA
AZ0413078	2233	SOX
AZ0413078	2234	SOX
AZ0413078	2235	SOX
AZ0413078	2236	SOX
AZ0413078	2237	SIA
AZ0413078	2239	SIA
AZ0413078	2240	SOX
AZ0413078	2241	SOX
AZ0413078	2242	SIA
AZ0413078	2243	SOX
AZ0413079	860	SIA
AZ0413079	861	SOX
AZ0413079	862	SOX
AZ0413079	863	SOX
AZ0413079	864	SOX
AZ0413079	865	SIA
AZ0413079	866	SOX
AZ0413079	867	SOX
AZ0413079	868	SOX

St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/10/2008	2008	AZ
St Violation/Reminder Notice	8/15/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/1/2011	2011	AZ
St Violation/Reminder Notice	6/11/2012	2012	AZ
St Violation/Reminder Notice	7/24/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	9/12/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	11/30/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/25/2013	2013	AZ
St Compliance achieved	2/26/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/21/2013	2013	AZ
St Formal NOV issued	3/22/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Violation/Reminder Notice	6/5/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	8/6/2013	2013	AZ
St Compliance achieved	12/31/2008	2008	AZ
St Compliance achieved	8/29/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Violation/Reminder Notice	8/19/2013	2013	AZ
St Compliance achieved	9/5/2013	2013	AZ
St Compliance achieved	11/19/2013	2013	AZ
St Violation/Reminder Notice	11/18/2013	2013	AZ
St Compliance achieved	8/6/2010	2010	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/17/2007	2007	AZ
St Compliance achieved	9/10/2007	2007	AZ
St Compliance achieved	12/18/2007	2007	AZ

AZ0413079	869	SIA
AZ0413079	871	SIA
AZ0413079	872	SIA
AZ0413079	874	SIA
AZ0413079	875	SOX
AZ0413079	876	SOX
AZ0413079	877	SIA
AZ0413079	880	SIA
AZ0413079	881	SOX
AZ0413079	882	SOX
AZ0413079	883	SIA
AZ0413079	884	SOX
AZ0413079	885	SOX
AZ0413079	886	SOX
AZ0413079	888	SOX
AZ0413079	889	SOX
AZ0413079	890	SOX
AZ0413079	891	SIA
AZ0413079	892	SIA
AZ0413079	893	SOX
AZ0413081	3135	SOX
AZ0413081	3136	SOX
AZ0413081	3137	SOX
AZ0413081	3138	SOX
AZ0413081	3139	SOX
AZ0413081	3140	SOX
AZ0413081	3141	SOX
AZ0413081	3142	SIA
AZ0413081	3144	SIA
AZ0413081	3145	SIA
AZ0413081	3146	SIA
AZ0413081	3149	SOX
AZ0413081	3150	SIA
AZ0413081	3151	SOX
AZ0413081	3154	SIA
AZ0413081	3155	SOX
AZ0413081	3156	SOX
AZ0413081	3157	SOX
AZ0413081	3158	SIA
AZ0413081	3159	SIA
AZ0413081	3160	SIA
AZ0413081	3161	SOX
AZ0413081	3163	SIA
AZ0413081	3164	SOX
AZ0413081	3165	SIA
AZ0413082	3430	SOX
AZ0413082	3431	SOX

St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	7/30/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	5/4/2010	2010	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/21/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	3/25/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	1/6/2010	2010	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	4/7/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	10/28/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Compliance achieved	5/10/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/11/2014	2014	AZ
St Violation/Reminder Notice	8/7/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/13/2007	2007	AZ

AZ0413082	3432	SOX
AZ0413082	3435	SO0
AZ0413082	3437	SOX
AZ0413082	3438	SIA
AZ0413082	3439	SIA
AZ0413082	3441	SOX
AZ0413082	3442	SOX
AZ0413083	1049	SOX
AZ0413083	1051	SOX
AZ0413083	1052	SOX
AZ0413083	1053	SOX
AZ0413083	1054	SO0
AZ0413083	1057	SOX
AZ0413083	1058	SIA
AZ0413083	1059	SIA
AZ0413083	1061	SIA
AZ0413083	1062	SIA
AZ0413083	1063	SIA
AZ0413083	1064	SOX
AZ0413083	1065	SIA
AZ0413083	1066	SOX
AZ0413084	903	SOX
AZ0413084	906	SOX
AZ0413084	915	SIA
AZ0413084	916	SOX
AZ0413084	921	SOX
AZ0413084	922	SIA
AZ0413084	923	SOX
AZ0413084	924	SIA
AZ0413084	925	SOX
AZ0413084	926	SIA
AZ0413087	4374	SOX
AZ0413087	4375	SIA
AZ0413087	4376	SOX
AZ0413089	606	SOX
AZ0413089	625	SIA
AZ0413089	626	SOX
AZ0413089	627	SOX
AZ0413089	629	SOX
AZ0413089	630	SIA
AZ0413089	633	SIA
AZ0413089	635	SOX
AZ0413089	636	SIA
AZ0413089	637	SOX
AZ0413090	1	SIA
AZ0413090	10	SOX
AZ0413090	3	SIA

St Compliance achieved	11/9/2007	2007	AZ
State No Longer Subject to Rule	3/3/2010	2010	AZ
St Compliance achieved	9/8/2010	2010	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	6/19/2012	2012	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
State No Longer Subject to Rule	11/9/2007	2007	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/15/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/24/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/9/2008	2008	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	2/22/2011	2011	AZ
St Compliance achieved	3/15/2011	2011	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	2/14/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/5/2012	2012	AZ
St Compliance achieved	1/9/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	10/21/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	10/25/2013	2013	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ

AZ0413090	5	SIA
AZ0413090	7	SOX
AZ0413090	8	SIA
AZ0413090	9	SIA
AZ0413091	308	SOX
AZ0413092	3404	SOX
AZ0413092	3470	SOX
AZ0413092	3471	SOX
AZ0413092	3472	SIA
AZ0413092	3473	SIA
AZ0413092	3474	SOX
AZ0413092	3475	SIA
AZ0413092	3476	SFJ
AZ0413092	3477	SOX
AZ0413092	3478	SOX
AZ0413092	3479	SOX
AZ0413092	3480	SIA
AZ0413092	3481	SIA
AZ0413092	3482	SIA
AZ0413092	3483	SOX
AZ0413092	3484	SFL
AZ0413092	3485	SO0
AZ0413092	3486	SOX
AZ0413092	3487	SOX
AZ0413092	3488	SOX
AZ0413092	3489	SO0
AZ0413092	3490	SOX
AZ0413094	6969	SOX
AZ0413094	6970	SOX
AZ0413094	6984	SIA
AZ0413094	6985	SIA
AZ0413094	6986	SIA
AZ0413094	6987	SOX
AZ0413095	5091	SOX
AZ0413095	5092	SOX
AZ0413095	5095	SIA
AZ0413095	5096	SOX
AZ0413095	5097	SIA
AZ0413095	5098	SFJ
AZ0413095	5099	SIA
AZ0413095	5100	SIA
AZ0413095	5102	SIA
AZ0413095	5103	SIA
AZ0413095	5104	SOX
AZ0413095	5105	SOX
AZ0413095	5106	SOX
AZ0413095	5107	SIA

St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	8/15/2013	2013	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	1/4/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	1/26/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	7/29/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	11/4/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	5/12/2010	2010	AZ
St AO (w/o penalty) issued	9/16/2010	2010	AZ
State No Longer Subject to Rule	10/15/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
State No Longer Subject to Rule	10/8/2010	2010	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Violation/Reminder Notice	8/20/2008	2008	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	12/6/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	2/2/2009	2009	AZ
St Compliance achieved	4/7/2009	2009	AZ
St Compliance achieved	4/16/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ

AZ0413095	5108	SOX
AZ0413095	5109	SIA
AZ0413095	5111	SIA
AZ0413095	5112	SOX
AZ0413095	5113	SIA
AZ0413095	5114	SOX
AZ0413095	5115	SFL
AZ0413095	5116	SOX
AZ0413095	5117	SOX
AZ0413095	5118	SOX
AZ0413095	5119	SOX
AZ0413095	5120	SIA
AZ0413095	5121	SOX
AZ0413095	5122	SOX
AZ0413095	5123	SIA
AZ0413095	5125	SIA
AZ0413096	2801	SIA
AZ0413096	2802	SOX
AZ0413096	2803	SOX
AZ0413096	2804	SOX
AZ0413096	2805	SOX
AZ0413096	2806	SOX
AZ0413096	2807	SOX
AZ0413096	2808	SIA
AZ0413096	2810	SOX
AZ0413096	2812	SIA
AZ0413096	2813	SOX
AZ0413096	2816	SIA
AZ0413096	2817	SOX
AZ0413096	2818	SOX
AZ0413098	404	SIA
AZ0413098	405	SOX
AZ0413098	406	SOX
AZ0413098	407	SIA
AZ0413098	410	SOX
AZ0413099	3342	SOX
AZ0413099	3345	SOX
AZ0413099	3346	SOX
AZ0413099	3347	SIA
AZ0413099	3350	SIA
AZ0413099	3351	SOX
AZ0413099	3356	SIA
AZ0413099	3359	SOX
AZ0413099	3360	SIA
AZ0413099	3362	SOX
AZ0413099	3363	SOX
AZ0413099	3365	SOX

St Compliance achieved	5/13/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	11/19/2009	2009	AZ
St AO (w/o penalty) issued	11/19/2009	2009	AZ
St Compliance achieved	1/7/2010	2010	AZ
St Compliance achieved	2/18/2011	2011	AZ
St Compliance achieved	4/19/2011	2011	AZ
St Compliance achieved	5/20/2011	2011	AZ
St Violation/Reminder Notice	8/19/2008	2008	AZ
St Compliance achieved	5/20/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Violation/Reminder Notice	12/11/2012	2012	AZ
St Violation/Reminder Notice	6/11/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	10/22/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	12/12/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Compliance achieved	6/26/2013	2013	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	9/22/2008	2008	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Violation/Reminder Notice	6/20/2008	2008	AZ
St Compliance achieved	12/24/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	2/8/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/27/2008	2008	AZ
St Violation/Reminder Notice	6/2/2010	2010	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	6/14/2011	2011	AZ
St Compliance achieved	2/24/2011	2011	AZ

AZ0413099	3366	SOX
AZ0413099	3367	SOX
AZ0413099	3368	SIA
AZ0413099	3369	SIA
AZ0413099	3370	SOX
AZ0413100	3344	SIA
AZ0413100	3345	SOX
AZ0413100	3346	SOX
AZ0413100	3347	SIA
AZ0413100	3348	SOX
AZ0413100	3349	SIA
AZ0413100	3351	SIA
AZ0413100	3352	SIA
AZ0413100	3354	SIA
AZ0413100	3355	SIA
AZ0413100	3357	SIA
AZ0413100	3358	SIA
AZ0413100	3359	SOX
AZ0413100	3360	SIA
AZ0413100	3361	SIA
AZ0413100	3362	SIA
AZ0413100	3363	SOX
AZ0413100	3364	SO0
AZ0413100	3365	SFJ
AZ0413100	3366	SOX
AZ0413100	3367	SO0
AZ0413100	3369	SOX
AZ0413103	4486	SIA
AZ0413103	4487	SOX
AZ0413103	4488	SIA
AZ0413103	4490	SOX
AZ0413103	4491	SOX
AZ0413103	4492	SIA
AZ0413103	4494	SFJ
AZ0413103	4495	SOX
AZ0413103	4496	SIA
AZ0413103	4497	SOX
AZ0413103	4498	SFO
AZ0413103	4499	SFN
AZ0413103	4500	SIB
AZ0413103	4501	SOX
AZ0413103	4502	SIA
AZ0413103	4504	SO8
AZ0413103	4506	SOX
AZ0413103	4507	SFJ
AZ0413103	4508	SOX
AZ0413103	4509	SIA

St Compliance achieved	12/31/2008	2008	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	7/10/2008	2008	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/24/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/11/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	4/8/2009	2009	AZ
State No Longer Subject to Rule	7/16/2009	2009	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	7/28/2011	2011	AZ
State No Longer Subject to Rule	7/15/2009	2009	AZ
St Compliance achieved	10/3/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	5/9/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/17/2009	2009	AZ
St AO (w/penalty) issued	3/2/2010	2010	AZ
St Show-cause Hearing	4/22/2010	2010	AZ
St Compliance Meeting conducted	4/22/2010	2010	AZ
St Compliance achieved	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Other	5/27/2010	2010	AZ
St Compliance achieved	6/28/2010	2010	AZ
St Formal NOV issued	1/24/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ

AZ0413103	4510	SFL
AZ0413103	4511	SOX
AZ0413103	4513	SIA
AZ0413103	4515	SIA
AZ0413103	4518	SOX
AZ0413103	4519	SOX
AZ0413103	4520	SIA
AZ0413103	4521	SIA
AZ0413103	4522	SIA
AZ0413103	4524	SOX
AZ0413103	4525	SOX
AZ0413103	4526	SIA
AZ0413103	4527	SOX
AZ0413103	4528	SIA
AZ0413104	5169	SIA
AZ0413104	5170	SOX
AZ0413104	5173	SIA
AZ0413104	5174	SOX
AZ0413104	5176	SIA
AZ0413104	5177	SOX
AZ0413104	5178	SIA
AZ0413104	5181	SIA
AZ0413104	5182	SOX
AZ0413104	5183	SOX
AZ0413104	5184	SOX
AZ0413104	5185	SFJ
AZ0413104	5186	SOX
AZ0413104	5187	SOX
AZ0413104	5188	SIA
AZ0413104	5190	SIA
AZ0413104	5191	SOX
AZ0413104	5192	SIA
AZ0413104	5193	SIA
AZ0413104	5194	SIA
AZ0413104	5196	SIA
AZ0413104	5197	SOX
AZ0413104	5198	SOX
AZ0413104	5199	SOX
AZ0413104	5200	SOX
AZ0413104	5201	SOX
AZ0413104	5202	SIA
AZ0413104	5203	SOX
AZ0413104	5204	SOX
AZ0413104	5205	SOX
AZ0413104	5206	SOX
AZ0413104	5207	SOX
AZ0413104	5208	SOX

St AO (w/o penalty) issued	6/14/2010	2010	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	7/8/2008	2008	AZ
St Compliance achieved	5/11/2011	2011	AZ
St Violation/Reminder Notice	10/2/2013	2013	AZ
St Violation/Reminder Notice	10/15/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Compliance achieved	6/10/2013	2013	AZ
St Compliance achieved	6/10/2013	2013	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Compliance achieved	5/8/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/10/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	7/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	10/13/2009	2009	AZ
St Compliance achieved	1/28/2010	2010	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Compliance achieved	10/28/2010	2010	AZ
St Compliance achieved	1/24/2011	2011	AZ
St Compliance achieved	4/27/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ

AZ0413104	5209	SOX
AZ0413104	5211	SOX
AZ0413104	5212	SOX
AZ0413104	5213	SIA
AZ0413104	5215	SIA
AZ0413104	5216	SOX
AZ0413104	5217	SOX
AZ0413104	5218	SIA
AZ0413104	5219	SOX
AZ0413105	#PWS-AO-2009-6011	EFL
AZ0413105	9786	SIA
AZ0413105	9787	SIA
AZ0413105	9788	SOX
AZ0413105	9789	SOX
AZ0413105	9790	SOX
AZ0413105	9792	SOX
AZ0413105	9793	SOX
AZ0413105	9794	SIA
AZ0413105	9797	SIA
AZ0413105	9798	SOX
AZ0413105	9799	SIA
AZ0413105	9800	SOX
AZ0413105	9801	SIA
AZ0413105	9803	SIA
AZ0413105	9804	SOX
AZ0413105	9805	SOX
AZ0413105	9806	SIA
AZ0413105	9807	SIA
AZ0413105	9809	SIA
AZ0413105	9810	SOX
AZ0413105	9811	SOX
AZ0413105	9812	SFL
AZ0413105	9813	SOX
AZ0413105	9814	SOX
AZ0413105	9815	SIA
AZ0413105	9816	SIA
AZ0413105	9818	SIA
AZ0413105	9820	SOX
AZ0413105	9823	SOX
AZ0413105	9824	SOX
AZ0413105	9825	SIA
AZ0413105	9827	SIA
AZ0413105	9828	SOX
AZ0413105	9830	SOX
AZ0413105	9831	SOX
AZ0413105	9832	SOX
AZ0413105	9833	SIA

St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	12/14/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/22/2013	2013	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/14/2014	2014	AZ
Fed FAO issued	8/20/2009	2009	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	12/20/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	8/17/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Compliance achieved	7/19/2008	2008	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St AO (w/o penalty) issued	8/20/2009	2009	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Compliance achieved	3/17/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	9/8/2009	2009	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Compliance achieved	11/1/2012	2012	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/22/2013	2013	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ

AZ0413106	4819	SIA
AZ0413106	4822	SOX
AZ0413106	4824	SOX
AZ0413106	4825	SIA
AZ0413106	4826	SOX
AZ0413106	4827	SIA
AZ0413106	4830	SIA
AZ0413106	4831	SOX
AZ0413106	4832	SOX
AZ0413106	4833	SOX
AZ0413106	4834	SFJ
AZ0413106	4835	SOX
AZ0413106	4836	SOX
AZ0413106	4837	SIA
AZ0413106	4839	SIA
AZ0413106	4840	SOX
AZ0413106	4841	SIA
AZ0413106	4842	SOX
AZ0413106	4843	SIA
AZ0413106	4844	SIA
AZ0413106	4846	SIA
AZ0413106	4847	SOX
AZ0413106	4848	SOX
AZ0413106	4849	SOX
AZ0413106	4850	SIA
AZ0413106	4851	SOX
AZ0413106	4852	SOX
AZ0413106	4853	SOX
AZ0413106	4854	SIA
AZ0413106	4855	SOX
AZ0413106	4856	SIA
AZ0413106	4857	SO0
AZ0413106	4858	SOX
AZ0413106	4860	SOX
AZ0413106	4861	SOX
AZ0413106	4862	SOX
AZ0413106	4863	SIA
AZ0413106	4865	SIA
AZ0413106	4867	SOX
AZ0413106	4868	SOX
AZ0413106	4869	SOX
AZ0413106	4870	SIA
AZ0413106	4871	SOX
AZ0413106	4872	SIA
AZ0413108	2840	SOX
AZ0413108	2843	SOX
AZ0413108	2844	SIA

St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	4/16/2008	2008	AZ
St Violation/Reminder Notice	4/21/2008	2008	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/29/2008	2008	AZ
St Compliance achieved	10/31/2008	2008	AZ
St Compliance achieved	11/7/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	5/19/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	8/25/2009	2009	AZ
St Compliance achieved	9/21/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	1/13/2010	2010	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Compliance achieved	4/28/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
State No Longer Subject to Rule	10/15/2010	2010	AZ
St Compliance achieved	10/28/2010	2010	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Compliance achieved	12/14/2012	2012	AZ
St Compliance achieved	4/15/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/22/2013	2013	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Compliance achieved	4/21/2014	2014	AZ
St Violation/Reminder Notice	4/18/2014	2014	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	4/25/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ

AZ0413108	2845	SOX
AZ0413108	2847	SOX
AZ0413108	2848	SOX
AZ0413108	2849	SOX
AZ0413108	2851	SIA
AZ0413108	2855	SOX
AZ0413108	2856	SIA
AZ0413108	2859	SIA
AZ0413108	2860	SOX
AZ0413108	2862	SOX
AZ0413108	2864	SIA
AZ0413108	2865	SOX
AZ0413108	2866	SOX
AZ0413108	2867	SIA
AZ0413108	2869	SOX
AZ0413108	2870	SIA
AZ0413108	2871	SIA
AZ0413108	2872	SOX
AZ0413108	2873	SIA
AZ0413108	2874	SOX
AZ0413108	2875	SIA
AZ0413108	2876	SFJ
AZ0413108	2877	SOX
AZ0413108	2878	SOX
AZ0413108	2879	SIA
AZ0413108	2880	SOX
AZ0413108	2881	SIA
AZ0413108	2882	SOX
AZ0413108	2883	SOX
AZ0413108	2884	SOX
AZ0413108	2886	SOX
AZ0413108	2887	SOX
AZ0413109	50	SIA
AZ0413109	51	SOX
AZ0413109	52	SOX
AZ0413109	53	SOX
AZ0413109	54	SOX
AZ0413109	55	SOX
AZ0413109	56	SIA
AZ0413109	59	SIA
AZ0413109	60	SOX
AZ0413109	61	SIA
AZ0413109	62	SOX
AZ0413109	63	SOX
AZ0413109	64	SOX
AZ0413109	65	SIA
AZ0413109	70	SIA

St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	1/3/2008	2008	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	8/25/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Compliance achieved	10/27/2009	2009	AZ
St Violation/Reminder Notice	2/8/2010	2010	AZ
St Compliance achieved	8/2/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Violation/Reminder Notice	5/9/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	1/6/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/13/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	7/25/2008	2008	AZ
St Compliance achieved	10/1/2013	2013	AZ
St Compliance achieved	8/6/2007	2007	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/30/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ

AZ0413109	71	SOX
AZ0413109	72	SOX
AZ0413109	73	SIA
AZ0413109	74	SOX
AZ0413111	1176	SOX
AZ0413111	1177	SOX
AZ0413111	1179	SO0
AZ0413112	913	SOX
AZ0413112	914	SOX
AZ0413112	916	SOX
AZ0413112	917	SOX
AZ0413112	918	SIA
AZ0413112	920	SIA
AZ0413112	921	SOX
AZ0413112	922	SIA
AZ0413112	923	SIA
AZ0413112	924	SOX
AZ0413112	925	SFJ
AZ0413112	926	SOX
AZ0413112	927	SOX
AZ0413113	3945	SIA
AZ0413113	3948	SOX
AZ0413113	3949	SIA
AZ0413113	3951	SIA
AZ0413113	3952	SOX
AZ0413113	3953	SOX
AZ0413113	3954	SOX
AZ0413113	3956	SOX
AZ0413113	3957	SOX
AZ0413113	3958	SIA
AZ0413113	3959	SIA
AZ0413113	3960	SIA
AZ0413113	3964	SOX
AZ0413113	3966	SIA
AZ0413113	3967	SOX
AZ0413114	115	SOX
AZ0413114	120	SOX
AZ0413114	121	SIA
AZ0413114	123	SIA
AZ0413114	124	SOX
AZ0413114	125	SIA
AZ0413114	126	SOX
AZ0413116	807	SOX
AZ0413116	809	SIA
AZ0413116	810	SIA
AZ0413116	811	SOX
AZ0413116	812	SOX

St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	10/26/2010	2010	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
State No Longer Subject to Rule	12/21/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	12/2/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Formal NOV issued	10/8/2013	2013	AZ
St Compliance achieved	10/30/2013	2013	AZ
St Compliance achieved	11/12/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Compliance achieved	2/12/2009	2009	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	5/19/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Compliance achieved	4/16/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	5/28/2008	2008	AZ
St Compliance achieved	10/12/2011	2011	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	6/24/2014	2014	AZ
St Compliance achieved	11/7/2007	2007	AZ
St Compliance achieved	4/30/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/8/2009	2009	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	12/4/2008	2008	AZ

AZ0413116	813	SFJ
AZ0413116	814	SOX
AZ0413116	815	SIA
AZ0413116	816	SIA
AZ0413116	817	SIA
AZ0413116	819	SIA
AZ0413116	820	SIA
AZ0413116	822	SOX
AZ0413116	823	SIF
AZ0413116	824	SOX
AZ0413116	826	SOX
AZ0413116	829	SIA
AZ0413116	830	SOX
AZ0413116	834	SOX
AZ0413116	835	SOX
AZ0413116	836	SIA
AZ0413116	837	SOX
AZ0413116	838	SOX
AZ0413116	840	SFO
AZ0413116	841	SIA
AZ0413116	842	SOX
AZ0413116	843	SIA
AZ0413116	844	SIA
AZ0413116	845	SIA
AZ0413116	846	SIA
AZ0413116	847	SIA
AZ0413116	848	SIA
AZ0413116	849	SIA
AZ0413116	850	SIA
AZ0413116	851	SIA
AZ0413116	852	SIA
AZ0413116	853	SIA
AZ0413116	854	SIA
AZ0413116	855	SIA
AZ0413116	856	SIA
AZ0413116	857	SIA
AZ0413116	858	SIA
AZ0413116	859	SIA
AZ0413116	860	SIA
AZ0413116	861	SIA
AZ0413116	862	SIA
AZ0413116	863	SIA
AZ0413116	864	SIA
AZ0413116	865	SIA
AZ0413116	866	SIA
AZ0413116	867	SIA
AZ0413116	868	SIA

St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/27/2009	2009	AZ
St Public Notif received	9/11/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	4/21/2010	2010	AZ
St Compliance achieved	9/3/2010	2010	AZ
St Compliance achieved	11/4/2010	2010	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	9/22/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St AO (w/penalty) issued	10/15/2009	2009	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/4/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413116	869	SIA
AZ0413116	870	SIA
AZ0413116	871	SIA
AZ0413116	872	SIA
AZ0413116	873	SIA
AZ0413116	874	SIA
AZ0413116	875	SIA
AZ0413116	876	SIA
AZ0413116	877	SIA
AZ0413116	878	SIA
AZ0413116	879	SIA
AZ0413116	880	SIA
AZ0413116	881	SIA
AZ0413116	882	SIA
AZ0413116	883	SIA
AZ0413116	884	SIA
AZ0413116	885	SIA
AZ0413116	886	SIA
AZ0413116	887	SIA
AZ0413116	888	SIA
AZ0413116	889	SIA
AZ0413116	890	SIA
AZ0413116	891	SIA
AZ0413116	892	SIA
AZ0413116	893	SIA
AZ0413116	894	SIA
AZ0413116	895	SIA
AZ0413116	896	SIA
AZ0413116	897	SIA
AZ0413116	898	SIA
AZ0413116	899	SIA
AZ0413116	900	SIA
AZ0413116	901	SIA
AZ0413116	902	SIA
AZ0413116	903	SIA
AZ0413116	904	SIA
AZ0413116	905	SIA
AZ0413116	906	SIA
AZ0413116	907	SIA
AZ0413116	908	SIA
AZ0413116	909	SIA
AZ0413116	910	SIA
AZ0413116	911	SIA
AZ0413116	912	SIA
AZ0413116	913	SIA
AZ0413116	914	SIA
AZ0413116	915	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413116	916	SIA
AZ0413116	917	SIA
AZ0413116	918	SIA
AZ0413116	919	SIA
AZ0413116	920	SIA
AZ0413116	921	SIA
AZ0413116	922	SIA
AZ0413116	923	SIA
AZ0413116	924	SIA
AZ0413116	925	SIA
AZ0413116	926	SIA
AZ0413116	927	SIA
AZ0413116	928	SIA
AZ0413116	929	SIA
AZ0413116	930	SIA
AZ0413116	931	SIA
AZ0413116	932	SIA
AZ0413116	933	SIA
AZ0413116	934	SIA
AZ0413116	935	SIA
AZ0413116	936	SIA
AZ0413116	937	SIA
AZ0413116	938	SIA
AZ0413116	939	SIA
AZ0413116	940	SIA
AZ0413116	941	SIA
AZ0413116	942	SIA
AZ0413116	943	SIA
AZ0413116	945	SOX
AZ0413116	946	SIA
AZ0413116	947	SIA
AZ0413116	948	SIA
AZ0413116	949	SIA
AZ0413116	950	SIA
AZ0413116	951	SIA
AZ0413116	952	SIA
AZ0413116	953	SIA
AZ0413116	954	SIA
AZ0413116	955	SIA
AZ0413116	956	SIA
AZ0413116	957	SIA
AZ0413116	958	SIA
AZ0413116	959	SIA
AZ0413116	960	SIA
AZ0413116	961	SIA
AZ0413116	962	SIA
AZ0413116	963	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	6/11/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ

AZ0413116	964	SIA
AZ0413116	965	SIA
AZ0413116	966	SOX
AZ0413116	967	SIA
AZ0413116	968	SIA
AZ0413116	969	SIA
AZ0413116	970	SIA
AZ0413116	971	SIA
AZ0413116	972	SIA
AZ0413116	973	SIA
AZ0413116	974	SIA
AZ0413116	975	SIA
AZ0413116	976	SIA
AZ0413116	977	SIA
AZ0413116	978	SIA
AZ0413116	979	SIA
AZ0413116	980	SIA
AZ0413116	981	SIA
AZ0413116	982	SIA
AZ0413116	983	SIA
AZ0413116	984	SIA
AZ0413116	985	SIA
AZ0413116	986	SIA
AZ0413119	189	SOX
AZ0413119	190	SOX
AZ0413119	193	SIA
AZ0413119	194	SIA
AZ0413119	195	SOX
AZ0413121	2170	SOX
AZ0413121	2172	SIA
AZ0413121	2173	SOX
AZ0413121	2174	SIA
AZ0413121	2175	SOX
AZ0413121	2177	SOX
AZ0413121	2178	SIA
AZ0413121	2179	SIA
AZ0413121	2180	SOX
AZ0413121	2181	SIA
AZ0413121	2182	SIA
AZ0413121	2183	SIA
AZ0413121	2187	SOX
AZ0413121	2188	SO0
AZ0413121	2189	SIA
AZ0413121	2190	SOX
AZ0413121	2191	SIA
AZ0413121	2192	SOX
AZ0413121	2193	SIA

St Violation/Reminder Notice	3/26/2014	2014	AZ
St Violation/Reminder Notice	3/26/2014	2014	AZ
St Compliance achieved	7/21/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Violation/Reminder Notice	7/2/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	10/12/2007	2007	AZ
St Compliance achieved	5/23/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	12/29/2009	2009	AZ
St Compliance achieved	1/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/15/2010	2010	AZ
State No Longer Subject to Rule	7/14/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/19/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ

AZ0413121	2194	SIA
AZ0413121	2195	SOX
AZ0413121	2196	SOX
AZ0413121	2197	SOX
AZ0413121	2198	SIA
AZ0413121	2199	SOX
AZ0413121	2204	SOX
AZ0413122	3492	SOX
AZ0413122	3493	SIA
AZ0413122	3494	SOX
AZ0413122	3495	SOX
AZ0413122	3496	SOX
AZ0413122	3497	SOX
AZ0413122	3498	SOX
AZ0413122	3499	SOX
AZ0413122	3501	SIA
AZ0413122	3502	SOX
AZ0413122	3503	SIA
AZ0413122	3504	SIA
AZ0413122	3505	SOX
AZ0413122	3506	SIA
AZ0413122	3509	SOX
AZ0413122	3510	SIA
AZ0413122	3511	SOX
AZ0413122	3512	SIA
AZ0413122	3513	SOX
AZ0413122	3514	SOX
AZ0413122	3515	SOX
AZ0413122	3516	SIA
AZ0413122	3518	SIA
AZ0413122	3520	SOX
AZ0413122	3521	SOX
AZ0413122	3522	SIA
AZ0413122	3523	SOX
AZ0413122	3524	SOX
AZ0413122	3526	SIA
AZ0413122	3527	SOX
AZ0413122	3529	SOX
AZ0413122	3530	SOX
AZ0413122	3531	SOX
AZ0413122	3532	SIA
AZ0413122	3533	SIA
AZ0413122	3534	SOX
AZ0413122	3535	SOX
AZ0413124	504	SOX
AZ0413124	505	SIA
AZ0413124	506	SIA

St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	7/10/2012	2012	AZ
St Violation/Reminder Notice	11/26/2012	2012	AZ
St Compliance achieved	12/6/2012	2012	AZ
St Compliance achieved	10/26/2010	2010	AZ
St Compliance achieved	3/14/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	4/30/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Compliance achieved	8/18/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	11/25/2008	2008	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	5/27/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	10/18/2010	2010	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Violation/Reminder Notice	2/7/2008	2008	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	7/16/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/12/2013	2013	AZ
St Compliance achieved	12/31/2007	2007	AZ
St Compliance achieved	7/15/2008	2008	AZ
St Compliance achieved	7/18/2010	2010	AZ
St Violation/Reminder Notice	9/25/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	8/12/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	7/28/2010	2010	AZ

AZ0413124	507	SIA
AZ0413124	508	SIA
AZ0413124	509	SIA
AZ0413124	510	SIA
AZ0413124	511	SIA
AZ0413124	512	SIA
AZ0413124	513	SIA
AZ0413124	514	SO0
AZ0413124	515	SO0
AZ0413125	307	SOX
AZ0413125	308	SOX
AZ0413125	310	SOX
AZ0413125	311	SIA
AZ0413125	313	SOX
AZ0413125	314	SIA
AZ0413125	315	SIA
AZ0413125	316	SOX
AZ0413125	317	SIA
AZ0413125	319	SOX
AZ0413125	320	SIA
AZ0413125	321	SOX
AZ0413125	322	SIA
AZ0413126	2326	SOX
AZ0413126	2327	SOX
AZ0413126	2329	SOX
AZ0413126	2330	SOX
AZ0413126	2333	SOX
AZ0413126	2334	SIA
AZ0413126	2335	SOX
AZ0413126	2336	SOX
AZ0413126	2337	SIA
AZ0413126	2338	SOX
AZ0413126	2339	SOX
AZ0413126	2340	SIA
AZ0413126	2341	SOX
AZ0413126	2342	SOX
AZ0413126	2344	SIA
AZ0413126	2345	SOX
AZ0413126	2346	SOX
AZ0413126	2347	SIA
AZ0413127	2037	SIA
AZ0413127	2038	SOX
AZ0413127	2039	SOX
AZ0413127	2040	SOX
AZ0413127	2041	SOX
AZ0413127	2042	SIA
AZ0413127	2043	SOX

St Violation/Reminder Notice	7/27/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
State No Longer Subject to Rule	10/19/2010	2010	AZ
State No Longer Subject to Rule	1/18/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	11/30/2011	2011	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/30/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	4/14/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	2/22/2011	2011	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/6/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/11/2007	2007	AZ
St Compliance achieved	9/30/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ

AZ0413127	2045	SOX
AZ0413127	2046	SOX
AZ0413127	2047	SOX
AZ0413127	2048	SIA
AZ0413127	2049	SOX
AZ0413127	2050	SIA
AZ0413127	2052	SIA
AZ0413130	784	SIA
AZ0413130	786	SOX
AZ0413130	787	SOX
AZ0413130	788	SOX
AZ0413130	789	SOX
AZ0413130	790	SOX
AZ0413130	791	SOX
AZ0413130	792	SOX
AZ0413130	793	SIA
AZ0413130	796	SIA
AZ0413130	797	SOX
AZ0413130	798	SIA
AZ0413130	800	SIA
AZ0413130	801	SOX
AZ0413130	802	SIA
AZ0413130	804	SIA
AZ0413130	805	SOX
AZ0413130	806	SOX
AZ0413130	810	SOX
AZ0413130	811	SIA
AZ0413130	813	SIA
AZ0413130	814	SIA
AZ0413130	815	SIA
AZ0413130	816	SOX
AZ0413130	817	SFJ
AZ0413130	818	SOX
AZ0413130	819	SOX
AZ0413130	820	SOX
AZ0413130	822	SOX
AZ0413130	823	SIA
AZ0413130	825	SIA
AZ0413130	828	SIA
AZ0413130	829	SOX
AZ0413130	830	SIA
AZ0413130	831	SIA
AZ0413130	832	SOX
AZ0413130	833	SOX
AZ0413130	834	SOX
AZ0413130	835	SIA
AZ0413130	836	SOX

St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	4/24/2009	2009	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	5/1/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	12/4/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	8/9/2007	2007	AZ
St Compliance achieved	10/29/2007	2007	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Compliance achieved	5/14/2008	2008	AZ
St Compliance achieved	7/31/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/13/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/20/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/15/2009	2009	AZ
St Compliance achieved	11/10/2009	2009	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	8/6/2012	2012	AZ
St Formal NOV issued	9/18/2012	2012	AZ
St Compliance achieved	2/20/2007	2007	AZ
St Compliance achieved	7/10/2012	2012	AZ
St Compliance achieved	11/13/2012	2012	AZ
St Compliance achieved	1/30/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/7/2014	2014	AZ
St Compliance achieved	1/29/2014	2014	AZ
St Compliance achieved	8/20/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	8/9/2007	2007	AZ

AZ0413130	837	SOX
AZ0413130	838	SOX
AZ0413131	305	SOX
AZ0413131	306	SOX
AZ0413131	307	SOX
AZ0413131	308	SIA
AZ0413131	309	SOX
AZ0413131	310	SOX
AZ0413131	312	SIA
AZ0413131	313	SOX
AZ0413131	314	SIA
AZ0413131	315	SIA
AZ0413131	316	SOX
AZ0413134	4	SOX
AZ0413136	509	SIA
AZ0413136	510	SIA
AZ0413136	511	SIA
AZ0413136	512	SOX
AZ0413136	513	SIA
AZ0413136	514	SOX
AZ0413137	5516	SOX
AZ0413137	5518	SIA
AZ0413137	5520	SIA
AZ0413137	5521	SOX
AZ0413137	5524	SOX
AZ0413137	5525	SOX
AZ0413137	5526	SIA
AZ0413137	5527	SOX
AZ0413137	5528	SOX
AZ0413137	5529	SIA
AZ0413137	5531	SIA
AZ0413137	5533	SOX
AZ0413137	5534	SIA
AZ0413137	5536	SOX
AZ0413137	5537	SOX
AZ0413138	1741	SOX
AZ0413138	1743	SOX
AZ0413138	1744	SOX
AZ0413138	1745	SOX
AZ0413138	1748	SIA
AZ0413138	1750	SIA
AZ0413138	1751	SO0
AZ0413138	1752	SIA
AZ0413141	5043	SIA
AZ0413141	5044	SIA
AZ0413141	5045	SOX
AZ0413141	5046	SOX

St Compliance achieved	7/8/2014	2014	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	4/14/2009	2009	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Violation/Reminder Notice	9/26/2008	2008	AZ
St Compliance achieved	1/13/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	3/22/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	9/23/2008	2008	AZ
St Compliance achieved	12/1/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	1/19/2012	2012	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	12/19/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	3/11/2008	2008	AZ
St Compliance achieved	1/10/2011	2011	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Violation/Reminder Notice	1/31/2014	2014	AZ
State No Longer Subject to Rule	4/4/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	6/5/2007	2007	AZ
St Compliance achieved	9/6/2007	2007	AZ
St Compliance achieved	12/17/2007	2007	AZ

AZ0413141	5047	SOX
AZ0413141	5048	SIA
AZ0413141	5049	SOX
AZ0413141	5050	SIA
AZ0413141	5051	SOX
AZ0413141	5052	SIA
AZ0413141	5053	SIA
AZ0413141	5054	SIA
AZ0413141	5055	SOX
AZ0413141	5058	SOX
AZ0413142	3138	SOX
AZ0413142	3142	SIA
AZ0413142	3143	SOX
AZ0413142	3144	SIA
AZ0413142	3145	SOX
AZ0413142	3146	SOX
AZ0413143	297	SIA
AZ0413143	298	SIA
AZ0413145	408	SOX
AZ0413145	409	SIA
AZ0413145	410	SOX
AZ0413145	411	SIA
AZ0413145	413	SOX
AZ0413145	414	SIA
AZ0413145	415	SIA
AZ0413145	416	SOX
AZ0413145	417	SIA
AZ0413145	418	SOX
AZ0413148	621	SOX
AZ0413148	622	SIA
AZ0413148	623	SOX
AZ0413148	624	SIA
AZ0413148	625	SOX
AZ0413148	626	SIA
AZ0413148	627	SOX
AZ0413148	628	SIA
AZ0413148	629	SIA
AZ0413148	630	SOX
AZ0413148	631	SIA
AZ0413148	632	SOX
AZ0413148	633	SOX
AZ0413148	634	SOX
AZ0413148	635	SOX
AZ0413148	636	SIA
AZ0413148	637	SIA
AZ0413148	638	SOX
AZ0413148	639	SIA

St Compliance achieved	5/12/2008	2008	AZ
St Violation/Reminder Notice	5/6/2008	2008	AZ
St Compliance achieved	11/4/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Violation/Reminder Notice	12/8/2011	2011	AZ
St Violation/Reminder Notice	1/18/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	4/25/2012	2012	AZ
St Violation/Reminder Notice	9/17/2012	2012	AZ
St Violation/Reminder Notice	7/30/2012	2012	AZ
St Compliance achieved	2/12/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	1/11/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	3/12/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	5/8/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	1/31/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	6/16/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/14/2009	2009	AZ
St Compliance achieved	9/21/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	11/9/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	7/13/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ

AZ0413148	641	SFJ
AZ0413148	643	SOX
AZ0413149	1	SOX
AZ0413150	2389	SOX
AZ0413150	2390	SOX
AZ0413150	2400	SOX
AZ0413150	2406	SOX
AZ0413150	2407	SIA
AZ0413150	2410	SOX
AZ0413150	2411	SOX
AZ0413150	2412	SOX
AZ0413150	2413	SOX
AZ0413150	2415	SIA
AZ0413150	2416	SOX
AZ0413150	2417	SOX
AZ0413150	2418	SOX
AZ0413150	2420	SOX
AZ0413150	2423	SOX
AZ0413150	2426	SOX
AZ0413150	2427	SIA
AZ0413150	2428	SIA
AZ0413150	2429	SOX
AZ0413151	10	SIA
AZ0413151	11	SFJ
AZ0413151	12	SOX
AZ0413151	13	SIA
AZ0413151	14	SFL
AZ0413151	15	SIA
AZ0413151	16	SIA
AZ0413151	19	SOX
AZ0413151	2	SOX
AZ0413151	21	SOX
AZ0413151	22	SIA
AZ0413151	23	SOX
AZ0413151	24	SOX
AZ0413151	3	SIA
AZ0413151	4	SOX
AZ0413151	5	SIA
AZ0413151	7	SIA
AZ0413154	2450	SOX
AZ0413154	2451	SOX
AZ0413155	608	SOX
AZ0413155	644	SOX
AZ0413155	645	SIA
AZ0413155	646	SIA
AZ0413155	647	SOX
AZ0413155	648	SOX

St Formal NOV issued	5/23/2013	2013	AZ
St Compliance achieved	9/4/2013	2013	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	2/4/2009	2009	AZ
St Compliance achieved	5/4/2010	2010	AZ
St Compliance achieved	4/26/2007	2007	AZ
St Compliance achieved	5/7/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	9/19/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	4/14/2009	2009	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	8/20/2012	2012	AZ
St Compliance achieved	7/11/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/21/2014	2014	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Formal NOV issued	9/8/2010	2010	AZ
St Compliance achieved	11/19/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St AO (w/o penalty) issued	8/1/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	4/5/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/8/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	6/18/2012	2012	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	1/2/2008	2008	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Violation/Reminder Notice	1/11/2010	2010	AZ
St Compliance achieved	9/30/2011	2011	AZ
St Compliance achieved	6/11/2012	2012	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	5/7/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	8/30/2007	2007	AZ

AZ0413155	649	SOX
AZ0413155	650	SOX
AZ0413155	651	SIA
AZ0413155	652	SOX
AZ0413155	653	SOX
AZ0413155	654	SOX
AZ0413155	655	SIA
AZ0413155	657	SIA
AZ0413155	658	SOX
AZ0413155	659	SIA
AZ0413155	660	SOX
AZ0413155	661	SIA
AZ0413155	663	SIA
AZ0413155	664	SOX
AZ0413155	665	SOX
AZ0413155	666	SIA
AZ0413155	667	SIA
AZ0413155	669	SIA
AZ0413155	670	SOX
AZ0413155	671	SOX
AZ0413155	672	SIA
AZ0413155	673	SOX
AZ0413155	674	SIA
AZ0413155	675	SOX
AZ0413155	676	SIA
AZ0413155	677	SOX
AZ0413155	678	SOX
AZ0413155	679	SOX
AZ0413155	680	SIA
AZ0413155	682	SIA
AZ0413155	685	SOX
AZ0413155	686	SIA
AZ0413155	687	SIA
AZ0413155	688	SOX
AZ0413155	689	SOX
AZ0413155	690	SOX
AZ0413155	691	SIA
AZ0413155	692	SIA
AZ0413156	203	SOX
AZ0413156	204	SIA
AZ0413158	612	SOX
AZ0413158	613	SOX
AZ0413158	614	SOX
AZ0413158	615	SIA
AZ0413158	616	SOX
AZ0413158	617	SOX
AZ0413158	618	SIA

St Compliance achieved	12/17/2007	2007	AZ
St Compliance achieved	1/17/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Compliance achieved	6/3/2008	2008	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/14/2008	2008	AZ
St Compliance achieved	8/19/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	6/16/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/2/2009	2009	AZ
St Compliance achieved	5/12/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	11/2/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	5/10/2010	2010	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	7/12/2010	2010	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/7/2014	2014	AZ
St Compliance achieved	4/4/2014	2014	AZ
St Compliance achieved	4/4/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Violation/Reminder Notice	6/14/2013	2013	AZ
St Compliance achieved	5/21/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/17/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ

AZ0413158	619	SOX
AZ0413159	2431	SIA
AZ0413161	2	SO0
AZ0413161	3	SO0
AZ0413163	2728	SOX
AZ0413163	2729	SO0
AZ0413163	2730	SO0
AZ0413163	2731	SO0
AZ0413164	#PWS-AO-2009-6012	EFL
AZ0413164	632	SOX
AZ0413164	633	SOX
AZ0413164	634	SOX
AZ0413164	635	SIA
AZ0413164	638	SIA
AZ0413164	639	SOX
AZ0413164	640	SIA
AZ0413164	642	SIA
AZ0413164	643	SOX
AZ0413164	644	SIA
AZ0413164	645	SIA
AZ0413164	647	SIA
AZ0413164	648	SOX
AZ0413164	650	SIA
AZ0413164	651	SOX
AZ0413164	652	SOX
AZ0413164	653	SIA
AZ0413164	654	SIA
AZ0413164	655	SOX
AZ0413164	656	SIA
AZ0413164	657	SOX
AZ0413164	658	SIA
AZ0413164	659	SOX
AZ0413164	660	SOX
AZ0413164	661	SIA
AZ0413164	662	SOX
AZ0413164	663	SIA
AZ0413164	665	SIA
AZ0413164	666	SOX
AZ0413164	667	SOX
AZ0413164	668	SOX
AZ0413164	669	SIA
AZ0413164	670	SOX
AZ0413164	671	SOX
AZ0413164	672	SIA
AZ0413165	1404	SOX
AZ0413165	1422	SIA
AZ0413165	1423	SOX

St Compliance achieved	4/30/2013	2013	AZ
St Violation/Reminder Notice	8/2/2012	2012	AZ
State No Longer Subject to Rule	4/15/2008	2008	AZ
State No Longer Subject to Rule	6/12/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
State No Longer Subject to Rule	7/7/2008	2008	AZ
State No Longer Subject to Rule	3/15/2011	2011	AZ
State No Longer Subject to Rule	6/12/2012	2012	AZ
Fed FAO issued	8/25/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/31/2007	2007	AZ
St Compliance achieved	11/30/2007	2007	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	2/9/2009	2009	AZ
St Violation/Reminder Notice	7/30/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/18/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/30/2009	2009	AZ
St Compliance achieved	3/3/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	11/30/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	1/24/2011	2011	AZ
St Violation/Reminder Notice	9/8/2009	2009	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Compliance achieved	11/9/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	7/9/2012	2012	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/22/2013	2013	AZ
St Compliance achieved	7/20/2010	2010	AZ
St Compliance achieved	7/29/2011	2011	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Compliance achieved	11/20/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	2/8/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ

AZ0413165	1424	SIA
AZ0413165	1426	SIA
AZ0413165	1427	SO0
AZ0413165	1428	SO0
AZ0413165	1429	SFJ
AZ0413165	1430	SIA
AZ0413165	1431	SIA
AZ0413165	1432	SIA
AZ0413165	1433	SOX
AZ0413165	1434	SOX
AZ0413165	1435	SIA
AZ0413165	1436	SOX
AZ0413165	1437	SIA
AZ0413165	1438	SIA
AZ0413165	1439	SIA
AZ0413165	1440	SFJ
AZ0413165	1441	SIA
AZ0413165	1442	SO0
AZ0413170	10	SO0
AZ0413170	11	SIA
AZ0413170	12	SO0
AZ0413170	13	SIA
AZ0413171	399	SOX
AZ0413171	400	SFJ
AZ0413171	402	SOX
AZ0413173	5	SO0
AZ0413173	6	SOX
AZ0413174	307	SOX
AZ0413174	308	SIA
AZ0413174	309	SOX
AZ0413175	626	SIA
AZ0413175	627	SOX
AZ0413175	628	SOX
AZ0413175	629	SIA
AZ0413178	397	SIA
AZ0413178	398	SOX
AZ0413178	399	SIA
AZ0413178	400	SOX
AZ0413179	11	SIA
AZ0413179	12	SIA
AZ0413179	13	SOX
AZ0413179	14	SIA
AZ0413179	15	SOX
AZ0413179	16	SIA
AZ0413179	2	SOX
AZ0413179	3	SIA
AZ0413179	4	SIA

St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
State No Longer Subject to Rule	4/1/2011	2011	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Formal NOV issued	11/28/2012	2012	AZ
St Violation/Reminder Notice	1/16/2013	2013	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	4/5/2013	2013	AZ
St Compliance achieved	4/3/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Formal NOV issued	3/17/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
State No Longer Subject to Rule	3/31/2013	2013	AZ
State No Longer Subject to Rule	1/9/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
State No Longer Subject to Rule	2/6/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Formal NOV issued	5/12/2008	2008	AZ
St Compliance achieved	4/11/2012	2012	AZ
State No Longer Subject to Rule	3/3/2008	2008	AZ
St Compliance achieved	3/25/2011	2011	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/25/2013	2013	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	6/11/2013	2013	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/5/2013	2013	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	3/5/2013	2013	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/28/2013	2013	AZ
St Violation/Reminder Notice	10/15/2013	2013	AZ
St Compliance achieved	7/14/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ

AZ0413179	5	SIA
AZ0413179	6	SOX
AZ0413179	7	SOX
AZ0413179	8	SOX
AZ0413179	9	SIA
AZ0413182	203	SOX
AZ0413182	204	SOX
AZ0413182	205	SIA
AZ0413182	206	SOX
AZ0413182	207	SOX
AZ0413182	208	SIA
AZ0413182	209	SIA
AZ0413182	210	SIA
AZ0413184	210	SOX
AZ0413184	211	SOX
AZ0413184	214	SOX
AZ0413186	398	SOX
AZ0413186	400	SOX
AZ0413186	401	SIA
AZ0413186	402	SOX
AZ0413186	403	SIA
AZ0413186	404	SIA
AZ0413186	405	SIA
AZ0413186	406	SOX
AZ0413186	407	SIA
AZ0413186	408	SOX
AZ0413186	409	SIA
AZ0413186	410	SOX
AZ0413186	411	SOX
AZ0413186	412	SIA
AZ0413186	413	SOX
AZ0413187	4461	SOX
AZ0413187	4466	SOX
AZ0413188	2	SIA
AZ0413189	1414	SOX
AZ0413189	1415	SIA
AZ0413189	1416	SIA
AZ0413189	1417	SOX
AZ0413189	1418	SIA
AZ0413189	1419	SIA
AZ0413189	1420	SIA
AZ0413189	1421	SIA
AZ0413189	1422	SOX
AZ0413189	1423	SOX
AZ0413189	1424	SIA
AZ0413189	1425	SIA
AZ0413189	1426	SIA

St Violation/Reminder Notice	10/4/2012	2012	AZ
St Compliance achieved	10/9/2012	2012	AZ
St Compliance achieved	11/14/2012	2012	AZ
St Compliance achieved	12/18/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	12/17/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	7/16/2008	2008	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Compliance achieved	7/22/2008	2008	AZ
St Violation/Reminder Notice	2/10/2009	2009	AZ
St Compliance achieved	2/18/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Compliance achieved	8/2/2010	2010	AZ
St Violation/Reminder Notice	8/2/2010	2010	AZ
St Compliance achieved	10/4/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Compliance achieved	2/2/2011	2011	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	7/12/2013	2013	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Violation/Reminder Notice	11/30/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/6/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	5/8/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ

AZ0413189	1427	SIA
AZ0413189	1428	SIA
AZ0413189	1429	SIA
AZ0413189	1430	SIA
AZ0413189	1431	SIA
AZ0413189	1432	SIA
AZ0413189	1433	SO0
AZ0413189	1434	SIA
AZ0413190	3172	SOX
AZ0413190	3173	SOX
AZ0413190	3174	SIA
AZ0413190	3175	SOX
AZ0413190	3178	SIA
AZ0413190	3179	SOX
AZ0413190	3180	SOX
AZ0413190	3181	SIA
AZ0413192	1408	SOX
AZ0413192	1409	SIA
AZ0413192	1410	SOX
AZ0413192	1412	SIA
AZ0413192	1413	SOX
AZ0413192	1415	SOX
AZ0413192	1416	SOX
AZ0413192	1426	SIA
AZ0413192	1427	SOX
AZ0413192	1428	SIA
AZ0413192	1429	SIA
AZ0413192	1430	SOX
AZ0413192	1431	SIA
AZ0413192	1432	SOX
AZ0413192	1433	SOX
AZ0413192	1435	SIA
AZ0413192	1436	SOX
AZ0413192	1437	SIA
AZ0413192	1438	SOX
AZ0413192	1441	SIA
AZ0413192	1442	SIA
AZ0413192	1444	SIA
AZ0413192	1447	SOX
AZ0413192	1448	SOX
AZ0413192	1449	SOX
AZ0413192	1450	SOX
AZ0413192	1451	SOX
AZ0413192	1452	SOX
AZ0413193	1406	SOX
AZ0413193	1548	SIA
AZ0413193	1549	SOX

St Violation/Reminder Notice	6/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
State No Longer Subject to Rule	5/11/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Compliance achieved	3/7/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	7/12/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/27/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/10/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	9/29/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	12/21/2012	2012	AZ
St Compliance achieved	11/5/2008	2008	AZ
St Compliance achieved	11/14/2011	2011	AZ
St Compliance achieved	10/3/2013	2013	AZ
St Compliance achieved	6/27/2013	2013	AZ
St Compliance achieved	7/1/2013	2013	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ

AZ0413193	1555	SOX
AZ0413193	1557	SOX
AZ0413193	1558	SIA
AZ0413193	1559	SOX
AZ0413193	1560	SIA
AZ0413193	1561	SIA
AZ0413193	1562	SIA
AZ0413193	1563	SIA
AZ0413193	1564	SOX
AZ0413193	1565	SFJ
AZ0413193	1566	SIA
AZ0413193	1567	SIA
AZ0413193	1568	SOX
AZ0413193	1570	SIA
AZ0413193	1571	SOX
AZ0413193	1573	SIA
AZ0413193	1575	SOX
AZ0413193	1578	SOX
AZ0413193	1579	SOX
AZ0413193	1580	SIA
AZ0413193	1581	SFL
AZ0413193	1582	SOX
AZ0413193	1583	SOX
AZ0413193	1584	SOX
AZ0413193	1585	SOX
AZ0413193	1586	SOX
AZ0413193	1587	SIA
AZ0413193	1588	SIA
AZ0413193	1589	SIA
AZ0413193	1590	SIA
AZ0413193	1591	SIA
AZ0413193	1592	SIA
AZ0413193	1593	SOX
AZ0413194	511	SOX
AZ0413195	1117	SOX
AZ0413195	1118	SOX
AZ0413195	1119	SOX
AZ0413195	1120	SOX
AZ0413195	1121	SOX
AZ0413195	1122	SIA
AZ0413195	1123	SIA
AZ0413195	1125	SIA
AZ0413195	1126	SOX
AZ0413195	1127	SOX
AZ0413195	1128	SIA
AZ0413195	1129	SFJ
AZ0413195	1130	SOX

St Compliance achieved	6/14/2007	2007	AZ
St Compliance achieved	1/29/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Violation/Reminder Notice	3/29/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	5/31/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Formal NOV issued	3/5/2012	2012	AZ
St Violation/Reminder Notice	5/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	11/2/2012	2012	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Compliance achieved	12/2/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St AO (w/o penalty) issued	1/6/2014	2014	AZ
St Compliance achieved	2/5/2014	2014	AZ
St Compliance achieved	2/5/2014	2014	AZ
St Compliance achieved	2/5/2014	2014	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	4/24/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/5/2014	2014	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Compliance achieved	3/16/2009	2009	AZ
St Compliance achieved	4/30/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Formal NOV issued	3/29/2013	2013	AZ
St Compliance achieved	4/25/2013	2013	AZ

AZ0413195	1131	SIA
AZ0413195	1132	SOX
AZ0413196	2328	SOX
AZ0413196	2329	SOX
AZ0413196	2330	SOX
AZ0413196	2331	SIA
AZ0413196	2332	SOX
AZ0413196	2333	SIA
AZ0413198	1203	SOX
AZ0413198	1217	SOX
AZ0413198	1218	SIA
AZ0413198	1219	SOX
AZ0413198	1220	SOX
AZ0413198	1221	SIA
AZ0413198	1222	SIA
AZ0413198	1223	SOX
AZ0413198	1224	SIA
AZ0413198	1225	SIA
AZ0413198	1226	SOX
AZ0413198	1227	SIA
AZ0413198	1228	SOX
AZ0413198	1229	SOX
AZ0413198	1230	SIA
AZ0413198	1231	SIA
AZ0413198	1232	SOX
AZ0413198	1233	SIA
AZ0413198	1236	SIA
AZ0413198	1237	SOX
AZ0413201	4592	SOX
AZ0413201	4601	SIA
AZ0413201	4602	SIA
AZ0413201	4604	SIA
AZ0413201	4605	SOX
AZ0413201	4606	SIA
AZ0413201	4609	SIA
AZ0413201	4610	SOX
AZ0413201	4611	SOX
AZ0413201	4612	SOX
AZ0413201	4613	SIA
AZ0413201	4615	SIA
AZ0413201	4616	SIA
AZ0413201	4618	SIA
AZ0413201	4619	SOX
AZ0413201	4620	SOX
AZ0413201	4623	SOX
AZ0413201	4624	SIA
AZ0413201	4626	SIA

St Violation/Reminder Notice	5/2/2013	2013	AZ
St Compliance achieved	6/17/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/29/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	1/2/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/13/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	5/23/2011	2011	AZ
St Compliance achieved	4/16/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	10/29/2012	2012	AZ
St Violation/Reminder Notice	5/30/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Compliance achieved	9/27/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Compliance achieved	4/28/2008	2008	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/6/2009	2009	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Compliance achieved	2/5/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ
St Violation/Reminder Notice	2/1/2010	2010	AZ

AZ0413201	4627	SIA
AZ0413201	4628	SOX
AZ0413201	4629	SIA
AZ0413201	4630	SIA
AZ0413201	4631	SIA
AZ0413201	4632	SIA
AZ0413201	4633	SIA
AZ0413201	4634	SIA
AZ0413201	4636	SOX
AZ0413201	4637	SIA
AZ0413201	4638	SIA
AZ0413201	4639	SIA
AZ0413201	4640	SOX
AZ0413201	4641	SFJ
AZ0413201	4642	SIA
AZ0413201	4643	SIA
AZ0413201	4644	SIA
AZ0413201	4645	SIA
AZ0413201	4646	SIA
AZ0413201	4647	SIA
AZ0413201	4648	SIA
AZ0413201	4649	SOX
AZ0413201	4650	SO0
AZ0413202	1	SIA
AZ0413202	10	SIA
AZ0413202	11	SOX
AZ0413202	12	SIA
AZ0413202	13	SOX
AZ0413202	14	SIA
AZ0413202	15	SIA
AZ0413202	17	SIA
AZ0413202	18	SOX
AZ0413202	19	SOX
AZ0413202	2	SOX
AZ0413202	20	SOX
AZ0413202	21	SOX
AZ0413202	22	SOX
AZ0413202	3	SIA
AZ0413202	4	SIA
AZ0413202	7	SIA
AZ0413202	8	SIA
AZ0413202	9	SOX
AZ0413205	1005	SOX
AZ0413205	1006	SOX
AZ0413205	1007	SIA
AZ0413205	1008	SIA
AZ0413207	917	SOX

St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	7/23/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	9/4/2012	2012	AZ
St Violation/Reminder Notice	10/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/28/2013	2013	AZ
St Formal NOV issued	9/17/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Compliance achieved	2/11/2014	2014	AZ
State No Longer Subject to Rule	8/31/2012	2012	AZ
St Violation/Reminder Notice	4/30/2009	2009	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	3/31/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	12/21/2012	2012	AZ
St Compliance achieved	11/14/2011	2011	AZ
St Compliance achieved	6/3/2009	2009	AZ
St Compliance achieved	6/28/2013	2013	AZ
St Compliance achieved	6/28/2013	2013	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Violation/Reminder Notice	10/20/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	7/22/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	1/31/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ

AZ0413207	918	SOX
AZ0413207	919	SIA
AZ0413207	920	SOX
AZ0413207	921	SOX
AZ0413207	923	SIA
AZ0413207	924	SOX
AZ0413207	925	SIA
AZ0413207	926	SIA
AZ0413207	927	SIA
AZ0413207	928	SIA
AZ0413207	929	SO0
AZ0413207	930	SIA
AZ0413207	931	SO0
AZ0413208	636	SIA
AZ0413208	637	SOX
AZ0413208	638	SIA
AZ0413208	639	SFJ
AZ0413208	640	SOX
AZ0413208	641	SOX
AZ0413208	642	SOX
AZ0413208	643	SIA
AZ0413208	645	SIA
AZ0413208	646	SOX
AZ0413208	647	SIA
AZ0413208	648	SOX
AZ0413208	649	SOX
AZ0413208	651	SOX
AZ0413208	652	SFJ
AZ0413208	653	SOX
AZ0413208	654	SOX
AZ0413208	655	SOX
AZ0413208	657	SOX
AZ0413208	658	SOX
AZ0413209	510	SOX
AZ0413209	511	SIA
AZ0413209	512	SOX
AZ0413209	513	SOX
AZ0413209	514	SIA
AZ0413210	2	SIB
AZ0413212	1619	SIA
AZ0413212	1620	SOX
AZ0413212	1621	SIA
AZ0413212	1622	SOX
AZ0413212	1626	SIA
AZ0413212	1627	SIA
AZ0413212	1628	SO0
AZ0413212	1629	SIA

St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/23/2008	2008	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
State No Longer Subject to Rule	6/9/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
State No Longer Subject to Rule	6/9/2010	2010	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	8/14/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/17/2008	2008	AZ
St Compliance achieved	1/5/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	5/12/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	9/25/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Compliance achieved	11/24/2009	2009	AZ
St Compliance achieved	8/3/2011	2011	AZ
St Formal NOV issued	6/30/2011	2011	AZ
St Compliance achieved	11/8/2011	2011	AZ
St Compliance achieved	8/22/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Compliance achieved	7/9/2013	2013	AZ
St Compliance achieved	5/4/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/24/2007	2007	AZ
St Compliance achieved	12/17/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance Meeting conducted	12/30/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/29/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
State No Longer Subject to Rule	1/15/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ

AZ0413212	1630	SIA
AZ0413212	1631	SIA
AZ0413212	1632	SIA
AZ0413212	1633	SIA
AZ0413212	1634	SOX
AZ0413212	1635	SIA
AZ0413212	1637	SIA
AZ0413212	1638	SIA
AZ0413212	1639	SIA
AZ0413212	1640	SOX
AZ0413212	1642	SIA
AZ0413212	1643	SOX
AZ0413212	1644	SIA
AZ0413212	1645	SIA
AZ0413212	1646	SIA
AZ0413212	1648	SOX
AZ0413212	1649	SOX
AZ0413212	1650	SO0
AZ0413212	1651	SO0
AZ0413212	1652	SIA
AZ0413212	1653	SIA
AZ0413213	711	SOX
AZ0413213	712	SIA
AZ0413213	713	SOX
AZ0413213	714	SOX
AZ0413213	716	SIA
AZ0413213	717	SIA
AZ0413213	720	SIA
AZ0413213	721	SOX
AZ0413213	722	SFJ
AZ0413213	723	SOX
AZ0413213	724	SFJ
AZ0413213	726	SIA
AZ0413213	727	SOX
AZ0413213	729	SOX
AZ0413213	730	SIA
AZ0413213	732	SIA
AZ0413214	1131	SOX
AZ0413214	1133	SIA
AZ0413214	1134	SIA
AZ0413214	1135	SIA
AZ0413214	1136	SFJ
AZ0413214	1137	SIA
AZ0413214	1138	SIA
AZ0413214	1139	SIA
AZ0413214	1140	SOX
AZ0413214	1141	SIA

St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/10/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	3/4/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	1/12/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	1/26/2012	2012	AZ
State No Longer Subject to Rule	6/30/2013	2013	AZ
State No Longer Subject to Rule	7/29/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/18/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Formal NOV issued	3/27/2009	2009	AZ
St Compliance achieved	5/28/2009	2009	AZ
St Formal NOV issued	3/27/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	12/13/2010	2010	AZ
St Compliance achieved	3/21/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	9/9/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Violation/Reminder Notice	10/4/2012	2012	AZ
St Formal NOV issued	11/28/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	4/1/2013	2013	AZ

AZ0413214	1142	SOX
AZ0413214	1143	SIA
AZ0413214	1144	SIA
AZ0413214	1146	SFJ
AZ0413214	1147	SIA
AZ0413214	1148	SIA
AZ0413214	1149	SIA
AZ0413214	1150	SIA
AZ0413214	1151	SIA
AZ0413214	1152	SIA
AZ0413214	1153	SIA
AZ0413214	1154	SIA
AZ0413214	1155	SOX
AZ0413214	1156	SOX
AZ0413215	3443	SO0
AZ0413215	3445	SOX
AZ0413218	1360	SOX
AZ0413218	1361	SOX
AZ0413218	1362	SOX
AZ0413218	1363	SOX
AZ0413218	1366	SIA
AZ0413218	1367	SOX
AZ0413218	1368	SOX
AZ0413218	1370	SOX
AZ0413218	1371	SOX
AZ0413218	1372	SOX
AZ0413218	1373	SIA
AZ0413218	1374	SOX
AZ0413218	1375	SOX
AZ0413218	1376	SIA
AZ0413218	1378	SIA
AZ0413218	1379	SOX
AZ0413218	1380	SIA
AZ0413218	1381	SOX
AZ0413218	1382	SIA
AZ0413218	1383	SOX
AZ0413218	1384	SIA
AZ0413218	1386	SOX
AZ0413218	1387	SOX
AZ0413218	1388	SIA
AZ0413218	1390	SIA
AZ0413221	607	SOX
AZ0413221	608	SIA
AZ0413221	609	SOX
AZ0413221	610	SIA
AZ0413221	611	SIA
AZ0413221	612	SOX

St Compliance achieved	4/23/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Formal NOV issued	9/18/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/2/2014	2014	AZ
St Compliance achieved	5/22/2014	2014	AZ
State No Longer Subject to Rule	10/3/2011	2011	AZ
St Compliance achieved	2/27/2013	2013	AZ
St Compliance achieved	8/29/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	1/22/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	9/4/2008	2008	AZ
St Compliance achieved	9/25/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	12/1/2009	2009	AZ
St Compliance achieved	12/14/2009	2009	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/11/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/14/2012	2012	AZ
St Violation/Reminder Notice	3/4/2013	2013	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Compliance achieved	3/22/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Violation/Reminder Notice	4/22/2009	2009	AZ
St Compliance achieved	6/8/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Compliance achieved	5/20/2010	2010	AZ

AZ0413221	614	SIA
AZ0413221	615	SIA
AZ0413221	616	SIA
AZ0413221	617	SOX
AZ0413221	618	SOX
AZ0413221	622	SIA
AZ0413221	623	SOX
AZ0413222	101	SOX
AZ0413222	102	SIA
AZ0413222	103	SIA
AZ0413223	416	SOX
AZ0413223	417	SOX
AZ0413223	418	SIA
AZ0413226	102	SOX
AZ0413226	103	SIA
AZ0413226	104	SOX
AZ0413226	105	SOX
AZ0413226	106	SIA
AZ0413226	107	SIA
AZ0413226	108	SIA
AZ0413226	109	SFJ
AZ0413226	112	SIA
AZ0413226	114	SOX
AZ0413226	115	SIA
AZ0413226	116	SIA
AZ0413226	117	SOX
AZ0413226	118	SIA
AZ0413226	119	SIA
AZ0413226	120	SIA
AZ0413226	121	SOX
AZ0413226	122	SIA
AZ0413226	123	SOX
AZ0413226	125	SIA
AZ0413226	126	SIA
AZ0413226	127	SOX
AZ0413226	128	SIA
AZ0413226	129	SOX
AZ0413226	130	SOX
AZ0413226	131	SIA
AZ0413226	132	SFJ
AZ0413226	133	SIA
AZ0413226	134	SOX
AZ0413226	135	SOX
AZ0413226	136	SIA
AZ0413226	137	SOX
AZ0413228	303	SOX
AZ0413228	304	SIA

St Violation/Reminder Notice	5/7/2012	2012	AZ
St Violation/Reminder Notice	7/9/2012	2012	AZ
St Violation/Reminder Notice	3/26/2012	2012	AZ
St Compliance achieved	7/25/2012	2012	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	4/18/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	7/24/2013	2013	AZ
St Violation/Reminder Notice	9/17/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	10/2/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Formal NOV issued	2/3/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	4/26/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	1/26/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	8/15/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Compliance achieved	10/12/2010	2010	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Formal NOV issued	5/14/2013	2013	AZ
St Violation/Reminder Notice	7/15/2013	2013	AZ
St Compliance achieved	8/23/2013	2013	AZ
St Compliance achieved	9/6/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ

AZ0413228	305	SOX
AZ0413228	306	SOX
AZ0413228	307	SIA
AZ0413228	308	SOX
AZ0413230	709	SOX
AZ0413230	710	SO0
AZ0413233	2	SOX
AZ0413233	3	SIA
AZ0413233	4	SOX
AZ0413233	5	SOX
AZ0413235	204	SOX
AZ0413237	432	SOX
AZ0413237	433	SOX
AZ0413239	409	SOX
AZ0413239	410	SOX
AZ0413239	411	SIA
AZ0413239	413	SIA
AZ0413239	414	SOX
AZ0413239	415	SIA
AZ0413239	416	SIA
AZ0413239	417	SIA
AZ0413239	418	SIA
AZ0413239	419	SIA
AZ0413239	420	SIA
AZ0413239	421	SIA
AZ0413239	422	SIA
AZ0413239	423	SO0
AZ0413239	424	SIA
AZ0413240	718	SOX
AZ0413240	719	SOX
AZ0413240	721	SOX
AZ0413242	203	SOX
AZ0413242	204	SIA
AZ0413242	205	SOX
AZ0413242	206	SIA
AZ0413242	208	SOX
AZ0413242	210	SOX
AZ0413242	211	SIA
AZ0413242	212	SOX
AZ0413244	102	SOX
AZ0413244	103	SOX
AZ0413245	305	SOX
AZ0413245	306	SOX
AZ0413245	307	SOX
AZ0413245	309	SIA
AZ0413245	310	SOX
AZ0413245	311	SIA

St Compliance achieved	8/19/2010	2010	AZ
St Compliance achieved	1/6/2011	2011	AZ
St Violation/Reminder Notice	3/31/2011	2011	AZ
St Compliance achieved	10/18/2013	2013	AZ
St Compliance achieved	8/15/2008	2008	AZ
State No Longer Subject to Rule	9/1/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	9/4/2007	2007	AZ
St Compliance achieved	6/27/2008	2008	AZ
St Compliance achieved	4/10/2008	2008	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
State No Longer Subject to Rule	6/18/2012	2012	AZ
St Violation/Reminder Notice	6/4/2012	2012	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	5/10/2011	2011	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	2/24/2011	2011	AZ
St Compliance achieved	3/14/2012	2012	AZ
St Violation/Reminder Notice	3/28/2013	2013	AZ
St Compliance achieved	3/28/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/1/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ

AZ0413245	312	SIA
AZ0413245	314	SIA
AZ0413245	315	SOX
AZ0413245	316	SOX
AZ0413245	317	SOX
AZ0413245	318	SIA
AZ0413245	319	SOX
AZ0413245	320	SIA
AZ0413245	321	SIA
AZ0413245	322	SOX
AZ0413245	323	SIA
AZ0413245	326	SIA
AZ0413245	327	SOX
AZ0413246	307	SOX
AZ0413247	16	SOX
AZ0413247	17	SOX
AZ0413247	19	SOX
AZ0413247	20	SIA
AZ0413247	21	SOX
AZ0413247	7	SOX
AZ0413248	209	SIA
AZ0413248	210	SO0
AZ0413248	211	SOX
AZ0413248	212	SIA
AZ0413248	214	SIA
AZ0413248	215	SIA
AZ0413248	217	SIA
AZ0413248	219	SIA
AZ0413248	220	SOX
AZ0413248	221	SIA
AZ0413248	222	SOX
AZ0413248	223	SIA
AZ0413248	225	SIA
AZ0413248	227	SOX
AZ0413248	228	SOX
AZ0413249	1	SOX
AZ0413249	2	SIA
AZ0413249	3	SOX
AZ0413250	205	SOX
AZ0413250	206	SOX
AZ0413250	207	SIA
AZ0413250	208	SOX
AZ0413250	209	SIA
AZ0413251	109	SOX
AZ0413251	111	SOX
AZ0413251	113	SOX
AZ0413251	114	SOX

St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	10/15/2012	2012	AZ
St Compliance achieved	8/10/2011	2011	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	4/30/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	7/19/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	6/24/2014	2014	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/25/2007	2007	AZ
St Compliance achieved	3/10/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	7/26/2012	2012	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
State No Longer Subject to Rule	8/4/2008	2008	AZ
St Compliance achieved	8/6/2008	2008	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/30/2009	2009	AZ
St Violation/Reminder Notice	7/29/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/15/2010	2010	AZ
St Compliance achieved	10/4/2010	2010	AZ
St Compliance achieved	3/10/2008	2008	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	1/7/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	10/27/2009	2009	AZ
St Compliance achieved	12/1/2009	2009	AZ

AZ0413251	115	SIA
AZ0413251	116	SIA
AZ0413251	117	SOX
AZ0413251	119	SOX
AZ0413251	120	SOX
AZ0413251	121	SIA
AZ0413251	123	SIA
AZ0413251	124	SOX
AZ0413252	2	SOX
AZ0413252	3	SO0
AZ0413253	2	SOX
AZ0413253	3	SOX
AZ0413253	4	SIA
AZ0413253	5	SOX
AZ0413255	142	SO0
AZ0413256	10	SIA
AZ0413256	11	SOX
AZ0413256	12	SIA
AZ0413256	13	SOX
AZ0413256	14	SOX
AZ0413256	15	SIA
AZ0413256	16	SIA
AZ0413256	17	SOX
AZ0413256	4	SOX
AZ0413256	5	SIA
AZ0413256	7	SIA
AZ0413256	8	SOX
AZ0413257	307	SOX
AZ0413257	308	SOX
AZ0413257	309	SIA
AZ0413257	310	SOX
AZ0413257	311	SOX
AZ0413257	312	SIA
AZ0413257	315	SIA
AZ0413257	316	SOX
AZ0413257	317	SIA
AZ0413257	318	SOX
AZ0413257	319	SOX
AZ0413257	320	SIA
AZ0413257	321	SOX
AZ0413257	322	SIA
AZ0413257	323	SOX
AZ0413258	10	SOX
AZ0413258	11	SIA
AZ0413258	12	SOX
AZ0413258	13	SIA
AZ0413258	14	SOX

St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	8/18/2010	2010	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Compliance achieved	10/2/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
State No Longer Subject to Rule	7/28/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
State No Longer Subject to Rule	6/29/2010	2010	AZ
St Violation/Reminder Notice	12/28/2012	2012	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	10/28/2013	2013	AZ
St Compliance achieved	10/28/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	10/3/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	7/30/2012	2012	AZ
St Compliance achieved	10/5/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	5/11/2009	2009	AZ
St Compliance achieved	6/16/2011	2011	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	10/11/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	6/3/2008	2008	AZ
St Compliance achieved	6/19/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ

AZ0413258	15	SIA
AZ0413258	17	SIA
AZ0413258	18	SOX
AZ0413258	19	SOX
AZ0413258	20	SIA
AZ0413258	21	SIA
AZ0413258	22	SOX
AZ0413258	23	SOX
AZ0413258	24	SOX
AZ0413258	28	SIA
AZ0413258	29	SOX
AZ0413258	3	SIA
AZ0413258	30	SIA
AZ0413258	32	SOX
AZ0413258	33	SOX
AZ0413258	34	SOX
AZ0413258	35	SOX
AZ0413258	36	SOX
AZ0413258	37	SOX
AZ0413258	5	SOX
AZ0413258	6	SIA
AZ0413258	7	SOX
AZ0413258	8	SOX
AZ0413258	9	SOX
AZ0413259	13	SOX
AZ0413259	14	SIA
AZ0413259	15	SO0
AZ0413259	16	SOX
AZ0413259	18	SIA
AZ0413259	19	SOX
AZ0413259	20	SIA
AZ0413259	21	SOX
AZ0413259	22	SO0
AZ0413259	23	SOX
AZ0413259	24	SIA
AZ0413259	25	SIA
AZ0413259	26	SOX
AZ0413259	29	SOX
AZ0413259	30	SOX
AZ0413259	31	SIA
AZ0413259	32	SOX
AZ0413259	33	SIA
AZ0413261	18	SOX
AZ0413261	19	SIA
AZ0413261	20	SIA
AZ0413261	21	SIA
AZ0413261	24	SOX

St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/27/2009	2009	AZ
St Compliance achieved	10/15/2009	2009	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/16/2010	2010	AZ
St Compliance achieved	10/15/2010	2010	AZ
St Compliance achieved	7/12/2011	2011	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Compliance achieved	4/21/2011	2011	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Compliance achieved	10/16/2008	2008	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Compliance achieved	1/31/2008	2008	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
State No Longer Subject to Rule	7/23/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	1/12/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
State No Longer Subject to Rule	5/1/2009	2009	AZ
St Compliance achieved	3/23/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	6/8/2011	2011	AZ
St Compliance achieved	11/22/2011	2011	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/30/2013	2013	AZ
St Compliance achieved	11/8/2007	2007	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	7/22/2008	2008	AZ
St Violation/Reminder Notice	10/21/2008	2008	AZ
St Compliance achieved	12/29/2008	2008	AZ

AZ0413261	25	SOX
AZ0413261	26	SIA
AZ0413261	27	SIA
AZ0413261	28	SIA
AZ0413261	29	SIA
AZ0413261	30	SOX
AZ0413261	31	SOX
AZ0413261	32	SIA
AZ0413261	33	SIA
AZ0413263	10	SIA
AZ0413263	11	SOX
AZ0413263	12	SIA
AZ0413263	13	SOX
AZ0413263	14	SIA
AZ0413263	16	SIA
AZ0413263	17	SOX
AZ0413263	18	SOX
AZ0413263	19	SIA
AZ0413263	2	SOX
AZ0413263	20	SOX
AZ0413263	22	SOX
AZ0413263	23	SOX
AZ0413263	24	SFJ
AZ0413263	25	SOX
AZ0413263	26	SOX
AZ0413263	27	SOX
AZ0413263	28	SOX
AZ0413263	29	SIA
AZ0413263	3	SOX
AZ0413263	30	SIA
AZ0413263	31	SIA
AZ0413263	32	SIA
AZ0413263	33	SOX
AZ0413263	34	SOX
AZ0413263	35	SO0
AZ0413263	36	SOX
AZ0413263	4	SOX
AZ0413263	5	SOX
AZ0413263	6	SOX
AZ0413263	7	SOX
AZ0413263	8	SOX
AZ0413263	9	SOX
AZ0413264	1	SIA
AZ0413264	2	SOX
AZ0413264	3	SOX
AZ0413264	5	SOX
AZ0413265	1	SOX

St Compliance achieved	3/12/2009	2009	AZ
St Violation/Reminder Notice	8/3/2009	2009	AZ
St Violation/Reminder Notice	11/9/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	4/30/2010	2010	AZ
St Compliance achieved	5/25/2010	2010	AZ
St Compliance achieved	4/27/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	12/10/2013	2013	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Compliance achieved	10/15/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/27/2009	2009	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	1/21/2010	2010	AZ
St Compliance achieved	6/8/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Formal NOV issued	9/5/2012	2012	AZ
St Compliance achieved	10/18/2012	2012	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	5/17/2007	2007	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	11/18/2013	2013	AZ
State No Longer Subject to Rule	4/1/2014	2014	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	12/6/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Compliance achieved	1/21/2009	2009	AZ
St Compliance achieved	6/7/2012	2012	AZ
St Compliance achieved	5/25/2007	2007	AZ

AZ0413265	10	SOX
AZ0413265	11	SIA
AZ0413265	12	SOX
AZ0413265	13	SIA
AZ0413265	14	SIA
AZ0413265	15	SOX
AZ0413265	16	SIA
AZ0413265	17	SIA
AZ0413265	18	SOX
AZ0413265	19	SIA
AZ0413265	2	SOX
AZ0413265	20	SIA
AZ0413265	21	SIA
AZ0413265	22	SIA
AZ0413265	23	SOX
AZ0413265	24	SOX
AZ0413265	25	SIA
AZ0413265	27	SOX
AZ0413265	3	SIA
AZ0413265	30	SOX
AZ0413265	31	SOX
AZ0413265	32	SOX
AZ0413265	33	SOX
AZ0413265	34	SIA
AZ0413265	35	SOX
AZ0413265	36	SO0
AZ0413265	37	SIA
AZ0413265	38	SIA
AZ0413265	39	SOX
AZ0413265	4	SOX
AZ0413265	40	SIA
AZ0413265	5	SOX
AZ0413265	6	SOX
AZ0413265	7	SOX
AZ0413265	8	SIA
AZ0413265	9	SIA
AZ0413266	10	SIA
AZ0413266	11	SIA
AZ0413266	12	SIA
AZ0413266	14	SO0
AZ0413266	15	SO0
AZ0413266	2	SOX
AZ0413266	3	SOX
AZ0413266	4	SOX
AZ0413266	6	SOX
AZ0413266	7	SOX
AZ0413266	8	SIA

St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/23/2008	2008	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Compliance achieved	4/8/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/21/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Compliance achieved	2/11/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	5/28/2010	2010	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/8/2010	2010	AZ
St Compliance achieved	10/20/2010	2010	AZ
St Compliance achieved	3/15/2011	2011	AZ
St Compliance achieved	3/5/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Compliance achieved	6/26/2012	2012	AZ
State No Longer Subject to Rule	6/30/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Compliance achieved	7/25/2007	2007	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	3/20/2008	2008	AZ
St Compliance achieved	4/21/2008	2008	AZ
St Violation/Reminder Notice	5/23/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
State No Longer Subject to Rule	3/23/2011	2011	AZ
State No Longer Subject to Rule	4/21/2011	2011	AZ
St Compliance achieved	7/31/2007	2007	AZ
St Compliance achieved	9/5/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ

AZ0413266	9	SO0
AZ0413267	1	SIA
AZ0413267	10	SIA
AZ0413267	11	SIA
AZ0413267	13	SIA
AZ0413267	14	SIA
AZ0413267	15	SOX
AZ0413267	16	SIA
AZ0413267	17	SOX
AZ0413267	18	SOX
AZ0413267	19	SOX
AZ0413267	2	SOX
AZ0413267	20	SIA
AZ0413267	21	SOX
AZ0413267	22	SIA
AZ0413267	23	SIA
AZ0413267	24	SOX
AZ0413267	25	SOX
AZ0413267	26	SOX
AZ0413267	27	SOX
AZ0413267	28	SIA
AZ0413267	29	SOX
AZ0413267	3	SOX
AZ0413267	30	SOX
AZ0413267	4	SIA
AZ0413267	6	SOX
AZ0413267	7	SOX
AZ0413267	8	SOX
AZ0413267	9	SIA
AZ0413268	1	SOX
AZ0413268	10	SOX
AZ0413268	2	SOX
AZ0413268	3	SIA
AZ0413268	5	SOX
AZ0413268	7	SOX
AZ0413268	9	SOX
AZ0413268	92	SIA
AZ0413268	94	SOX
AZ0413268	96	SOX
AZ0413269	1	SOX
AZ0413270	1	SOX
AZ0413270	4	SOX
AZ0413270	5	SIA
AZ0413270	6	SO0
AZ0413272	1	SOX
AZ0413272	2	SIA
AZ0413272	3	SOX

State No Longer Subject to Rule	4/28/2010	2010	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	5/11/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/30/2011	2011	AZ
St Violation/Reminder Notice	11/21/2011	2011	AZ
St Compliance achieved	12/12/2011	2011	AZ
St Compliance achieved	1/17/2012	2012	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	6/7/2013	2013	AZ
St Violation/Reminder Notice	6/27/2013	2013	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Compliance achieved	9/10/2010	2010	AZ
St Compliance achieved	7/28/2011	2011	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	2/11/2014	2014	AZ
St Compliance achieved	9/8/2008	2008	AZ
St Compliance achieved	8/25/2014	2014	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	9/18/2009	2009	AZ
St Compliance achieved	3/5/2010	2010	AZ
St Compliance achieved	4/12/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Compliance achieved	10/9/2007	2007	AZ
St Compliance achieved	2/22/2013	2013	AZ
St Compliance achieved	2/1/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	7/14/2008	2008	AZ
St Compliance achieved	7/23/2009	2009	AZ
St Compliance achieved	11/5/2012	2012	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	8/13/2009	2009	AZ
St Compliance achieved	10/8/2013	2013	AZ
St Compliance achieved	5/9/2007	2007	AZ
St Compliance achieved	4/30/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
State No Longer Subject to Rule	6/12/2012	2012	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ

AZ0413273	1	SIA
AZ0413273	2	SIA
AZ0413273	3	SIA
AZ0413273	4	SO0
AZ0413274	1	SIA
AZ0413274	2	SOX
AZ0413274	3	SOX
AZ0413274	4	SIA
AZ0413276	1	SOX
AZ0413276	10	SIA
AZ0413276	11	SOX
AZ0413276	12	SIA
AZ0413276	13	SIA
AZ0413276	14	SIA
AZ0413276	15	SIA
AZ0413276	16	SIA
AZ0413276	17	SIA
AZ0413276	18	SOX
AZ0413276	19	SIA
AZ0413276	2	SIA
AZ0413276	20	SIA
AZ0413276	21	SOX
AZ0413276	22	SOX
AZ0413276	23	SIA
AZ0413276	24	SIA
AZ0413276	25	SIA
AZ0413276	26	SIA
AZ0413276	27	SIA
AZ0413276	28	SIA
AZ0413276	29	SOX
AZ0413276	3	SOX
AZ0413276	4	SOX
AZ0413276	5	SIA
AZ0413276	7	SOX
AZ0413276	8	SIA
AZ0413276	9	SOX
AZ0413277	1	SIA
AZ0413277	10	SIA
AZ0413277	11	SOX
AZ0413277	12	SIA
AZ0413277	13	SOX
AZ0413277	14	SOX
AZ0413277	3	SOX
AZ0413277	5	SIA
AZ0413277	6	SOX
AZ0413277	7	SIA
AZ0413277	8	SOX

St Violation/Reminder Notice	10/20/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
State No Longer Subject to Rule	2/3/2010	2010	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Compliance achieved	11/18/2013	2013	AZ
St Compliance achieved	6/17/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	12/15/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	3/30/2012	2012	AZ
St Compliance achieved	5/7/2012	2012	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Violation/Reminder Notice	11/5/2012	2012	AZ
St Compliance achieved	12/13/2012	2012	AZ
St Compliance achieved	12/8/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	10/22/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Compliance achieved	7/16/2010	2010	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	1/4/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	2/19/2014	2014	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Compliance achieved	8/22/2008	2008	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/4/2009	2009	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	4/1/2011	2011	AZ

AZ0413277	9	SIA
AZ0413278	1	SIA
AZ0413278	2	SOX
AZ0413278	3	SOX
AZ0413278	6	SIA
AZ0413278	7	SOX
AZ0413281	1	SFJ
AZ0413281	10	SIA
AZ0413281	12	SOX
AZ0413281	14	SIA
AZ0413281	2	SIA
AZ0413281	3	SIA
AZ0413281	6	SIA
AZ0413281	8	SOX
AZ0413283	2	SIA
AZ0413283	3	SOX
AZ0413283	5	SIA
AZ0413283	6	SOX
AZ0413283	7	SIA
AZ0413284	1	SIA
AZ0413284	10	SIA
AZ0413284	11	SIA
AZ0413284	12	SIA
AZ0413284	14	SOX
AZ0413284	15	SOX
AZ0413284	16	SIA
AZ0413284	17	SOX
AZ0413284	18	SIA
AZ0413284	19	SOX
AZ0413284	2	SIA
AZ0413284	3	SIA
AZ0413284	4	SIA
AZ0413284	5	SIA
AZ0413284	6	SOX
AZ0413284	7	SIA
AZ0413284	8	SIA
AZ0413284	9	SOX
AZ0413287	1	SIA
AZ0413287	2	SOX
AZ0413289	1	SFJ
AZ0413289	2	SFJ
AZ0413289	4	SIA
AZ0413289	5	SOX
AZ0413292	1	SFJ
AZ0413292	2	SIA
AZ0413292	3	SOX
AZ0413292	4	SIA

St Violation/Reminder Notice	4/30/2012	2012	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	4/22/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/7/2014	2014	AZ
St Formal NOV issued	4/29/2009	2009	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Compliance achieved	8/12/2011	2011	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Compliance achieved	10/21/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	10/30/2013	2013	AZ
St Violation/Reminder Notice	10/19/2009	2009	AZ
St Violation/Reminder Notice	1/19/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	12/2/2011	2011	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	8/15/2014	2014	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	4/23/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	11/10/2010	2010	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Formal NOV issued	5/11/2011	2011	AZ
St Formal NOV issued	5/11/2011	2011	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	8/7/2014	2014	AZ
St Formal NOV issued	2/27/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	7/2/2013	2013	AZ
St Violation/Reminder Notice	7/16/2013	2013	AZ

AZ0413292	5	SOX
AZ0413292	6	SOX
AZ0413293	1	SO0
AZ0413293	2	SIA
AZ0413303	533	SOX
AZ0413303	541	SOX
AZ0413303	551	SOX
AZ0413303	552	SOX
AZ0413303	553	SOX
AZ0413303	555	SOX
AZ0413303	556	SOX
AZ0413303	557	SOX
AZ0413303	558	SOX
AZ0413303	559	SOX
AZ0413303	560	SOX
AZ0413303	563	SIA
AZ0413303	564	SOX
AZ0413303	565	SIA
AZ0413303	567	SIA
AZ0413303	568	SOX
AZ0413303	569	SOX
AZ0413303	570	SIA
AZ0413303	571	SIA
AZ0413303	572	SOX
AZ0413303	573	SOX
AZ0413303	574	SIA
AZ0413303	575	SFJ
AZ0413303	576	SOX
AZ0413303	577	SOX
AZ0413303	580	SOX
AZ0413303	581	SIA
AZ0413303	582	SOX
AZ0413303	583	SOX
AZ0413303	584	SIA
AZ0413303	585	SO0
AZ0413307	608	SOX
AZ0413307	609	SOX
AZ0413307	610	SFJ
AZ0413307	611	SFJ
AZ0413307	612	SIA
AZ0413307	613	SIA
AZ0413307	615	SIA
AZ0413307	616	SIA
AZ0413307	618	SIA
AZ0413307	619	SIA
AZ0413307	621	SIA
AZ0413307	622	SOX

St Compliance achieved	11/25/2013	2013	AZ
St Compliance achieved	1/3/2014	2014	AZ
State No Longer Subject to Rule	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Compliance achieved	2/6/2009	2009	AZ
St Compliance achieved	12/6/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/18/2007	2007	AZ
St Compliance achieved	5/17/2007	2007	AZ
St Compliance achieved	4/8/2008	2008	AZ
St Compliance achieved	4/9/2008	2008	AZ
St Compliance achieved	5/7/2008	2008	AZ
St Compliance achieved	6/2/2008	2008	AZ
St Compliance achieved	7/28/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	11/14/2008	2008	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Violation/Reminder Notice	4/23/2009	2009	AZ
St Compliance achieved	4/27/2009	2009	AZ
St Compliance achieved	11/3/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/4/2011	2011	AZ
St Compliance achieved	10/17/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Formal NOV issued	9/17/2012	2012	AZ
St Compliance achieved	10/18/2012	2012	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Compliance achieved	6/5/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Compliance achieved	11/17/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
State No Longer Subject to Rule	4/1/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/11/2007	2007	AZ
St Formal NOV issued	1/14/2008	2008	AZ
St Formal NOV issued	1/18/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Compliance achieved	8/7/2008	2008	AZ

AZ0413307	624	SIA
AZ0413307	625	SOX
AZ0413307	626	SIA
AZ0413307	629	SIA
AZ0413307	630	SOX
AZ0413307	631	SIA
AZ0413307	632	SIA
AZ0413307	634	SIA
AZ0413307	635	SIA
AZ0413307	636	SOX
AZ0413307	637	SOX
AZ0413307	638	SIA
AZ0413307	640	SIA
AZ0413307	641	SOX
AZ0413307	643	SOX
AZ0413307	644	SIA
AZ0413307	645	SIA
AZ0413307	646	SOX
AZ0413307	647	SOX
AZ0413307	648	SIA
AZ0413307	649	SIA
AZ0413307	650	SIA
AZ0413307	652	SOX
AZ0413307	653	SOX
AZ0413307	654	SIA
AZ0413307	655	SOX
AZ0413307	656	SOX
AZ0413307	657	SOX
AZ0413307	658	SOX
AZ0413307	659	SIA
AZ0413307	661	SIA
AZ0413307	662	SIA
AZ0413307	663	SOX
AZ0413307	664	SIA
AZ0413307	666	SOX
AZ0413307	667	SOX
AZ0413314	806	SOX
AZ0413314	834	SOX
AZ0413314	844	SOX
AZ0413314	845	SIA
AZ0413314	846	SOX
AZ0413314	847	SOX
AZ0413314	848	SOX
AZ0413314	853	SOX
AZ0413314	854	SOX
AZ0413315	2361	SIA
AZ0413315	2362	SOX

St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	1/15/2009	2009	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Compliance achieved	2/17/2009	2009	AZ
St Compliance achieved	3/5/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/11/2009	2009	AZ
St Compliance achieved	11/6/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Compliance achieved	2/12/2010	2010	AZ
St Compliance achieved	4/16/2010	2010	AZ
St Violation/Reminder Notice	3/5/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	6/2/2010	2010	AZ
St Compliance achieved	6/3/2010	2010	AZ
St Violation/Reminder Notice	2/1/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	4/11/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	4/2/2013	2013	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/18/2013	2013	AZ
St Violation/Reminder Notice	6/20/2013	2013	AZ
St Compliance achieved	8/27/2008	2008	AZ
St Compliance achieved	6/28/2013	2013	AZ
St Compliance achieved	1/22/2007	2007	AZ
St Compliance achieved	5/5/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/3/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	7/26/2012	2012	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0413315	2363	SOX
AZ0413315	2364	SOX
AZ0413315	2365	SOX
AZ0413315	2366	SOX
AZ0413316	507	SOX
AZ0413316	509	SIA
AZ0413316	510	SIA
AZ0413316	511	SFJ
AZ0413316	512	SIA
AZ0413316	513	SIA
AZ0413316	514	SFL
AZ0413316	515	SIA
AZ0413316	516	SIA
AZ0413316	517	SOX
AZ0413317	2041	SOX
AZ0413317	2042	SIA
AZ0413317	2043	SOX
AZ0413317	2044	SOX
AZ0413317	2048	SOX
AZ0413317	2052	SFJ
AZ0413317	2053	SOX
AZ0413317	2055	SOX
AZ0413320	1000	SOX
AZ0413320	1001	SOX
AZ0413320	982	SIA
AZ0413320	983	SFJ
AZ0413320	984	SOX
AZ0413320	985	SFL
AZ0413320	986	SFJ
AZ0413320	987	SIA
AZ0413320	988	SOX
AZ0413320	989	SIA
AZ0413320	990	SIA
AZ0413320	991	SOX
AZ0413320	992	SOX
AZ0413320	994	SIA
AZ0413320	995	SO0
AZ0413320	997	SOX
AZ0413320	999	SFL
AZ0413321	1	SIA
AZ0413322	1	SOX
AZ0413322	10	SIA
AZ0413322	11	SOX
AZ0413322	12	SIA
AZ0413322	13	SOX
AZ0413322	14	SIA
AZ0413322	2	SOX

St Compliance achieved	7/20/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	2/20/2008	2008	AZ
St Compliance achieved	11/9/2007	2007	AZ
St Violation/Reminder Notice	5/1/2012	2012	AZ
St Violation/Reminder Notice	7/17/2012	2012	AZ
St Formal NOV issued	9/18/2012	2012	AZ
St Violation/Reminder Notice	11/9/2012	2012	AZ
St Violation/Reminder Notice	2/4/2013	2013	AZ
St AO (w/o penalty) issued	6/7/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Violation/Reminder Notice	10/21/2013	2013	AZ
St Compliance achieved	8/12/2014	2014	AZ
St Compliance achieved	12/6/2007	2007	AZ
St Violation/Reminder Notice	9/30/2008	2008	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Formal NOV issued	5/10/2013	2013	AZ
St Compliance achieved	8/7/2013	2013	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	5/1/2013	2013	AZ
St Compliance achieved	5/8/2013	2013	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Formal NOV issued	12/18/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St AO (w/o penalty) issued	12/1/2009	2009	AZ
St Formal NOV issued	1/24/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Compliance achieved	10/18/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Violation/Reminder Notice	4/12/2012	2012	AZ
St Compliance achieved	4/11/2012	2012	AZ
St Compliance achieved	5/3/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Compliance achieved	9/17/2012	2012	AZ
St AO (w/o penalty) issued	12/1/2009	2009	AZ
St Violation/Reminder Notice	10/3/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	8/1/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	3/19/2008	2008	AZ

AZ0413322	3	SIA
AZ0413322	4	SOX
AZ0413322	5	SIA
AZ0413322	6	SOX
AZ0413322	7	SOX
AZ0413322	8	SIA
AZ0413322	9	SOX
AZ0413324	1010	SIA
AZ0413324	1011	SOX
AZ0413324	1012	SOX
AZ0413324	1013	SOX
AZ0413324	1016	SOX
AZ0413324	1017	SIA
AZ0413324	1018	SIA
AZ0413324	1019	SOX
AZ0413324	1020	SIA
AZ0413324	1021	SIA
AZ0413324	1022	SOX
AZ0413324	1023	SIA
AZ0413324	1024	SOX
AZ0413324	1025	SIA
AZ0413324	1026	SIA
AZ0413324	1027	SFJ
AZ0413324	1028	SIA
AZ0413324	1029	SIA
AZ0413324	1030	SIA
AZ0413324	1031	SIA
AZ0413324	1032	SFJ
AZ0413324	1034	SFL
AZ0413324	1035	SOX
AZ0413324	1036	SIA
AZ0413324	1037	SOX
AZ0413324	1038	SOX
AZ0413324	1039	SOX
AZ0413324	1040	SIA
AZ0413324	1041	SOX
AZ0413330	602	SIA
AZ0413330	604	SIA
AZ0413330	605	SOX
AZ0413330	606	SOX
AZ0413330	607	SIA
AZ0413330	608	SIA
AZ0413330	609	SIA
AZ0413330	610	SIA
AZ0413330	611	SIA
AZ0413330	612	SFJ
AZ0413330	613	SOX

St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/12/2008	2008	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	1/18/2011	2011	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Compliance achieved	5/1/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	9/27/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/11/2013	2013	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Formal NOV issued	9/4/2013	2013	AZ
St Violation/Reminder Notice	11/14/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Violation/Reminder Notice	1/23/2014	2014	AZ
St Formal NOV issued	1/31/2014	2014	AZ
St AO (w/o penalty) issued	2/11/2014	2014	AZ
St Compliance achieved	3/3/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	3/7/2014	2014	AZ
St Compliance achieved	3/24/2014	2014	AZ
St Compliance achieved	3/31/2014	2014	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/14/2014	2014	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Violation/Reminder Notice	2/13/2009	2009	AZ
St Compliance achieved	3/17/2009	2009	AZ
St Compliance achieved	4/2/2009	2009	AZ
St Violation/Reminder Notice	6/30/2008	2008	AZ
St Violation/Reminder Notice	2/29/2012	2012	AZ
St Violation/Reminder Notice	3/26/2012	2012	AZ
St Violation/Reminder Notice	4/12/2012	2012	AZ
St Violation/Reminder Notice	5/7/2012	2012	AZ
St Formal NOV issued	12/29/2011	2011	AZ
St Compliance achieved	6/20/2012	2012	AZ

AZ0413330	614	SIA
AZ0413330	615	SOX
AZ0413330	616	SIA
AZ0413330	617	SOX
AZ0413331	1	SOX
AZ0413331	10	SOX
AZ0413331	11	SIA
AZ0413331	12	SOX
AZ0413331	13	SOX
AZ0413331	14	SIA
AZ0413331	15	SOX
AZ0413331	4	SIA
AZ0413331	5	SIA
AZ0413331	7	SOX
AZ0413331	8	SOX
AZ0413331	9	SIA
AZ0413336	725	SOX
AZ0413336	727	SOX
AZ0413336	729	SIA
AZ0413336	730	SOX
AZ0413336	731	SOX
AZ0413336	732	SIA
AZ0413336	734	SOX
AZ0413336	735	SIA
AZ0413336	736	SIA
AZ0413336	737	SIA
AZ0413336	740	SIA
AZ0413336	741	SOX
AZ0413338	1140	SOX
AZ0413338	1143	SIA
AZ0413338	1144	SIA
AZ0413338	1145	SIA
AZ0413338	1146	SIA
AZ0413338	1148	SOX
AZ0413338	1149	SOX
AZ0413338	1154	SOX
AZ0413338	1155	SOX
AZ0413340	1807	SOX
AZ0413340	1841	SOX
AZ0413340	1842	SOX
AZ0413340	1843	SOX
AZ0413340	1844	SIA
AZ0413340	1845	SOX
AZ0413340	1846	SIA
AZ0413340	1847	SIA
AZ0413340	1848	SOX
AZ0413340	1849	SOX

St Violation/Reminder Notice	4/26/2013	2013	AZ
St Compliance achieved	5/10/2013	2013	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	5/22/2014	2014	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	5/6/2010	2010	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/18/2011	2011	AZ
St Compliance achieved	1/18/2012	2012	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/29/2013	2013	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	4/22/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/10/2008	2008	AZ
St Compliance achieved	4/5/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	3/16/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/25/2013	2013	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Violation/Reminder Notice	4/4/2012	2012	AZ
St Violation/Reminder Notice	4/4/2012	2012	AZ
St Violation/Reminder Notice	3/5/2012	2012	AZ
St Compliance achieved	7/19/2012	2012	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Compliance achieved	7/18/2012	2012	AZ
St Compliance achieved	11/17/2008	2008	AZ
St Compliance achieved	1/4/2007	2007	AZ
St Compliance achieved	5/31/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	4/2/2008	2008	AZ
St Violation/Reminder Notice	2/4/2008	2008	AZ
St Compliance achieved	10/20/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	7/29/2010	2010	AZ
St Compliance achieved	8/26/2010	2010	AZ
St Compliance achieved	11/5/2012	2012	AZ

AZ0413340	1850	SOX
AZ0413340	1851	SOX
AZ0413340	1852	SIA
AZ0413340	1854	SIA
AZ0413340	1855	SOX
AZ0413340	1856	SIA
AZ0413340	1858	SOX
AZ0413340	1859	SIA
AZ0413341	1442	SOX
AZ0413341	1443	SOX
AZ0413341	1445	SOX
AZ0413341	1446	SOX
AZ0413341	1447	SOX
AZ0413341	1461	SIA
AZ0413341	1462	SOX
AZ0413341	1467	SIA
AZ0413341	1469	SIA
AZ0413341	1471	SOX
AZ0413341	1472	SIA
AZ0413341	1473	SOX
AZ0413341	1474	SIA
AZ0413341	1476	SIA
AZ0413341	1477	SOX
AZ0413341	1478	SIA
AZ0413341	1480	SIA
AZ0413341	1481	SOX
AZ0413341	1482	SOX
AZ0413341	1483	SOX
AZ0413341	1484	SOX
AZ0413341	1485	SFJ
AZ0413341	1486	SIA
AZ0413341	1487	SIA
AZ0413341	1489	SIA
AZ0413341	1490	SIA
AZ0413341	1491	SIA
AZ0413341	1492	SIA
AZ0413341	1493	SOX
AZ0413341	1494	SIA
AZ0413341	1495	SOX
AZ0413341	1496	SOX
AZ0413341	1499	SOX
AZ0413341	1501	SIA
AZ0413341	1502	SOX
AZ0413341	1504	SOX
AZ0413341	1507	SOX
AZ0413341	1508	SOX
AZ0413341	1509	SIA

St Compliance achieved	11/5/2012	2012	AZ
St Compliance achieved	9/10/2012	2012	AZ
St Violation/Reminder Notice	1/22/2013	2013	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	6/21/2009	2009	AZ
St Violation/Reminder Notice	6/3/2013	2013	AZ
St Compliance achieved	6/9/2014	2014	AZ
St Violation/Reminder Notice	6/4/2014	2014	AZ
St Compliance achieved	3/15/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/19/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Compliance achieved	1/27/2009	2009	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Violation/Reminder Notice	3/16/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/9/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	8/19/2009	2009	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Compliance achieved	10/29/2009	2009	AZ
St Compliance achieved	1/12/2010	2010	AZ
St Formal NOV issued	1/13/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	4/27/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Compliance achieved	11/24/2010	2010	AZ
St Violation/Reminder Notice	1/31/2011	2011	AZ
St Compliance achieved	3/7/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Compliance achieved	11/17/2011	2011	AZ
St Violation/Reminder Notice	12/6/2011	2011	AZ
St Compliance achieved	3/13/2012	2012	AZ
St Compliance achieved	3/13/2012	2012	AZ
St Compliance achieved	5/16/2011	2011	AZ
St Compliance achieved	7/20/2012	2012	AZ
St Violation/Reminder Notice	8/3/2012	2012	AZ

AZ0413341	1510	SOX
AZ0413341	1511	SIA
AZ0413341	1513	SOX
AZ0413341	1514	SIA
AZ0413341	1515	SOX
AZ0413341	1516	SOX
AZ0413341	1517	SIA
AZ0413341	1518	SIA
AZ0413341	1519	SOX
AZ0413341	1520	SOX
AZ0413343	1119	SOX
AZ0413343	1120	SOX
AZ0413343	1121	SOX
AZ0413343	1126	SIA
AZ0413343	1127	SOX
AZ0413345	754	SOX
AZ0413345	756	SOX
AZ0413345	757	SOX
AZ0413345	759	SIA
AZ0413345	761	SIA
AZ0413345	765	SOX
AZ0413345	766	SOX
AZ0413345	767	SOX
AZ0413345	768	SOX
AZ0413345	772	SO0
AZ0413345	773	SIA
AZ0413345	777	SOX
AZ0413345	778	SOX
AZ0413345	779	SIA
AZ0413345	781	SOX
AZ0413345	783	SOX
AZ0413345	784	SIA
AZ0413345	785	SIA
AZ0413345	786	SIA
AZ0413345	787	SIA
AZ0413345	788	SIA
AZ0413345	789	SIA
AZ0413345	790	SIA
AZ0413345	791	SIA
AZ0413345	792	SIA
AZ0413345	793	SIA
AZ0413345	794	SIA
AZ0413345	795	SIA
AZ0413345	796	SIA
AZ0413345	797	SIA
AZ0413345	798	SIA
AZ0413345	799	SIA

St Compliance achieved	11/16/2012	2012	AZ
St Violation/Reminder Notice	11/6/2012	2012	AZ
St Compliance achieved	6/14/2012	2012	AZ
St Violation/Reminder Notice	5/31/2013	2013	AZ
St Compliance achieved	6/24/2013	2013	AZ
St Compliance achieved	1/27/2009	2009	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	11/29/2013	2013	AZ
St Compliance achieved	12/3/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/9/2009	2009	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	5/15/2013	2013	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	3/23/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/28/2008	2008	AZ
St Compliance achieved	1/8/2009	2009	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Compliance achieved	2/11/2009	2009	AZ
State No Longer Subject to Rule	3/2/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Compliance achieved	5/19/2009	2009	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	3/30/2010	2010	AZ
St Compliance achieved	12/17/2012	2012	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413345	800	SIA
AZ0413345	801	SIA
AZ0413345	802	SIA
AZ0413345	803	SIA
AZ0413345	804	SIA
AZ0413345	805	SIA
AZ0413345	806	SIA
AZ0413345	807	SIA
AZ0413345	808	SIA
AZ0413345	809	SIA
AZ0413345	810	SIA
AZ0413345	811	SIA
AZ0413345	812	SIA
AZ0413345	813	SIA
AZ0413345	814	SIA
AZ0413345	815	SIA
AZ0413345	816	SIA
AZ0413345	817	SIA
AZ0413345	818	SIA
AZ0413345	819	SIA
AZ0413345	820	SIA
AZ0413345	821	SIA
AZ0413345	822	SIA
AZ0413345	823	SIA
AZ0413345	824	SIA
AZ0413345	825	SIA
AZ0413345	826	SIA
AZ0413345	827	SIA
AZ0413345	828	SIA
AZ0413345	829	SIA
AZ0413345	830	SIA
AZ0413345	831	SIA
AZ0413345	832	SIA
AZ0413345	833	SIA
AZ0413345	834	SIA
AZ0413345	835	SIA
AZ0413345	836	SIA
AZ0413345	837	SIA
AZ0413345	838	SIA
AZ0413345	839	SIA
AZ0413345	840	SIA
AZ0413345	841	SIA
AZ0413345	842	SIA
AZ0413345	843	SIA
AZ0413345	844	SIA
AZ0413345	845	SIA
AZ0413345	846	SIA

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ

AZ0413345	847	SIA
AZ0413345	848	SIA
AZ0413345	849	SIA
AZ0413345	850	SIA
AZ0413345	851	SIA
AZ0413345	852	SIA
AZ0413345	853	SIA
AZ0413345	854	SIA
AZ0413345	855	SIA
AZ0413345	856	SIA
AZ0413345	857	SIA
AZ0413345	858	SIA
AZ0413345	859	SIA
AZ0413345	860	SIA
AZ0413345	861	SIA
AZ0413345	862	SIA
AZ0413345	863	SIA
AZ0413345	864	SIA
AZ0413345	865	SIA
AZ0413345	866	SIA
AZ0413345	867	SIA
AZ0413345	868	SIA
AZ0413345	869	SIA
AZ0413345	870	SIA
AZ0413345	871	SIA
AZ0413345	872	SIA
AZ0413345	873	SIA
AZ0413345	874	SIA
AZ0413345	875	SIA
AZ0413345	876	SIA
AZ0413345	877	SIA
AZ0413345	878	SIA
AZ0413345	879	SIA
AZ0413345	880	SIA
AZ0413345	881	SIA
AZ0413345	882	SIA
AZ0413345	883	SIA
AZ0413345	884	SIA
AZ0413345	885	SOX
AZ0413345	886	SIA
AZ0413345	887	SOX
AZ0413345	888	SIA
AZ0413345	889	SOX
AZ0413345	891	SIA
AZ0413345	892	SO0
AZ0413345	893	SOX
AZ0413345	894	SOX

St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	4/16/2013	2013	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Compliance achieved	10/2/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	10/11/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	12/19/2013	2013	AZ
St Violation/Reminder Notice	5/13/2014	2014	AZ
State No Longer Subject to Rule	11/5/2008	2008	AZ
St Compliance achieved	8/8/2014	2014	AZ
St Compliance achieved	8/21/2014	2014	AZ

AZ0413345	895	SIA
AZ0413346	1112	SIA
AZ0413346	1113	SOX
AZ0413346	1114	SOX
AZ0413346	1115	SOX
AZ0413346	1116	SIA
AZ0413346	1117	SIA
AZ0413346	1118	SIA
AZ0413346	1119	SIA
AZ0413346	1120	SIA
AZ0413346	1121	SOX
AZ0413346	1122	SOX
AZ0413346	1123	SIA
AZ0413346	1124	SOX
AZ0413348	4365	SOX
AZ0413348	4367	SIA
AZ0413348	4368	SOX
AZ0413348	4369	SOX
AZ0413348	4370	SOX
AZ0413348	4371	SIA
AZ0413348	4372	SOX
AZ0413348	4373	SOX
AZ0413348	4375	SOX
AZ0413348	4376	SOX
AZ0413348	4377	SOX
AZ0413348	4378	SOX
AZ0413348	4379	SOX
AZ0413348	4380	SIA
AZ0413348	4381	SOX
AZ0413348	4382	SIA
AZ0413348	4383	SIA
AZ0413348	4384	SIA
AZ0413348	4385	SFJ
AZ0413348	4386	SOX
AZ0413348	4387	SIA
AZ0413348	4388	SIA
AZ0413348	4389	SIA
AZ0413348	4390	SOX
AZ0413348	4392	SIA
AZ0413348	4393	SOX
AZ0413348	4394	SOX
AZ0413348	4395	SIA
AZ0413348	4396	SOX
AZ0413348	4398	SIA
AZ0413348	4399	SIA
AZ0413348	4400	SIA
AZ0413348	4402	SOX

St Violation/Reminder Notice	8/21/2014	2014	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	9/17/2008	2008	AZ
St Compliance achieved	3/1/2010	2010	AZ
St Compliance achieved	1/23/2012	2012	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Violation/Reminder Notice	8/1/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	10/29/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	1/9/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Compliance achieved	3/22/2007	2007	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	5/29/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/16/2007	2007	AZ
St Compliance achieved	7/18/2007	2007	AZ
St Compliance achieved	10/2/2007	2007	AZ
St Compliance achieved	10/15/2007	2007	AZ
St Compliance achieved	11/13/2007	2007	AZ
St Compliance achieved	2/11/2008	2008	AZ
St Compliance achieved	3/12/2008	2008	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/23/2008	2008	AZ
St Violation/Reminder Notice	7/28/2008	2008	AZ
St Violation/Reminder Notice	10/29/2008	2008	AZ
St Violation/Reminder Notice	12/24/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Compliance achieved	2/10/2009	2009	AZ
St Violation/Reminder Notice	12/1/2008	2008	AZ
St Violation/Reminder Notice	2/18/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Compliance achieved	4/7/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Compliance achieved	9/17/2009	2009	AZ
St Compliance achieved	10/19/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Compliance achieved	1/13/2010	2010	AZ
St Violation/Reminder Notice	2/12/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St Compliance achieved	7/15/2010	2010	AZ

AZ0413348	4403	SOX
AZ0413348	4404	SIA
AZ0413348	4405	SIA
AZ0413348	4406	SOX
AZ0413348	4407	SIA
AZ0413348	4408	SIA
AZ0413348	4409	SIA
AZ0413348	4410	SIA
AZ0413348	4411	SOX
AZ0413348	4412	SIA
AZ0413348	4413	SIA
AZ0413348	4414	SIA
AZ0413348	4415	SOX
AZ0413348	4416	SOX
AZ0413348	4417	SIA
AZ0413348	4419	SIA
AZ0413348	4420	SOX
AZ0413348	4421	SOX
AZ0413348	4422	SIA
AZ0413348	4423	SFL
AZ0413348	4424	SIA
AZ0413348	4425	SOX
AZ0413348	4426	SOX
AZ0413348	4427	SOX
AZ0413348	4428	SOX
AZ0413348	4429	SOX
AZ0413348	4430	SIA
AZ0413348	4433	SOX
AZ0413348	4434	SIA
AZ0413348	4435	SIA
AZ0413348	4436	SOX
AZ0413348	4437	SIA
AZ0413348	4438	SIA
AZ0413348	4439	SIA
AZ0413348	4440	SIA
AZ0413348	4441	SIA
AZ0413348	4442	SIA
AZ0413348	4443	SIA
AZ0413348	4444	SOX
AZ0413348	4445	SOX
AZ0413348	4447	SIA
AZ0413348	4448	SOX
AZ0413348	4449	SIA
AZ0413348	4450	SOX
AZ0413348	4451	SOX
AZ0413348	4452	SIA
AZ0413348	4453	SOX

St Compliance achieved	7/16/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	8/31/2010	2010	AZ
St Compliance achieved	9/14/2010	2010	AZ
St Violation/Reminder Notice	10/28/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	5/9/2011	2011	AZ
St Violation/Reminder Notice	6/29/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	10/31/2011	2011	AZ
St Compliance achieved	10/31/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	1/3/2012	2012	AZ
St Compliance achieved	4/12/2012	2012	AZ
St Compliance achieved	4/26/2012	2012	AZ
St Violation/Reminder Notice	4/9/2012	2012	AZ
St AO (w/o penalty) issued	2/25/2010	2010	AZ
St Violation/Reminder Notice	7/27/2012	2012	AZ
St Compliance achieved	8/27/2012	2012	AZ
St Compliance achieved	12/28/2012	2012	AZ
St Compliance achieved	1/8/2013	2013	AZ
St Compliance achieved	1/17/2013	2013	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	2/25/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Violation/Reminder Notice	7/9/2013	2013	AZ
St Violation/Reminder Notice	7/29/2013	2013	AZ
St Compliance achieved	8/15/2013	2013	AZ
St Violation/Reminder Notice	8/22/2013	2013	AZ
St Violation/Reminder Notice	10/21/2013	2013	AZ
St Violation/Reminder Notice	10/31/2013	2013	AZ
St Violation/Reminder Notice	11/19/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Violation/Reminder Notice	12/23/2013	2013	AZ
St Compliance achieved	1/16/2013	2013	AZ
St Compliance achieved	1/16/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	1/3/2014	2014	AZ
St Violation/Reminder Notice	2/24/2014	2014	AZ
St Compliance achieved	11/29/2013	2013	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Violation/Reminder Notice	6/27/2014	2014	AZ
St Compliance achieved	8/4/2014	2014	AZ

AZ0413348	4454	SIA
AZ0413348	4455	SIA
AZ0413351	1000	SOX
AZ0413351	944	SOX
AZ0413351	945	SOX
AZ0413351	946	SIA
AZ0413351	947	SOX
AZ0413351	948	SIA
AZ0413351	950	SIA
AZ0413351	951	SIA
AZ0413351	953	SIA
AZ0413351	954	SIA
AZ0413351	956	SIA
AZ0413351	957	SOX
AZ0413351	958	SOX
AZ0413351	959	SOX
AZ0413351	960	SIA
AZ0413351	963	SIA
AZ0413351	964	SOX
AZ0413351	965	SFJ
AZ0413351	967	SIA
AZ0413351	969	SIA
AZ0413351	971	SIA
AZ0413351	972	SOX
AZ0413351	973	SOX
AZ0413351	974	SIA
AZ0413351	976	SIA
AZ0413351	979	SFL
AZ0413351	981	SIA
AZ0413351	983	SIA
AZ0413351	985	SIA
AZ0413351	986	SIA
AZ0413351	987	SOX
AZ0413351	988	SIA
AZ0413351	989	SIA
AZ0413351	991	SOX
AZ0413351	994	SIA
AZ0413351	996	SOX
AZ0413351	997	SOX
AZ0413351	998	SOX
AZ0413351	999	SOX
AZ0413357	805	SIA
AZ0413357	806	SIA
AZ0413357	807	SIA
AZ0413357	808	SIA
AZ0413357	809	SIA
AZ0413357	810	SIA

St Violation/Reminder Notice	8/1/2014	2014	AZ
St Violation/Reminder Notice	8/1/2014	2014	AZ
St Compliance achieved	2/27/2014	2014	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	4/17/2008	2008	AZ
St Compliance achieved	7/11/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Violation/Reminder Notice	7/14/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Compliance achieved	7/27/2007	2007	AZ
St Compliance achieved	9/30/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	10/30/2008	2008	AZ
St Formal NOV issued	12/30/2008	2008	AZ
St Violation/Reminder Notice	2/12/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Violation/Reminder Notice	7/31/2009	2009	AZ
St Compliance achieved	8/3/2009	2009	AZ
St Compliance achieved	11/4/2009	2009	AZ
St Violation/Reminder Notice	11/10/2009	2009	AZ
St Violation/Reminder Notice	5/13/2010	2010	AZ
St AO (w/o penalty) issued	8/5/2010	2010	AZ
St Violation/Reminder Notice	11/10/2010	2010	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Violation/Reminder Notice	9/19/2011	2011	AZ
St Violation/Reminder Notice	11/4/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	3/2/2012	2012	AZ
St Violation/Reminder Notice	4/30/2012	2012	AZ
St Compliance achieved	6/13/2012	2012	AZ
St Violation/Reminder Notice	5/21/2013	2013	AZ
St Compliance achieved	3/5/2013	2013	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ

AZ0413357	811	SIA
AZ0413357	812	SIA
AZ0413357	813	SIA
AZ0413357	815	SIA
AZ0413357	817	SIA
AZ0413357	819	SOX
AZ0413357	820	SOX
AZ0413357	821	SOX
AZ0413357	822	SOX
AZ0413357	823	SOX
AZ0413357	824	SIA
AZ0413357	825	SIA
AZ0413357	827	SOX
AZ0413357	831	SOX
AZ0413357	832	SIA
AZ0413357	833	SIA
AZ0413357	834	SOX
AZ0413359	430	SOX
AZ0413359	431	SOX
AZ0413359	432	SIA
AZ0413359	434	SIA
AZ0413359	435	SOX
AZ0413359	436	SOX
AZ0413360	10	SIA
AZ0413360	11	SIA
AZ0413360	12	SIA
AZ0413360	13	SIA
AZ0413360	14	SIA
AZ0413360	15	SIA
AZ0413360	16	SIA
AZ0413360	17	SO0
AZ0413360	18	SIA
AZ0413360	19	SIA
AZ0413360	20	SIA
AZ0413360	21	SIA
AZ0413360	22	SIA
AZ0413360	23	SIA
AZ0413360	24	SO0
AZ0413360	4	SOX
AZ0413360	5	SOX
AZ0413360	6	SOX
AZ0413360	7	SIA
AZ0413360	8	SIA
AZ0413360	9	SIA
AZ0413361	520	SOX
AZ0413361	521	SOX
AZ0413361	522	SOX

St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Violation/Reminder Notice	6/30/2010	2010	AZ
St Violation/Reminder Notice	9/5/2008	2008	AZ
St Violation/Reminder Notice	9/30/2010	2010	AZ
St Compliance achieved	10/18/2010	2010	AZ
St Compliance achieved	10/25/2010	2010	AZ
St Compliance achieved	11/29/2010	2010	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	8/15/2011	2011	AZ
St Violation/Reminder Notice	8/5/2011	2011	AZ
St Violation/Reminder Notice	9/6/2011	2011	AZ
St Compliance achieved	11/15/2011	2011	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Compliance achieved	2/28/2011	2011	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Compliance achieved	3/5/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	4/1/2011	2011	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	3/30/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	6/30/2009	2009	AZ
St Violation/Reminder Notice	7/28/2009	2009	AZ
State No Longer Subject to Rule	1/12/2010	2010	AZ
St Violation/Reminder Notice	8/27/2009	2009	AZ
St Violation/Reminder Notice	10/1/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
State No Longer Subject to Rule	12/31/2009	2009	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Violation/Reminder Notice	12/31/2008	2008	AZ
St Violation/Reminder Notice	1/28/2009	2009	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/15/2008	2008	AZ

AZ0413361	524	SIA
AZ0413361	525	SOX
AZ0413363	1819	SOX
AZ0413363	1820	SOX
AZ0413363	1822	SOX
AZ0413363	1823	SIA
AZ0413363	1824	SIA
AZ0413363	1825	SIA
AZ0413363	1826	SFJ
AZ0413369	614	SIA
AZ0413369	615	SIA
AZ0413369	616	SIA
AZ0413369	617	SIA
AZ0413369	618	SIA
AZ0413369	619	SIA
AZ0413369	620	SOX
AZ0413369	621	SIA
AZ0413369	622	SOX
AZ0413370	917	SOX
AZ0413370	918	SOX
AZ0413370	919	SIA
AZ0413370	920	SOX
AZ0413370	921	SIA
AZ0413370	922	SIA
AZ0413370	924	SIA
AZ0413370	925	SIA
AZ0413370	926	SOX
AZ0413370	927	SIA
AZ0413370	928	SIA
AZ0413370	929	SOX
AZ0413370	930	SOX
AZ0413370	931	SOX
AZ0413370	932	SOX
AZ0413373	3343	SOX
AZ0413373	3344	SOX
AZ0413373	3345	SOX
AZ0413373	3346	SOX
AZ0413373	3347	SOX
AZ0413373	3348	SIA
AZ0413373	3349	SOX
AZ0413373	3351	SOX
AZ0413373	3356	SOX
AZ0413373	3357	SOX
AZ0413373	3358	SOX
AZ0413378	1214	SIA
AZ0413378	1215	SOX
AZ0413378	1216	SOX

St Violation/Reminder Notice	10/1/2009	2009	AZ
St Compliance achieved	4/12/2011	2011	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	3/18/2013	2013	AZ
St Violation/Reminder Notice	10/2/2013	2013	AZ
St Violation/Reminder Notice	12/2/2013	2013	AZ
St Formal NOV issued	7/10/2014	2014	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	1/28/2010	2010	AZ
St Violation/Reminder Notice	3/30/2010	2010	AZ
St Violation/Reminder Notice	2/26/2010	2010	AZ
St Compliance achieved	10/20/2010	2010	AZ
St Violation/Reminder Notice	7/16/2012	2012	AZ
St Compliance achieved	11/16/2012	2012	AZ
St Compliance achieved	5/7/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	9/27/2007	2007	AZ
St Violation/Reminder Notice	7/16/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Violation/Reminder Notice	10/20/2008	2008	AZ
St Compliance achieved	11/3/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Violation/Reminder Notice	10/31/2008	2008	AZ
St Compliance achieved	11/24/2008	2008	AZ
St Compliance achieved	7/18/2011	2011	AZ
St Compliance achieved	7/18/2011	2011	AZ
St Compliance achieved	4/28/2008	2008	AZ
St Compliance achieved	4/9/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ
St Compliance achieved	1/10/2008	2008	AZ
St Compliance achieved	7/24/2008	2008	AZ
St Compliance achieved	7/16/2009	2009	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/28/2013	2013	AZ
St Compliance achieved	5/13/2013	2013	AZ
St Compliance achieved	9/1/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	8/26/2011	2011	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	3/25/2007	2007	AZ

AZ0413378	1217	SIA
AZ0413378	1218	SOX
AZ0413378	1219	SOX
AZ0413378	1220	SOX
AZ0413378	1221	SOX
AZ0413378	1222	SIA
AZ0413378	1223	SOX
AZ0413378	1224	SIA
AZ0413378	1225	SIA
AZ0413378	1226	SOX
AZ0413378	1227	SOX
AZ0413378	1228	SOX
AZ0413378	1229	SIA
AZ0413378	1230	SOX
AZ0413378	1231	SIA
AZ0413378	1232	SIA
AZ0413378	1233	SIA
AZ0413378	1234	SIA
AZ0413378	1235	SOX
AZ0413378	1236	SIA
AZ0413378	1237	SOX
AZ0413378	1238	SIA
AZ0413378	1239	SOX
AZ0413380	2965	SOX
AZ0413380	2967	SIA
AZ0413380	2968	SOX
AZ0413386	1771	SOX
AZ0413386	1772	SIA
AZ0413386	1773	SIA
AZ0413386	1774	SOX
AZ0413389	924	SOX
AZ0413389	925	SIA
AZ0413389	926	SOX
AZ0413389	927	SOX
AZ0413389	928	SIA
AZ0413389	929	SOX
AZ0413389	931	SOX
AZ0413389	932	SIA
AZ0413389	934	SIA
AZ0413389	935	SIA
AZ0413389	936	SIA
AZ0413389	937	SOX
AZ0413389	938	SFJ
AZ0413389	939	SOX
AZ0413389	940	SOX
AZ0413389	941	SIA
AZ0413389	942	SOX

St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	8/14/2007	2007	AZ
St Compliance achieved	1/14/2008	2008	AZ
St Violation/Reminder Notice	5/19/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	2/11/2009	2009	AZ
St Violation/Reminder Notice	5/5/2009	2009	AZ
St Compliance achieved	11/23/2009	2009	AZ
St Compliance achieved	7/20/2011	2011	AZ
St Compliance achieved	7/12/2012	2012	AZ
St Violation/Reminder Notice	12/3/2012	2012	AZ
St Compliance achieved	12/11/2012	2012	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/12/2013	2013	AZ
St Violation/Reminder Notice	9/13/2013	2013	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/26/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/2/2014	2014	AZ
St Violation/Reminder Notice	3/28/2014	2014	AZ
St Compliance achieved	7/2/2014	2014	AZ
St Compliance achieved	3/13/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/27/2010	2010	AZ
St Compliance achieved	12/8/2010	2010	AZ
St Violation/Reminder Notice	12/1/2010	2010	AZ
St Violation/Reminder Notice	10/4/2013	2013	AZ
St Compliance achieved	11/5/2013	2013	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	8/2/2007	2007	AZ
St Compliance achieved	2/15/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Compliance achieved	3/18/2009	2009	AZ
St Violation/Reminder Notice	3/3/2009	2009	AZ
St Violation/Reminder Notice	5/28/2009	2009	AZ
St Violation/Reminder Notice	11/30/2009	2009	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	5/20/2010	2010	AZ
St Formal NOV issued	7/7/2010	2010	AZ
St Compliance achieved	8/12/2010	2010	AZ
St Compliance achieved	11/15/2010	2010	AZ
St Violation/Reminder Notice	12/29/2010	2010	AZ
St Compliance achieved	3/28/2011	2011	AZ

AZ0413389	943	SIA
AZ0413389	945	SIA
AZ0413389	946	SOX
AZ0413389	947	SOX
AZ0413389	948	SIA
AZ0413389	949	SIA
AZ0413389	950	SOX
AZ0413389	952	SOX
AZ0413389	953	SIA
AZ0413389	954	SIA
AZ0413389	955	SOX
AZ0413389	956	SOX
AZ0413389	957	SIA
AZ0413389	958	SOX
AZ0413389	959	SIA
AZ0413389	960	SOX
AZ0413391	521	SOX
AZ0413391	522	SOX
AZ0413391	523	SIA
AZ0413391	524	SOX
AZ0413391	525	SOX
AZ0413391	527	SOX
AZ0413391	528	SFJ
AZ0413391	530	SFL
AZ0413391	532	SOX
AZ0413391	533	SOX
AZ0413391	534	SOX
AZ0413391	535	SOX
AZ0413391	536	SIA
AZ0413391	537	SOX
AZ0413391	538	SOX
AZ0413391	539	SIA
AZ0413391	540	SIA
AZ0413391	541	SIA
AZ0413391	542	SIA
AZ0413391	543	SIA
AZ0413391	544	SIA
AZ0413391	545	SIA
AZ0413391	546	SIA
AZ0413391	547	SIA
AZ0413391	548	SIA
AZ0413391	549	SIA
AZ0413391	550	SIA
AZ0413391	551	SIA
AZ0413391	552	SIA
AZ0413391	553	SIA
AZ0413391	554	SIA

St Violation/Reminder Notice	3/11/2011	2011	AZ
St Violation/Reminder Notice	4/27/2011	2011	AZ
St Compliance achieved	6/9/2011	2011	AZ
St Compliance achieved	10/18/2011	2011	AZ
St Violation/Reminder Notice	10/11/2011	2011	AZ
St Violation/Reminder Notice	10/31/2011	2011	AZ
St Compliance achieved	11/28/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	8/30/2012	2012	AZ
St Compliance achieved	3/21/2011	2011	AZ
St Compliance achieved	10/2/2012	2012	AZ
St Violation/Reminder Notice	2/28/2013	2013	AZ
St Compliance achieved	9/23/2013	2013	AZ
St Violation/Reminder Notice	3/27/2014	2014	AZ
St Compliance achieved	4/3/2014	2014	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	6/4/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	6/25/2007	2007	AZ
St Compliance achieved	2/6/2008	2008	AZ
St Compliance achieved	4/17/2008	2008	AZ
St Formal NOV issued	8/26/2008	2008	AZ
St AO (w/o penalty) issued	6/4/2009	2009	AZ
St Compliance achieved	3/18/2011	2011	AZ
St Compliance achieved	4/6/2012	2012	AZ
St Compliance achieved	2/19/2008	2008	AZ
St Compliance achieved	2/14/2013	2013	AZ
St Violation/Reminder Notice	4/23/2013	2013	AZ
St Compliance achieved	9/13/2013	2013	AZ
St Compliance achieved	12/16/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ

AZ0413391	555	SIA
AZ0413391	556	SIA
AZ0413391	557	SIA
AZ0413391	558	SIA
AZ0413391	559	SIA
AZ0413391	560	SOX
AZ0413391	561	SIA
AZ0413391	562	SOX
AZ0413391	563	SOX
AZ0413393	10	SOX
AZ0413393	11	SOX
AZ0413393	12	SIA
AZ0413393	13	SIA
AZ0413393	14	SOX
AZ0413393	6	SOX
AZ0413393	7	SOX
AZ0413393	9	SIA
AZ0413396	10	SIA
AZ0413396	11	SOX
AZ0413396	12	SIA
AZ0413396	13	SIA
AZ0413396	14	SOX
AZ0413396	15	SIA
AZ0413396	9	SOX
AZ0413403	403	SO0
AZ0413403	404	SO0
AZ0413405	610	SIA
AZ0413405	611	SOX
AZ0413406	745	SIA
AZ0413406	746	SOX
AZ0413406	747	SOX
AZ0413406	749	SIA
AZ0413406	750	SOX
AZ0413406	751	SIA
AZ0413406	752	SOX
AZ0413406	753	SIA
AZ0413406	755	SOX
AZ0413406	757	SOX
AZ0413406	758	SIA
AZ0413406	759	SIA
AZ0413406	760	SOX
AZ0413406	761	SIA
AZ0413408	3275	SIA
AZ0413408	3276	SOX
AZ0413408	3277	SIA
AZ0413408	3278	SIA
AZ0413408	3279	SOX

St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	11/25/2013	2013	AZ
St Violation/Reminder Notice	12/9/2013	2013	AZ
St Compliance achieved	1/13/2014	2014	AZ
St Violation/Reminder Notice	1/8/2014	2014	AZ
St Compliance achieved	12/4/2013	2013	AZ
St Compliance achieved	1/7/2014	2014	AZ
St Compliance achieved	10/23/2009	2009	AZ
St Compliance achieved	4/26/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Violation/Reminder Notice	7/26/2010	2010	AZ
St Compliance achieved	8/24/2010	2010	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Compliance achieved	3/27/2008	2008	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Violation/Reminder Notice	3/11/2011	2011	AZ
St Compliance achieved	4/20/2011	2011	AZ
St Violation/Reminder Notice	10/3/2008	2008	AZ
St Compliance achieved	5/25/2007	2007	AZ
State No Longer Subject to Rule	8/2/2007	2007	AZ
State No Longer Subject to Rule	6/11/2012	2012	AZ
St Violation/Reminder Notice	2/25/2011	2011	AZ
St Compliance achieved	2/3/2012	2012	AZ
St Violation/Reminder Notice	2/1/2008	2008	AZ
St Compliance achieved	5/12/2008	2008	AZ
St Compliance achieved	8/15/2008	2008	AZ
St Violation/Reminder Notice	8/27/2008	2008	AZ
St Compliance achieved	1/22/2009	2009	AZ
St Violation/Reminder Notice	10/29/2009	2009	AZ
St Compliance achieved	4/9/2010	2010	AZ
St Violation/Reminder Notice	3/2/2010	2010	AZ
St Compliance achieved	3/11/2011	2011	AZ
St Compliance achieved	3/23/2012	2012	AZ
St Violation/Reminder Notice	2/10/2012	2012	AZ
St Violation/Reminder Notice	1/25/2013	2013	AZ
St Compliance achieved	2/20/2013	2013	AZ
St Violation/Reminder Notice	8/29/2013	2013	AZ
St Violation/Reminder Notice	5/17/2007	2007	AZ
St Compliance achieved	5/25/2007	2007	AZ
St Violation/Reminder Notice	6/20/2007	2007	AZ
St Violation/Reminder Notice	6/26/2007	2007	AZ
St Compliance achieved	7/5/2007	2007	AZ