2013 SLAB EXPORT REPORT FOR U.S. EPA

February 28, 2014

VIA Certified U.S. Mail

Office of Enforcement and Compliance Assurance
Office of Federal Activities, International Compliance Assurance Division (2254A)
Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Re: 2013 SLAB Export Report
Johnson Controls Battery Group, Inc.

Dear Sir or Madam:

Johnson Controls Battery Group, Inc. ("JCBGI") is submitting this annual report to the U.S. Environmental Protection Agency ("U.S. EPA"), pursuant to 40 C.F.R. Sections 262.56, 262.87(a) and 266.80, to provide a summary of the total number of shipments and volume of spent lead-acid batteries ("SLABs") exported by JCBGI to Mexico and Canada during the previous calendar. This annual report covers JCBGI's SLAB export activities only from January 1, 2013 to December 31, 2013. Summaries of SLABs exported by JCBGI to Mexico and Canada are included in the sections below.

The following information applies to all SLAB exported to Mexico and Canada.

Exporter Mailing Address	Johnson Controls Battery Group, Inc. 5757 N. Green Bay Avenue Glendale, WI 53209
Calendar Year Covered by the Report	2013
Description of the Hazardous Waste	Spent Lead-Acid Batteries (whole, intact)
U.S. EPA and DOT Hazardous Waste Numbers and Class	Spent lead acid batteries, whole, D008, D002, waste batteries, spillable, wet, filled with acid, UN2794, Class 8, PGIII
Designation of Waste Type and Applicable OECD Waste Codes	AA170 (lead batteries)

I. MEXICO

Exporter Site Addresses and U.S. EPA Identification Number(s)	Yuma Distribution Center 3740 South Arizona Avenue Yuma, AZ 85365 ID#AZR000502476

received
SDN 3/24/2014

	San Antonio Distribution Center, 12915 Wetmore Road San Antonio, TX 78247 ID#TXR000064527 Johnson Controls Battery Plant - Canby 800 N.W. 3rd Street Canby, OR 97013 ID#ORD010746402

A. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores

Name and Site Address of Consignee/Final Recovery Facility	Enertec Exports S. de R.L. de C.V. Planta Cienega de Flores, 19-12-PS-V-07-94, Carretera Monterrey-Nuevo Laredo km. 11.5, Cienega de Flores, Nuevo Leon CP 65550 Mexico
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	Esquemas Logisticos, SALCI, Alfredo Villalon, SITI, CI Fletamentos, Transmontes, Xpress Int, GASA, JEMA, Enertec, M&H, AFN, Mercurio
Total Number of Shipments and Volume	**Notification #004492/3E/12 (San Antonio to Cienega):** Volume – 40,349 MT Number of Shipments – 2,017 **Notification #006638/3E/13 (San Antonio to Cienega):** Volume – 40,062 MT Number of Shipments 2,003 **Notification #004595/4E/12 (Yuma to Cienega):** Volume – 860 MT Number of Shipments – 43 **Notification #005650/9E/12 (Yuma to Cienega):**

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	Volume – 54,984 MT Number of Shipments – 2,899 Total Volume – 136,255 MT Total Shipments – 6,813

B. Consignee/Final Recovery Facility - Enertec Exports S. de R.L. de C.V. Planta Garcia

Name and Site Address of Consignee/Final Recovery Facility	Enertec Exports S. de R.L. de C.V. Planta Garcia, 19-IV-38-10, Carretera a García KM 9.5 Garcia Nuevo León Mexico
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	Esquemas Logisticos, SALCI, Alfredo Villalon, SITI, CI Fletamentos, Transmontes, Xpress Int, GASA, JEMA, Enertec, M&H, AFN, Mercurio
Total Number of Shipments and Volume	**Notification #004610/4E/12 (San Antonio to Garcia):** Volume – 69,272 MT Number of Shipments – 3,464 **Notification #007146/5E/13 (San Antonio to Garcia)** Volume – 43,332 MT Number of Shipments- 2,167 **Notification #004596/4E/12 (Yuma to Garcia):** Total Volume – 7,731 MT Total Shipments – 387 **Notification #007149/5E/13 (Yuma to Garcia):** Total Volume – 49,910 MT Total Shipments – 2,496

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	Notification #005406/8E/12 (Portland to Garcia): Total Volume – 84,891 MT Total Shipments – 4,245 Total Volume – 282,978 MT Total Shipments – 14,149

II. CANADA

Exporter Site Addresses and U.S. EPA Identification Number(s) (where applicable)	Johnson Controls Battery Group Inc. 50 Patriot Drive Middletown, DE 19709 Johnson Controls Battery Group Inc. 4432 Ardmore Avenue Fort Wayne, IN 46809-9793 J. Lestician Warehouse 32 Plum Street Monroe, NJ USA 08638 ID#: NJR4000071530 Johnson Controls Battery Group, Inc. 800 NW 3rd Avenue Canby, OR 97013 ID#: ORD010746402 Johnson Controls Battery Group Inc. 180 W. Hawthorne Lane West Chicago, IL

A. Consignee/Final Recovery Facility - La Corporation Newalta

Name and Site Address of Consignee/Final Recovery Facility	La Corporation Newalta, 1200 Garnier ville Ste-Chatherine (Quebec), Canada J5C 1B4
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	*See* attached lists of transporters.
Total Number of Shipments and Volume	**Permits; #006348/1E/13, #006347/1E/13**

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	Volume – 16,044 MT Number of Shipments – 802

B. Consignee/Final Recovery Facility - Tonolli Canada Ltd.

Name and Site Address of Consignee/Final Recovery Facility	Tonolli Canada Ltd. 1333 Tonolli Road Mississauga, ON L4Y 4C2, Canada
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	*See* attached list of transporters.
Total Number of Shipments and Volume	**Permits; #005651/9E/12, #005652/9E/12 , #007666/7#/13, #007667/7E/13** Volume – 925 MT Number of Shipments - 46

C. Consignee/Final Recovery Facility - KC Recycling

Name and Site Address of Consignee/Final Recovery Facility	KC Recycling 9350 Highway 22A Trial, British Columbia, Canada
Name of Transporters and U.S. EPA Identification Numbers (where applicable)	*See* attached list of transporters.
Total Number of Shipments and Volume	**Permits; #004009/1E/12 (Canby, OR to KC Recycling):** Volume – 3,156 MT Number of Shipments – 158

Certification

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment.

If you have any questions regarding the contents of this annual report, please contact me at (414) 524-2597.

Sincerely,

Joe Marquardt
Environmental Relations Manager
Johnson Controls Battery Group, Inc.

Nelson, Scott

From: Joe Marquardt <Joe.D.Marquardt@jci.com>
Sent: Monday, March 24, 2014 5:28 PM
To: Nelson, Scott
Subject: RE: 2013 SLAB Annual Export Report
Attachments: EPA Annual Report 2014.pdf; EPA Export Report 2014 receipt.pdf

Hi Scott,

We submitted our annual report to Robert Heiss via Federal Express on February 28, 2014. Attached is an electronic copy of our receipt and the report. Please let me know if you need anything else.

Respectfully,

Joe Marquardt
Manager, Environmental Relations
Johnson Controls Power Solutions
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Mobile: +1-414-931-1261
email: joe.d.marquardt@jci.com
Internet: www.johnsoncontrols.com

Johnson Controls, Inc.
5757 N. Green Bay Avenue
Glendale, WI 53209
USA

From: Nelson, Scott [mailto:Nelson.Scott@epa.gov]
Sent: Monday, March 24, 2014 12:34 PM
To: 649123@gmail.com; agmack@myfairpoint.net; calisco@calisco.us; cathy@marker-metal.com; cguerra@ggtusa.com; Christina.Turner@Demag-us.com; christina.turner_taxes@yahoo.com; claudio@renewableplanetllc.com; clinkston@sbcglobal.net; contactus@gbc.com; coremet@coremetnyc.com; cterhune@schn.com; ctlytc@yahoo.com; customercare@batteriesplus.com; customerservice@batteryrecycling.com; DRasmussen@greenmountainbattery.com; firefly39@yahoo.com; go-glr.com; hfbatteries@hotmail.com; hwang_kungfu@yahoo.com; info@catalyticconvertercorp.com; Info@ErieIndustrialTrucks.com; irv@usgolfcars.com; jarynconway@ecorecyclingsystemsinc.com; Joe Marquardt; joe@jbicorp.com; john@webtransusa.com; josh.quant@go-glr.com; jplumley@plumleyeng.com; karen@islandrecycling.com; kbkim@ibrgroup.net; KCAutoScrap@aol.com; kgilbert@energyprod.com; km2124@gmail.com; mcmrecycling@comcast.net; MEGUMIJR@aol.com; nancyeas@rochester.rr.com; paul.bessey@batteriesplus.net; peter.boundary@hotmail.com; philip@philiplewis-scrapmetals.com; pscrap@recycleworld.biz; sales@alpertandalpert.com; sales@apglobalmanagement.com; sales@narecycling.com; sunghoparck@greenms2007.com; timpson.trading@gmail.com; tomsonalloys@yahoo.com; tppfender@aol.com; tvr433@gmail.com
Subject: 2013 SLAB Annual Export Report

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