U.S. ENVIRONMENTAL PROTECTION AGENCY

Assistance Amendment

GRANT NUMBER (FAIN): 00901315 MODIFICATION NUMBER: 1 PROGRAM CODE: A	DATE OF AWARD 08/13/2015
TYPE OF ACTION Augmentation: Increase	MAILING DATE 08/20/2015
PAYMENT METHOD: ACH	ACH# 90245

RECIPIENT TYPE:
State

Send Payment Request to:
Las Vegas Finance Center email:
lvfc-grants@epa.gov or Fax (702) 798-2423

RECIPIENT:
Air Resources Board
1001 I Street
PO Box 2815
Sacramento, CA 95812
EIN: 68-0288069

PAYEE:
Air Resources Board
1001 I Street
PO Box 2815
Sacramento, CA 95812

PROJECT MANAGER	EPA PROJECT OFFICER	EPA GRANT SPECIALIST
Brandy Hunt 1001 I Street PO Box 2815 Sacramento, CA 95812 **E-Mail:** brandy.hunt@arb.ca.gov **Phone:** 916-445-4845	Gary Lance 75 Hawthorne Street, AIR-8 San Francisco, CA 94105 **E-Mail:** lance.gary@epa.gov **Phone:** 415-972-3992	Alba Espitia Grants Management Office, MTS-7 **E-Mail:** espitia.alba@epa.gov **Phone:** 415-972-3667

PROJECT TITLE AND EXPLANATION OF CHANGES
FY15/16 - AIR POLLUTION CONTROL PROGRAM

The purpose of this program is to provide continuing support for activities which include strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air and emissions, rule making, operating permits and all other program related activities. This award includes funding for approved Mexican Border activities. In addition, funding for a conservation tillage project which promotes methods to reduce particulate matter emissions is included. This award will also fund the purchase of National Air Toxics Trends Monitoring Network (NATTS) performance samples. This program will protect and improve the air quality in the state of California and reduce the risks to human health and the environment.
This amendment hereby adds the last federal funding amount of $5,216,980. The total revised federal approved amount is $6,700,167. This amendment also extends the budget and project period end dates to September 30, 2016.

The Federal share of this amendment reflects the amount of the rescission required by Public Law 113-235.

BUDGET PERIOD	PROJECT PERIOD	TOTAL BUDGET PERIOD COST	TOTAL PROJECT PERIOD COST
10/01/2014 - 09/30/2016	10/01/2014 - 09/30/2016	$27,215,667.00	$27,215,667.00

NOTICE OF AWARD

Based on your Application dated 07/18/2014 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards $5,216,980. EPA agrees to cost-share 24.62% of all approved budget period costs incurred, up to and not exceeding total federal funding of $6,700,167. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.

ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)	AWARD APPROVAL OFFICE
ORGANIZATION / ADDRESS	ORGANIZATION / ADDRESS
U.S. EPA, Region 9 Grants Management Office, MTS-7 75 Hawthorne Street San Francisco, CA 94105	U.S. EPA, Region 9 Air Division, AIR-1 75 Hawthorne Street San Francisco, CA 94105

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

Digital signature applied by EPA Award Official Sara L. Russell - Grants Management Officer	DATE 08/13/2015

EPA Funding Information

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	$ 1,480,187	$ 5,216,980	$ 6,697,167
EPA In-Kind Amount	$ 3,000	$	$ 3,000
Unexpended Prior Year Balance	$ 0	$	$ 0
Other Federal Funds	$ 0	$	$ 0
Recipient Contribution	$ 20,515,500	$	$ 20,515,500
State Contribution	$ 0	$	$ 0
Local Contribution	$ 0	$	$ 0
Other Contribution	$ 0	$	$ 0
Allowable Project Cost	$ 21,998,687	$ 5,216,980	$ 27,215,667

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.001 - Air Pollution Control Program Support	Clean Air Act: Sec. 105	2 CFR 200 2 CFR 1500 40 CFR 33 and 40 CFR 35 Subpart A

Fiscal

Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
FY15	1509M5S039	15	E1	09M2	102A04	4112	-	-	5,216,980
									5,216,980

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	$16,120,578
2. Fringe Benefits	$7,175,268
3. Travel	$311,990
4. Equipment	$0
5. Supplies	$390,850
6. Contractual	$873,187
7. Construction	$0
8. Other	$1,986,959
9. Total Direct Charges	$26,858,832
10. Indirect Costs: % Base See IC condition	$356,835
11. Total (Share: Recipient 75.38 % Federal 24.62 %.)	$27,215,667
12. Total Approved Assistance Amount	$6,700,167
13. Program Income	$0
14. Total EPA Amount Awarded This Action	$5,216,980
15. Total EPA Amount Awarded To Date	$6,700,167

Detailed Table B Budget Page: 1

Table B - Program Element Classification (Non-construction)	Total Approved Allowable Budget Period Cost
1.	$
2. Indirect costs authorized in this assistance agreement are budgeted at a rate less than the maximum rate authorized in the Negotiated Indirect Cost Agreement.	$
3.	$
4.	$
5. There are no non-federal non-recurrent expenditures identified in the budget.	$
6.	$
7.	$
8. The cumulative amount of $3,000 for EPA InKind services is reflected under the "Other" object class category of Table A.	$
9.	$
10.	$
11. Total (Share: Recip % Fed %)	$
12. Total Approved Assistance Amount	$

Administrative Conditions

The recipient agrees to comply with the current EPA general terms and conditions available at: http://www.epa.gov/ogd/tc/general_tc_applicable_aa_recipients_dec_26_2014.pdf. These terms and conditions are in addition to the assurances and certifications made as part of the award and the terms, conditions or restrictions cited below. The EPA repository for the general terms and conditions by year can be found at: http://www.epa.gov/ogd/tc.htm.

Condition numbered E on your initial assistance agreement has been revised. All other conditions remain in full force and effect.

E. General Compliance, 40 CFR Part 33, Subpart E – Reporting Condition
MBE/WBE reporting is required annually. Reporting is required for assistance agreements where there are funds budgeted for procuring construction, equipment, services and supplies, including funds budgeted for direct procurement by the recipient or procurement under subawards or loans in the "Other" category, that exceed the threshold amount of $150,000, including amendments and/or modifications.

Based on EPA's review of the planned budget, this award meets the conditions above and is subject to Disadvantaged Business Enterprise (DBE) Program reporting requirements. Conversely, the recipient must submit to the GrantsRegion9@epa.gov a justification and budget detail within 21 days of the award date demonstrating that this award is not subject to the DBE reporting requirements.

The recipient agrees to complete and submit a "MBE/WBE Utilization under Federal Grants, Cooperative agreements" report (EPA Form 5700-52A) on an annual basis. All procurement actions that are reportable, not just that portion which exceeds $150,000.

When completing the annual report, recipients are instructed to check the box titled "annual:" in section 1B of the form. For the final report, recipients must check the box indicated for the "last report" of the project in section 1B of the form. Annual reports are due by October 30th of each year. Final reports are due by October 30th or 90 days after the end of the project period, whichever comes first.

The reporting requirement is based on total procurements. Recipients with expended and/or budgeted funds for procurement are required to report annually whether the planned procurements take place during the reporting period or not. If no budgeted procurements take place during the reporting period, the recipient should check the box in section 5B when completing the form.

MBE/WBE reports should be sent to GrantsRegion9@epa.gov . The current EPA Form 5700-52A can be found at the EPA Office of Small Business Program's Home Page at http://www.epa.gov/osbp/dbe_reporting.htm .

This provision represents an approved deviation from the MBE/WBE reporting requirements as described in 40 CFR Part 33, Section 33.502; however, the other requirements outlined in 40 CFR Part 33 remain in effect, including the Good Faith Effort requirements as described in 40 CFR Part 33, Subpart C, and Fair Share Objectives negotiation as described in 40 CFR Part 33, Subpart D.

Programmatic Conditions

Programmatic condition numbered b. has been revised. All other conditions remain in full forced and effect.

b. This grant includes the performance of environmental measurements; therefore a Quality Management Plan (QMP) and Quality Assurance Project Plans (QAPPs) are required. A QMP for the California Air Resources Board (CARB) was conditionally approved on July 29, 2013. Final approval of the QMP is pending completion of the local agency "Roles and Responsibilities" documents which is scheduled to be completed by May 2015. A comprehensive QAPP was approved by CARB and EPA in 2007. Updates to this plan are scheduled to be completed in the following phases: particulate pollutants – December 2015; gaseous pollutants – December 2016; and meteorological parameters and toxics pollutants – December 2017. CARB has also completed the document repository which highlights the quality management documents currently being utilized by all agencies within the CARB PQAO. Standard Operating Procedures which support the agency QAPPs are updated as needed and are reflected in the document repository. Any updated documents will be approved by CARB and EPA as needed. Measurement activity may proceed under the existing CARB QA documents while the revised documents are being prepared.

END-OF-DOCUMENT