# 2014 SLAB EXPORT REPORT FOR U.S. EPA

February 26, 2015

**VIA Certified U.S. Mail**

Office of Enforcement and Compliance Assurance
Office of Federal Activities, International Compliance Assurance Division (2254A)
Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Re: 2014 SLAB Export Report
Johnson Controls Battery Group, Inc.

Dear Sir or Madam:

Johnson Controls Battery Group, Inc. ("JCBGI") is submitting this annual report to the U.S. Environmental Protection Agency ("U.S. EPA"), pursuant to 40 C.F.R. Sections 262.56, 262.87(a) and 266.80, to provide a summary of the total number of shipments and volume of spent lead-acid batteries ("SLABs") exported by JCBGI to Mexico and Canada during the previous calendar. This annual report covers JCBGI's SLAB export activities only from January 1, 2014 to December 31, 2014. Summaries of SLABs exported by JCBGI to Mexico and Canada are included in the sections below.

The following information applies to all SLABs exported to Mexico and Canada.

| | |
|---|---|
| Exporter Mailing Address | Johnson Controls Battery Group, Inc.<br>5757 N. Green Bay Avenue<br>Glendale, WI 53209 |
| Calendar Year Covered by the Report | 2014 |
| Description of the Hazardous Waste | Spent Lead-Acid Batteries (whole, intact) |
| U.S. EPA and DOT Hazardous Waste Numbers and Class | Spent lead acid batteries, whole, D008, D002, waste batteries, spillable, wet, filled with acid, UN2794, Class 8, PGIII |
| Designation of Waste Type and Applicable OECD Waste Codes | AA170 (lead batteries) |

## I. MEXICO

| | |
|---|---|
| Exporter Site Addresses and U.S. EPA Identification Number(s) | Yuma Distribution Center<br>3740 South Arizona Avenue<br>Yuma, AZ 85365<br>ID#AZR000502476 |



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SDM 2/27/2015

| | |
|---|---|
| | San Antonio Distribution Center,<br>12915 Wetmore Road<br>San Antonio, TX 78247<br>ID#TXR000064527<br><br>Johnson Controls Battery Plant - Canby<br>800 N.W. 3rd Street<br>Canby, OR 97013<br>ID#ORD010746402<br><br>Johnson Controls – St. Joe Distribution Center<br>2320 Lower Lake Road<br>St. Joseph, MO 64504<br>ID#MOR000504613 |

### *A. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores*

| | |
|---|---|
| Name and Site Address of Consignee/Final Recovery Facility | Enertec Exports S. de R.L. de C.V.<br>Planta Cienega de Flores, 19-12-PS-V-07-94,<br>Carretera Monterrey-Nuevo Laredo km. 11.5,<br>Cienega de Flores, Nuevo Leon CP 65550 Mexico |
| Name of Transporters and U.S. EPA Identification Numbers (where applicable) | See Attached list |
| Total Number of Shipments and Volume | **Notification #006638/3E/13 (San Antonio to Cienega):**<br><br>Volume – 57,199 MT<br><br>Number of Shipments 2,860<br><br>**Notification #009922/6E/14 (San Antonio to Cienega)**<br><br>Volume – 36,717 MT<br><br>Number of Shipments – 1,836 |

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|---|---|
| | **Notification #007812/8E/13 (Yuma to Cienega):**<br>Volume – 44,983 MT<br>Number of Shipments – 2,249<br>**Notification #010592/10E/14 (Yuma to Cienega):**<br>Volume – 10,272 MT<br>Number of Shipments – 514<br>Total Volume – 149,171 MT<br>Total Shipments – 7,459 |

***B. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Garcia***

| | |
|---|---|
| Name and Site Address of Consignee/Final Recovery Facility | Enertec Exports S. de R.L. de C.V. Planta Garcia, 19-IV-38-10, Carretera a García KM 9.5 Garcia Nuevo León Mexico |
| Name of Transporters and U.S. EPA Identification Numbers (where applicable) | See Attached List |
| Total Number of Shipments and Volume | **Notification #007146?5E/13 (San Antonio to Garcia):**<br>Volume – 40,480 MT<br>Number of Shipments – 2,024<br>**Notification #007146/5E/13A-1 (San Antonio to Garcia)**<br>Volume – 32,503 MT<br>Number of Shipments- 1,625<br>**Notification #009920/6E/14 (San Antonio to Garcia):**<br>Total Volume – 50,690 MT<br>Total Shipments – 2,535 |



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|---|---|
| | **Notification #007149/5E/13 (Yuma to Garcia):**<br>Total Volume – 1,286 MT<br>Total Shipments – 64<br>**Notification #00008765/1E/14 (Yuma-Garcia)**<br>Total Volume – 7,550 MT<br>Total Shipments - 378<br>**Notification #007655/7E/13 (Portland to Garcia):**<br>Total Volume – 27,827 MT<br>Total Shipments – 1,391<br>**Notification #008284/11E/14 (St. Joe – Garcia)**<br>Total Volume – 0 MT<br>Total Shipments – 0<br>Total Garcia Volume – 160,336 MT<br>Total Garcia Shipments – 8,017 |
| | Total Mexico Volume – 309,507 MT<br>Total Mexico Shipment – 15,475 |

## II. CANADA

| | |
|---|---|
| Exporter Site Addresses and U.S. EPA Identification Number(s) (where applicable) | Johnson Controls Battery Group Inc.<br>50 Patriot Drive<br>Middletown, DE 19709<br><br>Johnson Controls Battery Group Inc.<br>4432 Ardmore Avenue<br>Fort Wayne, IN 46809-9793<br><br>J. Lestician Warehouse<br>32 Plum Street<br>Monroe, NJ USA 08638<br>ID#: NJR4000071530 |



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|---|---|
| | Johnson Controls Battery Group, Inc.<br>800 NW 3rd Avenue<br>Canby, OR 97013<br>ID#: ORD010746402<br><br>Johnson Controls Battery Group Inc.<br>180 W. Hawthorne Lane<br>West Chicago, IL |

### *A. Consignee/Final Recovery Facility - La Corporation Newalta*

| | |
|---|---|
| Name and Site Address of Consignee/Final Recovery Facility | La Corporation Newalta,<br>1200 Garnier<br>ville Ste-Chatherine (Quebec), Canada J5C 1B4 |
| Name of Transporters and U.S. EPA Identification Numbers (where applicable) | *See* attached lists of transporters. |
| *Total Number of Shipments and Volume* | **Permits; #008562/11E/13, #008561/11#/13**<br><br>Volume – 9,429 MT<br><br>Number of Shipments – 499 |
| | |

### *B. Consignee/Final Recovery Facility - Tonolli Canada Ltd.*

| | |
|---|---|
| Name and Site Address of Consignee/Final Recovery Facility | Tonolli Canada Ltd.<br>1333 Tonolli Road<br>Mississauga, ON L4Y 4C2, Canada |
| Name of Transporters and U.S. EPA Identification Numbers (where applicable) | *See* attached list of transporters. |
| *Total Number of Shipments and Volume* | **Permits; #009724/5E/14, #009723/5E/14**<br><br>Volume – 0 MT<br><br>Number of Shipments - 0 |

### *C. Consignee/Final Recovery Facility – Teck Metals, Inc.*

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|---|---|
| Name and Site Address of Consignee/Final Recovery Facility | Teck Metals, Ltd.<br>9450 Highway 22A<br>P.O. Box 398<br>Trail, British Columbia |



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|---|---|
| | Canada V1R 47 |
| Name of Transporters and U.S. EPA Identification Numbers (where applicable) | *See* attached list of transporters. |
| *Total Number of Shipments and Volume* | **Permits; #008797/1E/14 (Canby, OR to KC Recycling)**:<br><br>Volume – 8,464 MT<br><br>Number of Shipments – 823 |

**Certification**

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment.

If you have any questions regarding the contents of this annual report, please contact me at (414) 524-2597.

Sincerely,

JMarquardt

Joe Marquardt
Environmental Relations Manager
Johnson Controls Battery Group, Inc.

**Box 4 – Authorized Carrier List**

| | |
|---|---|
| **Name:** | **L.S. McLellan Trucking Ltd.** |
| Address: | 1901 Theatre Road<br>Cranbrook, BC V1C 7G3 |
| Mode of Transport: | Truck |
| License#: | LT1090 |
| Phone: | 877-717-2988 |
| Fax: | 888-420-1166 |
| Email: | dispatch@lsmclellantrucking.com and/or billing@lsmclellantrucking.com |
| Contact: | Brian Marriott |
| Insurance Co.: | Aviva Insurance Company of Canada |
| Policy#: | CMP 81927700 |

| | |
|---|---|
| **Name:** | **Berry and Smith Trucking Ltd.** |
| Address: | 301 Warren Avenue East<br>Penticton, BC V2A 3M1 |
| Mode of Transport: | Truck |
| License#: | LT0124 |
| Phone: | 800-663-2339 |
| Fax: | 250-492-7655 |
| Email: | markb@berryandsmith.com and/or pendispatch@berryandsmith.com |
| Contact: | Mark Berry |
| Insurance Co.: | Hub International Ltd. |
| Policy#: | CBC 1911776 |

| | |
|---|---|
| **Name:** | **Skyhawk Trucking Ltd.** |
| Address: | 304 Slater Road<br>Cranbrook, BC V1C 4K5 |
| Mode of Transport: | Truck |
| License#: | LT1163 |
| Phone: | 250-426-7268 |
| Fax: | 250-426-4787 |
| Email: | skyhawkcbk@shaw.ca |
| Contact: | Bob Hockley |
| Insurance Co.: | Westland Insurance |
| Policy#: | CATWL 11011 |

| | |
|---|---|
| **Name:** | **Dell Transport Ltd.** |
| Address: | 75 Old Mill Road<br>Castlegar, BC V1N 4T7 |
| Mode of Transport: | Truck |
| License#: | LT1219 |
| Phone: | 250-304-2290 |
| Fax: | 250-304-2298 |
| Email: | randyper@telus.net and/or delltran@telus.net |
| Contact: | Randy Perreault |
| Insurance Co.: | Capri Insurance |
| Policy#: | 5A1350074 |

| | |
|---|---|
| **Name:** | **KR Bowen Trucking** |
| | 4370 Turner Road SE |
| | Salem, OR 97317 |
| Mode of Transport: | Truck/OTR |
| License#: | MC469224/DOT1168577 |
| Phone: | 503-371-0075/541-791-8100 |
| Fax: | 503-371-2922/541-791-1907 |
| Email: | ckessloff@krbowentrucking.com/krbowentrucking@yahoo.com |
| Contact: | Chris Kessloff |
| Insurance Co.: | The Empire Company (Underwriter: Hudson) |
| Policy#: | BUI00133700 |

| | |
|---|---|
| **Name:** | **Pronto Distribution** |
| | 4250 NW Yeon Ave. |
| | Portland, OR 97210 |
| Mode of Transport: | Rail Transloader |
| Phone: | 503-222-1152 |
| Email: | prontodist@yahoo.com |
| Contact: | David Black |
| Insurance Co.: | Mutual of Enumclaw Insurance Company |
| Policy#: | BOP 0005367 02 |

| | |
|---|---|
| **Name:** | **BNSF Railway Company** |
| Address: | 2650 Lou Menk Drive |
| | Fort Worth, Texas, U.S.A. |
| | 76131-2830 |
| Mode of Transport: | Rail |
| License# | MND048341788 |
| Tel. No.: | (817) 878-2000 |
| Fax No.: | (817) 878-2020 |
| Email: | kevin.burrin@bnsf.com |
| Contact: | Kevin Burrin |
| Insurance: | Self Insured |

| | |
|---|---|
| **Name:** | **OmniTRAX, Inc.** |
| Address: | 252 Clayton Street, 4th Floor |
| | Denver, CO 80206 |
| Phone: | 303.398.4539 |
| Fax: | 866.753.0516 |
| Email: | jslagle@omnitrax.com |
| Contact: | Julie Slagle |
| Insurance Co: | Indian Harbor Insurance Co. (US) / XL Insurance Co. Ltd (CAN) |
| Policy#: | RRL003884901 (US) / RLC0038879 (CAN) |

| | |
|---|---|
| **Name:** | **Trimac Transportation Services Inc.** |
| Address: | 9595 Highway 22A<br>Trail, BC V1R 4W6 |
| Mode of Transport: | Truck |
| License#: | LT-0012 |
| Phone: | 250-367-7701 |
| Fax: | 250-367-6618 |
| Email: | tcampbell@trimac.com |
| Contact: | Tim Campbell |
| Insurance Co.: | Zurich Insurance Company Limited |
| Policy#: | 9999538 |

| | |
|---|---|
| **Name:** | **Direct General Partner Corporation** |
| Address: | Unit 101 – 10167 - 199B Street<br>Langley, BC V1M 3W9 |
| Mode of Transport: | Truck |
| License#: | LT1231 |
| Phone: | (204) 926-2552 |
| Fax: | (204) 947-3083 |
| Email: | eanderson@directrans.com |
| Contact: | Evelyne Anderson |
| Insurance Co.: | Manitoba Public Insurance Corporation |
| Policy#: | AM1000364339 |

JCBGI

SLAB Export Carrier List - Revised - June 6, 2013 Confirmed by Rafael Chavez on 6/19/13

| Type | Carrier | Contact | Phone Number | Email Address |
|---|---|---|---|---|
| Cross border Carrier | TPW Inc. | Olvido Garcia | (956) 722 4840 | olvido.garcia@ericher.com |
| US Rail Co | Union Pacific Rail Road | Israel Briseno | 52-818-368-7103 | ibriseno@up.com |
| US Rail Co | Kansas City Southern | Randy Pirkey | 903-656-6202 | pirkey@lonestarsteel.com |
| US Rail Co | BNSF Railway (Burlington Northern Santa Fe) | Jason McCullars | 817-230-2635 | jason.mccullars@am.jll.com |
| MX Carrier | Ferromex | Rolando Balderas | 52.818.130.6005 | rbalderas@ferromex.com.mx |
| MX Carrier | Kansas City Southern of Mexico | Adriana Covarrubias | 52.818.305.7900 x7019 | mcovarrubias@kcsouthern.com.mx |
| MX Carrier | Fletes Mexico Chihuahua | Sergio Acosta | (656)143 2940 | sacosta@fletes-mexico |
| MX Carrier | Trans Mex / Swift | Mario Jimenez | (956) 794 1126 | mario_jimenez@swifttrans.com |
| MX Carrier | Express Litoral | Cesar Aguirre | (826) 268-56-70 | cesaraguirre@expresslitoral.com.mx |
| MX Carrier | Sistemas de Apoyo Logistico al Comercio Internacional (SALCI) | Manuel Covarrubias | (871) 732 9220 | mcp@salci.com.mx |
| MX Carrier | Esquemas Logisticos (ELO) | Adrian Martinez | (81) 8239 0026 | adrian.martinez@elo.com.mx |
| MX Carrier | Sistemas de Transporte Integral (SITI) | Adolfo Vargas | (871) 7 29 15 00 | avargas@siti-logtistics.com.mx |
| MX Carrier | Transmontes | Noe Montes | (956) 712-4304 | noe.montes@tmlogistics.us |
| MX Carrier | Xpress Internacional | Rene Garza | (81) 736 8088 | rgarza@usxpress.com |
| MX Carrier | M&H Express | Marcelo Garza | (81) 1113 1972 | marcelo.g@mhexpress.com.mx> |
| MX Carrier | Cia. Internacional de Fletamientos S.A. de C.V. | Jorge Gonzalez | (81) 8000 7922 | Jorge.Gonzalez@hinojosa.com.mx |
| MX Carrier | GASA | Ovidio Garza | (81) 1160 3030 | ovidio@transportesgasa.com |
| MX Carrier | Enertec Exports S. de R.L. de C.V. | Cecilio Villareal | (52 81) 8329 9782 | Cecilio.R.Villarreal@jci.com |
| MX Carrier | Tem Logistica | Oscar Aguirre | (826) 268-7475 | oscar@temlogistica.com.mx |
| MX Carrier | Blue Arrow S.A. de C.V. | Cynthia Mercado/Adrian Silva | (867) 718-1500 | |
| MX Carrier | United Carriers International S.A. de C.V. | Fernando Baca | (956) 436-2972 | |
| MX Carrier | Yarco Logistics S.A. de C.V. | Alejandro Yarza | (871) 749-3400 | |

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**FedEx Express** *Package US Airbill*

FedEx Tracking Number 8047 4837 3093

MUR4

Form ID No. 0215

**1 From**

Date 2-26-15

Sender's Name Joe Marquardt Phone 414 5242547

Company [redacted]

Address [redacted] GREEN BAY AVE. Dept./Floor/Suite/Room

City [redacted] State WI ZIP 53207-4408

**2 Your Internal Billing Reference**

**3 To**

Recipient's Name International Compliance Assurance Division (2254A) Phone

Company Environmental Protection Agency

Address 1200 Washington Avenue Dept./Floor/Suite/Room

We cannot deliver to P.O. boxes or P.O. ZIP codes.

Address

Use this line for the HOLD location address or for continuation of your shipping address.

City Washington State D.C. ZIP 20460

☐ HOLD Weekday — FedEx location address REQUIRED. **NOT available for** FedEx First Overnight.

☐ HOLD Saturday — FedEx location address REQUIRED. **Available ONLY for** FedEx Priority Overnight and FedEx 2Day to select locations.

8047 4837 3093

**4 Express Package Service** * To most locations.

**NOTE: Service order has changed. Please select carefully.**

***Packages up to 150 lbs.*** *For packages over 150 lbs., use the FedEx Express Freight US Airbill.*

**Next Business Day**

- [x] FedEx First Overnight — Earliest next business morning delivery to select locations. Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
- [ ] FedEx Priority Overnight — Next business morning.* Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
- [ ] FedEx Standard Overnight — Next business afternoon.* Saturday Delivery NOT available.

**2 or 3 Business Days**

- [ ] FedEx 2Day A.M. — Second business morning.* Saturday Delivery NOT available.
- [ ] FedEx 2Day — Second business afternoon.* Thursday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
- [ ] FedEx Express Saver — Third business day.* Saturday Delivery NOT available.

**5 Packaging** * Declared value limit $500.

- [x] FedEx Envelope*
- [ ] FedEx Pak*
- [ ] FedEx Box
- [ ] FedEx Tube
- [ ] Other

**6 Special Handling and Delivery Signature Options**

- [ ] SATURDAY Delivery — **NOT available for** FedEx Standard Overnight, FedEx 2Day A.M., or FedEx Express Saver.
- [x] No Signature Required — Package may be left without obtaining a signature for delivery
- [ ] Direct Signature — Someone at recipient's address may sign for delivery. *Fee applies.*
- [ ] Indirect Signature — If no one is available at recipient's address, someone at a neighboring address may sign for delivery. For residential deliveries only. *Fee applies.*

**Does this shipment contain dangerous goods?**

- [x] No
- [ ] Yes — As per attached Shipper's Declaration
- [ ] Yes — Shipper's Declaration not required
- [ ] Dry Ice — Dry ice, 9, UN 1845 ____ x ____ kg

Dangerous goods (including dry ice) cannot be shipped in FedEx packaging or placed in a FedEx Express Drop Box.

- [ ] Cargo Aircraft Only

**7 Payment** *Bill to:*

Enter FedEx Acct. No. or Credit Card No. below.

- [x] Sender — Acct. No. in Section 1 will be billed
- [ ] Recipient
- [ ] Third Party
- [ ] Credit Card
- [ ] Cash/Check

Total Packages 1 Total Weight ____ lbs. Credit Card Auth.

†Our liability is limited to US$100 unless you declare a higher value. See the current FedEx Service Guide for details.

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