State Review Framework (SRF) Definition of Terms

Responsible Agency: The agency that administers the program. Typically states, but is EPA where EPA directly implements the program.

Elements (Round 4):

- **Element 1** – Data: Completeness, accuracy, and timeliness of data entry into national data systems
- **Element 2** – Inspections: Meeting inspection and coverage commitments, inspection report quality, and report timeliness
- **Element 3** – Violations: Identification of violations, determination of significant noncompliance (SNC) for the CWA and RCRA programs and high priority violators (HPV) for the CAA program, and accuracy of compliance determinations
- **Element 4** – Enforcement: Timeliness and appropriateness, returning facilities to compliance
- **Element 5** – Penalties: Calculation including gravity and economic benefit components, assessment, and collection

Finding Level: A performance determination based on a single, or specific set, of metric values.

- **Meets or Exceeds Expectations:** The base level of performance is met and no deficiency is identified, or a state performs above national program expectations.
- **Area for State Attention:** An activity, process, or policy that one or more SRF metrics show as a minor problem. Where appropriate, the state should correct the issue without additional EPA oversight. EPA may make recommendations to improve performance, but it will not monitor these recommendations for completion between SRF reviews.
- **Area for State Improvement:** An activity, process, or policy that one or more SRF metrics under a specific element show as a significant problem that the agency is required to address. Recommended activities to correct the issues should be included in the report and must have well-defined timelines and milestones for completion, and, if possible, should address root causes. EPA will monitor recommendations for completion between SRF reviews.

Recommendation Status:

- **On-going:** The recommendation is in progress, it is expected to be completed, and it is on schedule to meet the agreed upon completion date
- **Completed:** The requirements of the recommendation have been met and all documentation, if appropriate, has been provided to verify implementation
- **Overdue:** The recommendation has not been completed by the designated due date